

João Leite  
Paolo Torroni (Eds.)

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# Computational Logic in Multi-Agent Systems

5th International Workshop, CLIMA V  
Lisbon, Portugal, September 2004  
Revised Selected and Invited Papers

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João Leite Paolo Torroni (Eds.)

# Computational Logic in Multi-Agent Systems

5th International Workshop, CLIMA V  
Lisbon, Portugal, September 29-30, 2004  
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Volume Editors

João Leite

Universidade Nova de Lisboa, Departamento de Informática  
Faculdade de Ciências e Tecnologia  
Quinta da Torre, 2829-516 Caparica, Portugal  
E-mail: jleite@di.fct.unl.pt

Paolo Torroni

Università di Bologna  
Dipartimento di Elettronica, Informatica e Sistemistica  
Viale Risorgimento 2, 40136 Bologna, Italy  
E-mail: paolo.torroni@unibo.it

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# Preface

The notion of agency has recently increased its influence in the research and development of computational logic based systems, while at the same time significantly gaining from decades of research in computational logic. Computational logic provides a well-defined, general, and rigorous framework for studying syntax, semantics and procedures, for implementations, environments, tools, and standards, facilitating the ever important link between specification and verification of computational systems.

The purpose of the Computational Logic in Multi-agent Systems (CLIMA) international workshop series is to discuss techniques, based on computational logic, for representing, programming, and reasoning about multi-agent systems in a formal way. Former CLIMA editions were conducted in conjunction with other major computational logic and AI events such as CL in July 2000, ICLP in December 2001, FLoC in August 2002, and LPNMR and AI-Math in January 2004.

The fifth edition of CLIMA was held Lisbon, Portugal, in September 29–30, 2004. We, as organizers, and in agreement with the CLIMA Steering Committee, opted for co-location with the 9th European Conference on Logics in Artificial Intelligence (JELIA 2004), wishing to promote the CLIMA research topics in the broader community of logics in AI, a community whose growing interest in multi-agent issues has been demonstrated by the large number of agent-related papers submitted to recent editions of JELIA.

The workshop received 35 submissions – a sensible increase from the previous edition. The submitted papers showed that the logical foundations of multi-agent systems are felt by a large community to be a very important research topic, upon which classical AI and agent-related issues are to be addressed.

In line with the high standards of previous CLIMA editions, the review process was very selective, the final acceptance rate being below 50%. A Program Committee of 24 top-level researchers from 11 countries and 12 additional reviewers selected 16 papers for presentation, authored by 46 researchers worldwide. The workshop program featured an invited lecture by Alessio Lomuscio (University College London) on Specification and Verification of Multiagent Systems, as well as a panel discussion organized by Marina de Vos (University of Bath) on Logic-Based Multi-agent Systems and Industry. Around 50 delegates attended the two-day event.

This book contains a selection, based on a second round of reviewing, of extended CLIMA V papers, and it starts with an invited contribution by Bożena Woźna and Alessio Lomuscio. The papers are divided into four parts: (i) foundations, (ii) architectures, (iii) interaction, and (iv) planning and applications. There follows a brief overview of the book.

**Foundations.** In the first paper of this book, *A Logic for Knowledge, Correctness, and Real Time*, Woźna and Lomuscio present and exemplify TCTLKD, a logic for knowledge, correctness and real time interpreted on real-time deontic interpreted systems, and extension to continuous time of deontic interpreted systems.

In *Dynamic Logic for Plan Revision in Intelligent Agents*, van Riemsdijk et al. present, with a sound and complete axiomatization, a dynamic logic for a propositional version of the agent programming language 3APL, tailored to handle the revision of plans.

Grossi et al. present in their paper *Contextual Taxonomies* a characterization of the notion of a taxonomy with respect to specific contexts, addressing problems stemming from the domain of normative system specifications for modelling multi-agent systems.

*From Logic Programs Updates to Action Description Updates* is where Alferes et al. propose a macro language for the language EVOLP and provide translations from some fragments of known action description languages into the newly defined one.

In *Dynamic Logic Programming: Various Semantics Are Equal on Acyclic Programs*, Homola investigates multi-dimensional dynamic logic programming, establishing some classes of programs for which several known semantics coincide.

**Architectures.** *Declarative Agent Control*, by Kakas et al., extends the architecture of agents based upon fixed, one-size-fits-all cycles of operation by providing a framework for the declarative specification of agent control in terms of *cycle theories*, which define possible alternative behaviors of agents.

In *Metareasoning for Multi-agent Epistemic Logics*, Arkoudas and Bringsjord present an encoding of a sequent calculus for a multi-agent epistemic logic in Athena, an interactive theorem proving system for many-sorted first-order logic, to enable its use as a metalanguage in order to reason about the multi-agent logic as an object language.

In *Graded BDI Models for Agent Architectures*, Casali et al. propose a general model for a graded BDI agent, specifying an architecture able to deal with the environment uncertainty and with graded mental attitudes.

**Interaction.** Dastani et al., in their article *Inferring Trust*, extend Liau's logic of Belief, Inform and Trust in two directions: with questions, and with a formalization of topics used to infer trust in a proposition from trust in another proposition.

In *Coordination Between Logical Agents*, Sakama and Inoue investigate on the use of answer set programming for belief representation, namely by addressing the problem of finding logic programs that combine the knowledge from different agents, while preserving some properties, useful to achieve agent coordination.

In *A Computational Model for Conversation Policies for Agent Communication*, Bentahar et al. propose a formal specification of a flexible persuasion proto-

col between autonomous agents, using an approach based on social commitments and arguments, defined as a combination of a set of conversation policies.

The last paper of this section is *Verifying Protocol Conformance for Logic-Based Communicating Agents*, by Baldoni et al., which describes a method for automatically verifying a form of “structural” conformance by translating AUML sequence diagrams into regular grammars and, then, interpreting the problem of conformance as a problem of language inclusion.

**Planning and Applications.** In the preliminary report *An Application of Global Abduction to an Information Agent Which Modifies a Plan Upon Failure*, Satoh uses a form of abductive logic programming called global abduction to implement an information agent that deals with the problem of plan modification upon action failure.

In *Planning Partially for Situated Agents*, Mancarella et al. use an abductive variant of the event calculus to specify planning problems as the base of their proposal for a framework to design situated agents capable of computing partial plans.

Han and Barber, in *Desire-Space Analysis and Action Selection for Multiple Dynamic Goals*, use macro actions to transform the state space for the agent’s decision problem into the desire space of the agent. Reasoning in the latter allows us to approximately weigh the costs and benefits of each of the agent’s goals at an abstract level.

Hirsch et al. conclude this book with the article *Organising Software in Active Environments*, in which they show how logic-based multi-agent systems are appropriate to model active environments. They do so by illustrating how the structuring of the “agent space” can represent both the physical and virtual structures of an application.

We would like to conclude with a glance at the future of this workshop series. The sixth CLIMA edition is being organized by Francesca Toni and Paolo Torroni, and will take place at the City University of London, UK, in June 27–29, 2005, in conjunction with the EU-funded SOCS Project Dissemination Workshop. CLIMA VI will feature a tutorial program and a competition, besides the usual technical content based on the presentation of papers.

We can not miss this opportunity to thank the authors and delegates, who made of CLIMA a very interesting and fruitful event; our generous Program Committee members who did not skimp on time to help us put together a very rich volume after two rounds of reviewing, discussion, and selection; and our sponsoring institutions, Universidade Nova de Lisboa, Fundação para a Ciência e Tecnologia, FBA, and AgentLink III.

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# A Logic for Knowledge, Correctness, and Real Time<sup>\*</sup>

Bożena Woźna and Alessio Lomuscio

Department of Computer Science,  
University College London,  
Gower Street, London WC1E 6BT,  
United Kingdom  
{B.Wozna, A.Lomuscio}@cs.ucl.ac.uk

**Abstract.** We present TCTLKD, a logic for knowledge, correctness and real time. TCTLKD is interpreted on real time deontic interpreted systems, and extension to continuous time of deontic interpreted systems. We exemplify the use of TCTLKD by discussing a variant of the “railroad crossing system”.

## 1 Introduction

Logic has a long tradition in the area of formal theories for multi-agent systems (MAS). Its role is to provide a precise and unambiguous specification language to describe, reason about, and predict the behaviour of a system.

While in the early 80’s existing logics from other areas such as philosophical logic, concurrency theory, etc., were imported with little or no modification to the area of MAS, from the late 80’s onwards specific logics have been designed, studied, and tailored to the needs of MAS. Of particular note is the case of epistemic logic, or the logic of knowledge.

Focus on epistemic logics in MAS began with the use of the modal logic system  $S5$  developed independently by Hintikka [1] and Auermann [2] in formal logic and economics respectively. This starting point formed the core basis of a number of studies that appeared in the past 20 years, including formalisations of group knowledge [3, 4, 5], combinations of epistemic logic with time [6, 7, 8], auto-epistemic logics [9, 10], epistemic updates [11, 12], broadcast systems and hypercubes [13, 14], etc. Epistemic logic is no longer a remarkable special case of a normal modal system, but has now become an area of study on its own with regular thematic workshops and conferences.

In particular, combinations of epistemic and temporal logics allow us to reason about the temporal evolution of epistemic states, knowledge of a changing world, etc. Traditionally, this is achieved by combining a temporal logic for discrete linear time [15, 16, 17] with the logic  $S5$  for knowledge [18]. Various classes

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<sup>\*</sup> The authors acknowledge support from the EPSRC (grant GR/S49353), and the Nuffield Foundation (grant NAL/690/G).

of MAS (synchronous, asynchronous, perfect recall, no learning, etc.) can be identified in this framework, and axiomatisations have been provided [19, 20]. More recently, combinations of branching time logic CTL [21, 22, 23] with the epistemic logic  $S5$  have been studied, and axiomatisation provided [8].

All efforts above have focused on a discrete model of time, either in its linear or branching versions. While this is useful and adequate in most applications, certain classes of scenarios (notably robotics and networking) require a model of time as a continuous flows of events.

In the area of timed-systems the modal logic TCTL has been suggested as an adequate for analysis of model real time. In this paper we propose a logic (which we call TCTLKD) combining the temporal aspects of TCTL with the notions defined by the epistemic logic  $S5$ , as well as the correctness notion defined in [24]. This combination allows us to reason about the real time evolution of epistemic states, the correct functioning of multi-agent systems with respect to real time, and any combination of these.

Traditionally, the semantics of temporal epistemic logic is defined on variants of interpreted systems to provide an interpretation to the epistemic modalities. These use the notion of *protocol* to provide a basis for the action selection mechanism of the agents. Since we are working on real time, here we shall use the finer grained semantics of timed automata to model the agents' evolution. We then synchronise networks of timed automata to provide a general model of a MAS.

The rest of the paper is organised as follows. In Section 2 we define the concept of interpreted systems on real time by taking the parallel composition of timed automata. In Section 3 we define the logic TCTLKD as an extension to real time of the logic for knowledge and correctness as defined in [24, 25]. In Section 4 we provide a case study analysis to demonstrate its use in applications. We conclude in Section 5 by discussing related and future work on this subject.

## 2 Interpreted Systems over Real Time

Interpreted systems are traditionally defined as a set of infinite runs on global states [18]. In this model each run is a discrete sentence representing events. At each global state, each agent selects an action according to a (possibly non-deterministic) protocol. In this section we extend (discrete) interpreted systems to real time interpreted systems in two aspects. First, we specify the agents' behaviour by a finer grained semantics: timed automata. Second, by means of parallel composition of timed automata, we define a class of interpreted systems operating on real time.

We begin by recalling the concept of timed automata, as introduced in [26]. Timed automata are extensions of finite state automata with constraints on timing behaviour. The underlying finite state automata are augmented with a set of real time variables.

## 2.1 Timed Automata

Let  $\mathbb{R} = [0, \infty)$  be a set of non-negative real numbers,  $\mathbb{R}_+ = (0, \infty)$  be a set of positive real numbers,  $\mathbb{N} = \{0, 1, 2, \dots\}$  a set of natural numbers, and  $\mathcal{X}$  a finite set of real variables, called *clocks*. The set of *clock constraints* over  $\mathcal{X}$  is defined by the following grammar:

$$\mathbf{cc} := \text{true} \mid x \sim c \mid \mathbf{cc} \wedge \mathbf{cc},$$

where  $x \in \mathcal{X}$ ,  $c \in \mathbb{N}$ , and  $\sim \in \{\leq, <, =, >, \geq\}$ . The set of all the clock constraints over  $\mathcal{X}$  is denoted by  $\mathcal{C}(\mathcal{X})$ . A *clock valuation* on  $\mathcal{X}$  is a tuple  $v \in \mathbb{R}^{|\mathcal{X}|}$ . The value of the clock  $x$  in  $v$  is denoted by  $v(x)$ . For a valuation  $v$  and  $\delta \in \mathbb{R}$ ,  $v + \delta$  denotes the valuation  $v'$  such that for all  $x \in \mathcal{X}$ ,  $v'(x) = v(x) + \delta$ . Moreover, let  $\mathcal{X}^*$  be the set  $\mathcal{X} \cup \{x_0\}$ , where  $x_0$  is a clock whose value is always 0, that is, its value does not increase with time as the values of the other clocks. Then, an *assignment*  $\mathbf{as}$  is a function from  $\mathcal{X}$  to  $\mathcal{X}^*$ , and the set of all the assignments over  $\mathcal{X}$  is denoted by  $\mathfrak{A}(\mathcal{X})$ . By  $v[\mathbf{as}]$  we denote the valuation  $v'$  such that for all  $x \in \mathcal{X}$ , if  $\mathbf{as}(x) \in \mathcal{X}$ , then  $v'(x) = v(\mathbf{as}(x))$ , otherwise  $v'(x) = 0$ .

Let  $v \in \mathbb{R}^{|\mathcal{X}|}$ , the satisfaction relation  $\models$  for a clock constraint  $\mathbf{cc} \in \mathcal{C}(\mathcal{X})$  is defined inductively as follows:

$$\begin{aligned} v &\models \text{true}, \\ v &\models (x \sim c) \quad \text{iff } v(x) \sim c, \\ v &\models (\mathbf{cc} \wedge \mathbf{cc}') \quad \text{iff } v \models \mathbf{cc} \text{ and } v \models \mathbf{cc}'. \end{aligned}$$

For a constraint  $\mathbf{cc} \in \mathcal{C}(\mathcal{X})$ , by  $\llbracket \mathbf{cc} \rrbracket$  we denote the set of all the clock valuations satisfying  $\mathbf{cc}$ , i.e.,  $\llbracket \mathbf{cc} \rrbracket = \{v \in \mathbb{R}^{|\mathcal{X}|} \mid v \models \mathbf{cc}\}$ .

**Definition 1 (Timed Automaton).** A timed automaton is a tuple  $\mathcal{TA} = (\mathfrak{Z}, L, l^0, \mathcal{X}, E, \mathfrak{I})$ , where

- $\mathfrak{Z}$  is a finite set of actions,
- $L$  is a finite set of locations,
- $l^0 \in L$  is an initial location,
- $\mathcal{X}$  is a finite set of clocks,
- $E \subseteq L \times \mathfrak{Z} \times \mathcal{C}(\mathcal{X}) \times \mathfrak{A}(\mathcal{X}) \times L$  is a transition relation,
- $\mathfrak{I} : L \rightarrow \mathcal{C}(\mathcal{X})$  is a function, called a location invariant, which assigns to each location  $l \in L$  a clock constraint defining the conditions under which  $\mathcal{TA}$  can stay in  $l$ .

Each element  $e$  of  $E$  is denoted by  $l \xrightarrow{a, \mathbf{cc}, \mathbf{as}} l'$ , where  $l$  is a source location,  $l'$  is a target location,  $a$  is an action,  $\mathbf{cc}$  is the enabling condition for  $e$ , and  $\mathbf{as}$  is the assignment for  $e$ .

Note that we deal with “diagonal-free” automata. This is because ultimately we would like to verify MAS specified in this formalism, and the model checking methods for real time systems (based on the Difference Bound Matrices [27], variants of Boolean Decision Diagrams [28, 29], or SAT methods [30, 31, 32]) are problematic when the components of the systems are modelled by “diagonal automata”.

In order to reason about systems represented by timed automata, for a set of propositional variables  $\mathcal{PV}$ , we define a valuation function  $V_{\mathcal{TA}} : L \rightarrow 2^{\mathcal{PV}}$ , which assigns propositions to the locations.

**Definition 2 (Dense State Space).** *The dense state space of a timed automaton  $\mathcal{TA} = (\mathfrak{Z}, L, l^0, \mathcal{X}, E, \mathfrak{J})$  is a structure  $D(\mathcal{TA}) = (Q, q^0, \rightarrow)$ , where*

- $Q = L \times \mathbb{R}^{|\mathcal{X}|}$  is the set of all the instantaneous states,
- $q^0 = (l^0, v^0)$  with  $v^0(x) = 0$  for all  $x \in \mathcal{X}$ , is the initial state,
- $\rightarrow \subseteq Q \times (\mathfrak{Z} \cup \mathbb{R}) \times Q$  is the transition relation, defined by action- and time-successors as follows:
  - for  $a \in \mathfrak{Z}$ ,  $(l, v) \xrightarrow{a} (l', v')$  iff  $(\exists \mathbf{cc} \in \mathcal{C}(\mathcal{X}))(\exists \mathbf{as} \in \mathfrak{A}(\mathcal{X}))$  such that  $l \xrightarrow{a, \mathbf{cc}, \mathbf{as}} l' \in E$ ,  $v \in \llbracket \mathbf{cc} \rrbracket, v' = v[\mathbf{as}]$  and  $v' \in \llbracket \mathfrak{J}(l') \rrbracket$  (action successor),
  - for  $\delta \in \mathbb{R}$ ,  $(l, v) \xrightarrow{\delta} (l, v + \delta)$  iff  $v + \delta \in \llbracket \mathfrak{J}(l) \rrbracket$  (time successor).

For  $(l, v) \in Q$ , let  $(l, v) + \delta$  denote  $(l, v + \delta)$ . A  $q$ -run  $\rho$  of a  $\mathcal{TA}$  is a sequence of instantaneous states:  $q_0 \xrightarrow{\delta_0} q_0 + \delta_0 \xrightarrow{a_0} q_1 \xrightarrow{\delta_1} q_1 + \delta_1 \xrightarrow{a_1} q_2 \xrightarrow{\delta_2} \dots$ , where  $q_0 = q \in Q$ ,  $a_i \in \mathfrak{Z}$ , and  $\delta_i \in \mathbb{R}_+$  for each  $i \in \mathbb{N}$ . A run  $\rho$  is said to be *progressive* iff  $\sum_{i \in \mathbb{N}} \delta_i$  is unbounded. A  $\mathcal{TA}$  is *progressive* if all its runs are progressive. For simplicity of presentation, we consider only progressive timed automata. Note that progressiveness can be checked as in [33].

## 2.2 Parallel Composition of Timed Automata

In general, we will model a multi-agent system by taking several timed automata running in parallel and communicating with each other. These concurrent timed automata can be composed into a global timed automaton as follows: the transitions of the timed automata that do not correspond to a shared action are interleaved, whereas the transitions labelled with a shared action are synchronised.

There are many different definitions of parallel composition. We use a *multi-way synchronisation*, requiring that each component that contains a communication transition (labelled by a shared action) has to perform this action.

Let  $\mathcal{TA}_i = (\mathfrak{Z}_i, L_i, l_i^0, E_i, \mathcal{X}_i, \mathfrak{J}_i)$  be a timed automaton, for  $i = 1, \dots, m$ . To define a parallel composition of  $m$  timed automata, we assume that  $L_i \cap L_j = \emptyset$  for all  $i, j \in \{1, \dots, m\}$ , and  $i \neq j$ . Moreover, by  $\mathfrak{Z}(a) = \{1 \leq i \leq m \mid a \in \mathfrak{Z}_i\}$  we denote a set of numbers of the timed automata containing an action  $a$ .

**Definition 3 (Parallel Composition).** *The parallel composition of  $m$  timed automata  $\mathcal{TA}_i$  is a timed automaton  $\mathcal{TA} = (\mathfrak{Z}, L, l^0, E, \mathcal{X}, \mathfrak{J})$ , where  $\mathfrak{Z} = \bigcup_{i=1}^m \mathfrak{Z}_i$ ,  $L = \prod_{i=1}^m L_i$ ,  $l^0 = (l_1^0, \dots, l_m^0)$ ,  $\mathcal{X} = \bigcup_{i=1}^m \mathcal{X}_i$ ,  $\mathfrak{J}(l_1, \dots, l_m) = \bigwedge_{i=1}^m \mathfrak{J}_i(l_i)$ , and a transition  $((l_1, \dots, l_m), a, \mathbf{cc}, \mathbf{as}, (l'_1, \dots, l'_m)) \in E$  iff  $(\forall i \in \mathfrak{Z}(a)) (l_i, a, \mathbf{cc}_i, \mathbf{as}_i, l'_i) \in E_i$ ,  $\mathbf{cc} = \bigwedge_{i \in \mathfrak{Z}(a)} \mathbf{cc}_i$ ,  $\mathbf{as} = \bigcup_{i \in \mathfrak{Z}(a)} \mathbf{as}_i$ , and  $(\forall j \in \{1, \dots, m\} \setminus \mathfrak{Z}(a)) l'_j = l_j$ .*

Note that in the above automaton is allowed to set a value of any clock, including the ones associated with other agents.

Let  $PV_i$  be a set of propositional variables containing the symbol **true**,  $V_{\mathcal{TA}_i} : L_i \rightarrow 2^{\mathcal{PV}_i}$  be a valuation function for the  $i$ th automaton, where  $i \in \{1, \dots, m\}$ ,

and  $\mathcal{PV} = \bigcup_{i=1}^m \mathcal{PV}_i$ . Then, the valuation function  $V_{\mathcal{TA}} : L \rightarrow 2^{\mathcal{PV}}$  for the parallel co-position of  $m$  timed automata, is defined as follows  $V_{\mathcal{TA}}((l_1, \dots, l_m)) = \bigcup_{i=1}^m V_{\mathcal{TA}_i}(l_i)$ .

### 2.3 Real Time Deontic Interpreted System

In line with much of the multi-agent systems literature, we use interpreted systems as a semantics for a temporal epistemic language. For this, we need to adapt the to work on real time: this is why we take timed automata as the underlying modelling concept (as opposed to the standard protocols of interpreted systems). To define *real time deontic interpreted systems*, we first partition the set of clock valuations as in [34].

Let  $\mathcal{TA}$  be a timed automaton,  $\mathcal{C}(\mathcal{TA}) \subseteq \mathcal{C}(\mathcal{X})$  be a non-empty set containing all the clock constraints occurring in any enabling condition used in the transition relation  $E$  or in a state invariant of  $\mathcal{TA}$ . Moreover, let  $c_{max}$  be the largest constant appearing in  $\mathcal{C}(\mathcal{TA})$ . For  $\sigma \in \mathbb{R}$ ,  $frac(\sigma)$  denotes the fractional part of  $\sigma$ , and  $\lfloor \sigma \rfloor$  denotes its integral part.

**Definition 4 (Equivalence of Clock Valuations).** *For two clock valuations  $v$  and  $v'$  in  $\mathbb{R}^{|\mathcal{X}|}$ , we say that  $v \simeq v'$  iff for all  $x, y \in \mathcal{X}$  the following conditions are met:*

1.  $v(x) > c_{max}$  iff  $v'(x) > c_{max}$
2. if  $v(x) \leq c_{max}$  and  $v(y) \leq c_{max}$  then
  - a.)  $\lfloor v(x) \rfloor = \lfloor v'(x) \rfloor$ ,
  - b.)  $frac(v(x)) = 0$  iff  $frac(v'(x)) = 0$ , and
  - c.)  $frac(v(x)) \leq frac(v(y))$  iff  $frac(v'(x)) \leq frac(v'(y))$ .

The equivalence classes of the relation  $\simeq$  are called *zones*, and denoted by  $Z$ ,  $Z'$  and so on.

Now we are ready to define a *Real Time Deontic Interpreted System* that will be semantics for the logic presented in the next section.

Let  $\mathcal{AG}$  be a set of  $m$  agents, where each agent is modelled by a timed automaton  $\mathcal{TA}_i = (\mathfrak{J}_i, L_i, l_i^0, E_i, \mathcal{X}_i, \mathfrak{J}_i)$ , for  $i \in \{1, \dots, m\}$ . Moreover, assume, in line with [24, 25], that for every agent, its set  $L_i$  of local locations is partitioned into “allowed” locations, denoted by  $\mathcal{G}_i$ , and “disallowed” locations, denoted by  $\mathcal{R}_i$  and defined by  $\mathcal{R}_i = L_i \setminus \mathcal{G}_i$ . We shall call these locations *green* and *red* respectively. Further, assume that the parallel co-position  $\mathcal{TA} = (\mathfrak{J}, L, l^0, E, \mathcal{X}, \mathfrak{J})$  of all the agents is given<sup>1</sup>, and that  $l_i : Q \rightarrow L_i$  is a function that returns the location of agent  $i$  from a global state. Then, a *real time deontic interpreted system* is defined as follows.

**Definition 5 (Real Time Deontic Interpreted System).** *A real time deontic interpreted system is a tuple  $M_c = (Q, q^0, \rightarrow, \sim_1^K, \dots, \sim_m^K, R_1^O, \dots, R_m^O, \mathcal{V}_c)$ , where:*

<sup>1</sup> Note that the set  $L$ , which defines all the possible *global locations*, is defined as the Cartesian product  $L_1 \times \dots \times L_m$ , such that  $L_1 \supseteq \mathcal{G}_1, \dots, L_m \supseteq \mathcal{G}_m$ .



- $Q$ ,  $q^0$ , and  $\rightarrow$  are defined as in Definition 2,
- $\sim_i^K \subseteq Q \times Q$  is a relation defined by:  $(l, v) \sim_i^K (l', v')$  iff  $l_i((l, v)) = l_i((l', v'))$  and  $v \simeq v'$ , for each agent  $i$ . Obviously  $\sim_i^K$  is an equivalence relation.
- $R_i^O \subseteq Q \times Q$  is a relation defined by:  $(l, v) R_i^O (l', v')$  iff  $l_i((l', v')) \in \mathcal{G}_i$ , for each agent  $i$ .
- $V_c : Q \rightarrow 2^{\mathcal{P}\mathcal{V}}$  is a valuation function that extends  $V_{\mathcal{T}\mathcal{A}}$  as follows  $V_c((l, v)) = V_{\mathcal{T}\mathcal{A}}(l)$ , i.e.,  $V_c$  assigns the same propositions to the states with the same locations.

### 3 The Logic TCTLKD

In this section, we formally present the syntax and semantics of a *real time computation tree logic for knowledge and correctness* (TCTLKD), which extends the standard TCTL [34], the logic for real time, by means of modal operators for knowledge and correctness.

The language generalises classical propositional logic, and thus it contains the standard propositional connectives  $\neg$  (not) and  $\vee$  (or); the remaining connectives ( $\wedge$  (and),  $\rightarrow$  (implies),  $\leftrightarrow$  (if, and only if)) are assumed to be introduced as abbreviations in the usual way. With respect to real time temporal connectives, we take as primitives  $U_I$  (for “until within interval  $I$ ”), and  $G_I$  (for “always within interval  $I$ ”); the remaining operators,  $F_I$  (for “eventually within interval  $I$ ”) and  $R_I$  (for “release within interval  $I$ ”), are assumed to be introduced as abbreviations in the usual way. The language also contains two path quantifiers:  $A$  (for “for all the runs”) and  $E$  (for “there exists a run”). Further, we assume a set  $\mathcal{AG} = \{1, \dots, m\}$  of agents, and we use the indexed modalities  $K_i$ ,  $\mathcal{O}_i$ , and  $\hat{K}_i^j$  to represent the knowledge of agent  $i$ , the correct functioning circumstances of agent  $i$ , and the knowledge of agent  $i$  under assumption of correct functioning of agent  $j$ , respectively. Furthermore, we use the indexed modalities  $D_\Gamma$ ,  $C_\Gamma$  to represent distributed and common knowledge in a group of agents  $\Gamma \subseteq \mathcal{AG}$ , and we use the operator  $E_\Gamma$  to represent the concept “everybody in  $\Gamma$  knows”.

#### 3.1 Syntax of TCTLKD

We assume a set  $\mathcal{PV}$  of propositional variables, and a finite set  $\mathcal{AG}$  of  $m$  agents. Furthermore, let  $I$  be an interval in  $\mathbb{R}$  with integer bounds of the form  $[n, n']$ ,  $[n, n')$ ,  $(n, n']$ ,  $(n, n')$ ,  $(n, \infty)$ , and  $[n, \infty)$ , for  $n, n' \in \mathbb{N}$ . The set of TCTLKD formulas is defined inductively as follows:

- every element  $p$  of  $\mathcal{PV}$  is a formula,
- if  $\alpha$  and  $\beta$  are formulas, then so are  $\neg\alpha$ ,  $\alpha \vee \beta$ ,  $E G_I \alpha$ , and  $E(\alpha U_I \beta)$ ,
- if  $\alpha$  is formula, then so are  $K_i \alpha$ ,  $\hat{K}_i^j \alpha$ , and  $\mathcal{O}_i \alpha$ , for  $i, j \in \mathcal{AG}$ ,
- if  $\alpha$  is formula, then so are  $D_\Gamma \alpha$ ,  $C_\Gamma \alpha$ , and  $E_\Gamma \alpha$ , for  $\Gamma \subseteq \mathcal{AG}$ .

The other basic temporal, epistemic, and correctness modalities are defined as follows:

- $\text{EF}_I\varphi \stackrel{\text{def}}{=} \text{E}(\text{trueU}_I\varphi)$ ,  $\text{AF}_I\varphi \stackrel{\text{def}}{=} \neg\text{EG}_I(\neg\varphi)$ ,  $\text{AG}_I\varphi \stackrel{\text{def}}{=} \neg\text{EF}_I(\neg\varphi)$ ,
- $\text{A}(\alpha\text{U}_I\beta) \stackrel{\text{def}}{=} \neg\text{E}(\neg\beta\text{U}_I(\neg\beta \wedge \neg\alpha)) \wedge \neg\text{EG}_I(\neg\beta)$ ,
- $\text{A}(\alpha\text{R}_I\beta) \stackrel{\text{def}}{=} \neg\text{E}(\neg\alpha\text{U}_I\neg\beta)$ ,  $\text{E}(\alpha\text{R}_I\beta) \stackrel{\text{def}}{=} \neg\text{A}(\neg\alpha\text{U}_I\neg\beta)$ ,
- $\overline{\text{K}}_i\alpha \stackrel{\text{def}}{=} \neg\text{K}_i\neg\alpha$ ,  $\overline{\text{O}}_i\alpha \stackrel{\text{def}}{=} \neg\text{O}_i\neg\alpha$ ,  $\overline{\text{K}}_i^j\alpha \stackrel{\text{def}}{=} \neg\hat{\text{K}}_i^j\neg\alpha$ ,
- $\overline{\text{D}}_I\alpha \stackrel{\text{def}}{=} \neg\text{D}_I\neg\alpha$ ,  $\overline{\text{C}}_I\alpha \stackrel{\text{def}}{=} \neg\text{C}_I\neg\alpha$ ,  $\overline{\text{E}}_I\alpha \stackrel{\text{def}}{=} \neg\text{E}_I\neg\alpha$ .

### 3.2 Semantics of TCTLKD

Let  $\mathcal{AG}$  be a set of  $m$  agents, where each agent is modelled by a timed automaton  $\mathcal{TA}_i = (\mathfrak{Z}_i, L_i, l_i^0, E_i, \mathcal{X}_i, \mathfrak{J}_i)$ , for  $i = \{1, \dots, m\}$ ,  $\mathcal{TA} = (\mathfrak{Z}, L, l^0, E, \text{X}, \mathfrak{J})$  be their parallel composition, and  $M_c = (Q, q^0, \rightarrow, \sim_1^K, \dots, \sim_m^K, R_1^O, \dots, R_m^O, \mathcal{V}_c)$  be a *real time deontic interpreted system*. Moreover, let  $\rho = q_0 \xrightarrow{\delta_0} q_0 + \delta_0 \xrightarrow{a_0} q_1 \xrightarrow{\delta_1} q_1 + \delta_1 \xrightarrow{a_1} q_2 \xrightarrow{\delta_2} \dots$  be a run of  $\mathcal{TA}$  such that  $\delta_i \in \mathbb{R}_+$  for  $i \in \mathbb{N}$ , and let  $f_{\mathcal{TA}}(q)$  denote the set of all such  $q$ -runs of  $\mathcal{TA}$ . In order to give a semantics to TCTLKD, we introduce the notation of a *dense path*  $\pi_\rho$  corresponding to run  $\rho$ . A *dense path*  $\pi_\rho$  corresponding to  $\rho$  is a mapping from  $\mathbb{R}$  to a set of states<sup>2</sup> such that  $\pi_\rho(r) = s_i + \delta$  for  $r = \sum_{j=0}^i \delta_j + \delta$  with  $i \geq 0$  and  $0 \leq \delta < \delta_i$ . Moreover, as usual, we define the following epistemic relations:  $\sim_I^E = \bigcup_{i \in I} \sim_i$ ,  $\sim_I^C = (\sim_I^E)^+$  (the transitive closure of  $\sim_I^E$ ), and  $\sim_I^D = \bigcap_{i \in I} \sim_i$ , where  $I \subseteq \mathcal{AG}$ .

**Definition 6 (Satisfaction of TCTLKD).** Let  $M_c, q \models \alpha$  denote that  $\alpha$  is true at state  $s$  in the model  $M_c$ .  $M_c$  is omitted, if it is implicitly understood. The relation  $\models$  is defined inductively as follows:

$$\begin{aligned}
q_0 \models p & \quad \text{iff } p \in \mathcal{V}_c(q_0), \\
q_0 \models \neg\varphi & \quad \text{iff } q_0 \not\models \varphi, \\
q_0 \models \varphi \vee \psi & \quad \text{iff } q_0 \models \varphi \text{ or } q_0 \models \psi, \\
q_0 \models \varphi \wedge \psi & \quad \text{iff } q_0 \models \varphi \text{ and } q_0 \models \psi, \\
q_0 \models \text{E}(\varphi\text{U}_I\psi) & \quad \text{iff } (\exists \rho \in f_{\mathcal{TA}}(q_0))(\exists r \in I)[\pi_\rho(r) \models \psi \text{ and } (\forall r' < r) \pi_\rho(r') \models \varphi], \\
q_0 \models \text{EG}_I\varphi & \quad \text{iff } (\exists \rho \in f_{\mathcal{TA}}(q_0))(\forall r \in I) \pi_\rho(r) \models \varphi, \\
q_0 \models \text{K}_i\alpha & \quad \text{iff } (\forall q' \in Q)((q_0 \sim_i^K q') \text{ implies } q' \models \alpha), \\
q_0 \models \text{O}_i\alpha & \quad \text{iff } (\forall q' \in Q)(q_0 R_i^O q') \text{ implies } q' \models \alpha, \\
q_0 \models \hat{\text{K}}_i^j\alpha & \quad \text{iff } (\forall q' \in Q)((q_0 \sim_i^K q' \text{ and } q_0 R_j^O q') \text{ implies } q' \models \alpha), \\
q_0 \models \text{D}_I\alpha & \quad \text{iff } (\forall q' \in Q)((q_0 \sim_I^D q') \text{ implies } q' \models \alpha), \\
q_0 \models \text{E}_I\alpha & \quad \text{iff } (\forall q' \in Q)((q_0 \sim_I^E q') \text{ implies } q' \models \alpha), \\
q_0 \models \text{C}_I\alpha & \quad \text{iff } (\forall q' \in Q)((q_0 \sim_I^C q') \text{ implies } q' \models \alpha).
\end{aligned}$$

Intuitively, the formula  $\text{E}(\alpha\text{U}_I\beta)$  holds at a state  $q_0$  in a real time deontic interpreted system  $M_c$  if there exists a run starting at  $q_0$  such that  $\beta$  holds in some state in time interval  $I$ , and until then  $\alpha$  always holds. The formula  $\text{EG}_I\alpha$  holds at a state  $q_0$  in a real time deontic interpreted system  $M_c$  if there exists a

<sup>2</sup> This can be done because of the assumption that  $\delta_i > 0$ , i.e.,  $\delta_i \in \mathbb{R}_+$ .

run starting at  $q_0$  such that  $\alpha$  holds in all the states on the run in the interval  $I$ . The formula  $K_i\alpha$  holds at state  $q_0$  in a real time deontic interpreted system  $M_c$  if  $\alpha$  holds at all the states that are indistinguishable for agent  $i$  from  $q_0$ . The formula  $\mathcal{O}_i\alpha$  holds at state  $q_0$  in a real time deontic interpreted system  $M_c$  if  $\alpha$  holds at all the states where agent  $i$  is functioning correctly. The formula  $\hat{K}_i^j\alpha$  holds at state  $q_0$  in a real time deontic interpreted system  $M_c$  if  $\alpha$  holds at all the states that agent  $i$  is unable to distinguish from the actual state  $q_0$ , and in which agent  $j$  is functioning correctly. The formula  $E_\Gamma\alpha$  holds at state  $q_0$  in a real time deontic interpreted system  $M_c$  if  $\alpha$  is true in all the states that the group  $\Gamma$  of agents is unable to distinguish from the actual state  $q_0$ . Note that  $E_\Gamma\alpha$  can be defined by  $\bigwedge_{i \in \Gamma} K_i\alpha$ . The formula  $C_\Gamma\alpha$  is equivalent to the infinite conjunction of the formulas  $E_\Gamma^k\alpha$  for  $k \geq 1$ . So,  $C_\Gamma\alpha$  holds at state  $q_0$  in a real time deontic interpreted system  $M_c$  if everyone knows  $\alpha$  holds at  $q_0$ , everyone knows that everyone knows  $\alpha$  holds at  $q_0$ , etc. The formula  $D_\Gamma\alpha$  holds at state  $q_0$  in a real time deontic interpreted system  $M_c$  if the “combined” knowledge of all the agents in  $\Gamma$  implies  $\alpha$ . We refer to [34, 18, 24] for more details on the operators above.

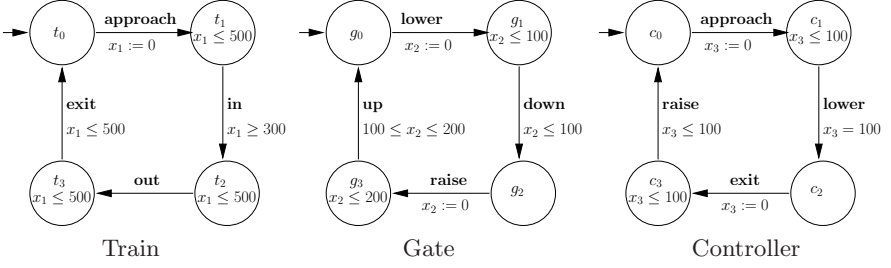
A TCTLKD formula  $\varphi$  is *satisfiable* if there exists a real time deontic interpreted system  $M_c = (Q, q^0, \rightarrow, \sim_1^K, \dots, \sim_m^K, R_1^O, \dots, R_m^O, \mathcal{V}_c)$  and a state  $q$  of  $M_c$ , such that  $M_c, q \models \varphi$ . A TCTLKD formula  $\varphi$  is *valid in  $M_c$*  (denoted  $M_c \models \varphi$ ) if  $M_c, q^0 \models \varphi$ , i.e.,  $\varphi$  is true at the initial state of the model  $M_c$ .

Note that the “full” logic of real time (TCTL) is undecidable [34]. Since real time deontic interpreted systems can be shown to be as expressive as the TCTL-structure of a time graph [34], and the fusion [35] between TCTL,  $S5$  for knowledge [18], and  $KD45^{i-j}$  for the deontic dimension [24] is a proper extension of TCTL, it follows that the problem of satisfiability for the TCTLKD logic will be also undecidable. Still, it is easy to observe that given a TCTLKD formula  $\varphi$  and a real time deontic interpreted system  $M_c$ , the problem of deciding whether  $M_c \models \varphi$  is decidable. This result is our motivation for introducing TCTLKD. We are not interested in using the whole class of real time deontic interpreted systems, but only to study particular examples by means of this logic. We exemplify this in the next section.

## 4 Applications

One of the motivations for developing the formalism presented in this paper is that we would like to be able to analyse what epistemic and temporal properties hold, when agents follow or violate their specifications while operating on real time.

As an example of this we discuss the *Railroad Crossing System* (RCS) [36], a well-known example in the literature of real-time verification. Here we analyse the scenario not only by means of temporal operators but also by means of epistemic and correctness modalities. The system consists of three agents: Train, Gate, and Controller running in parallel and synchronising through the events: “*approach*”, “*exit*”, “*lower*”, and “*raise*”.



**Fig. 1.** Agents Train, Gate, and Controller for the correct RCS system

Let us start by considering what we call the *correct RCS*, as modelled by timed automata (Figure 1). The correct RCS operates as follows. When Train approaches the crossing, it sends an *approach* signal to Controller, and enters the crossing between 300 and 500 seconds from this event. When Train leaves the crossing, it sends an *exit* signal to Controller. Controller sends a signal *lower* to Gate exactly 100 seconds after the *approach* signal is received, and sends a *raise* signal within 100 seconds after *exit*. Gate performs the transition *down* within 100 seconds of receiving the request *lower*, and responds to *raise* by moving *up* between 100 and 200 seconds.

Assume the following set of propositional variables:  $\mathcal{PV} = \{\mathfrak{p}, \mathfrak{q}, \mathfrak{r}, \mathfrak{s}\}$  with  $\mathcal{PV}_{Train} = \{\mathfrak{p}, \mathfrak{q}\}$ ,  $\mathcal{PV}_{Gate} = \{\mathfrak{r}\}$ , and  $\mathcal{PV}_{Cont} = \{\mathfrak{s}\}$ . The proposition  $\mathfrak{p}$  represents the fact that an approach signal was sent by Train,  $\mathfrak{q}$  that Train is on the cross,  $\mathfrak{r}$  that Gate is down, and  $\mathfrak{s}$  that Controller sent the signal *lower* to Gate. A real time deontic interpreted system  $M_{RCS}$  can be associated with the correct RCS as follows. For the sets  $L_1 = \{t_0, t_1, t_2, t_3\}$ ,  $L_2 = \{g_0, g_1, g_2, g_3\}$ , and  $L_3 = \{c_0, c_1, c_2, c_3\}$  of locations for Train, Gate, and Controller respectively, the set of “green” locations and the dense state space for RCS are defined by  $G_1 = L_1$ ,  $G_2 = L_2$ ,  $G_3 = L_3$ , and  $Q = L_1 \times L_2 \times L_3 \times \mathbb{R}^3$ , respectively. The valuation functions for Train ( $V_{Train} : L_1 \rightarrow 2^{\mathcal{PV}_{Train}}$ ), Gate ( $V_{Gate} : L_2 \rightarrow 2^{\mathcal{PV}_{Gate}}$ ), and Controller ( $V_{Cont} : L_3 \rightarrow 2^{\mathcal{PV}_{Cont}}$ ) are defined as follows:

- $V_{Train}(t_1) = \{\mathfrak{p}\}$ ,  $V_{Train}(t_2) = \{\mathfrak{q}\}$ , and  $V_{Train}(t_0) = V_{Train}(t_3) = \emptyset$ .
- $V_{Gate}(g_2) = \{\mathfrak{r}\}$ , and  $V_{Gate}(g_0) = V_{Gate}(g_1) = V_{Gate}(g_3) = \emptyset$ .
- $V_{Cont}(c_2) = \{\mathfrak{s}\}$ , and  $V_{Cont}(c_0) = V_{Cont}(c_1) = V_{Cont}(c_3) = \emptyset$ .

The valuation function  $V_{RCS} : L_1 \times L_2 \times L_3 \rightarrow 2^{\mathcal{PV}}$ , for the RCS system, is built as follows:  $V_{RCS}(l) = V_{Train}(l_1) \cup V_{Gate}(l_2) \cup V_{Cont}(l_3)$ , for all  $l = (l_1, l_2, l_3) \in L_1 \times L_2 \times L_3$ . Thus, according to the definition of the real time deontic interpreted system, the valuation function  $V_{M_{RCS}} : L_1 \times L_2 \times L_3 \times \mathbb{R}^3 \rightarrow 2^{\mathcal{PV}}$  of  $M_{RCS}$  is defined by  $V_{M_{RCS}}(l, v) = V_{RCS}(l)$ .

Using the TCTLKD logic, we can specify properties of the correct RCS system that cannot be specified by standard propositional temporal epistemic logic. For example, we consider the following:

$$\text{AG}_{[0,\infty]}(\mathbf{p} \rightarrow \text{K}_{\text{Controller}}(\text{AF}_{[300,\infty]}\mathbf{q})) \quad (1)$$

$$\text{AG}_{[0,\infty]}\text{K}_{\text{Train}}(\mathbf{p} \rightarrow \text{AF}_{[0,200]}\mathbf{r}) \quad (2)$$

$$\text{K}_{\text{Controller}}(\mathbf{s} \rightarrow \text{AF}_{[0,100]}\mathbf{r}) \quad (3)$$

For ula (1) states that forever in the future if an approach signal is sent by agent Train, then agent Controller knows that in some point after 300 seconds later Train will enter the cross. For ula (2) states that forever in the future agent Train knows that, if it sends an approach signal, then agent Gate will send the signal down within 200 seconds. For ula (3) states that agent Controller knows that if it sends an lower signal, then agent Gate will send the signal down within 100 seconds.

All the formulas above can be shown to hold on  $M_{RCS}$  on the initial state. We can also check that the following properties do not hold on  $M_{RCS}$ .

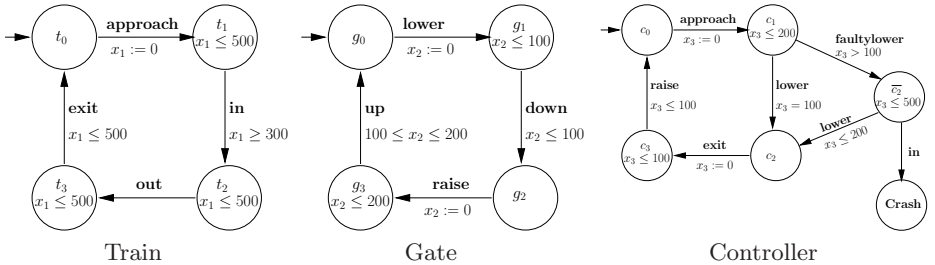
$$\text{AG}_{[0,\infty]}(\mathbf{p} \rightarrow \text{K}_{\text{Controller}}(\text{AF}_{[0,300]}\mathbf{q})) \quad (4)$$

$$\text{K}_{\text{Train}}(\text{AG}_{[0,\infty]}\text{EF}_{[10,90]}\mathbf{s}) \quad (5)$$

$$\text{K}_{\text{Controller}}(\mathbf{s} \rightarrow \text{AF}_{[0,50]}\mathbf{r}) \quad (6)$$

For ula (4) states that forever in the future if an approach signal is sent by agent Train, then agent Controller knows that at some point in the future within 300 seconds Train will enter the crossing. For ula (5) states that agent Train knows that always in the future it is possible that within interval  $[10, 90]$  the gate will be down. For ula (6) states that agent Controller knows that if it sends the lower signal, then agent Gate will send the signal down within 50 seconds.

Let us now consider a variant of the RCS system described above, and let us assume that agent Controller is faulty. Let us assume that because of a fault the signal *lower* may not be sent in the specified interval, and the transition to the faulty state  $\bar{c}_2$  may be triggered. We are allowing for Controller to recover from the fault once in  $\bar{c}_2$  by means of the action *lower* (see Figure 2).



**Fig. 2.** Agents Train, Gate, and Controller for the faulty RCS system

We examine the scenario by considering the following set of propositional variables:  $\mathcal{PV} = \{\mathbf{p}, \mathbf{q}, \mathbf{r}, \mathbf{s}, \text{crash}\}$  with  $\mathcal{PV}_{\text{Train}} = \{\mathbf{p}, \mathbf{q}\}$ ,  $\mathcal{PV}_{\text{Gate}} = \{\mathbf{r}\}$ , and  $\mathcal{PV}_{\text{Cont}} = \{\mathbf{s}, \text{crash}\}$ . The propositions  $\mathbf{p}$ ,  $\mathbf{q}$ ,  $\mathbf{r}$ , and,  $\mathbf{s}$  have the same meaning as

in the case of the correct RCS system; the proposition `crash` represents the fact that Train is on the cross and Gate is still open. A real time deontic interpreted system  $M_{RCS}$  can be associated with the faulty RCS system as follows<sup>3</sup>.

For the sets  $L_1 = \{t_0, t_1, t_2, t_3\}$ ,  $L_2 = \{g_0, g_1, g_2, g_3\}$ , and  $L_3 = \{c_0, c_1, c_2, c_3, \bar{c}_2, crash\}$  of locations for Train, Gate, and Controller, the set of “green” locations are defined by  $G_1 = L_1$ ,  $G_2 = L_2$ ,  $G_3 = \{c_0, c_1, c_2, c_3\}$ , respectively. The dense state space for RCS is defined by  $Q = L_1 \times L_2 \times L_3 \times \mathbb{R}^3$ . The valuation functions for Train ( $V_{Train}$ ), Gate ( $V_{Gate}$ ), and Controller ( $V_{Cont}$ ) are defined as follows:

- $V_{Train} : L_1 \rightarrow 2^{\mathcal{P}V_{Train}}$ , and  $V_{Train}(t_1) = \{\mathfrak{p}\}$ ,  $V_{Train}(t_2) = \{\mathfrak{q}\}$ , and  $V_{Train}(t_0) = V_{Train}(t_3) = \emptyset$ .
- $V_{Gate} : L_2 \rightarrow 2^{\mathcal{P}V_{Gate}}$ , and  $V_{Gate}(g_0) = V_{Gate}(g_1) = V_{Gate}(g_3) = \emptyset$ , and  $V_{Gate}(g_2) = \{\mathfrak{r}\}$ .
- $V_{Cont} : L_3 \rightarrow 2^{\mathcal{P}V_{Cont}}$ , and  $V_{Cont}(c_0) = V_{Cont}(c_1) = V_{Cont}(c_3) = V_{Cont}(\bar{c}_2) = \emptyset$ ,  $V_{Cont}(c_2) = \{\mathfrak{s}\}$ , and  $V_{Cont}(crash) = \{\mathfrak{crash}\}$ .

The valuation functions  $V_{RCS} : L_1 \times L_2 \times L_3 \rightarrow 2^{\mathcal{P}V}$ , and  $V_{M_{RCS}} : L_1 \times L_2 \times L_3 \times \mathbb{R}^3 \rightarrow 2^{\mathcal{P}V}$  are defined in the same way as in the correct version of the RCS system.

Using TCTLKD, we can specify the following properties of the faulty RCS system. These can be checked to hold on the real time deontic interpreted system for the faulty RCS.

$$AG_{[0,\infty]}K_{Train}\mathcal{O}_{Controller}(\mathfrak{p} \rightarrow AF_{[0,200]}\mathfrak{r}) \quad (7)$$

$$K_{Train}\mathcal{O}_{Controller}(\mathfrak{p} \rightarrow AF_{[0,200]}\mathfrak{r}) \quad (8)$$

$$\hat{K}_{Train}^{Controller}(\mathfrak{p} \rightarrow AF_{[0,200]}\mathfrak{r}) \quad (9)$$

$$AG_{[0,\infty]}K_{Train}\mathcal{O}_{Controller}(\neg\mathfrak{crash}) \quad (10)$$

$$AG_{[0,\infty]}\hat{K}_{Train}^{Controller}(\neg\mathfrak{crash}) \quad (11)$$

$$AG_{[0,\infty]}\hat{K}_{Train}^{Controller}(\mathfrak{p} \rightarrow AF_{[0,100]}\mathfrak{s}) \quad (12)$$

Formula (7) states that forever in the future agent Train knows that whenever agent Controller is functioning correctly, if Train sends the *approach* signal, then agent Gate will send the signal down within 200 seconds. Formula (8) states that agent Train knows that whenever agent Controller is functioning correctly, if the *approach* signal was sent by Train, then at some point in the future, within 200 second, Gate will be down. Formula (9) states that agent Train knows that under the assumption of agent Controller functioning correctly, if the *approach* signal was sent by Train, then at some point in the future, within 200 second, Gate will be down. Formula (10) states that always in the future agent Train knows that whenever agent Controller is functioning correctly under no circumstances

<sup>3</sup> Note that the names of the mathematical objects we use to represent the faulty RCS are the same as the ones employed previously for the correct RCS. Given that these appear in different contexts we trust no confusion arises.

there will be a situation in which Train is on the crossing and Gate is open. Formula (11) states that always in the future agent Train knows that under the assumption of agent Controller functioning correctly, under no circumstances there will be a situation in which Train is on the crossing and Gate is open. Formula (12) states that always in the future agent Train knows that under the assumption of agent Controller functioning correctly, if the *approach* signal was sent by Train, then at some point in the future, within 100 second, the signal *lower* will be sent by Controller.

The following formulas can be checked not to hold on the faulty RCS.

$$K_{Train}(\mathbf{p} \rightarrow AF_{[0,200]}\mathbf{r}) \quad (13)$$

$$AG_{[0,\infty]}K_{Train}(\neg\mathbf{crash}) \quad (14)$$

$$AG_{[0,\infty]}K_{Train}(\mathbf{p} \rightarrow AF_{[0,100]}\mathbf{s}) \quad (15)$$

Formula (13) states that agent Train knows that, if it sends the *approach* signal, then at some point in the future, within 200 second, Gate will be down. Formula (14) states that always in the future agent Train knows that under no circumstances there will be a situation where Train is on the cross and Gate is open. Formula (15) states that always in the future agent Train knows that, if it sends the *approach* signal, then at some point in the future, within 100 second, the signal *lower* will be sent by Controller.

## 5 Conclusions

In the paper we have proposed TCTLKD, a real time logic for knowledge and correctness. TCTLKD is a fusion of three well known logics: TCTL for real time [34], S5 for knowledge [18], and KD45<sup>i-j</sup> for the correctness dimension [24].

Previous attempts of combinations of real time and knowledge have included [37, 38, 39]. In [37] a technique for determining the temporal validity of shared data in real-time distributed systems is proposed. The approach is based on a language consisting of Boolean, epistemic, dynamic, and real-time temporal operators, but the semantics for these is not defined. In [38] a fusion of the branching time temporal logic (CTL) and the standard epistemic logic is presented. The semantics of the logic is given over an interpreted system defined like in [18] with the difference of using runs defined from real numbers. This language is used to establish sound and complete termination conditions for motion planning of robots, given initial and goal states. [39] presents a framework for knowledge-based analysis of clocks synchronisation in systems with real-time constraints. In that work a relation of timed precedence as a generalisation of previous work by Laport is defined, and it is shown how (inherent) knowledge about timed precedences can be applied to synchronise clocks optimally. Like in [38], the semantics consists of runs that are functions over real time. The epistemic relations defined in this work assume that agents have perfect recall.

Our paper differs from the approaches above by considering quantitative temporal operators such as  $EF_{[0,10]}$  (meaning “possibly within 10 time units”),

rather than qualitative operators EF (meaning “possibly in the future”, but with no bound), and by not forcing the agents to have perfect recall. In addition, the logic TCTLKD also incorporates a notion of correctness of execution with respect to specifications, a concept not tackled in previous works, and associates a set of clocks to every agent not just to the system as a whole. While the satisfiability problem for TCTLKD is undecidable, the TCTLKD model checking problem, i.e., the problem of validity in a given model, is decidable. Given this, it seems worthwhile to develop model checking methods for TCTLKD in the same fashion to what has been pursued for the same modalities but on discrete time [42]. In fact, a preliminary version of the TCTLK<sup>4</sup> bounded model checking method is presented in [40, 41].

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<sup>4</sup> The TCTLK logic is like TCTLKD but it does not contain the correct functioning operator, i.e. the operator  $\mathcal{O}_i$  for  $i \in \mathcal{AG}$ .



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# Dynamic Logic for Plan Revision in Intelligent Agents

M. Birna van Rie <sup>1</sup>, Frank S. de Boer<sup>1,2,3</sup>, and John-Jules Ch. Meyer<sup>1</sup>

<sup>1</sup> ICS, Utrecht University, The Netherlands

<sup>2</sup> CWI, Amsterdam, The Netherlands

<sup>3</sup> LIACS, Leiden University, The Netherlands

**Abstract.** In this paper, we present a dynamic logic for a propositional version of the agent programming language 3APL. A 3APL agent has beliefs and a plan. The execution of a plan changes an agent's beliefs. Plans can be revised during execution. Due to these plan revision capabilities of 3APL agents, plans cannot be analyzed by structural induction as in for example standard propositional dynamic logic. We propose a dynamic logic that is tailored to handle the plan revision aspect of 3APL. For this logic, we give a sound and complete axiomatization.

## 1 Introduction

An agent is commonly seen as an encapsulated computer system that is situated in some environment and that is capable of flexible, autonomous action in that environment in order to meet its design objectives [1]. Programming these flexible computing entities is not a trivial task. An important line of research in this area, is research on *cognitive* agents. These are agents endowed with high-level mental attitudes such as beliefs, desires, goals, plans, intentions, norms and obligations. Intelligent cognitive agents should be able to reason with these mental attitudes in order to exhibit the desired flexible problem solving behavior.

The very concept of (cognitive) agents is thus a complex one. It is imperative that programmed agents be able to precise and formal specification and verification, at least for some critical applications. This is recognized by (potential) applicators of agent technology such as NASA, which organizes specialized workshops on the subject of formal specification and verification of agents [2, 3].

In this paper, we are concerned with the verification of agents programmed in (a simplified version of) the cognitive agent programming language *3APL*<sup>1</sup> [4, 5, 6]. This language is based on theoretical research on cognitive notions [7, 8, 9, 10]. In the latest version [6], a 3APL agent has a set of beliefs, a plan and a set of goals. The idea is, that an agent tries to fulfill its goals by selecting appropriate plans, depending on its beliefs about the world. Beliefs should thus represent the world or environment of the agent; the goals represent the state of

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<sup>1</sup> 3APL is to be pronounced as “triple-a-p-l”.

the world the agent wants to realize and plans are the means to achieve these goals.

As explained, cognitive agent programming languages are designed to program flexible behavior using high-level mental attitudes. In the various languages, these attitudes are handled in different ways. An important aspect of 3APL is the way in which plans are dealt with. A plan in 3APL can be executed, resulting in a change of the beliefs of the agent<sup>2</sup>. Now, in order to increase the possible flexibility of agents, 3APL [4] was endowed with a mechanism with which the programmer can program agents that can *revise* their plans during execution of the agent. This is a distinguishing feature of 3APL compared to other agent programming languages and architectures [11, 12, 13, 14]. The idea is, that an agent should not blindly execute an adopted plan, but it should be able to revise it under certain conditions. As this paper focusses on the plan revision aspect of 3APL, we consider a version of the language with only beliefs and plans, i.e., without goals. We will use a propositional and otherwise slightly simplified variant of the original 3APL language as defined in [4].

In 3APL, the plan revision capabilities can be programmed through plan revision rules. These rules consist of a head and a body, both representing a plan. A plan is basically a sequence of so-called basic actions. These actions can be executed. The idea is, informally, that an agent can apply a rule if it has a plan corresponding to the head of this rule, resulting in the replacement of this plan by the plan in the body of the rule. The introduction of these capabilities now gives rise to interesting issues concerning the characteristics of plan execution, as will become clear in the sequel. This has implications for reasoning about the result of plan execution and therefore for the formal verification of 3APL agents, which we are concerned with in this paper.

To be more specific, after defining (a simplified version of) 3APL and its semantics (section 2), we propose a dynamic logic for proving properties of 3APL plans in the context of plan revision rules (section 3). For this logic, we provide a sound and complete axiomatization (section 4).

As for related work, verification of agents programmed in an agent programming language has for example been addressed in [15]. This paper addresses model checking of the agent programming language AgentSpeak. A sketch of a dynamic logic to reason about 3APL agents has been given in [5]. This logic however is designed to reason about a 3APL interpreter or deliberation language, whereas in this paper we take a different viewpoint and reason about plans. In [16], a programming logic (without axiomatization) was given for a fragment of 3APL without plan revision rules. Further, the operational semantics of plan revision rules is similar to that of procedures in procedural programming. In fact, plan revision rules can be viewed as an extension of procedures. Logics and semantics for procedural languages are for example studied in De Bakker [17]. Although the operational semantics of procedures and plan revision rules are similar, techniques for reasoning about procedures cannot be used for plan

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<sup>2</sup> A change in the environment is a possible “side effect” of the execution of a plan.

revision rules. This is due to the fact that the introduction of these rules results in the semantics of the sequential composition operator no longer being compositional (see section 3). This issue has also been considered from a semantic perspective in [18, 19]. In [20], a framework for planning in dynamic environments is presented in a logic programming setting. The approach is based on hierarchical task network planning. The motivation for that work is similar to the motivation for the introduction of plan revision rules.

To the best of our knowledge, this is the first attempt to design a logic and deductive system for plan revision rules or similar language constructs. Considering the semantic difficulties that arise with the introduction of this type of construct, it is not a priori obvious that it would be possible at all to design a deductive system to reason about these constructs. The main aim of this work was thus to investigate whether it is possible to define such a system and in this way also to get a better theoretical understanding of the construct of plan revision rules. Whether the system presented in this paper is also practically useful to verify 3APL agents, remains to be seen and will be subject to further research.

## 2 3APL

### 2.1 Syntax

Below, we define belief bases and plans. A belief base is a set of propositional formulas. A plan is a sequence of basic actions and abstract plans. Basic actions can be executed, resulting in a change to the beliefs of the agent. An abstract plan can, in contrast with basic actions, not be executed directly in the sense that it updates the belief base of an agent. Abstract plans serve as an abstraction mechanism like procedures in procedural programming. If a plan consists of an abstract plan, this abstract plan could be transformed into basic actions through the application of plan revision rules, which will be introduced below<sup>3</sup>.

In the sequel, a language defined by inclusion shall be the smallest language containing the specified elements.

**Definition 1.** (*belief bases*) Assume a propositional language  $\mathcal{L}$  with typical formula  $q$  and the connectives  $\wedge$  and  $\neg$  with the usual meaning. Then the set of belief bases  $\Sigma$  with typical element  $\sigma$  is defined to be  $\wp(\mathcal{L})$ .<sup>4</sup>

**Definition 2.** (*plans*) Assume that a set **BasicAction** with typical element  $a$  is given, together with a set **AbstractPlan** with typical element  $p$ . Then the set of plans  $\Pi$  with typical element  $\pi$  is defined as follows:

- $\text{BasicAction} \cup \text{AbstractPlan} \subseteq \Pi$ ,
- if  $c \in (\text{BasicAction} \cup \text{AbstractPlan})$  and  $\pi \in \Pi$  then  $c ; \pi \in \Pi$ .

<sup>3</sup> Abstract plans could also be modelled as non-executable basic actions.

<sup>4</sup>  $\wp(\mathcal{L})$  denotes the powerset of  $\mathcal{L}$ .

Basic actions and abstract plans are called atomic plans and are typically denoted by  $c$ . For technical convenience, plans are defined to have a list structure, which means strictly speaking, that we can only use the sequential composition operator to concatenate an atomic plan and a plan, rather than concatenating two arbitrary plans. In the following, we will however also use the sequential composition operator to concatenate arbitrary plans  $\pi_1$  and  $\pi_2$  yielding  $\pi_1; \pi_2$ . The operator should in this case be read as a function taking two plans that have a list structure and yielding a new plan that also has this structure. The plan  $\pi_1$  will thus be the prefix of the resulting plan.

We use  $\epsilon$  to denote the empty plan, which is an empty list. The concatenation of a plan  $\pi$  and the empty list is equal to  $\pi$ , i.e.,  $\epsilon; \pi$  and  $\pi; \epsilon$  are identified with  $\pi$ .

A plan and a belief base can together constitute a so-called configuration. During computation or execution of the agent, the elements in a configuration can change.

**Definition 3.** (*configuration*) Let  $\Sigma$  be the set of belief bases and let  $\Pi$  be the set of plans. Then  $\Pi \times \Sigma$  is the set of configurations of a 3APL agent.

Plan revision rules consist of a head  $\pi_h$  and a body  $\pi_b$ . Informally, an agent that has a plan  $\pi_h$ , can replace this plan by  $\pi_b$  when applying a plan revision rule of this form.

**Definition 4.** (*plan revision (PR) rules*) The set of PR rules  $\mathcal{R}$  is defined as follows:  $\mathcal{R} = \{\pi_h \rightsquigarrow \pi_b \mid \pi_h, \pi_b \in \Pi, \pi_h \neq \epsilon\}$ .<sup>5</sup>

Take for example a plan  $a; b$  where  $a$  and  $b$  are basic actions, and a PR rule  $a; b \rightsquigarrow c$ . The agent can then either execute the actions  $a$  and  $b$  one after the other, or it can apply the PR rule yielding a new plan  $c$ , which can in turn be executed. A plan  $p$  consisting of an abstract plan cannot be executed, but can only be transformed using a procedure-like PR rule such as  $p \rightsquigarrow a$ .

Below, we provide the definition of a 3APL agent. The function  $\mathcal{T}$ , taking a basic action and a belief base and yielding a new belief base, is used to define how belief bases are updated when a basic action is executed.

**Definition 5.** (*3APL agent*) A 3APL agent  $\mathcal{A}$  is a tuple  $\langle \text{Rule}, \mathcal{T} \rangle$  where  $\text{Rule} \subseteq \mathcal{R}$  is a finite set of PR rules and  $\mathcal{T} : (\text{BasicAction} \times \sigma) \rightarrow \sigma$  is a partial function, expressing how belief bases are updated through basic action execution.

## 2.2 Semantics

The semantics of a programming language can be defined as a function taking a state and a state, and yielding the set of states resulting from executing the

<sup>5</sup> In [4], PR rules were defined to have a guard, i.e., rules were of the form  $\pi_h \mid \phi \rightsquigarrow \pi_b$ . For a rule to be applicable, the guard should then hold. For technical convenience and because we want to focus on the plan revision aspect of these rules, we however leave out the guard in this paper. The results could be extended for rules with a guard.

initial state ent in the initial state. In this way, a state ent can be viewed as a transformation function on states. In 3APL, plans can be seen as state ents and belief bases as states on which these plans operate. There are various ways of defining a semantic function and in this paper we are concerned with the so-called *operational* semantics (see for example De Bakker [17] for details on this subject).

The operational semantics of a language is usually defined using transition systems [21]. A transition system for a programming language consists of a set of axioms and derivation rules for deriving transitions for this language. A transition is a transformation of one configuration into another and it corresponds to a single computation step. Let  $\mathcal{A} = \langle \text{Rule}, \mathcal{T} \rangle$  be a 3APL agent and let **BasicAction** be a set of basic actions. Below, we give the transition system  $\text{Trans}_{\mathcal{A}}$  for our simplified 3APL language, which is based on the system given in [4]. This transition system is specific to agent  $\mathcal{A}$ .

There are two kinds of transitions, i.e., transitions describing the execution of basic actions and those describing the application of a plan revision rule. The transitions are labelled to denote the kind of transition. A basic action at the head of a plan can be executed in a configuration if the function  $\mathcal{T}$  is defined for this action and the belief base in the configuration. The execution results in a change of belief base as specified through  $\mathcal{T}$  and the action is removed from the plan.

**Definition 6.** (*action execution*) Let  $a \in \text{BasicAction}$ .

$$\frac{\mathcal{T}(a, \sigma) = \sigma'}{\langle a; \pi, \sigma \rangle \rightarrow_{exec} \langle \pi, \sigma' \rangle}$$

A plan revision rule can be applied in a configuration if the head of the rule is equal to a prefix of the plan in the configuration. The application of the rule results in the revision of the plan, such that the prefix equal to the head of the rule is replaced by the plan in the body of the rule. A rule  $a; b \rightsquigarrow c$  can for example be applied to the plan  $a; b; c$ , yielding the plan  $c; c$ . The belief base is not changed through plan revision.

**Definition 7.** (*rule application*) Let  $\rho : \pi_h \rightsquigarrow \pi_b \in \text{Rule}$ .

$$\langle \pi_h; \pi, \sigma \rangle \rightarrow_{app} \langle \pi_b; \pi, \sigma \rangle$$

In the sequel, it will be useful to have a function taking a PR rule and a plan, and yielding the plan resulting from the application of the rule to this given plan. Based on this function, we also define a function taking a set of PR rules and a plan and yielding the set of rules applicable to this plan.

**Definition 8.** (*rule application*) Let  $\mathcal{R}$  be the set of PR rules and let  $\Pi$  be the set of plans. Let  $\rho : \pi_h \rightsquigarrow \pi_b \in \mathcal{R}$  and  $\pi, \pi' \in \Pi$ . The partial function  $apply : (\mathcal{R} \times \Pi) \rightarrow \Pi$  is then defined as follows.

$$apply(\rho)(\pi) = \begin{cases} \pi_b; \pi' & \text{if } \pi = \pi_h; \pi', \\ \text{undefined} & \text{otherwise.} \end{cases}$$

The function  $applicable : (\wp(\mathcal{R}) \times \Pi) \rightarrow \wp(\mathcal{R})$  yielding the set of rules applicable to a certain plan, is then as follows:  $applicable(\text{Rule}, \pi) = \{\rho \in \text{Rule} \mid apply(\rho)(\pi) \text{ is defined}\}$ .

Using the transition system, individual transitions can be derived for a 3APL agent. These transitions can be put in sequel, yielding transition sequences. From a transition sequence, one can obtain a *computation sequence* by removing the plan component of all configurations occurring in the transition sequence. In the following definitions, we formally define computation sequences and we specify the function yielding these sequences, given an initial configuration.

**Definition 9.** (*computation sequences*) The set  $\Sigma^+$  of finite computation sequences is defined as  $\{\sigma_1, \dots, \sigma_i, \dots, \sigma_n \mid \sigma_i \in \Sigma, 1 \leq i \leq n, n \in \mathbb{N}\}$ .

**Definition 10.** (*function for calculating computation sequences*) Let  $x_i \in \{exec, app\}$  for  $1 \leq i \leq m$ . The function  $\mathcal{C}^A : (\Pi \times \Sigma) \rightarrow \wp(\Sigma^+)$  is then as defined below.

$$\mathcal{C}^A(\pi, \sigma) = \{\sigma, \dots, \sigma_m \in \Sigma^+ \mid \theta = \langle \pi, \sigma \rangle \rightarrow_{x_1} \dots \rightarrow_{x_m} \langle \epsilon, \sigma_m \rangle$$

is a finite sequence of transitions in  $\text{Trans}_{\mathcal{A}}\}$ .

Note that we only take into account successfully terminating transition sequences, i.e., those sequences ending in a configuration with an empty plan. Using the function defined above, we can now define the operational semantics of 3APL.

**Definition 11.** (*operational semantics*) Let  $\kappa : \wp(\Sigma^+) \rightarrow \wp(\Sigma)$  be a function yielding the last elements of a set of finite computation sequences, which is defined as follows:  $\kappa(\Delta) = \{\sigma_n \mid \sigma_1, \dots, \sigma_n \in \Delta\}$ . The operational semantic function  $\mathcal{O}^A : \Pi \rightarrow (\Sigma \rightarrow \wp(\Sigma))$  is defined as follows:

$$\mathcal{O}^A(\pi)(\sigma) = \kappa(\mathcal{C}^A(\pi, \sigma)).$$

We will sometimes omit the superscript  $\mathcal{A}$  from functions as defined above, for reasons of presentation. The example below is used to explain the definition of the operational semantics.

*Example 1.* Let  $\mathcal{A}$  be an agent with PR rules  $\{p; a \rightsquigarrow b, p \rightsquigarrow c\}$ , where  $p$  is an abstract plan and  $a, b, c$  are basic actions. Let  $\sigma_a$  be the belief base resulting from the execution of  $a$  in  $\sigma$ , i.e.,  $\mathcal{T}(a, \sigma) = \sigma_a$ , let be  $\sigma_{ab}$  the belief resulting from executing first  $a$  and then  $b$  in  $\sigma$ , etc.

Then  $\mathcal{C}^A(p; a)(\sigma) = \{(\sigma, \sigma, \sigma_b), (\sigma, \sigma, \sigma_c, \sigma_{ca})\}$ , which is based on the transition sequences  $\langle p; a, \sigma \rangle \rightarrow_{app} \langle b, \sigma \rangle \rightarrow_{exec} \langle \epsilon, \sigma_b \rangle$  and  $\langle p; a, \sigma \rangle \rightarrow_{app} \langle c; a, \sigma \rangle \rightarrow_{exec} \langle a, \sigma_c \rangle \rightarrow_{exec} \langle \epsilon, \sigma_{ca} \rangle$ . We thus have that  $\mathcal{O}^A(p; a)(\sigma) = \{\sigma_b, \sigma_{ca}\}$ .



### 3 Dynamic Logic

In programming language research, an important area is the specification and verification of programs. Program logics are designed to facilitate this process. One such logic is dynamic logic [22, 23], with which we are concerned in this paper. In dynamic logic, programs are explicit syntactic constructs in the logic. To be able to discuss the effect of the execution of a program  $\pi$  on the truth of a formula  $\phi$ , the modal construct  $[\pi]\phi$  is used. This construct intuitively states that in all states in which  $\pi$  halts, the formula  $\phi$  holds.

Programs in general are constructed from atomic programs and composition operators. An example of a composition operator is the sequential composition operator  $(;)$ , where the program  $\pi_1; \pi_2$  intuitively means that  $\pi_1$  is executed first, followed by the execution of  $\pi_2$ . The semantics of such a compound program can in general be determined by the semantics of the parts of which it is composed. This compositionality property allows analysis by structural induction (see also [24]), i.e., analysis of a compound state *ent* by analysis of its parts. Analysis of the sequential composition operator by structural induction can in dynamic logic be expressed by the following formula, which is usually a validity:  $[\pi_1; \pi_2]\phi \leftrightarrow [\pi_1][\pi_2]\phi$ . For 3APL plans on the contrary, this formula does not always hold. This is due to the presence of PR rules.

We will informally explain this using the 3APL agent of example 1. As explained, the operational semantics of this agent, given initial plan  $p; a$  and initial state  $\sigma$ , is as follows:  $\mathcal{O}(p; a)(\sigma) = \{\sigma_b, \sigma_{ca}\}$ . Now compare the result of first “executing”<sup>6</sup>  $p$  in  $\sigma$  and then executing  $a$  in the resulting belief base, i.e., compare the set  $\mathcal{O}(a)(\mathcal{O}(p)(\sigma))$ . In this case, there is only one successfully terminating transition sequence and it ends in  $\sigma_{ca}$ , i.e.,  $\mathcal{O}(a)(\mathcal{O}(p)(\sigma)) = \{\sigma_{ca}\}$ . Now, if it would be the case that  $\sigma_{ca} \models \phi$  but  $\sigma_b \not\models \phi$ , the formula  $[p; a]\phi \leftrightarrow [p][a]\phi$  would not hold<sup>7</sup>.

Analysis of plans by structural induction in this way thus does not work for 3APL. In order to be able to prove correctness properties of 3APL programs however, one can perhaps imagine that it is important to have *some* kind of induction. As we will show in the sequel, the kind of induction that can be used to reason about 3APL programs, is induction on the *number of PR rule applications in a transition sequence*. We will introduce a dynamic logic for 3APL based on this idea.

#### 3.1 Syntax

In order to be able to do induction on the number of PR rule applications in a transition sequence, we introduce so-called *restricted plans*. These are plans,

<sup>6</sup> We will use the word “execution” in two ways. Firstly, as in this context, we will use it to denote the execution of an arbitrary plan in the sense of going through several transition of type *exec* or *app*, starting in a configuration with this plan and resulting in some final configurations. Secondly, we will use it to refer to the execution of a basic action in the sense of going through a transition of type *exec*.

<sup>7</sup> In particular, the implication would not hold from right to left.

annotated with a natural number<sup>8</sup>. Informally, if the restriction parameter of a plan is  $n$ , the number of rule applications during execution of this plan cannot exceed  $n$ .

**Definition 12.** (*restricted plans*) Let  $\Pi$  be the language of plans and let  $\mathbb{N}^- = \mathbb{N} \cup \{-1\}$ . Then, the language  $\Pi_r$  of restricted plans is defined as  $\{\pi \upharpoonright_n \mid \pi \in \Pi, n \in \mathbb{N}^-\}$ .

Below, we define the language of dynamic logic in which properties of 3APL agents can be expressed. In the logic, one can express properties of restricted plans. As will become clear in the sequel, one can prove properties of the plan of a 3APL agent by proving properties of restricted plans.

**Definition 13.** (*plan revision dynamic logic (PRDL)*) Let  $\pi \upharpoonright_n \in \Pi_r$  be a restricted plan. Then the language of dynamic logic  $\mathcal{L}_{\text{PRDL}}$  with typical element  $\phi$  is defined as follows:

- $\mathcal{L} \subseteq \mathcal{L}_{\text{PRDL}}$ ,
- if  $\phi \in \mathcal{L}_{\text{PRDL}}$ , then  $[\pi \upharpoonright_n]\phi \in \mathcal{L}_{\text{PRDL}}$ ,
- if  $\phi, \phi' \in \mathcal{L}_{\text{PRDL}}$ , then  $\neg\phi \in \mathcal{L}_{\text{PRDL}}$  and  $\phi \wedge \phi' \in \mathcal{L}_{\text{PRDL}}$ .

### 3.2 Semantics

In order to define the semantics of PRDL, we first define the semantics of restricted plans. As for ordinary plans, we also define an operational semantics for restricted plans. We do this by defining a function for calculating computation sequences, given an initial restricted plan and a belief base.

**Definition 14.** (*function for calculating computation sequences*) Let  $x_i \in \{\text{exec}, \text{app}\}$  for  $1 \leq i \leq m$ . Let  $N_{\text{app}}(\theta)$  be a function yielding the number of transitions of the form  $s_i \rightarrow_{\text{app}} s_{i+1}$  in the sequence of transitions  $\theta$ . The function  $\mathcal{C}_r^A : (\Pi_r \times \Sigma) \rightarrow \wp(\Sigma^+)$  is then as defined below.

$$\mathcal{C}_r^A(\pi \upharpoonright_n, \sigma) = \{\sigma, \dots, \sigma_m \in \Sigma^+ \mid \theta = \langle \pi, \sigma \rangle \rightarrow_{x_1} \dots \rightarrow_{x_m} \langle \epsilon, \sigma_m \rangle$$

is a finite sequence of transitions in  $\text{Trans}_{\mathcal{A}}$  where  $0 \leq N_{\text{app}}(\theta) \leq n\}$

As one can see in the definition above, the computation sequences  $\mathcal{C}_r^A(\pi \upharpoonright_n, \sigma)$  are based on transition sequences starting in configuration  $\langle \pi, \sigma \rangle$ . The number of rule applications in these transition sequences should be between 0 and  $n$ , in contrast with the function  $\mathcal{C}^A$  of definition 10, in which there is no restriction on this number.

Based on the function  $\mathcal{C}_r^A$ , we define the operational semantics of restricted plans by taking the last elements of the computation sequences yielded by  $\mathcal{C}_r^A$ . The set of belief bases is empty if the restriction parameter is equal to  $-1$ .

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<sup>8</sup> Or with the number  $-1$ . The number  $-1$  is introduced for technical convenience and it will become clear in the sequel why we need this.

**Definition 15.** (*operational semantics*) Let  $\kappa$  be as in definition 11. The operational semantic function  $\mathcal{O}_r^{\mathcal{A}} : \Pi_r \rightarrow (\Sigma \rightarrow \wp(\Sigma))$  is defined as follows:

$$\mathcal{O}_r^{\mathcal{A}}(\pi \upharpoonright_n)(\sigma) = \begin{cases} \kappa(\mathcal{O}_r^{\mathcal{A}}(\pi \upharpoonright_n, \sigma)) & \text{if } n \geq 0, \\ \emptyset & \text{if } n = -1. \end{cases}$$

In the following proposition, we relate the operational semantics of plans and the operational semantics of restricted plans.

**Proposition 1.**

$$\bigcup_{n \in \mathbb{N}} \mathcal{O}_r(\pi \upharpoonright_n)(\sigma) = \mathcal{O}(\pi)(\sigma)$$

*Proof.* Immediate from definitions 15, 14, 11 and 10.

Using the operational semantics of restricted plans, we can now define the semantics of the dynamic logic.

**Definition 16.** (*semantics of PRDL*) Let  $q \in \mathcal{L}$  be a propositional formula, let  $\phi, \phi' \in \mathcal{L}_{\text{PRDL}}$  and let  $\models_{\mathcal{L}}$  be the entailment relation defined for  $\mathcal{L}$  as usual. The semantics  $\models_{\mathcal{A}}$  of  $\mathcal{L}_{\text{PRDL}}$  is then as defined below.

$$\begin{aligned} \sigma \models_{\mathcal{A}} q &\iff \sigma \models_{\mathcal{L}} q \\ \sigma \models_{\mathcal{A}} [\pi \upharpoonright_n] \phi &\iff \forall \sigma' \in \mathcal{O}_r^{\mathcal{A}}(\pi \upharpoonright_n)(\sigma) : \sigma' \models_{\mathcal{A}} \phi \\ \sigma \models_{\mathcal{A}} \neg \phi &\iff \sigma \not\models_{\mathcal{A}} \phi \\ \sigma \models_{\mathcal{A}} \phi \wedge \phi' &\iff \sigma \models_{\mathcal{A}} \phi \text{ and } \sigma \models_{\mathcal{A}} \phi' \end{aligned}$$

As  $\mathcal{O}_r^{\mathcal{A}}$  is defined in terms of agent  $\mathcal{A}$ , so is the semantics of  $\mathcal{L}_{\text{PRDL}}$ . We use the subscript  $\mathcal{A}$  to indicate this. Let  $\text{Rule} \subseteq \mathcal{R}$  be a finite set of PR rules. If  $\forall T, \sigma : \sigma \models_{\langle \text{Rule}, T \rangle} \phi$ , we write  $\models_{\text{Rule}} \phi$ .

In the dynamic logic PRDL, one can express properties of restricted plans, rather than of ordinary 3APL plans. The operational semantics of ordinary plans  $\mathcal{O}$  and of restricted plans  $\mathcal{O}_r$  are however related (proposition 1). As the semantics of the construct  $[\pi \upharpoonright_n]\sigma$  is defined in terms of  $\mathcal{O}_r$ , we can use this construct to specify properties of 3APL plans, as shown by the following corollary.

**Corollary 1.**

$$\forall n \in \mathbb{N} : \sigma \models_{\mathcal{A}} [\pi \upharpoonright_n] \phi \iff \forall \sigma' \in \mathcal{O}^{\mathcal{A}}(\pi)(\sigma) : \sigma' \models_{\mathcal{A}} \phi$$

*Proof.* Immediate from proposition 1 and definition 16.

## 4 The Axiom System

In order to prove properties of restricted plans, we propose a deductive system for PRDL in this section. Rather than proving properties of restricted plans, the aim is however to prove properties of 3APL plans. We thus want to prove properties of the form  $\forall n \in \mathbb{N} : [\pi \upharpoonright_n] \phi$ , as these are directly related to 3APL by corollary 1. The idea now is, that these properties can be proven by induction on  $n$ . We will explain this in more detail after introducing the axiom system for restricted plans.

**Definition 17.** (*axiom system* ( $AS_{\text{Rule}}$ )) Let  $\text{BasicAction}$  be a set of basic actions,  $\text{AbstractPlan}$  be a set of abstract plans and  $\text{Rule} \subseteq \mathcal{R}$  be a finite set of PR rules. Let  $a \in \text{BasicAction}$ , let  $p \in \text{AbstractPlan}$ , let  $c \in (\text{BasicAction} \cup \text{AbstractPlan})$  and let  $\rho$  range over  $\text{applicable}(\text{Rule}, c; \pi)$ . The following are then the axioms of the system  $AS_{\text{Rule}}$ .

- (PRDL1)  $[\pi \upharpoonright_{-1}] \phi$   
 (PRDL2)  $[p \upharpoonright_0] \phi$   
 (PRDL3)  $[\epsilon \upharpoonright_n] \phi \leftrightarrow \phi$  if  $0 \leq n$   
 (PRDL4)  $[c; \pi \upharpoonright_n] \phi \leftrightarrow [c \upharpoonright_0][\pi \upharpoonright_n] \phi \wedge \bigwedge_{\rho} [\text{apply}(\rho, c; \pi) \upharpoonright_{n-1}] \phi$  if  $0 \leq n$
- (PL) axioms for propositional logic  
 (PDL)  $[\pi \upharpoonright_n](\phi \rightarrow \phi') \rightarrow ([\pi \upharpoonright_n] \phi \rightarrow [\pi \upharpoonright_n] \phi')$

The following are the rules of the system  $AS_{\text{Rule}}$ .

(GEN)

$$\frac{\phi}{[\pi \upharpoonright_n] \phi}$$

(MP)

$$\frac{\phi_1, \phi_1 \rightarrow \phi_2}{\phi_2}$$

As the axiom system is relative to a given set of PR rules  $\text{Rule}$ , we will use the notation  $\vdash_{\text{Rule}} \phi$  to specify that  $\phi$  is derivable in the system  $AS_{\text{Rule}}$  above.

The idea is that properties of the form  $\forall n \in \mathbb{N} : \vdash_{\text{Rule}} [\pi \upharpoonright_n] \phi$  can be proven by induction on  $n$  as follows. If we can prove  $[\pi \upharpoonright_0] \phi$  and  $\forall n \in \mathbb{N} : ([\pi \upharpoonright_n] \phi \vdash_{\text{Rule}} [\pi \upharpoonright_{n+1}] \phi)$ , we can conclude the desired property. These premises should be proven using the axiom system above. Consider for example an agent with a PR rule  $a \rightsquigarrow a; a$  and assume that  $\mathcal{T}$  is defined such that  $[a \upharpoonright_0] \phi$ . One can then prove  $\forall n : [a \upharpoonright_n] \phi$  by proving  $[a \upharpoonright_n] \phi \vdash_{\text{Rule}} [a \upharpoonright_{n+1}] \phi$ , for arbitrary  $n$ .

We will now explain the PRDL axioms of the system. The other axioms and the rules are standard for propositional dynamic logic (PDL) [22]. We start by explaining the most interesting axiom: (PRDL4). We first observe that there are two types of transitions that can be derived for a 3APL agent: action execution and rule application (see definitions 6 and 7). Consider a configuration  $\langle a; \pi, \sigma \rangle$  where  $a$  is a basic action. Then during computation, possible next configurations are  $\langle \pi, \sigma' \rangle^9$  (action execution) and  $\langle \text{apply}(\rho, a; \pi), \sigma \rangle$  (rule application) where  $\rho$  ranges over the applicable rules, i.e.,  $\text{applicable}(\text{Rule}, a; \pi)^{10}$ . We can thus analyze the plan  $a; \pi$  by analyzing  $\pi$  after the execution of  $a$ , and the plans resulting from applying a rule, i.e.,  $\text{apply}(\rho, a; \pi)^{11}$ . The execution of an action can be

<sup>9</sup> Assuming that  $\mathcal{T}(a, \sigma) = \sigma'$ .

<sup>10</sup> See definition 8 for the definitions of the functions  $\text{apply}$  and  $\text{applicable}$ .

<sup>11</sup> Note that one could say we analyze a plan  $a; \pi$  partly by structural induction, as it is partly analyzed in terms of  $a$  and  $\pi$ .

represented by the number 0 as restriction parameter, yielding the first term of the right-hand side of (PRDL4):  $[a]_0[\pi]_n\phi$ <sup>12</sup>. The second term is a conjunction of  $[apply(\rho, c; \pi)]_{n-1}\phi$  over all applicable rules  $\rho$ . The restriction parameter is  $n-1$  as we have “used” one of our  $n$  permitted rule applications. The first three axioms represent basic properties of restricted plans. (PRDL1) can be used to eliminate the second term on the right-hand side of axiom (PRDL4), if the left-hand side is  $[c; \pi]_0\phi$ . (PRDL2) can be used to eliminate the first term on the right-hand side of (PRDL4), if  $c$  is an abstract plan. As abstract plans can only be transformed through rule application, there will be no resulting states if the restriction parameter of the abstract plan is 0, i.e., if no rule applications are allowed. (PRDL3) states that if  $\phi$  is to hold after execution of the empty plan, it should hold “now”. It can be used to derive properties of an atomic plan  $c$ , by using axiom (PRDL4) with the plan  $c; \epsilon$ .

*Example 2.* Let  $\mathcal{A}$  be an agent with one PR rule, i.e.,  $\text{Rule} = \{a; b \rightsquigarrow c\}$  and let  $\mathcal{T}$  be such that  $[a]_0\phi$ ,  $[b]_0\phi$  and  $[c]_0\phi$ . We now want to prove that  $\forall n : [a; b]_n\phi$ . We have  $[a; b]_0\phi$  by using that this is equivalent to  $[a]_0[b]_0\phi$  by proposition 3 (section 4.1). The latter formula can be derived by applying (GEN) to  $[b]_0\phi$ . We prove  $\forall n \in \mathbb{N} : ([a; b]_n\phi \vdash_{\text{Rule}} [a; b]_{n+1}\phi)$  by taking an arbitrary  $n$  and proving that  $[a; b]_n\phi \vdash_{\text{Rule}} [a; b]_{n+1}\phi$ . Using (PRDL4) and (PRDL3), we have the following equivalences. In order to apply (PRDL4) to the conjunct  $[c]_{n-1}\phi$ ,  $n$  has to be greater than 0. This is however not a problem, as the result was proven separately for  $n = 0$ .

$$\begin{aligned} [a; b]_n\phi &\leftrightarrow [a]_0[b]_n\phi \quad \wedge [c]_{n-1}\phi \\ &\leftrightarrow [a]_0[b]_0[\epsilon]_n\phi \wedge [c]_0[\epsilon]_{n-1}\phi \\ &\leftrightarrow [a]_0[b]_0\phi \quad \wedge [c]_0\phi \end{aligned}$$

Similarly, we have the following equivalences for  $[a; b]_{n+1}\phi$ , yielding the desired result.

$$\begin{aligned} [a; b]_{n+1}\phi &\leftrightarrow [a]_0[b]_{n+1}\phi \quad \wedge [c]_n\phi \\ &\leftrightarrow [a]_0[b]_0[\epsilon]_{n+1}\phi \wedge [c]_0[\epsilon]_n\phi \\ &\leftrightarrow [a]_0[b]_0\phi \quad \wedge [c]_0\phi \end{aligned}$$

## 4.1 Soundness and Completeness

The axiom system of definition 17 is sound.

**Theorem 1.** (*soundness*) Let  $\phi \in \mathcal{L}_{\text{PRDL}}$ . Let  $\text{Rule} \subseteq \mathcal{R}$  be an arbitrary finite set of PR rules. Then the axiom system  $\text{AS}_{\text{Rule}}$  is sound, i.e.:

$$\vdash_{\text{Rule}} \phi \Rightarrow \models_{\text{Rule}} \phi.$$

*Proof.* We prove soundness of the PRDL axioms of the system  $\text{AS}_{\text{Rule}}$ .

(PRDL1) The proof is through observing that  $\mathcal{O}_r(\pi]_{-1})(\sigma) = \emptyset$  by definition 15.

<sup>12</sup> In our explanation, we consider the case where  $c$  is a basic action, but the axiom holds also for abstract plans.

(PRDL2) The proof is analogous to the proof of axio (PRDL1), with  $p$  for  $\pi$  and 0 for  $-1$  and using definition 6 to derive that  $\mathcal{O}_r^A(p|_0)(\sigma) = \emptyset$ .

(PRDL3) The proof is through observing that  $\kappa(\mathcal{C}_r(\epsilon|_n, \sigma)) = \{\sigma\}$  by definition 14.

(PRDL4) Let  $\pi \in \Pi$  be an arbitrary plan and  $\phi \in \mathcal{L}_{\text{PRDL}}$  be an arbitrary PRDL formula.

To prove:  $\forall \mathcal{T}, \sigma : \sigma \models_{\langle \text{Rule}, \mathcal{T} \rangle} [c; \pi|_n]\phi \leftrightarrow [c|_0][\pi|_n]\phi \wedge \bigwedge_{\rho} [\text{apply}(\rho, c; \pi)|_{n-1}]\phi$ , i.e.:

$$\begin{aligned} \forall \mathcal{T}, \sigma : \sigma \models_{\langle \text{Rule}, \mathcal{T} \rangle} [c; \pi|_n]\phi &\Leftrightarrow \forall \mathcal{T}, \sigma : \sigma \models_{\langle \text{Rule}, \mathcal{T} \rangle} [c|_0][\pi|_n]\phi \text{ and} \\ &\forall \mathcal{T}, \sigma : \sigma \models_{\langle \text{Rule}, \mathcal{T} \rangle} \bigwedge_{\rho} [\text{apply}(\rho, c; \pi)|_{n-1}]\phi. \end{aligned}$$

Let  $\sigma \in \Sigma$  be an arbitrary belief base and let  $\mathcal{T}$  be an arbitrary belief update function. Assume  $c \in \text{BasicAction}$  and further assume that  $\langle c; \pi, \sigma \rangle \rightarrow_{\text{execute}} \langle \pi, \sigma_1 \rangle$  is a transition in  $\text{Trans}_{\mathcal{A}}$ , i.e.,  $\kappa(\mathcal{C}_r^A(c|_0, \sigma)) = \{\sigma_1\}$  by definition 14. Let  $\rho$  range over  $\text{applicable}(\text{Rule}, c; \pi)$ . Now, observe the following by definition 14:

$$\kappa(\mathcal{C}_r^A(c; \pi|_n, \sigma)) = \kappa(\mathcal{C}_r^A(\pi|_n, \sigma_1)) \cup \bigcup_{\rho} \kappa(\mathcal{C}_r^A(\text{apply}(\rho, c; \pi)|_{n-1}, \sigma)). \quad (1)$$

If  $c \in \text{AbstractPlan}$  or if a transition of the form  $\langle c; \pi, \sigma \rangle \rightarrow_{\text{execute}} \langle \pi, \sigma_1 \rangle$  is not derivable, the first term of the right-hand side of (1) is empty.

( $\Rightarrow$ ) Assume  $\sigma \models_{\text{Rule}} [c; \pi|_n]\phi$ , i.e., by definition 16  $\forall \sigma' \in \mathcal{O}_r^A(c; \pi|_n, \sigma) : \sigma' \models_{\text{Rule}} \phi$ , i.e., by definition 15:

$$\forall \sigma' \in \kappa(\mathcal{C}_r^A(c; \pi|_n, \sigma)) : \sigma' \models_{\text{Rule}} \phi. \quad (2)$$

To prove: (A)  $\sigma \models_{\text{Rule}} [c|_0][\pi|_n]\phi$  and (B)  $\sigma \models_{\text{Rule}} \bigwedge_{\rho} [\text{apply}(\rho, c; \pi)|_{n-1}]\phi$ .

(A) If  $c \in \text{AbstractPlan}$  or if a transition of the form  $\langle c; \pi, \sigma \rangle \rightarrow_{\text{execute}} \langle \pi, \sigma_1 \rangle$  is not derivable, the desired result follows immediately from axio (PRDL2) or an analogous proposition for non-executable basic actions. If  $c \in \text{BasicAction}$ , we have the following from definitions 16 and 15.

$$\begin{aligned} \sigma \models_{\text{Rule}} [c|_0][\pi|_n]\phi &\Leftrightarrow \forall \sigma' \in \mathcal{O}_r^A(c|_0, \sigma) : \sigma' \models_{\text{Rule}} [\pi|_n]\phi \\ &\Leftrightarrow \forall \sigma' \in \mathcal{O}_r^A(c|_0, \sigma) : \forall \sigma'' \in \mathcal{O}_r^A(\pi|_n, \sigma') : \sigma'' \models_{\text{Rule}} \phi \\ &\Leftrightarrow \forall \sigma' \in \kappa(\mathcal{C}_r^A(c|_0, \sigma)) : \forall \sigma'' \in \kappa(\mathcal{C}_r^A(\pi|_n, \sigma')) : \sigma'' \models_{\text{Rule}} \phi \\ &\Leftrightarrow \forall \sigma'' \in \kappa(\mathcal{C}_r^A(\pi|_n, \sigma_1)) : \sigma'' \models_{\text{Rule}} \phi \end{aligned} \quad (3)$$

From 1, we have that  $\kappa(\mathcal{C}_r^A(\pi|_n, \sigma_1)) \subseteq \kappa(\mathcal{C}_r^A(c; \pi|_n, \sigma))$ . From this and assumption (2), we can now conclude the desired result (3).

(B) Let  $c \in (\text{BasicAction} \cup \text{AbstractPlan})$  and let  $\rho \in \text{applicable}(\text{Rule}, c; \pi)$ . Then we want to prove  $\sigma \models_{\text{Rule}} [\text{apply}(\rho, c; \pi)|_{n-1}]\phi$ . From definitions 16 and 15, we have the following.

$$\begin{aligned} \sigma \models_{\text{Rule}} [\text{apply}(\rho, c; \pi)|_{n-1}]\phi &\Leftrightarrow \forall \sigma' \in \mathcal{O}_r^A(\text{apply}(\rho, c; \pi)|_{n-1}, \sigma) : \sigma' \models_{\text{Rule}} \phi \\ &\Leftrightarrow \forall \sigma' \in \kappa(\mathcal{C}_r^A(\text{apply}(\rho, c; \pi)|_{n-1}, \sigma)) : \sigma' \models_{\text{Rule}} \phi \end{aligned} \quad (4)$$

From 1, we have that  $\kappa(\mathcal{C}_r^A(\text{apply}(\rho, c; \pi)|_{n-1}, \sigma)) \subseteq \kappa(\mathcal{C}_r^A(c; \pi|_n, \sigma))$ . From this and assumption (2), we can now conclude the desired result (4).

( $\Leftarrow$ ) Assume  $\sigma \models_{\text{Rule}} [c|_0][\pi|_n]\phi$  and  $\sigma \models_{\text{Rule}} \bigwedge_{\rho} [\text{apply}(\rho, c; \pi)|_{n-1}]\phi$ , i.e.,  $\forall \sigma' \in \kappa(\mathcal{C}_r^A(\pi|_n, \sigma_1)) : \sigma' \models_{\text{Rule}} \phi$  (3) and  $\forall \sigma' \in \kappa(\mathcal{C}_r^A(\text{apply}(\rho, c; \pi)|_{n-1}, \sigma)) : \sigma' \models_{\text{Rule}} \phi$  (4).

To prove:  $\sigma \models_{\text{Rule}} [c; \pi|_n]\phi$ , i.e.,  $\forall \sigma' \in \kappa(\mathcal{C}_r^A(c; \pi|_n, \sigma)) : \sigma' \models_{\text{Rule}} \phi$  (2). If  $c \in \text{AbstractPlan}$  or if a transition of the form  $\langle c; \pi, \sigma \rangle \rightarrow_{\text{execute}} \langle \pi, \sigma_1 \rangle$  is not derivable, we have that  $\kappa(\mathcal{C}_r^A(c; \pi|_n, \sigma)) = \bigcup_{\rho} \kappa(\mathcal{C}_r^A(\text{apply}(\rho, c; \pi)|_{n-1}, \sigma))$  (1). From this and the assumption, we have the desired result.

If  $c \in \text{BasicAction}$  and a transition of the form  $\langle c; \pi, \sigma \rangle \rightarrow_{\text{execute}} \langle \pi, \sigma_1 \rangle$  is derivable, we have (1). From this and the assumption, we again have the desired result.

In order to prove completeness of the axiom system, we first prove proposition 2, which says that any formula from  $\mathcal{L}_{\text{PRDL}}$  can be rewritten into an equivalent formula where all restriction parameters are 0. This proposition is proven by induction on the size of formulas. The size of a formula is defined by means of the function  $\text{size} : \mathcal{L}_{\text{PRDL}} \rightarrow \mathbb{N}^3$ . This function takes a formula from  $\mathcal{L}_{\text{PRDL}}$  and yields a triple  $\langle x, y, z \rangle$ , where  $x$  roughly corresponds to the sum of the restriction parameters occurring in the formula,  $y$  roughly corresponds to the sum of the length of plans in the formula and  $z$  is the length of the formula.

**Definition 18.** (*size*) Let the following be a lexicographic ordering on tuples  $\langle x, y, z \rangle \in \mathbb{N}^3$ :

$$\begin{aligned} \langle x_1, y_1, z_1 \rangle < \langle x_2, y_2, z_2 \rangle &\text{ iff } x_1 < x_2 \text{ or} \\ &(x_1 = x_2 \text{ and } y_1 < y_2) \text{ or } (x_1 = x_2 \text{ and } y_1 = y_2 \text{ and } z_1 < z_2). \end{aligned}$$

Let  $\text{max}$  be a function yielding the maximum of two tuples from  $\mathbb{N}^3$  and let  $f$  and  $s$  respectively be functions yielding the first and second element of a tuple. Let  $l$  be a function yielding the number of symbols of a syntactic entity and let  $q \in \mathcal{L}$ . The function  $\text{size} : \mathcal{L}_{\text{PRDL}} \rightarrow \mathbb{N}^3$  is then as defined below.

$$\begin{aligned} \text{size}(q) &= \langle 0, 0, l(q) \rangle \\ \text{size}([\pi|_n]\phi) &= \begin{cases} \langle n + f(\text{size}(\phi)), l(\pi) + s(\text{size}(\phi)), l([\pi|_n]\phi) \rangle & \text{if } n > 0 \\ \langle f(\text{size}(\phi)), s(\text{size}(\phi)), l([\pi|_n]\phi) \rangle & \text{otherwise} \end{cases} \\ \text{size}(\neg\phi) &= \langle f(\text{size}(\phi)), s(\text{size}(\phi)), l(\neg\phi) \rangle \\ \text{size}(\phi \wedge \phi') &= \langle f(\text{max}(\text{size}(\phi), \text{size}(\phi'))), s(\text{max}(\text{size}(\phi), \text{size}(\phi'))), l(\phi \wedge \phi') \rangle \end{aligned}$$

In the proof of proposition 2, we use the following lemma. The first clause specifies that the right-hand side of axiom (PRDL4) is smaller than the left-hand side. This axiom will usually be used by applying it from left to right to prove a formula such as  $[\pi|_n]\phi$ . Intuitively, the fact that the formula will get “smaller” as specified through the function  $\text{size}$ , suggests convergence of the deduction process.

**Lemma 1.** Let  $\phi \in \mathcal{L}_{\text{PRDL}}$ , let  $c \in (\text{BasicAction} \cup \text{AbstractPlan})$ , let  $\rho$  range over  $\text{applicable}(\text{Rule}, c; \pi)$  and let  $n > 0$ . The following then holds:

1.  $size([c]_0[\pi]_n)\phi \wedge \bigwedge_\rho[apply(\rho, c; \pi)]_{n-1}\phi < size([c; \pi]_n)\phi$ ,
2.  $size(\phi) < size(\phi \wedge \phi')$  and  $size(\phi') < size(\phi \wedge \phi')$ .

*Proof.* The proof is simply by applying definition 18.

**Proposition 2.** Any formula  $\phi \in \mathcal{L}_{\text{PRDL}}$  can be rewritten into an equivalent formula  $\phi_{\text{PDL}}$  where all restriction parameters are 0, i.e.:

$$\forall \phi \in \mathcal{L}_{\text{PRDL}} : \exists \phi_{\text{PDL}} \in \mathcal{L}_{\text{PRDL}} : size(\phi_{\text{PDL}}) = \langle 0, 0, l(\phi_{\text{PDL}}) \rangle \text{ and } \vdash_{\text{Rule}} \phi \leftrightarrow \phi_{\text{PDL}}.$$

*Proof.* The fact that a formula  $\phi$  has the property that it can be rewritten as specified in the proposition, will be denoted by  $\text{PDL}(\phi)$  for reasons that will become clear in the sequel. The proof is by induction on  $size(\phi)$ .

–  $\phi \equiv q$   
 $size(q) = \langle 0, 0, l(q) \rangle$  and let  $q_{\text{PDL}} = q$ , then  $\text{PDL}(q)$ .

–  $\phi \equiv [\pi]_n\phi'$

If  $n = -1$ , we have that  $[\pi]_n\phi'$  is equivalent with  $\top$  (PRDL1). As  $\text{PDL}(\top)$ , we also have  $\text{PDL}([\pi]_n\phi')$  in this case.

Let  $n = 0$ . We then have that  $size([\pi]_n\phi') = \langle f(size(\phi')), s(size(\phi')), l([\pi]_n\phi') \rangle$  is greater than  $size(\phi') = \langle f(size(\phi')), s(size(\phi')), l(\phi') \rangle$ . By induction, we then have  $\text{PDL}(\phi')$ , i.e.,  $\phi'$  can be rewritten into an equivalent formula  $\phi'_{\text{PDL}}$ , such that  $size(\phi'_{\text{PDL}}) = \langle 0, 0, l(\phi'_{\text{PDL}}) \rangle$ . As  $size([\pi]_n\phi'_{\text{PDL}}) = \langle 0, 0, l([\pi]_n\phi'_{\text{PDL}}) \rangle$ , we have  $\text{PDL}([\pi]_n\phi'_{\text{PDL}})$  and therefore  $\text{PDL}([\pi]_n\phi')$ .

Let  $n > 0$ . Let  $\pi \equiv \epsilon$ . By lemma 1, we have  $size(\phi') < size([\epsilon]_n\phi')$ . Therefore, by induction,  $\text{PDL}(\phi')$ . As  $[\epsilon]_n\phi'$  is equivalent with  $\phi'$  by axiom (PRDL3), we also have  $\text{PDL}([\epsilon]_n\phi')$ . Now let  $\pi \equiv c; \pi'$  and let  $L = [c; \pi']_n\phi'$  and  $R = [c]_0[\pi']_n\phi' \wedge \bigwedge_\rho[apply(\rho, c; \pi')]_{n-1}\phi'$ . By lemma 1, we have that  $size(R) < size(L)$ . Therefore, by induction, we have  $\text{PDL}(R)$ . As  $R$  and  $L$  are equivalent by axiom (PRDL4), we also have  $\text{PDL}(L)$ , yielding the desired result.

–  $\phi \equiv \neg\phi'$

We have that  $size(\neg\phi') = \langle f(size(\phi')), s(size(\phi')), l(\neg\phi') \rangle$ , which is greater than  $size(\phi')$ . By induction, we thus have  $\text{PDL}(\phi')$  and  $size(\phi'_{\text{PDL}}) = \langle 0, 0, l(\phi'_{\text{PDL}}) \rangle$ . Then,  $size(\neg\phi'_{\text{PDL}}) = \langle 0, 0, l(\neg\phi'_{\text{PDL}}) \rangle$  and thus  $\text{PDL}(\neg\phi'_{\text{PDL}})$  and therefore  $\text{PDL}(\neg\phi')$ .

–  $\phi \equiv \phi' \wedge \phi''$

By lemma 1, we have  $size(\phi') < size(\phi' \wedge \phi'')$  and  $size(\phi'') < size(\phi' \wedge \phi'')$ . Therefore, by induction,  $\text{PDL}(\phi')$  and  $\text{PDL}(\phi'')$  and therefore  $size(\phi'_{\text{PDL}}) = \langle 0, 0, l(\phi'_{\text{PDL}}) \rangle$  and  $size(\phi''_{\text{PDL}}) = \langle 0, 0, l(\phi''_{\text{PDL}}) \rangle$ . Then,  $size(\phi'_{\text{PDL}} \wedge \phi''_{\text{PDL}}) = \langle 0, 0, l(\phi'_{\text{PDL}} \wedge \phi''_{\text{PDL}}) \rangle$  and therefore  $size((\phi' \wedge \phi'')_{\text{PDL}}) = \langle 0, 0, l((\phi' \wedge \phi'')_{\text{PDL}}) \rangle$  and we can conclude  $\text{PDL}((\phi' \wedge \phi'')_{\text{PDL}})$  and thus  $\text{PDL}(\phi' \wedge \phi'')$ .

Although structural induction is not possible for plans in general, it is possible if we only consider action execution, i.e., if the restriction parameter is 0. This is specified in the following proposition, from which we can conclude that a formula  $\phi$  with  $size(\phi) = \langle 0, 0, l(\phi) \rangle$  satisfies all standard PDL properties.



**Proposition 3.** (*sequential composition*) Let  $\text{Rule} \subseteq \mathcal{R}$  be a finite set of PR rules. The following is then derivable in the axio system  $\text{AS}_{\text{Rule}}$ .

$$\vdash_{\text{Rule}} [\pi_1; \pi_2]_0 \phi \leftrightarrow [\pi_1]_0 [\pi_2]_0 \phi$$

*Proof.* The proof is through repeated application of axio (PRDL4), first from left to right and then from right to left (also using axio (PRDL1) to eliminate the rule application part of the axio).

**Theorem 2.** (*completeness*) Let  $\phi \in \mathcal{L}_{\text{PDL}}$  and let  $\text{Rule} \subseteq \mathcal{R}$  be a finite set of PR rules. Then the axio system  $\text{AS}_{\text{Rule}}$  is complete, i.e.:

$$\models_{\text{Rule}} \phi \Rightarrow \vdash_{\text{Rule}} \phi.$$

*Proof.* Let  $\phi \in \mathcal{L}_{\text{PDL}}$ . By proposition 2 we have that a formula  $\phi_{\text{PDL}}$  exists such that  $\vdash_{\text{Rule}} \phi \leftrightarrow \phi_{\text{PDL}}$  and  $\text{size}(\phi_{\text{PDL}}) = \langle 0, 0, l(\phi_{\text{PDL}}) \rangle$  and therefore by soundness of  $\text{AS}_{\text{Rule}}$  also  $\models_{\text{Rule}} \phi \leftrightarrow \phi_{\text{PDL}}$ . Let  $\phi_{\text{PDL}}$  be a formula with these properties.

$$\begin{aligned} \models_{\text{Rule}} \phi &\Leftrightarrow \models_{\text{Rule}} \phi_{\text{PDL}} && (\models_{\text{Rule}} \phi \leftrightarrow \phi_{\text{PDL}}) \\ &\Rightarrow \vdash_{\text{Rule}} \phi_{\text{PDL}} && (\text{completeness of PDL}) \\ &\Leftrightarrow \vdash_{\text{Rule}} \phi && (\vdash_{\text{Rule}} \phi \leftrightarrow \phi_{\text{PDL}}) \end{aligned}$$

The second step in this proof needs some justification. The general idea is, that all PDL axioms and rules are applicable to a formula  $\phi_{\text{PDL}}$  and moreover, these axioms and rules are contained in our axio system  $\text{AS}_{\text{Rule}}$ . As PDL is complete, we have  $\models_{\text{Rule}} \phi_{\text{PDL}} \Rightarrow \vdash_{\text{Rule}} \phi_{\text{PDL}}$ . There are however some subtleties to be considered, as our action language is not exactly the same as the action language of PDL, nor is it a subset (at first sight).

In particular, the action language of PDL does not contain abstract plans or the empty action  $\epsilon$ . These are axiomatized in the system  $\text{AS}_{\text{Rule}}$  and the question is, how these axioms relate to the axio system for PDL. It turns out, that the semantics of  $p]_0$  and  $\epsilon]_0$  (or  $\epsilon]_n$ , for that matter) correspond respectively to the special PDL actions **fail** (no resulting states if executed) and **skip** (the identity relation). These actions are respectively defined as **0?** and **1?**. Filling in these actions in the axio for test ( $[\psi?] \phi \leftrightarrow (\psi \rightarrow \phi)$ ), we get the following, corresponding exactly with the axioms (PRDL2) and (PRDL3).

$$\begin{aligned} [0?] \phi &\leftrightarrow (\mathbf{0} \rightarrow \phi) \Leftrightarrow [0?] \phi && \Leftrightarrow [\text{fail}] \phi \\ [1?] \phi &\leftrightarrow (\mathbf{1} \rightarrow \phi) \Leftrightarrow [1?] \phi \leftrightarrow \phi && \Leftrightarrow [\text{skip}] \phi \leftrightarrow \phi \end{aligned}$$

Our axio system is complete for formulas  $\phi_{\text{PDL}}$ , because it contains the PDL axioms and rules that are applicable to these formulas, that is, the axio for sequential composition, the axioms for **fail** and **skip** as stated above, the axio for distribution of box over implication and the rules (MP) and (GEN). The axio for sequential composition is not explicitly contained in  $\text{AS}_{\text{Rule}}$ , but is derivable for formulas  $\phi_{\text{PDL}}$  by proposition 3. Axio (PRDL3), i.e., the more general version of  $[\epsilon]_0 \phi \leftrightarrow \phi$ , is needed in the proof of proposition 2, which is used elsewhere in this completeness proof.

## 5 Conclusion and Future Research

In this paper, we presented a dynamic logic for reasoning about 3APL agents, tailored to handle the plan revision aspect of the language. As we argued, 3APL plans cannot be analyzed by structural induction. Instead, we proposed a logic of restricted plans, which should be used to prove properties of 3APL plans by doing induction on the restriction parameter.

Being able to do structural induction is usually considered an essential property of programs in order to reason about them. As 3APL plans lack this property, it is not at all obvious that it should be possible to reason about them, especially using a clean logic with sound and complete axiomatization. The fact that we succeeded in providing such a logic, thus at least demonstrates this possibility.

We did some preliminary experiments in actually using the logic to prove properties of certain 3APL agents. More research is however needed to establish the practical usefulness of the logic to prove properties of 3APL agents and the possibility to do for example automated theorem proving. In this light, incorporation of interaction with an environment in the semantics is also an important issue for future research.

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# Contextual Taxonomies

Davide Grossi, Frank Dignum, and John-Jules Ch. Meyer

Utrecht University,  
The Netherlands  
{davide, dignum, jj}@cs.uu.nl

**Abstract.** We provide a formal characterization of a notion of contextual taxonomy, that is to say, a taxonomy holding only with respect to a specific context. To this aim, a new proposal for dealing with “contexts as abstract mathematical entities” is set forth, which is geared toward solving some problems arising in the area of normative system specifications for modeling multi-agent systems. Contexts are interpreted as sets of description logic models for different languages, and a number of operations on contexts are defined. Using this framework, a simple scenario taken from the legal domain is modeled, and a formal account of the so called open-texture of legal terms is provided characterizing the notions of “core” and “penumbra” of the meaning of a concept.

## 1 Introduction

The motivation of this work lies in problems stemming from the domain of normative system specifications for modeling multi-agent systems ([1, 2]). In [3, 4, 5] contexts have been advocated to play a central role in the specification of complex normative systems. The notion of context has obtained attention in AI researches since the seminal work [6], and much work has been carried out with regard to the logical analysis of this notion (see [7, 8] for an overview). With this work, we intend to pursue this research line providing a logical framework for dealing with a conception of context specifically derived from the aforementioned application domain. We nevertheless deem that the formal analysis we are going to present may give valuable insights for understanding contexts in general, also outside our specific domain of interest.

In general, the purpose of the present work is to propose a framework for grounding a new formal semantics of expressions such as: “ $A$  counts as  $B$  ([9]) in institution  $c$ ”, or “ $B$  supervenes  $A$  in institution  $c$ ” ([10]), or “ $A$  conventionally generates  $B$  in institution  $c$ ” ([11]), or “ $A$  translates (means)  $B$  in institution  $c$ ” ([5]). These expressions, known in legal theory as *constitutive rules*, will be interpreted essentially as contextualized subsumption relations establishing taxonomies which hold only with respect to a specific (institutional) context. We can refer to a notion of *contextual taxonomy* through the analysis of some well known problems of underspecification, or more technically open-texture ([12]), typical of legal terminologies. These vagueness-related issues constitute, more concretely,

the direct target of the work. We quote here an excerpt from [13] neatly exposing this type of problems.

[Suppose a] legal rule forbids you to take a vehicle into the public park. Plainly this forbids an automobile, but what about bicycles, roller skates, toy automobiles? What about airplanes? Are these, as we say, to be called “vehicles” for the purpose of the rule or not? If we are to communicate with each other at all, and if, as in the most elementary form of law, we are to express our intentions that a certain type of behavior be regulated by rules, then the general words we use like “vehicle” in the case I consider must have some standard instance in which no doubts are felt about its application. There must be a *core* of settled meaning, but there will be, as well, a *penumbra* of debatable cases in which words are neither obviously applicable nor obviously ruled out. [...] We may call the problems which arise outside the hard core of standard instances or settled meaning “problems of the penumbra”; they are always with us whether in relation to such trivial things as the regulation of the use of the public park or in relation to the multidimensional generalities of a constitution.

Given a general (regional) rule not allowing vehicles within public parks, there might be a municipality allowing bicycles in its parks, and instead another one not allowing them. What counts as a vehicle according to the first municipality, and what counts as a vehicle according to the second one then? This type of problems has been extensively approached especially from the perspective of the formalization of defeasible reasoning: the regional rule “all vehicles are banned from public parks” is defeated by the regulation of the first municipality stating that “all vehicles that are bicycles are allowed in the park” and establishing thus an *exception* to the general directive. The formalization of norms via non-monotonic techniques (see [14] for an overview) emphasizes the existence of exceptions to norms while understanding abstract terms in the standard way (all instances of bicycles are always vehicles). It has also been proposed to view the inclusion rules themselves as defaults: “normally, if something is a bicycle, then it is a vehicle” (for example [15, 5]). We deem these approaches, despite being effective in capturing the reasoning patterns involved in these scenarios, to be not adequate for analyzing problems related with the *meaning* of the terms that trigger those reasoning patterns. Those reasoning patterns are defeasible because the meaning of the terms involved is not definite, it is vague, it is -and this is the thesis we hold here- context dependent<sup>1</sup>. We propose therefore to analyze these “*problems of the penumbra*” in terms of the notion of context: according to (in the context of) the public parks regulation of the first municipality bicycles are not vehicles, according to (in the context of) the public parks regulation of the second one bicycles are vehicles. This reading will be interpreted as follows: “the subsumption of the concept `bicycle` under the concept `vehicle` holds in the context of the first municipality, but not in the context of the second one”.

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<sup>1</sup> The issue of the relationship between contextuality and defeasibility has been raised also in [7].

A defeasible reasoning analysis leads to a quite different reading, which flattens the meaning of concepts and handles its variations by means of the notion of exception: “every exceptional instance of `bicycle` is not an instance of `vehicle`”. Bringing contexts into play will instead allow for a neat characterization of the notions of “core” and “penumbra” of the meaning of a concept, a characterization which is not obtainable via the use of a notion of exception.

The remainder of this paper is structured in accordance with the following outline. In Section 2 we will introduce the notion of *contextual taxonomy* making use of a concrete scenario; in Section 3 we will provide a formal framework based on a very simple type of description logic which accounts for this concept; in Section 4 we will provide a formalization of the scenario introduced, and we will formally characterize the notions of conceptual “core” and “penumbra”; in Section 5 we will discuss relations with other work; finally, in Section 6, some conclusive remarks are made.

## 2 Contextualizing Taxonomies

Let us now depict a simple scenario in order to state in clear terms the example used in the introduction.

*Example 1. (The public park scenario)* In the regulation governing access to public parks in region R it is stated that: “vehicles are not allowed within public parks”. In this regulation no mention is made of (possible) subconcepts of the concept `vehicle`, e.g., cars, bicycles, which may help in identifying an instance of `vehicle`. In municipal regulations subordinated to this regional one, specific subconcepts are instead handled. In municipality M1, the following rule holds: “bicycles are allowed to access public parks”. In M2 instead, it holds that: “bicycles are not allowed to access public parks”. In both M1 and M2 it holds that: “cars are not allowed in public parks”.

In this scenario the concept of `vehicle` is clearly open-textured. Instances of `car` (w.r.t. the taxonomies presupposed by M1 and M2) are “core” instances of `vehicle`, while instances of `bicycle` lay in the “penumbra” of `vehicle`. We will constantly refer back to this example in the remaining of the work. In fact, our first aim will be to provide a formal framework able to account for scenarios formally analogous to the aforementioned one<sup>2</sup>.

Since the statement about the need for addressing “contexts as abstract mathematical entities” in [6], many formalizations of the notion have been proposed (see [7] or [8] for an overview). Our proposal pursues the line of developing a semantic approach to the notion of context according to what was originally presented in [16]. In that work contexts are formalized as sets of first order logic models. They are then connected via a relation called *compatibility relation*,

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<sup>2</sup> Note that this scenario hides a typical form of contextual reasoning called “categorization” ([8]), or “perspective” ([7]).

which requires the sets of models constituting the different contexts to satisfy sets of domain specific inter-contextual inference rules (*bridge rules*). This theory has been variously used in work on specification of agent architectures ([17, 18]) where the stress lies in how contexts influence each other at a proof-theoretical level rather than at a semantic one (what can be inferred in this context, given that something holds in some other context?). We follow the basic intuition of understanding contexts as sets of models. Nevertheless, since we are mainly interested in taxonomies, such simpler models will be used here<sup>3</sup>. Moreover, we will partly depart from the proposal in [16] trying to characterize also a set of operations meaningfully definable on contexts. In fact, what we are interested in is also an articulate characterization of the interplay between contexts: how can contexts be joined, abstracted, etc. Instead of focusing on bridge rules, which have to be introduced outside and separately from the contexts, we will define some operations on contexts such that all possible compatibility relations will be generated by the semantics of the contexts alone. This will provide intrinsic boundaries within which other bridge rules may later be defined.

To summarize, we will expose an approach to contexts which is driven by intuitions stemming from the analysis of normative terminologies, and which is based on description logic semantics.

### 3 A Formal Framework

The main requirements of the formal framework that we will develop are the following ones.

1. It should enable the possibility of expressing lexical differences. A well acknowledged characteristic of contextual reasoning is, indeed, that contexts should be specified on different languages ([19, 20, 21, 22]). The context of the national regulation about access to public parks should obviously be specified on a vocabulary that radically differs from the vocabulary used to specify the context of regulations about, for instance, immigration law: public park regulations do not talk about immigrants. Moreover, in Example 1, we observed that more concrete contexts make actually use of richer vocabularies: talking about vehicles comes down to talk about cars, bicycles, etc. In a nutshell, different contexts mean different ontologies and therefore different languages.
2. It should provide a formal semantics (as general as possible) for contextualized subsumption expressions, that is to say, for contextual taxonomies.
3. It should enable the possibility of describing operations between contexts.

Following these essential guidelines, a language and a semantics are introduced in what follows. The language will make use of part of description logic syntax, as regards the concept constructs, and will make use of a set of operators aimed at capturing the interplay of contexts. In particular, we will introduce:

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<sup>3</sup> Basically models for description logic languages without roles. See Section 3.

- A *contextual conjunction* operator. Intuitively, it will yield a composition of contexts: the contexts “dinosaurs” and “contemporary reptiles” can be intersected on a language talking about crocodiles generating a more general context like “crocodiles”.
- A *contextual disjunction* operator. Intuitively, it will yield a union of contexts: the contexts “viruses” and “bacterias” can be unified on a language talking about microorganisms generating a more general context like “viral or bacterial microorganisms”.
- A *contextual negation* operator. Intuitively, it will yield the context obtained via subtraction of the context negated: the negation of the context “viruses” on the language talking about microorganisms generates a context like “non viral microorganisms”.
- A *contextual abstraction* operator. Intuitively, it will yield the context consisting of so information extracted from the context to which the abstraction is applied: the context “crocodiles”, for instance, can be obtained via abstraction of the context “reptiles” on the language talking only about crocodiles. In other words, the operator prunes the information contained in the context “reptiles” keeping only what is expressible in the language which talks about crocodiles and abstracting from the rest.

Finally, also *maximum* and *minimum* contexts will be introduced: these will represent the most general, and respectively the less general, contexts on a language. As it appears from this list of examples, operators will need to be indexed with the language where the operation they denote takes place. The point is that contexts always belong to a language, and so do operations on them<sup>4</sup>.

These intuitions about the semantics of context operators will be clarified and made more rigorous in Section 3.2 where the semantics of the framework will be presented, and in Section 4.1 where an example will be formalized.

### 3.1 Language

The language we are interested in defining is nothing but a formal metalanguage for talking about sets of subsumption relations, i.e., what in description logic are called terminological boxes (TBoxes). In fact, we consider only TBoxes specified on very simple languages containing just atomic concepts and boolean operators<sup>5</sup>. We decided to keep the syntax of these languages poor mainly for two reasons: firstly, because the use of boolean concept descriptions alone is enough

<sup>4</sup> Note that indexes might be avoided considering operators interpreted on operations taking place on one selected language, like the largest common language of the languages of the two contexts. However, this would result in a lack of expressivity that we prefer to avoid for the moment.

<sup>5</sup> In fact, we are going to extend the language of propositional logic. Nevertheless, the semantics we are going to use in Section 3.2 is not the semantics of propositional logic, and it is instead of a description logic kind. For this reason we deem instructive to refer to these simple languages also as description logic languages of the type *ALC* ([23]) but with an empty set of roles.



to model the scenario depicted in Example 1; secondly, because this is still a preliminary proposal with which we aim to show how contextual reasoning and reasoning about vague notions are amenable to being handled on the basis of computationally appealing logics. On this basis it will be natural, in future, to consider also richer languages.

The alphabet of the language  $\mathcal{L}^{CT}$  (*language for contextual taxonomies*) contains therefore the alphabets of a family of languages  $\{\mathcal{L}_i\}_{0 \leq i \leq n}$ . This family is built on the alphabet of a given “global” language  $\mathcal{L}$  which contains all the terms occurring in the elements of the family. Moreover, we take  $\{\mathcal{L}_i\}_{0 \leq i \leq n}$  to be such that, for each non-empty subset of terms of the language  $\mathcal{L}$ , there exist a  $\mathcal{L}_i$  which is built on that set and belongs to the family. Each  $\mathcal{L}_i$  contains a non-empty finite set  $\mathbf{A}_i$  of atomic concepts ( $A$ ), the zeroary operators  $\perp$  (bottom concept) and  $\top$  (top concept), the unary operator  $\neg$ , and the binary operators  $\sqcap$  and  $\sqcup$ <sup>6</sup>.

Besides, the alphabet of  $\mathcal{L}^{CT}$  contains a finite set of context identifiers  $\mathbf{c}$ , two families of zeroary operators  $\{\perp_i\}_{0 \leq i \leq n}$  (initial contexts) and  $\{\top_i\}_{0 \leq i \leq n}$  (axial contexts), two families of unary operators  $\{abs_i\}_{0 \leq i \leq n}$  (context abstraction operators) and  $\{\neg_i\}_{0 \leq i \leq n}$  (context negation operators), two families of binary operators  $\{\wedge_i\}_{0 \leq i \leq n}$  (context conjunction operators) and  $\{\vee_i\}_{0 \leq i \leq n}$  (context disjunction operators), one context relation symbol  $\preceq$  (context  $c_1$  “is at most as general as” context  $c_2$ ) and a contextual subsumption relation symbol “. : .  $\sqsubseteq$  .” (within context  $c$ , concept  $A_1$  is a subconcept of concept  $A_2$ ), finally, the sentential connectives  $\sim$  (negation) and  $\wedge$  (conjunction)<sup>7</sup>. Thus, the set  $\Xi$  of context constructs ( $\xi$ ) is defined through the following BNF:

$$\xi ::= c \mid \perp_i \mid \top_i \mid \neg_i \xi \mid abs_i \xi \mid \xi_1 \wedge_i \xi_2 \mid \xi_1 \vee_i \xi_2.$$

Concepts and concept constructors are then defined in the usual way. The set  $\Gamma$  of concept descriptions ( $\gamma$ ) is defined through the following BNF:

$$\gamma ::= A \mid \perp \mid \top \mid \neg \gamma \mid \gamma_1 \sqcap \gamma_2 \mid \gamma_1 \sqcup \gamma_2.$$

The set  $\mathcal{A}$  of assertions ( $\alpha$ ) is then defined through the following BNF:

$$\alpha ::= \xi : \gamma_1 \sqsubseteq \gamma_2 \mid \xi_1 \preceq \xi_2 \mid \sim \alpha \mid \alpha_1 \wedge \alpha_2.$$

Technically, a *contextual taxonomy* in  $\mathcal{L}^{CT}$  is a set of subsumption relation expressions which are contextualized with respect to the same context, e.g.:  $\{\xi : \gamma_1 \sqsubseteq \gamma_2, \xi : \gamma_2 \sqsubseteq \gamma_3\}$ . This kind of sets of expressions are what we are interested in. Assertions of the form  $\xi_1 \preceq \xi_2$  provide a formalization of the notion

<sup>6</sup> It is worth stressing again that, in fact, a language  $\mathcal{L}_i$ , as defined here, is just a sub-language of languages of the type  $\mathcal{ALC}$ . As we will see later in this section, to represent contextual TBoxes the subsumption symbol is replaced by a set of contextualized subsumption symbols.

<sup>7</sup> It might be worth remarking that language  $\mathcal{L}^{CT}$  is, then, an expansion of each  $\mathcal{L}_i$  language.

of *generality* often touched upon in context theory (see for example [6, 24]). In Section 4.1 the following symbol will be also used “ $\cdot \sqsubset \cdot$ ” (within context  $c$ , concept  $A_1$  is a proper subconcept of concept  $A_2$ ). It can be defined as follows:

$$\xi : \gamma_1 \sqsubset \gamma_2 \stackrel{def}{=} \xi : \gamma_1 \sqsubseteq \gamma_2 \wedge \sim \xi : \gamma_2 \sqsubseteq \gamma_1.$$

A last category of expressions is also of interest, namely expressions representing what a concept means in a given context: for instance, recalling Example 1, “the concept **vehicle** in context M1”. These expressions, as it will be shown in Section 3.2, are particularly interesting from a semantic point of view. Let us call the *contextual concept descriptions* and let us define their set  $\mathcal{D}$  through the following BNF:

$$\delta ::= \xi : \gamma.$$

As we will see in Section 3.2, contextual concept descriptions  $\mathcal{D}$  play an important role in the semantics of contextual subsumption relations.

### 3.2 Semantics

In order to provide a semantics for  $\mathcal{L}^{CT}$  languages, we will proceed as follows. First we will define a class of structures which can be used to provide a formal meaning to those languages. We will then characterize the class of operations and relations on contexts that will constitute the semantic counterpart of the operators and relation symbols introduced in Section 3.1. Definitions of the formal meaning of our expressions will then follow.

Before pursuing this line, it is necessary to recollect the basic definition of a description logic model for a language  $\mathcal{L}_i$  ([23]).

#### Definition 1. (Models for $\mathcal{L}_i$ 's)

A model  $m$  for a language  $\mathcal{L}_i$  is defined as follows:

$$m = \langle \Delta_m, \mathcal{I}_m \rangle$$

where:

- $\Delta_m$  is the (non empty) domain of the model;
- $\mathcal{I}_m$  is a function  $\mathcal{I}_m : \mathbf{A}_i \longrightarrow \mathcal{P}(\Delta_m)$ , that is, an interpretation of (atomic concepts expressions of)  $\mathcal{L}_i$  on  $\Delta_m$ . This interpretation is extended to complex concept constructs via the following inductive definition:

$$\begin{aligned} \mathcal{I}_m(\top) &= \Delta_m \\ \mathcal{I}_m(\perp) &= \emptyset \\ \mathcal{I}_m(\neg A) &= \Delta_m \setminus \mathcal{I}_m(A) \\ \mathcal{I}_m(A \sqcap B) &= \mathcal{I}_m(A) \cap \mathcal{I}_m(B) \\ \mathcal{I}_m(A \sqcup B) &= \mathcal{I}_m(A) \cup \mathcal{I}_m(B). \end{aligned}$$

Out of technicalities, what a model  $m$  for a language  $\mathcal{L}_i$  does, is to assign a denotation to each atomic concept (for instance the set of elements of  $\Delta_m$  that instantiate the concept **bicycle**) and, accordingly, to each complex concept (for instance the set of elements of  $\Delta_m$  that instantiate the concept **vehicle**  $\sqcap$   $\neg$  **bicycle**).

### 3.3 Models for $\mathcal{L}^{CT}$

We can now define a notion of *contextual taxonomy model* (ct-model) for languages  $\mathcal{L}^{CT}$ .

**Definition 2. (ct-models)**

A *ct-model*  $\mathbb{M}$  is a structure:

$$\mathbb{M} = \langle \{\mathbf{M}_i\}_{0 \leq i \leq n}, \mathbb{I} \rangle$$

where:

- $\{\mathbf{M}_i\}_{0 \leq i \leq n}$  is the family of the sets of models  $\mathbf{M}_i$  of each language  $\mathcal{L}_i$ . That is,  $\forall m \in \mathbf{M}_i$ ,  $m$  is a model for  $\mathcal{L}_i$ .
- $\mathbb{I}$  is a function  $\mathbb{I} : \mathbf{c} \longrightarrow \mathcal{P}(\mathbf{M}_0) \cup \dots \cup \mathcal{P}(\mathbf{M}_n)$ . In other words, this function associates to each atomic context identifier in  $\mathbf{c}$  a subset of the set of all models in some language  $\mathcal{L}_i$ :  $\mathbb{I}(c) = M$  with  $M \subseteq \mathbf{M}_i$  for some  $i$  s.t.  $0 \leq i \leq n$ . Function  $\mathbb{I}$  can be seen as labeling sets of models on some language  $i$  via atomic context identifiers. Notice that  $\mathbb{I}$  fixes, for each atomic context identifier, the language on which the context denoted by the identifier is specified. We could say that it is  $\mathbb{I}$  itself which fixes a specific index for each atomic context identifier  $c$ .
- $\forall m', m'' \in \bigcup_{0 \leq i \leq n} \mathbf{M}_i$ ,  $\Delta_{m'} = \Delta_{m''}$ . That is, the domain of all models  $m$  is unique. We assume this constraint simply because we are interested in modeling different (taxonomical) conceptualizations of a same set of individuals.

This can be clarified by means of a simple example. Suppose the alphabet of  $\mathcal{L}^{CT}$  to be the set of atomic concepts  $\{\text{allowed}, \text{vehicle}, \text{car}, \text{bicycle}\}$  and the set of atomic context identifiers  $\{c_{M1}, c_{M2}, c_R\}$ . The number of possible languages  $\mathcal{L}_i$  given the four aforementioned concepts is obviously  $2^4 - 1$ . A ct-model for this  $\mathcal{L}^{CT}$  language would have as domain the set of the sets of all models for each of the  $2^4 - 1$   $\mathcal{L}_i$  languages, and as interpretation a function  $\mathbb{I}$  which assigns to each  $c_{M1}$ ,  $c_{M2}$  and  $c_R$  a subset of an element of that set, i.e., a set of models for one of the  $\mathcal{L}_i$  languages. We will come back to this specific language in Section 4.1, where we discuss the formalization of the public park scenario.

The key feature of this semantics is that contexts are characterized as sets of models for the same language. This perspective allows for straightforward model theoretical definitions of operations on contexts.

### 3.4 Operations on Contexts

Before getting to this, let us first recall a notion of *domain restriction* ( $\lceil \cdot \rceil$ ) of a function  $f$  w.r.t. a subset  $C$  of the domain of  $f$ . Intuitively, a domain restriction of a function  $f$  is nothing but the function  $C \lceil f$  having  $C$  as domain and s.t. for each element of  $C$ ,  $f$  and  $C \lceil f$  return the same image. The exact definition is the following one:  $C \lceil f = \{\langle x, f(x) \rangle \mid x \in C\}$ .

**Definition 3. (Operations on contexts)**

Let  $M'$  and  $M''$  be sets of models:

$$\lceil_i M' = \{m \mid m = \langle \Delta_{m'}, \mathbf{A}_i \rceil \mathcal{I}_{m'} \rangle \ \& \ m' \in M'\} \quad (1)$$

$$M' \pitchfork_i M'' = \lceil_i M' \cap \lceil_i M'' \quad (2)$$

$$M' \sqcup_i M'' = \lceil_i M' \cup \lceil_i M'' \quad (3)$$

$$-_i M' = \mathbf{M}_i \setminus \lceil_i M'. \quad (4)$$

Intuitively, the operations have the following meaning: operation 1 allows for abstracting the relevant content of a context with respect to a specific language; operations 2 and 3 express basic set-theoretical composition of contexts; finally, operation 4 returns, given a context, the most general of all the remaining contexts. Let us now provide some technical observations. First of all notice that operation  $\lceil_i$  yields the empty context when it is applied to a context  $M'$  the language of which is not an elementary expansion of  $\mathcal{L}_i$ . This is indeed very intuitive: the context obtained via abstraction of the context “dinosaurs” on the language of, say, “botanics” should be empty. Empty contexts can be also obtained through the  $\pitchfork_i$  operation. In that case the language is shared, but the two contexts simply do not have any interpretation in common. This happens, for example, when the members of two different football teams talk about their opponents: as a matter of fact, no interpretation of the concept **opponent** can be shared without jeopardizing the fairness of the match. The following propositions can be proved with respect to the operations on contexts.

**Proposition 1. (Structure of contexts on a given language)**

The structure of contexts  $\langle \mathcal{P}(\mathbf{M}_i), \sqcup_i, \pitchfork_i, -_i, \mathbf{M}_i, \emptyset \rangle$  on a language  $\mathcal{L}_i$  is a Boolean Algebra.

**Proof.** The proof follows straightforwardly from Definition 3. ■

**Proposition 2. (Abstraction operation on contexts)**

Operation  $\lceil_i$  is surjective and idempotent.

**Proof.** That  $\lceil_i$  is surjective can be proved per absurdum. First notice that this operation is a function of the following type:  $\lceil_i : \mathcal{P}(\mathbf{M}_0) \cup \dots \cup \mathcal{P}(\mathbf{M}_n) \longrightarrow \mathcal{P}(\mathbf{M}_i)$  with  $1 \leq i \leq n$ . If it is not surjective then  $\exists M'' \subseteq \mathbf{M}_i$  s.t.  $\forall M'$  in the domain of  $\lceil_i$ ,  $\lceil_i M' \neq M''$ . This means that  $\forall M'$  in the domain of  $\lceil_i$ ,  $\{m \mid m = \langle \Delta_{m'}, \mathbf{A}_i \rceil \mathcal{I}_{m'} \rangle \ \& \ m' \in M'\} \neq M''$ , which is impossible because we have at least that  $\lceil_i M'' = M''$ . The proof of the equation for idempotency  $\lceil_i(\lceil_i M) = \lceil_i M$  is straightforward. ■

These propositions clarify the type of conception of context we hold here: contexts are sets of models on different taxonomical languages; on each language the set of possible contexts is structured in a boolean algebra; the operation of abstraction allows for shifting from richer to simpler languages and it is, as we would intuitively expect, idempotent (abstracting from an abstraction yields the same first abstraction) and surjective (every context, even the empty one, can be seen as an abstraction of a different richer context, in the most trivial case, an abstraction of itself).

### 3.5 Formal Meaning of $\Xi$ , $\mathcal{D}$ , and $\mathcal{A}$

In Definition 2 atomic contexts are interpreted as sets of models on some language  $\mathcal{L}_i$  for  $0 \leq i \leq n$ :  $\mathbb{I}(c) = M \in \mathcal{P}(\mathbf{M}_0) \cup \dots \cup \mathcal{P}(\mathbf{M}_n)$ . The semantics of contexts constructs  $\Xi$  can be defined via inductive extension of that definition.

#### Definition 4. (Semantics of contexts constructs)

The semantics of context constructors is defined as follows:

$$\begin{aligned} \mathbb{I}(\perp_i) &= \emptyset \\ \mathbb{I}(\top_i) &= \mathbf{M}_i \\ \mathbb{I}(\xi_1 \wedge_i \xi_2) &= \mathbb{I}(\xi_1) \cap_i \mathbb{I}(\xi_2) \\ \mathbb{I}(\xi_1 \vee_i \xi_2) &= \mathbb{I}(\xi_1) \cup_i \mathbb{I}(\xi_2) \\ \mathbb{I}(\neg_i \xi) &= \neg_i \mathbb{I}(\xi) \\ \mathbb{I}(abs_i \xi) &= \downarrow_i \mathbb{I}(\xi). \end{aligned}$$

The  $\perp_i$  context is interpreted as the empty context (the same on each language); the  $\top_i$  context is interpreted as the greatest, or most general, context on  $\mathcal{L}_i$ ; the binary  $\wedge_i$ -composition of contexts is interpreted as the greatest lower bound of the restriction of the interpretations of the two contexts on  $\mathcal{L}_i$ ; the binary  $\vee_i$ -composition of contexts is interpreted as the lowest upper bound of the restriction of the interpretations of the two contexts on  $\mathcal{L}_i$ ; context negation is interpreted as the complement with respect to the most general context on that language; finally, the unary  $abs_i$  operator is interpreted just as the restriction of the interpretation of its argument to language  $\mathcal{L}_i$ .

Semantics for the contextual concept description  $\mathcal{D}$  and for the assertions  $\mathcal{A}$  in  $\mathcal{L}^{CT}$  is based on the function  $\mathbb{I}$ .

#### Definition 5. (Semantics of contextual concept descriptions: $\|\cdot\|_{\mathbb{M}}$ )

The semantics of contextual concept descriptions is defined as follows:

$$\|\xi : \gamma\|_M = \{D \mid \langle \gamma, D \rangle \in \mathcal{I}_m \ \& \ m \in \mathbb{I}(\xi)\}.$$

The meaning of a concept  $\gamma$  in a context  $\xi$  is the set of denotations  $D$  attributed to that concept by the models constituting that context.

It is worth noticing that if concept  $\gamma$  is not expressible in the language of context  $\xi$ , then  $\|\xi : \gamma\|_{\mathbb{M}} = \emptyset$ , that is, concept  $\gamma$  gets no denotation at all in context  $\xi$ . This happens simply because concept  $\gamma$  does not belong to the domain of functions  $\mathcal{I}_m$ , and there therefore exists no interpretation for that concept in the models constituting  $\xi$ . This shows also how Definition 5 allows to capture the intuitive distinction between concepts which lack denotation ( $\|\xi : \gamma\|_{\mathbb{M}} = \emptyset$ ), and concepts which have a denotation which is empty ( $\|\xi : \gamma\|_{\mathbb{M}} = \{\emptyset\}$ ): a concept that lacks denotation is for example the concept **immigrant** in the context of public park access regulation; in the same context, a concept with empty denotation is for example the concept **car** $\sqcap$ **car**.

In what follows we will often use the notation  $\mathbb{I}(\xi : \gamma)$  instead of the heavier  $\|\xi : \gamma\|_{\mathbb{M}}$ .

**Definition 6. (Semantics of assertions:  $\models$ )**

*The semantics of assertions is defined as follows:*

$$\begin{aligned} \mathbb{M} \models \xi : \gamma_1 \sqsubseteq \gamma_2 & \text{ iff } \mathbb{I}(\xi : \gamma_1), \mathbb{I}(\xi : \gamma_2) \neq \emptyset \text{ and } \forall m \in \mathbb{I}(\xi), \mathcal{I}_m(\gamma_1) \subseteq \mathcal{I}_m(\gamma_2) \\ \mathbb{M} \models \xi_1 \preceq \xi_2 & \text{ iff } \mathbb{I}(\xi_1) \subseteq \mathbb{I}(\xi_2) \\ \mathbb{M} \models \sim \alpha & \text{ iff not } \mathbb{M} \models \alpha \\ \mathbb{M} \models \alpha_1 \wedge \alpha_2 & \text{ iff } \mathbb{M} \models \alpha_1 \text{ and } \mathbb{M} \models \alpha_2. \end{aligned}$$

A contextual subsumption relation between  $\gamma_1$  and  $\gamma_2$  holds iff  $\mathbb{I}(\xi)$  makes the meaning of  $\gamma_1$  and  $\gamma_2$  not empty and all models  $m$  of  $\mathbb{I}(\xi)$  interpret  $\gamma_1$  as a subconcept of  $\gamma_2$ . Note that this is precisely the clause for the validity of a subsumption relation in standard description logics, but together with the fact that the concepts involved are actually meaningful in that context. The  $\preceq$  relation between context constructs is interpreted as a standard subset relation:  $\xi_1 \preceq \xi_2$  means that context denoted by  $\xi_1$  contains at most all the models that  $\xi_2$  contains, that is to say,  $\xi_1$  is *at most as general as*  $\xi_2$ . Note that this relation, being interpreted on the  $\subseteq$  relation, is reflexive, antisymmetric and transitive. In [5] a generality ordering with similar properties was imposed on the set of context identifiers, and analogous properties for a similar relation have been singled out also in [11]. The interesting thing is that such an ordering is here emergent from the semantics. Note also that this relation holds only between contexts specified on the same language. Clauses for boolean connectives are the obvious ones.

The satisfaction clause of contextual subsumption relations deserves some more remarks. We observed that the satisfaction is conditioned to the meaningfulness of the terms involved with respect to the context. This condition is necessary because our contexts have different languages. Another way to deal with this would be to impose syntactic constraints on the formation of  $\xi : \gamma_1 \sqsubseteq \gamma_2$  expressions, in order to distinguish the well-formed ones from the ill-formed ones. However, this would determine a dependence of the definition of well-formed expressions of  $\mathcal{L}^{CT}$  on the models  $\mathbb{M}$  of the language itself. Alternatively, the satisfaction relation itself might be restricted to consider only those subsumptions between concepts that, given the interpretation of the context, are interpreted as meaningful. Nevertheless, this option too determines a weird dependence, namely between the definition of the satisfaction relation and the models: the scope of the satisfaction would vary according to the models<sup>8</sup>. We chose for yet another solution, exploiting the possibility that our semantics enables of distinguishing meaningless concepts from concepts with empty extension (see Definition 5). By means of this feature it is possible to constrain the satisfaction of  $\xi : \gamma_1 \sqsubseteq \gamma_2$  formulas, in such a way that, for the formula to be true, concepts  $\gamma_1$  and  $\gamma_2$  have

<sup>8</sup> Though in a completely different formal setting, this way is pursued in [21, 22].

to be meaningful in context  $\xi$ . Intuitively, we interpret contextual subsumption relations as inherently presupposing the meaningfulness of their terms.

## 4 Contextual Taxonomies, “Core” and “Penumbra”

### 4.1 Formalizing an Example

We are now able to provide a formalization of the simple scenario introduced in Example 1 based on the formal semantic machinery just exposed.

*Example 2. (The public park scenario formalized)* To formalize the public park scenario within our setting a language  $\mathcal{L}^{CT}$  is needed, which contains the following atomic concepts: **allowed**, **vehicle**, **car**, **bicycle**. Three atomic contexts are at issue here: the context of the main regulation  $R$ , let us call it  $c_R$ ; the contexts of the municipal regulations  $M1$  and  $M2$ , let us call them  $c_{M1}$  and  $c_{M2}$  respectively. These contexts should be interpreted on two relevant languages. A language  $\mathcal{L}_0$  for  $c_R$  s.t.  $\mathbf{A}_0 = \{\mathbf{allowed}, \mathbf{vehicle}\}$ ; and a language  $\mathcal{L}_1$  for  $c_{M1}$  and  $c_{M2}$  s.t.  $\mathbf{A}_1 = \mathbf{A}_0 \cup \{\mathbf{car}, \mathbf{bicycle}\}$  (an abstract language concerning only vehicles and objects allowed to get into the park, and a more concrete one concerning, besides this, also cars and bicycles). A formalization of the scenario by means of  $\mathcal{L}^{CT}$  formulas is the following one:

$$abs_0(c_{M1}) \curlywedge_0 abs_0(c_{M2}) \preceq c_R \quad (5)$$

$$c_R : \mathbf{vehicle} \sqsubseteq \neg \mathbf{allowed} \quad (6)$$

$$c_{M1} \curlywedge_1 c_{M2} : \mathbf{car} \sqsubseteq \mathbf{vehicle} \quad (7)$$

$$c_{M1} : \mathbf{bicycle} \sqsubseteq \mathbf{vehicle} \quad (8)$$

$$c_{M2} : \mathbf{bicycle} \sqsubseteq \neg \mathbf{vehicle} \quad (9)$$

$$c_{M1} \curlywedge_1 c_{M2} : \mathbf{bicycle} \sqsubseteq \mathbf{vehicle} \sqcup \mathbf{allowed}. \quad (10)$$

Formula (5) plays a key role, stating that the two contexts  $c_{M1}$ ,  $c_{M2}$  are concrete variants of context  $c_R$ . It tells this by saying that the context obtained by joining the two concrete contexts on language  $\mathcal{L}_0$  (the language of  $c_R$ ) is at most as general as context  $c_R$ . As we will see in discussing the logical consequences of this set of formulas, formula (5) makes  $c_{M1}$ ,  $c_{M2}$  inherit what holds in  $c_R$ . Formula (6) formalizes the abstract rule to the effect that vehicles belong to the category of objects not allowed to access public parks. Formula (7) states that in both contexts cars count as vehicles. Formulas (8) and (9) state the two different conceptualizations of the concept of bicycle holding in the two concrete contexts at issue. These formulas show where the two contextual taxonomies diverge. Formula (10), finally, tells that bicycles either are vehicles or should be allowed in the park. Indeed, it might be seen as a clause avoiding “cheating” classifications such as: “bicycles counts as cars”.

It is worth listing and discussing some straightforward logical consequences of the formalization.

$$\begin{aligned} (5), (6) &\models c_{M1} : \mathbf{vehicle} \sqsubseteq \neg\mathbf{allowed} \\ (5), (6), (7) &\models c_{M1} : \mathbf{car} \sqsubset \neg\mathbf{allowed} \\ (5), (6), (8) &\models c_{M1} : \mathbf{bicycle} \sqsubset \neg\mathbf{allowed} \end{aligned}$$

$$\begin{aligned} (5), (6) &\models c_{M2} : \mathbf{vehicle} \sqsubseteq \neg\mathbf{allowed} \\ (5), (6), (7) &\models c_{M2} : \mathbf{car} \sqsubset \neg\mathbf{allowed} \\ (5), (6), (9), (10) &\models c_{M2} : \mathbf{bicycle} \sqsubseteq \mathbf{allowed} \end{aligned}$$

These are indeed the formulas that we would intuitively expect to hold in our scenario. The list displays two sets of formulas grouped on the basis of the context to which they pertain. They formalize the two contextual taxonomies at hands in our scenario. Let us have a closer look. The first consequence of each group results from the generality relation expressed in (5), by means of which the content of (6) is shown to hold also in the two concrete contexts: in simple words, contexts  $c_{M1}$  and  $c_{M2}$  inherit the general rule stating that vehicles are not allowed to access public parks. Via this inherited rule, and via (7), it is shown that, in all concrete contexts, cars are also not allowed to access the park. As to cars then, all contexts agree. Where differences arise is in relation with how the concept of bicycle is handled. In context  $c_{M1}$ , since bicycles count as vehicles (8), bicycles are also not allowed. In context  $c_{M2}$ , instead, bicycles constitute an allowed class because they are not considered to be vehicles (9) and there is no bicycle which does not count as a vehicle and which does not belong to that class of allowed objects (10). In the following section we show in some more detail how a model for the formalization just exposed looks like.

## 4.2 A Model of the Formalization

Formulas (5)-(10) constrain models in the following way:

$$\begin{aligned} \bigcup_0 \mathbb{I}(c_{M1}) \cup \bigcup_0 \mathbb{I}(c_{M2}) &\subseteq \mathbb{I}(c_R) \\ \forall m \in \mathbb{I}(c_R), \mathcal{I}_m(\mathbf{vehicle}) &\subseteq \Delta_1 \setminus \mathcal{I}_m(\mathbf{allowed}) \\ \mathbb{I}(c_R : \mathbf{vehicle}), \mathbb{I}(c_R : \mathbf{allowed}) &\neq \emptyset \\ \forall m \in \mathbb{I}(c_{M1}) \cup \mathbb{I}(c_{M2}), \mathcal{I}_m(\mathbf{car}) &\subset \mathcal{I}_m(\mathbf{vehicle}) \\ \mathbb{I}(c_{M1} \curlywedge_1 c_{M2} : \mathbf{car}), \mathbb{I}(c_{M1} \curlywedge_1 c_{M2} : \mathbf{vehicle}) &\neq \emptyset \\ \forall m \in \mathbb{I}(c_{M1}), \mathcal{I}_m(\mathbf{bicycle}) &\subset \mathcal{I}_m(\mathbf{vehicle}) \\ \mathbb{I}(c_{M1} : \mathbf{bicycle}), \mathbb{I}(c_{M1} : \mathbf{vehicle}) &\neq \emptyset \\ \forall m \in \mathbb{I}(c_{M2}), \mathcal{I}_m(\mathbf{bicycle}) &\subseteq \Delta_1 \setminus \mathcal{I}_m(\mathbf{vehicle}) \\ \mathbb{I}(c_{M2} : \mathbf{bicycle}), \mathbb{I}(c_{M2} : \mathbf{vehicle}) &\neq \emptyset \\ \forall m \in \mathbb{I}(c_{M1}) \cup \mathbb{I}(c_{M2}), \mathcal{I}_m(\mathbf{bicycle}) &\subseteq \mathcal{I}_m(\mathbf{vehicle}) \cup \mathcal{I}_m(\mathbf{allowed}) \\ \mathbb{I}(c_{M1} \curlywedge_1 c_{M2} : \mathbf{bicycle}), \mathbb{I}(c_{M1} \curlywedge_1 c_{M2} : \mathbf{allowed}) &\neq \emptyset. \end{aligned}$$



Besides the ones above, a model of the scenario can be thought of requiring two more constraints. Although the formal language as it is defined in 3.1 cannot express them, we show that they can be perfectly captured at a semantic level and therefore that new appropriate symbols might be accordingly added to the syntax.

- $\mathbb{I}(c_{M1} : \text{bicycle}) = \mathbb{I}(c_{M2} : \text{bicycle}) = \{\{a, b\}\}$ <sup>9</sup> ( $c_{M1}$  and  $c_{M2}$  agree on the interpretation of **bicycle**, say, the set of objects  $\{a, b\}$ );
- $\mathbb{I}(c_{M1} : \text{car}) = \mathbb{I}(c_{M2} : \text{car}) = \{\{c\}\}$ <sup>10</sup> ( $c_{M1}$  and  $c_{M2}$  agree on the interpretation of **car**, say, the singleton  $\{c\}$ ).

Let us stipulate that the models  $m$  that will constitute our interpretation of contexts identifiers consist of a domain  $\Delta_m = \{a, b, c, d\}$  and let us call the sets of all models for  $\mathcal{L}_0$  and  $\mathcal{L}_1$  on this domain respectively  $\mathbf{M}_0$  and  $\mathbf{M}_1$ . Given the restrictions, a context model of the scenario can consist then of the domain  $\mathbf{M}_0 \cup \mathbf{M}_1$  and of the function  $\mathbb{I}$  s.t.:

- $\mathbb{I}(c_{M1}) = \{m_1, m_2\} \subseteq \mathbf{M}_1$  s.t.  $\mathcal{I}_{m_1}(\text{allowed}) = \{d\}$ ,  $\mathcal{I}_{m_1}(\text{vehicle}) = \{a, b, c\}$ ,  $\mathcal{I}_{m_1}(\text{bicycle}) = \{a, b\}$ ,  $\mathcal{I}_{m_1}(\text{car}) = \{c\}$  and  $\mathcal{I}_{m_2}(\text{allowed}) = \emptyset$ ,  $\mathcal{I}_{m_2}(\text{vehicle}) = \{a, b, c, d\}$ ,  $\mathcal{I}_{m_2}(\text{bicycle}) = \{a, b\}$ ,  $\mathcal{I}_{m_2}(\text{car}) = \{c\}$ .  
In  $c_{M1}$  concepts **allowed** and **vehicle** are interpreted in two possible ways; notice that model  $m_2$  makes no object allowed to access the park;
- $\mathbb{I}(c_{M2}) = \{m_3\} \subseteq \mathbf{M}_1$  s.t.  $\mathcal{I}_{m_3}(\text{allowed}) = \{a, b\}$ ,  $\mathcal{I}_{m_3}(\text{vehicle}) = \{c, d\}$ ,  $\mathcal{I}_{m_3}(\text{car}) = \{c\}$ ,  $\mathcal{I}_{m_3}(\text{bicycle}) = \{a, b\}$ .  
In  $c_{M2}$ , which is constituted by a single model, the concept **vehicle** strictly contains **car**, and excludes **bicycle**. Notice also that **bicycle** coincide with **allowed**.
- $\mathbb{I}(c_R) = \{m \mid \mathcal{I}_m(\text{vehicle}) \subseteq \Delta_1 \setminus \mathcal{I}_m(\text{allowed})\}$ .  
In  $c_R$ , concepts **vehicle** and **allowed** get all possible interpretations that keep them disjoint.

We can now get to the domain for formal characterizations at which we have been aiming in this work.

### 4.3 Representing Conceptual “Core” and “Penumbra”

What is the part of a denotation of a concept which remains context independent? What is the part which varies instead? “Core” and “penumbra” meaning are formalized in the two following definitions.

**Definition 7.** ( $\text{Core}(\gamma, \xi_1, \xi_2)$ )

The “core meaning” of concept  $\gamma$  w.r.t. contexts  $\xi_1, \xi_2$  on language  $\mathcal{L}_i$  is defined as:

$$\text{Core}(\gamma, \xi_1, \xi_2) =_{def} \bigcap (\mathbb{I}(\xi_1 : \gamma) \cup \mathbb{I}(\xi_2 : \gamma)).$$

<sup>9</sup> It might be worth recalling that the meaning of a concept in a context is a set of denotations, which we assume to be here, for the sake of simplicity (and in accordance with our intuitions about the scenario), a singleton.

<sup>10</sup> See previous footnote.

Intuitively, the definition takes just the conjunction of the union of the interpretations of  $\gamma$  in the two contexts. Referring back to Example 2, we have that  $\mathbf{Core}(\mathbf{vehicle}, c_{M1}, c_{M2}) = \{c\}$ , that is, the core of the concept **vehicle** coincides, in those contexts, with the denotation of the concept **car**. The notion of “penumbra” is now easily definable.

**Definition 8.** ( $\mathfrak{Penumbra}(\gamma, \xi_1, \xi_2)$ )

The “penumbra” of concept  $\gamma$  w.r.t. contexts  $\xi_1, \xi_2$  on language  $\mathcal{L}_i$  is defined as:

$$\mathfrak{Penumbra}(\gamma, \xi_1, \xi_2) =_{def} \bigcup ((\mathbb{I}(\xi_1 : \gamma) \cup \mathbb{I}(\xi_2 : \gamma)) \setminus \mathbf{Core}(\gamma, \xi_1, \xi_2)).$$

A “penumbra meaning” is then nothing else but the set of individuals on which the contextual interpretation of the concept varies. Referring back again to Example 2:  $\mathfrak{Penumbra}(\mathbf{vehicle}, c_{M1}, c_{M2}) = \{a, b, d\}$ , that is to say, the penumbra of the concept **vehicle** ranges over those individuals that are not instances of the core of **vehicle**, i.e., the concept **car**. Notice that the definitions are straightforwardly generalizable to formulations with more than two contexts.

## 5 Related Work

We already showed, in Section 2, how the present proposal relates to work developed in the area of logical modeling of the notion of context. Contexts have been used here in order to propose a different approach to vagueness (especially as it appears in the normative domain). In this section some words will be spent in order to put the present proposal in perspective with respect to some more standard approaches to vagueness, namely approaches making use of fuzzy sets ([25]) or rough sets ([26]).

The most characteristic feature of our approach, with respect to fuzzy or rough set theories, consists in considering vagueness as an inherently semantic phenomenon. Vagueness arises from the referring of a language to structures modeling reality, and not from those structures themselves. That is to say, the truth denotation of a predicate is, in our approach, always definite and crisp, even if multiple. Consequently, no degree of membership is considered, as in fuzzy logic, and no representation of sets in terms of approximations is used, as in rough set theory. Let us use a simple example in order to make this distinction evident. Consider the vague monadic predicate *or*, to use a description logic terminology, the concept **tall\_person**. Fuzzy approaches would determine the denotation of this predicate as a fuzzy set, i.e., as the set of elements with membership degree contained in the interval  $]0, 1]$ . Standard rough set theory approaches would characterize this denotation not directly, but on the basis of a given partition of the universe (the set of all individuals) and a lower and upper approximation provided in terms of that partition. For instance, a trivial partition might be the one consisting of the following three concepts: **tall** $>2m$ , **1.60m** $\leq$ **tall** $\leq 2m$ , **tall** $<1.60m$ . Concept **tall\_person** would then be approximated by means of the lower approximation **tall** $>2m$  (the elements of a set that

are definitely also members of the to be approximated set), and the upper approximation  $1.60m \leq \text{tall} \leq 2m \sqcup \text{tall} > 2m$  (the elements of a set that may be also members of the to be approximated set). In this rough set representation, set  $1.60m \leq \text{tall} \leq 2m$  constitutes the so called *boundary* of `tall_person`. Within our approach instead, the set `tall_person` can be represented crisply and without approximations. The key feature is that `tall_person` obtains multiple crisp interpretations, at least one for each context: in the context of dutch standards, concept `tall_person` does not subsume concept  $1.60m \leq \text{tall} \leq 2m$ , whereas it does in the context of pygmy standards. According to our approach, vagueness resides then in the contextual nature of interpretation rather than in the concepts themselves<sup>11</sup>.

It is nevertheless easy to spot some similarities, in particular with respect to rough set theory. The notions of “core” and “penumbra” have much in common with the notions of, respectively, *lower approximation* and *boundary* developed in rough set theory: each of these pairs of notions denotes what is always, and respectively, in some cases, an instance of a given concept. But the characterization of the last pair is based on a partition of the universe denoting the equivalence classes imposed by a set of given known properties. The notions of “core” and “penumbra”, instead, are yielded by the consideration of any contextual interpretations of the concept itself. With respect to fuzzy approaches, notice that sets `Core` can be viewed exactly as the sets of instances having a membership degree equal to one, while sets `Penumbra` can be viewed as the sets of instances with degree of membership between zero and one. Besides, sets `Penumbra` could be partitioned in sets  $X_n$  each containing instances that occur in a fixed number  $n$  of models constituting the “penumbra”, thus determining a total and, notice,

<sup>11</sup> A clear position for our thesis can also be found within those analyses of vagueness, developed in the area of philosophical logic, which distinguish between *de re* and *de dicto* views of vagueness ([27]), the first holding that referents themselves are vague and therefore that vagueness constitutes something objective, whereas the second holding that it is the way referents are established that determines vagueness. Fuzzy set approaches lie within a *de re* conception of vagueness, while our approach is grounded on the alternative *de dicto* view (rough sets approaches have instead more to do with insufficient information issues). In philosophical logic, a formal theory has been developed which formalizes this *de dicto* approach to vagueness, the so called *superevaluationism* ([28]). On this view, when interpreting vague terms, we consider the many possible ways in which those terms can be interpreted:

“Whatever it is that we do to determine the ‘intended’ interpretation of our language determines not one interpretation but a range of interpretations. (The range depends on context [...])” ([29]).

As it is evident from Section 3.2, this intuition backs also our semantics. What our approach adds to formal accounts of *superevaluationism* such as [28, 30] consists in the explicit use of contexts as specific formal objects clustering the possible ways terms can be interpreted: contexts are precisely the range of admissible interpretations of the concepts at issue.

discrete ordering on membership: instances occurring in only one model in the “penumbra” will belong to the denotation of the concept at the minimum degree of membership, while instances occurring in the “core” at the maximum one.

Another relevant feature of our proposal, which we deem worth stressing, consists in the use of a fragment of predicate logic. This allows, first of all, the intra-contextual reasoning to be classical. Furthermore, the use of description logic, even if not yet fully elaborate in this work, allows for its well known interesting computability properties to be enabled at the intra-contextual reasoning level, thus making the framework appealing also in this respect.

## 6 Conclusions

Our aim was to account for a notion of contextual taxonomy, and by means of that, to rigorously characterize the notions of “core” and “penumbra” of a concept, that is to say, to define what is invariant and what is instead context dependent in the meaning of a concept. We did this contextualizing of a standard description logic notion of taxonomy by means of a formal semantics approach to contexts which provides also an account of a variety of forms of contexts interactions.

There are a number of issues which would be worth investigating in future work. First of all, it would be of definite interest to provide formal rigorous comparisons of our framework with:

- Related work in the area of context logics, like especially the *local model semantics* proposed in [16] to which we referred in Section 2.
- Related work in the area of fuzzy or rough sets treatment of conceptual ambiguities ([26, 25]), which have been informally touched upon in Section 5.
- Related work in the area of logic for normative systems specification, and in particular [31] where modal logic semantics is used to account for expressions such as “A counts as B in context (institution) s”. To this aim, we plan to apply the notion of contextual subsumption relation to modal logic semantics in order to contextualize accessibility relations. For example, it would be interesting to investigate applications to dynamic logic semantics in order to provide a formal account of the contextual meaning of actions: raising a hand in the context of a bidding means something different than raising a hand in the context of a scientific workshop. Some results on this issue have been presented in [32].

Secondly, we would like to enrich the expressivity of our framework considering richer description logic languages admitting also attributes (or roles) constructs. This would allow for a formal characterization of “*contextual terminologies*” in general, enabling the full expressive power description logics are able to provide. A first step along this line has been proposed in [33].

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# From Logic Programs Updates to Action Description Updates\*

José Júlio Alferes<sup>1</sup>, Federico Banti<sup>1</sup>, and Antonio Brogi<sup>2</sup>

<sup>1</sup> CENTRIA, Universidade Nova de Lisboa, Portugal  
{jja, banti}@di.fct.unl.pt

<sup>2</sup> Dipartimento di Informatica, Università di Pisa, Italy  
brogi@di.unipi.it

**Abstract.** An important branch of investigation in the field of agents has been the definition of high level languages for representing effects of actions, the programs written in such languages being usually called action programs. Logic programming is an important area in the field of knowledge representation and some languages for specifying updates of Logic Programs had been defined. Starting from the update language Evolp, in this work we propose a new paradigm for reasoning about actions called Evolp action programs.

We provide translations of some of the most known action description languages into Evolp action programs, and underline some peculiar features of this newly defined paradigm. One such feature is that Evolp action programs can easily express changes in the rules of the domains, including rules describing changes.

## 1 Introduction

In the last years the concept of agent has become central in the field of Artificial Intelligence. “*An agent is just something that acts*” [26]. Given the importance of the concept, ways of representing actions and their effects on the environment have been studied. A branch of investigation in this topic has been the definition of high level languages for representing effects of actions [7, 12, 14, 15], the programs written in such languages being usually called *action programs*. Action programs specify which facts (or fluents) change in the environment after the execution of a set of actions. Several works exist on the relation between these action languages and Logic Programming (LP) (e.g. [5, 12, 21]). However, despite the fact that LP has been successfully used as a language for declaratively representing knowledge, the mentioned works basically use LP for providing an operational semantics, and implementation, for action programs. This is so because normal logic programs, and most of their extensions, have no in-built

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means for dealing with changes, something that is quite fundamental for action languages.

In recent years some effort was devoted to explore and study the problem of how to update logic programs with new rules [3, 8, 10, 19, 20, 17]. Here, knowledge is conveyed by sequences of programs, where each program in a sequence is an update to the previous ones. For determining the meaning of sequences of logic programs, rules from previous programs are assumed to hold by inertia after the updates (given by subsequent programs) unless rejected by some later rule. LP update languages [2, 4, 9, 19], besides giving meaning to sequences of logic programs, also provide in-built mechanisms for constructing such sequences. In other words, LP update languages extend LP by providing means to specify and reason about rule updates.

In [5] the authors show, by examples, a possible use the LP update language LUPS [4] for representing effects of actions providing a hint for the possibility of using LP update languages as an action description paradigm. However, the work done does not provide a clear view on how to use LP updates for representing actions, nor does it establish an exact relationship between this new possibility and existing action languages. Thus, the eventual advantages of the LP update languages approach to actions are still not clear.

The present work tries to clarify these points. This is done by establishing a formal relationship between one LP update language, namely the Evolp language [2], and existing action languages, and by clarifying how to use this language for representing actions in general.

Our investigation starts by, on top of Evolp, defining a new action description language, called Evolp Action Programs (EAPs), as a macro language for Evolp. Before developing a complete framework for action description based on LP updates, in this work we focus on the basic problem in the field, i.e. the prediction of the possible future states of the world given a complete knowledge of the current state and the action performed. Our purpose is to check, already at this stage, the potentiality of an action description language based on the Evolp paradigm.

We then illustrate the usage of EAPs by an example involving a variant of the classical Yale Shooting Problem. An important point to clarify is the comparison of the expressive capabilities of the newly defined language with that of the existing paradigms. We consider the action languages  $\mathcal{A}$  [12],  $\mathcal{B}$  [13] (which is a subset of the language proposed in [14]), and (the definite fragment of)  $\mathcal{C}$  [15]. We provide simple translations of such languages into EAPs, hence proving that EAPs are *at least as expressive* as the cited action languages.

Coming to this point, the next natural question is what are the possible advantages of EAPs. The underlying idea of action frameworks is to describe dynamic environments. This is usually done by describing rules that specify, given a set of external actions, how the environment evolves. In a dynamic environment, however, not only the facts but also the “rules of the game” can change, in particular *the rules describing the changes*. The capability of describing such kind of *meta level changes* is, in our opinion, an important feature of an



action description language. This capability can be seen as an instance of *elaboration tolerance* i.e. “the ability to accept changes to a person’s or a computer’s representation of facts about a subject without having to start all over” [25]. In [15] this capability is seen as a central point in the action descriptions field and the problem is addressed in the context of the  $\mathcal{C}$  language. The final words of [15] are “Finding ways to further increase the degree of elaboration tolerance of languages for describing actions is a topic of future work”. We address this topic in the context of EAPs and show EAPs see [15], in this sense, are more flexible than other paradigms. Evolp provides specific conditions that allow for the specification of updates to the initial program, but also provides the possibility to specify updates of these updates conditions. We show, by successive elaborations of the Yale shooting problem, how to use this feature to describe updates of the problem that come along with the evolution of the environment.

The rest of the paper is structured as follows. In section 2 we review some background and notation. In section 3 we define the syntax and semantics of Evolp action programs, and we illustrate the usage of EAPs by an example involving a variant of the classical Yale Shooting Problem. In section 4 we establish the relationship between EAPs and the languages  $\mathcal{A}$ ,  $\mathcal{B}$  and  $\mathcal{C}$ . In section 5 we discuss the possibility of updating the EAPs, and provide an example of such feature. Finally, in section 6, we conclude and trace a route for future developments. To facilitate the reading, and given that some of the results have proofs of some length, instead of presenting proofs along with the text, we expose them all in appendix A.

## 2 Background and Notation

In this section we briefly recall syntax and semantics of *Dynamic Logic Programs* [1], and the syntax and semantics for Evolp [2]. We also recall some basic notions and notation for action description languages. For a more detailed background on action languages see e.g. [12].

### 2.1 Dynamic Logic Programs and Evolp

The main idea of logic programs updates is to update a logic program by another logic program or by a *sequence* of logic programs, also called *Dynamic Logic Programs* (DLPs). The initial program of a DLP corresponds to the initial knowledge of a given (dynamic) domain, and the subsequent ones to successive updates of the domain. To represent negative information in logic programs and their updates, following [3] we allow for default negation *not*  $A$  not only in the premises of rules but also in their heads i.e., we use generalized logic programs (GLPs) [22].

A language  $\mathcal{L}$  is any set of propositional atoms. A literal in  $\mathcal{L}$  is either an atom of  $\mathcal{L}$  or the negation of an atom. In general, given any set of atoms  $\mathcal{F}$ , we denote by  $\mathcal{F}_L$  the set of literals over  $\mathcal{F}$ . Given a literal  $F$ , if  $F = Q$ , where  $Q$  is an atom, by *not*  $F$  we denote the negative literal *not*  $Q$ . Viceversa, if  $F = \text{not } Q$ ,

by *not*  $F$  we denote the atom  $\neg Q$ . A GLP defined over a propositional language  $\mathcal{L}$  is a set of rules of the form  $F \leftarrow Body$ , where  $F$  is a literal in  $\mathcal{L}$ , and  $Body$  is a set of literals in  $\mathcal{L}$ .<sup>1</sup> An *interpretation*  $I$  over a language  $\mathcal{L}$  is any set of literals in  $\mathcal{L}$  such that, for each atom  $A$ , either  $A \in I$  or *not*  $A \in I$ . We say a set of literals  $Body$  is true in an interpretation  $I$  (or that  $I$  satisfies  $Body$ ) iff  $Body \subseteq I$ . In this paper we will use programs containing variables. As usual when programming within the stable models semantics, a program with variables stands for the propositional program obtained as the set of all possible ground instantiations of the rules.

Two rules  $\tau$  and  $\eta$  are *conflicting* (denoted by  $\tau \bowtie \eta$ ) iff the head of  $\tau$  is the atom  $A$  and the head of  $\eta$  is *not*  $A$ , or viceversa. A Dynamic Logic Program over a language  $\mathcal{L}$  is a sequence  $P_1 \oplus \dots \oplus P_m$  (also denoted  $\oplus P_i^m$ ) where the  $P_i$ s are GLPs defined over  $\mathcal{L}$ . The *refined stable model semantics* of such a DLP, defined in [1], assigns to each sequence  $P_1 \oplus \dots \oplus P_n$  a set of stable models (that is proven there to coincide with the stable models semantics when the sequence is formed by a single normal [11] or generalized program [22]). The rationale for the definition of a stable model  $M$  of a DLP is made in accordance with the *causal rejection principle* [10, 19]: If the body of a rule in a given update is true in  $M$ , then that rule rejects all rules in previous updates that are conflicting with it. Such rejected rules are ignored in the computation of the stable model. In the refined semantics for DLPs a rule may also reject conflicting rules that belong to the same update. Formally, the set of rejected rules of a DLP  $\oplus P_i^m$  given an interpretation  $M$  is:

$$Rej^S(\oplus P_i^m, M) = \{\tau \mid \tau \in P_i : \exists \eta \in P_j \ i \leq j, \tau \bowtie \eta \wedge Body(\eta) \subseteq M\}$$

Moreover, an atom  $A$  is assumed false by default if there is no rule, in none of the programs in the DLP, with head  $A$  and a true body in the interpretation  $M$ . Formally:

$$Default(\oplus P_i^m, M) = \{\text{not } A \mid \nexists A \leftarrow Body \in \bigcup P_i \wedge Body \subseteq M\}$$

If  $\oplus P_i^m$  is clear from the context, we omit it as first argument of the above functions.

**Definition 1.** Let  $\oplus P_i^m$  be a DLP over language  $\mathcal{L}$  and  $M$  an interpretation.  $M$  is a *refined stable model* of  $\oplus P_i^m$  iff

$$M = \text{least} \left( \left( \bigcup_i P_i \setminus Rej^S(M) \right) \cup Default(M) \right)$$

where  $\text{least}(P)$  denotes the least Herbrand model of the definite program [23] obtained by considering each negative literal *not*  $A$  in  $P$  as a new atom.

<sup>1</sup> Note that, by defining rule bodies as sets, the order and number of occurrences of literals do not matter.

Having defined the meaning of sequences of programs, we are left with the problem of how to cope up with those sequences. This is the subject of LP update languages [2, 4, 9, 19]. Among the existing languages, Evolp [2] uses a particular simple syntax, which extends the usual syntax of GLPs by introducing the special predicate *assert/1*. Given any language  $\mathcal{L}$ , the language  $\mathcal{L}_{assert}$  is recursively defined as follows: every atom in  $\mathcal{L}$  is also in  $\mathcal{L}_{assert}$ ; for any rule  $\tau$  over  $\mathcal{L}_{assert}$ , the atom *assert*( $\tau$ ) is in  $\mathcal{L}_{assert}$ ; nothing else is in  $\mathcal{L}_{assert}$ . An *Evolp program* over  $\mathcal{L}$  is any GLP over  $\mathcal{L}_{assert}$ . An *Evolp sequence* is a sequence (or DLP) of Evolp programs. The rules of an Evolp program are called *Evolp rules*.

Intuitively an expression *assert*( $\tau$ ) stands for “update the program with the rule  $\tau$ ”. Notice the possibility in the language to nest an assert expression in another. The intuition behind the Evolp semantics is quite simple. Starting from the initial Evolp sequence  $\oplus P_i^m$  we compute the set,  $\mathcal{SM}(\oplus P_i^m)$ , of the stable models of  $\oplus P_i^m$ . Then, for any element  $M$  in  $\mathcal{SM}(\oplus P_i^m)$ , we update the initial sequence with the program  $P_{m+1}$  consisting of the set of rules  $\tau$  such that the atom *assert*( $\tau$ ) belongs to  $M$ . In this way we obtain the sequence  $\oplus P_i^m \oplus P_{m+1}$ . Since  $\mathcal{SM}(\oplus P_i^m)$  contains, in general, several models we may have different lines of evolution. The process continues by obtaining the various  $\mathcal{SM}(\oplus P_i^{m+1})$  and, with the , various  $\oplus P_i^{m+2}$ . Intuitively, the program starts at step 1 already containing the sequence  $\oplus P_i^m$ . Then it updates itself with the rules asserted at step 1, thus obtaining step 2. Then, again, it updates itself with the rules asserted at this step, and so on. The evolution of any Evolp sequence can also be influenced by external events. An external event is itself an Evolp program. If, at a given step  $n$ , the program receives the external update  $E_n$ , the rules in  $E_n$  are added to the last self update for the purpose of computing the stable models determining the next evolution but, in the successive step  $n + 1$  they are no longer considered (that’s why they are called *events*). Formally:

**Definition 2.** *Let  $n$  and  $m$  be natural numbers. An evolution interpretation of length  $n$ , of an evolving logic program  $\oplus P_i^m$  is any finite sequence  $\mathcal{M} = M_1, \dots, M_n$  of interpretations over  $\mathcal{L}_{assert}$ . The evolution trace associated with  $\mathcal{M}$  and  $\oplus P_i^m$  is the sequence  $P_1 \oplus \dots \oplus P_m \oplus P_{m+1} \dots \oplus P_{m+n-1}$ , where, for  $1 \leq i < n$*

$$P_{m+i} = \{\tau \mid \text{assert}(\tau) \in M_{m+i-1}\}$$

**Definition 3 (Evolving stable models).** *Let  $\oplus P_i^m$  and  $\oplus E_i^n$  be any Evolp sequences, and  $\mathcal{M} = M_1, \dots, M_n$  be an evolving interpretation of length  $n$ . Let  $P_1 \oplus \dots \oplus P_{m+n-1}$  be the evolution trace associated with  $\mathcal{M}$  and  $\oplus P_i^m$ . We say that  $\mathcal{M}$  is an evolving stable model of  $\oplus P_i^m$  with event sequence  $\oplus E_i^n$  at step  $n$  iff  $M_k$  is a refined stable model of the program  $P_1 \oplus \dots \oplus (P_k \cup E_k)$  for any  $k$ , with  $m \leq k \leq m + n - 1$ .*

## 2.2 Action Languages

The purpose of an action language is to provide ways of describing how an environment evolves given a set of external actions. A specific environment that can be modified through external actions is called an *action domain*. To any

action domain we associate a pair of sets of atoms  $\mathcal{F}$  and  $\mathcal{A}$ . We call the elements of  $\mathcal{F}$  *fluent atoms* or simply *fluents*, and the elements of  $\mathcal{A}$  *action atoms* or simply *actions*. Basically, the fluents are the observables in the environment and the actions are, clearly, the external actions. A *fluent literal* (resp. *action literal*) is an element of  $\mathcal{F}_L$  (resp. an element of  $\mathcal{A}_L$ ). In the following, we will use the letter  $Q$  to denote a fluent atom, the letter  $F$  to denote a fluent literal, and the letter  $A$  to denote an action atom. A *state of the world* (or simply a *state*) is any interpretation over  $\mathcal{F}$ . We say a fluent literal  $F$  is true at a given state  $s$  iff  $F$  belongs to  $s$ . Given a set (or, by abuse of notation, a conjunction) of fluent literals  $Cond$  we say  $s$  *satisfies*  $Cond$ , and write  $s \models Cond$ , iff  $Cond \subseteq s$ .

Each action language provides ways to describe action domains through sets of expressions called *action programs*. Usually, the semantics of an action program is defined in terms of a *transition system*, i.e. a function whose argument is any pair  $(s, K)$ , where  $s$  is a state of the world and  $K$  is a subset of  $\mathcal{A}$ , and whose value is any set of states of the world. Intuitively, given the current state of the world, a transition system specifies which are the possible resulting states after simultaneously performing all actions in  $K$ .

Two kinds of expressions that are common within action description languages are *static* and *dynamic rules*. The *static rules* basically describe the rules of the domain, while *dynamic rules* describe effects of actions. A dynamic rule has a set of *preconditions*, namely conditions that have to be satisfied in the present state in order to have a particular effect in the future state, and *post-conditions* describing such an effect.

In the following we will consider three existing action languages, namely:  $\mathcal{A}$ ,  $\mathcal{B}$  and  $\mathcal{C}$ . The language  $\mathcal{A}$  [13] is very simple. It only allows dynamic rules of the form

$$A \text{ causes } F \text{ if } Cond$$

where  $Cond$  is a conjunction of fluent literals. Such a rule intuitively means: performing the action  $A$  causes  $F$  to be true in the next state if  $Cond$  is true in the current state. The language  $\mathcal{B}$  [13] is an extension of  $\mathcal{A}$  which also considers static rules. In  $\mathcal{B}$ , static rules are expressions of the form

$$F \text{ if } Body$$

where  $Body$  is a conjunction of fluent literals. Intuitively, such a rule means: if  $Body$  is true in the current state, then  $F$  is also true in the current state. A fundamental notion, in both  $\mathcal{A}$  and  $\mathcal{B}$ , is *fluent inertia* [13]. A fluent  $F$  is inertial if its truth value is preserved from a state to another, unless it is changed by the (direct or indirect) effect of an action. Hereafter a program written in the language  $\mathcal{B}$  will be called a  $\mathcal{B}$  program.

The semantics of  $\mathcal{B}$  is defined in terms of a transition system, as sketched above. For introducing the particular transition function that, given a state  $s$  and a set of actions  $K$ , determines the possible resulting states according to  $\mathcal{B}$ , we first consider the set  $D(s, K)$  of fluents literals that are true as a (direct) consequence of actions. Any literal  $F$  is a direct consequence of state  $s$  and actions  $K$  if it is in the head of a dynamic rule  $A \text{ causes } F \text{ if } Cond$  such that

$A \in K$  and  $Cond$  is true in  $s$ . Then a state  $s'$  is a possible resulting states fro  $s$  iff any fluent literal in  $s$  is an element of  $D(s, K)$  or is a true literal in  $s$  (that followed by inertia) or is a consequence of a static rule:

**Definition 4.** Let  $P$  be any  $\mathcal{B}$  program with set of fluents  $\mathcal{F}$ , let  $\mathcal{R}$  be the set of all static rules in  $P$ , and let  $s$  be a state and  $K$  any set of actions. Moreover, let  $D(s, K)$  be the following set of literals

$$D(s, K) = \{F : \exists A \text{ causes } F \text{ if } Cond \in P \text{ s.t. } A \in K \wedge s \models Cond\}$$

and let  $\mathcal{R}^{LP}$  be the logic program:

$$\mathcal{R}^{LP} = \{F \leftarrow Body : F \text{ if } Body \in \mathcal{R}\}$$

A state  $s'$  is a resulting state from  $s$  given  $P$  and the set of actions  $K$  iff

$$s' = \text{least}(s \cap s' \cup D(s, K) \cup \mathcal{R}^{LP})$$

where  $\text{least}(P)$  is as in Definition 1

For a detailed explanation of  $\mathcal{A}$  and  $\mathcal{B}$  see e.g. [13].

Static and dynamic rules are also the ingredients of the action language  $\mathcal{C}$  [15, 16]. Static rules in  $\mathcal{C}$  are of the for

**caused  $J$  if  $H$**

while dynamic rules are of the for

**caused  $J$  if  $H$  after  $O$**

where  $J$  and  $H$  are formulae such that any literal in the is a fluent literal, and  $O$  is any formula such that any literal in it is a fluent or an action literal. The formula  $O$  is the precondition of the dynamic rule and the static rule **caused  $J$  if  $H$**  is its postcondition. The semantic of  $\mathcal{C}$  is based on *causal theories*[15]. Causal theories are sets of rules of the form **caused  $J$  if  $H$** , each such rule meaning: If  $H$  is true this is an explanation for  $J$ . A basic principle of causal theories is that something is true iff it is caused by something else. Given any action program  $P$ , a state  $s$  and a set of actions  $K$ , we consider the causal theory  $T$  given by the static rules of  $P$  and the postconditions of the dynamic rules whose preconditions are true in  $s \cup K$ . Then  $s'$  is a possible resulting state iff it is a causal model of  $T$ .

### 3 Evolp Action Programs

As we have seen, Evolp and action description languages share the idea of a system that evolves. In both, the evolution is influenced by external events (respectively, updates and actions). Evolp is actually a programming language devised

for representing any kind of computational problem, while action description languages are devised for the specific purpose of describing actions. A natural idea is then to develop special kind of Evolp sequences for representing actions, and then compare such kind of programs with existing action description languages. We will develop one such kind of programs, and call them *Evolp Action Programs* (EAPs).

Following the underlying notions of Evolp, we use the basic construct *assert* for defining special-purpose logics. As it happens with other action description languages, EAPs are defined over a set of fluents  $\mathcal{F}$  and a set of actions  $\mathcal{A}$ . In EAPs, a state of the world is any interpretation over  $\mathcal{F}$ . To describe action domains we use an initial Evolp sequence,  $I \oplus D$ . The Evolp program  $D$  contains the description of the environment, while  $I$  contains some initial declarations, as it will be clarified later. As in  $\mathcal{B}$  and  $\mathcal{C}$ , EAPs contain static and dynamic rules.

A *static rule* over  $(\mathcal{F}, \mathcal{A})$  is simply an Evolp rule of the form

$$F \leftarrow Body.$$

where  $F$  is a fluent literal and  $Body$  is a set of fluent literals.

A *dynamic rule* over  $(\mathcal{F}, \mathcal{A})$  is a (macro) expression

$$\mathbf{effect}(\tau) \leftarrow Cond.$$

where  $\tau$  is any static rule  $F \leftarrow Body$  and  $Cond$  is any set of fluent or action literals. The intuitive meaning of such a rule is that the static rule  $\tau$  has to be considered *only* in those states whose predecessor satisfies condition  $Cond$ . Since some of the conditions literals in  $Cond$  may be action atoms, such a rule may describe the effect of a given set of actions under some conditions. Such an expression stands for the following set of Evolp rules:

$$F \leftarrow Body, event(F \leftarrow Body). \quad (1)$$

$$assert(event(F \leftarrow Body)) \leftarrow Cond. \quad (2)$$

$$assert(not event(F \leftarrow Body)) \leftarrow event(\tau), not assert(event(F \leftarrow Body)) \quad (3)$$

where  $event(F \leftarrow Body)$  is a new literal. Let us see how the above set of rules fits with its intended intuitive meaning. Rule (1) is not applicable whenever  $event(F \leftarrow Body)$  is false. If at some step  $n$ , the conditions  $Cond$  are satisfied, then, by rule (2),  $event(F \leftarrow Body)$  becomes true at step  $n + 1$ . Hence, at step  $n + 1$ , rule (1) will play the same role as static rule  $F \leftarrow Body$ . If at step  $n + 1$   $Cond$  is no longer satisfied, then, by rule (3) the literal  $event(F \leftarrow Body)$  will become false again, and then rule (1) will be again not effective.

Besides static and dynamic rules, we still need another ingredient to complete our construction. As we have seen in the description of the  $\mathcal{B}$  language, a notable concept is fluent inertia. This idea is not explicit in Evolp where *the rules* (and not the fluents) are preserved by inertia. Nevertheless, we can show how to obtain fluent inertia by using macro programming in Evolp. An *inertial declaration* over  $(\mathcal{F}, \mathcal{A})$  is a (macro) expression  $\mathbf{inertial}(\mathcal{K})$ , where  $\mathcal{K} \subseteq \mathcal{F}$ . The intended intuitive meaning of such an expression is that the fluents in  $\mathcal{K}$  are inertial. Before defining

what this expression stands for, we state that the above mentioned program  $I$  is always of the form  $\text{initialize}(\mathcal{F})$ , where  $\text{initialize}(\mathcal{F})$  stands for the set of rules  $Q \leftarrow \text{prev}(Q)$ , where  $Q$  is any fluent in  $\mathcal{F}$ , and  $\text{prev}(Q)$  are new atoms not in  $\mathcal{F} \cup \mathcal{A}$ . The *inertial declaration*  $\text{inertial}(\mathcal{K})$  stands for the set (where  $Q$  ranges over  $\mathcal{K}$ ):

$$\text{assert}(\text{prev}(Q)) \leftarrow Q. \quad \text{assert}(\text{not prev}(Q)) \leftarrow \text{not } Q.$$

Let us consider the behaviour of this macro. If we do not declare  $Q$  as an inertial fluent, the rule  $Q \leftarrow \text{prev}(Q)$  has no effect. If we declare  $Q$  as an inertial literal,  $\text{prev}(Q)$  is true in the current state iff in the previous state  $Q$  was true. Hence, in this case,  $Q$  is true in the current state *unless* there is a static or dynamic rule that rejects such assumption. Viceversa, if  $Q$  was false in the previous state, then  $Q$  is true in the current one iff it is derived by a static or dynamic rule. We are now ready to formalize the syntax of Evolp action programs.

**Definition 5.** *Let  $\mathcal{F}$  and  $\mathcal{A}$  be two disjoint sets of propositional atoms. An Evolp action program (EAP) over  $(\mathcal{F}, \mathcal{A})$  is any Evolp sequence  $I \oplus D$ , where  $I = \text{Initialize}(\mathcal{F})$ , and  $D$  is any set with static and dynamic rules, and inertial declarations over  $(\mathcal{F}, \mathcal{A})$*

Given an Evolp action program  $I \oplus D$ , the initial state of the world  $s$  (which, as stated above, is an interpretation over  $\mathcal{F}$ ) is passed to the program together with the set  $K$  of the actions performed at  $s$ , as part of an external event. A resulting state is the last element of any evolving stable model of  $I \oplus D$  given the event  $s \cup K$  restricted to the set of fluent literals. I.e:

**Definition 6.** *Let  $I \oplus D$  be any EAP over  $(\mathcal{F}, \mathcal{A})$ , and  $s$  a state of the world. Then  $s'$  is a resulting state from  $s$  given  $I \oplus D$  and the set of actions  $K$  iff there exists an evolving stable model  $M_1, M_2$  of  $I \oplus D$  given the external events  $s \cup K, \emptyset$  such that  $s' \equiv_{\mathcal{F}} M_2$  (where by  $s' \equiv_{\mathcal{F}} M_2$  we simply mean  $s' \cap \mathcal{F}_{Lit} = M_2 \cap \mathcal{F}_{Lit}$ ).*

This definition can be easily generalized to sequences of set of actions.

**Definition 7.** *Let  $I \oplus D$  be any EAP and  $s$  a state of the world. Then  $s'$  is a resulting state from  $s$  given  $I \oplus D$  and the sequence of sets of actions  $K_1, \dots, K_n$  iff there exists an evolving stable model  $M_1, \dots, M_{n+1}$  of  $I \oplus D$  given the external events  $(s \cup K_1), \dots, K_n, \emptyset$  such that  $s' \equiv_{\mathcal{F}} M_{n+1}$ .*

Since EAPs are based on the Evolp semantics, which in turn is an extension of the stable model semantics for normal logic programs, we can easily prove that the complexity of the computation of the two semantics is the same.

**Theorem 1.** *Let  $I \oplus D$  be any EAP over  $(\mathcal{F}, \mathcal{A})$ ,  $s$  a state of the world and  $K \subseteq \mathcal{A}$ . To find a resulting state  $s'$  from  $s$  given  $I \oplus D$  and the set of actions  $K$  is an NP-complete problem.*

It is important to notice that, if the initial state  $s$  does not satisfies the static rules of the EAP, the correspondent Evolp sequence has no stable model, and

hence there will be no successor state. This is, in our opinion, a good result: The initial state is just a state as any other. It would be strange if such state would not satisfy the rules of the `do` aim. If this situation occurs, most likely either the translation of the rules, or the one of the state, presents some errors. From now onwards we will assume that the initial state satisfies the static rules of the `do` aim.

To illustrate EAPs, we now show an example of their usage by elaborating on probably the most famous example of reasoning about actions. The presented elaboration highlights some important features of EAPs, viz. the possibility of handling non-deterministic effects of actions, non-inertial fluents, non-executable actions, and effects of actions lasting for just one state.

*Example 1 (An elaboration of the Yale shooting problem).* In the original Yale shooting problem [27], there is a single-shot gun which is initially unloaded, and a turkey which is initially alive. One can load the gun and shoot the turkey. If one shoots, the gun becomes unloaded and the turkey dies. We consider a slightly more complex scenario where there are several turkeys, and where the shooting action refers to a specific turkey. Each time one shoots at specific turkey, one either hits and kills the bird, or misses it. Moreover, the gun becomes unloaded and there is a bang. It is not possible to shoot with an unloaded gun. We also add the property that any turkey lives iff it is not dead.

For expressing that an action is not executable under some conditions, we make use of a well known behaviour of the stable model semantics. Suppose a given EAP contains a dynamic rule of the form  $\mathbf{effect}(u \leftarrow \text{not } u) \leftarrow \text{Cond}$ , where  $u$  is a literal which does not appear elsewhere (in the following, for representing such rules, we use the notation  $\mathbf{effect}(\perp) \leftarrow \text{Cond}$ ). With such a rule, if  $\text{Cond}$  is true in the current state, then there is no resulting state. This happens because, as it is well known, programs containing  $u \leftarrow \text{not } u$  and no other rules for  $u$ , have no stable models.

To represent the problem, we consider the fluents  $dead(X)$ ,  $moving(X)$ ,  $hit(X)$ ,  $missed(X)$ ,  $loaded$ ,  $bang$ , plus the auxiliary fluent  $u$ , and the actions  $shoot(X)$  and  $load$  (where the  $X$ s range over the various turkeys). The fluents  $dead(X)$  and  $loaded$  are inertial fluents, since their truth value should remain unchanged until modified by some action effect. The fluents  $missed(X)$ ,  $hit(X)$  and  $bang$  are not inertial. The problem is encoded by the EAP  $I \oplus D$ , where

$$I = \mathbf{initialize}(dead(X), moving(X), missed(X), hit(X), loaded, bang, u)$$

and  $D$  is the following set of expressions

$$\begin{array}{ll} \mathbf{effect}(\perp) \leftarrow shoot(X), \text{not } loaded & \mathbf{inertial}(loaded) \\ moving(X) \leftarrow \text{not } dead(X) & \mathbf{inertial}(dead(X)) \\ \mathbf{effect}(dead(X) \leftarrow hit(X)) \leftarrow shoot(X) & \mathbf{effect}(loaded) \leftarrow load \\ \mathbf{effect}(hit(X) \leftarrow \text{not } missed(X)) \leftarrow shoot(X) & \mathbf{effect}(bang) \leftarrow shoot(X) \\ \mathbf{effect}(missed(X) \leftarrow \text{not } hit(X)) \leftarrow shoot(X) & \mathbf{effect}(\text{not } loaded) \leftarrow shoot(X) \end{array}$$

Let us analyze this EAP. The first rule encodes the impossibility to execute the action  $shoot(X)$  when the gun is unloaded. The static rule  $moving(X) \leftarrow$



*not dead(X)* implies that, for any turkey  $X$ , *moving(X)* is true if *dead(X)* is false. Since this is the only rule for *moving(X)*, it further holds that *moving(X)* is true iff *dead(X)* is false. Notice that declaring *moving(tk)* as inertial, would result, in our description, in the possibility of having a moving dead turkey! This is why fluents *moving(X)* have not been declared as inertial. In fact, suppose we insert **inertial**(*moving(X)*) in the EAP above. Suppose further that *moving(tk)* is true at state  $s$ , that one shoots at  $tk$  and kills it. Since *moving(tk)* is an inertial fluent, in the resulting state *dead(tk)* is true, but *moving(tk)* remains true by inertia. Also notable is how effects that last only for one state, like the noise provoked by the shoot, are easily encoded. The last three dynamic rules on the left encode a nondeterministic behaviour: each shoot action can either hit and kill a turkey, or miss it.

To see how this EAP encodes the desired behaviour of this domain, consider the following example of evolution. In this example, to lighten the notation, we omit the negative literals belonging to interpretations. Let us consider the initial state  $\{\}$  (which means that all fluents are false). The state will remain unchanged until some action is performed. If one loads the gun, the program is updated with the external event  $\{load\}$ . In the unique successor state, the fluent *loaded* is true and nothing else changes. The truth value of *loaded* remains then unchanged (by inertia) until some other action is performed. The same applies to fluents *dead(X)*. The fluents *bang*, *missed(X)*, and *hit(X)* remain false by default. If one shoots at a specific turkey, say Smith, and the program is updated with the event *shoot(smith)*, several things happen. First, *loaded* becomes false, and *bang* becomes true, as an effect of the action. Moreover, the rules:

$$\begin{aligned} hit(smith) &\leftarrow not\ missed(smith). \\ missed(smith) &\leftarrow not\ hit(smith). \\ dead(smith) &\leftarrow hit(smith). \end{aligned}$$

are considered as rules of the domain for one state. As a consequence, there are two possible resulting states. In the first one, *missed(smith)* is true, and all the others fluents are false. In the second one *hit(smith)* is true, *missed(smith)* is false and, by the rule *dead(smith) ← hit(smith)*, the fluent *dead(smith)* becomes true. In both the resulting states, nothing happens to the truth value of the fluents *dead(X)*, *hit(X)*, and *dead(X)* for  $X \neq smith$ .

## 4 Relationship to Existing Action Languages

In this section we show embeddings into EAPs of the action languages  $\mathcal{B}$  and (the definite fragment of)  $\mathcal{C}^2$ . We will assume that the considered initial states are consistent wrt. the static rules of the program, i.e. if the body of a static rule is true in the considered state, the head is true as well.

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<sup>2</sup> The embedding of language  $\mathcal{A}$  is not explicitly exposed here since  $\mathcal{A}$  is a (proper) subset of the  $\mathcal{B}$  language.

Let us consider first the  $\mathcal{B}$  language. The basic ideas of static and dynamic rules are very similar in  $\mathcal{B}$  and in EAPs. The main difference between the two is that in  $\mathcal{B}$  *all* the fluents are inertial, whilst in EAPs only those that are declared as such are inertial. The translation of  $\mathcal{B}$  into EAPs is then straightforward: All fluents are declared as inertial and then the syntax of static and dynamic rules is adapted. In the following we use, with abuse of notation, *Body* and *Cond* both for conjunctions of literals and for sets of literals.

**Definition 8.** Let  $P$  be any action program in  $\mathcal{B}$  with set of fluents  $\mathcal{F}$ . The translation  $B(P, \mathcal{F})$  is the pair  $(I^B \oplus D^{BP}, \mathcal{F}^B)$  where:  $\mathcal{F}^B \equiv \mathcal{F}$ ,  $I^B = \text{initialize}(\mathcal{F})$  and  $D^{BP}$  contains exactly the following rules:

- *inertial*( $Q$ ) for each fluent  $Q \in \mathcal{F}$
- a rule  $F \leftarrow \text{Body}$  for any static rule  $F$  **if** *Body* in  $P$ .
- a rule **effect**( $F$ )  $\leftarrow A, \text{Cond.}$  for any dynamic rule  $A$  **causes**  $F$  **if** *Cond* in  $P$ .

**Theorem 2.** Let  $P$  be any  $\mathcal{B}$  program with set of fluents  $\mathcal{F}$ ,  $(I^B \oplus D^{BP}, \mathcal{F})$  its translation,  $s$  a state and  $K$  any set of actions. Then  $s'$  is a resulting state from  $s$  given  $P$  and the set of actions  $K$  iff it is a resulting state from  $s$  given  $I^B \oplus D^{BP}$  and the set of actions  $K$ .

This theorem makes it clear that there is a close relationship between *EAPs* and the  $\mathcal{B}$  language. In practice, *EAPs* generalize  $\mathcal{B}$  by allowing both inertial and non inertial fluents and by admitting rules, rather than simply facts, as effects of actions.

Let us consider now the action language  $\mathcal{C}$ . Given a complete description of the current state of the world and performed actions, the problem of finding a resulting state is a problem of the satisfiability of a causal theory, which is known to be  $\sum_P^2$ -hard (cf. [15]). So, this language belongs to a category with higher complexity than EAPs whose satisfiability is NP-complete. However, only a fragment of  $\mathcal{C}$  is implemented and the complexity of such fragment is NP. This fragment is known as the *definite fragment* of  $\mathcal{C}$  [15]. In this fragment, static rules are expressions of the form **caused**  $F$  **if** *Body* where  $F$  is a fluent literal and *Body* is a conjunction of fluent literals, while dynamic rules are expressions of the form **caused not**  $F$  **if** *Body* **after** *Cond* where *Cond* is a conjunction of fluent or action literals<sup>3</sup> For this fragment it is possible to provide a translation into EAPs.

The main problem of the translation of  $\mathcal{C}$  into EAPs lies in the simulation of causal reasoning with stable model semantics. The approach followed here to encode causal reasoning with stable models is in line with the one proposed in [21]. We need to introduce some auxiliary predicates and define a syntactic

<sup>3</sup> The definite fragment defined in [15] is (apparently) more general, allowing *Cond* and *Body* to be arbitrary formulae. However, it is easy to prove that such kind of expressions are equivalent to a set of expressions of the form described above.

translation of rules. Let  $\mathcal{F}$  be a set of fluents, and let  $\mathcal{F}^C$  denote the set of fluents  $\mathcal{F} \cup \{Q_N \mid Q \in \mathcal{F}\}$ . We add, for each  $Q \in \mathcal{F}$ , the constraints:

$$\leftarrow \text{not } Q, \text{not } Q_N. \quad (4)$$

$$\leftarrow Q, Q_N. \quad (5)$$

Let  $Q$  be a fluent and  $Body = F_1, \dots, F_n$  a conjunction of fluent literals. We will use the following notation:  $\overline{Q} = \text{not } Q_N, \text{not } \overline{Q} = \text{not } Q$  and  $\overline{Body} = \overline{F_1}, \dots, \overline{F_n}$

**Definition 9.** Let  $P$  be any action program in the definite fragment of  $\mathcal{C}$  with set of fluents  $\mathcal{F}$ . The translation  $C(P, \mathcal{F})$  is the pair  $(I^C \oplus D^{CP}, \mathcal{F}^C)$  where:  $\mathcal{F}^C$  is defined as above,  $I^C \equiv \mathbf{initialize}(\mathcal{F}^C)$  and  $D^{CP}$  consists exactly of the following rules:

- a rule **effect**( $Q \leftarrow \overline{Body}$ )  $\leftarrow$  *Cond*, for any dynamic rule in  $P$  of the form **caused**  $Q$  **if**  $Body$  **after** *Cond*;
- a rule **effect**( $Q_N \leftarrow \overline{Body}$ )  $\leftarrow$  *Cond*, for any dynamic rule in  $P$  of the form **caused not**  $Q$  **if**  $Body$  **after** *Cond*;
- a rule  $Q \leftarrow \overline{Body}$ , for any static rule in  $P$  of the form **caused**  $Q$  **if**  $Body$ ;
- a rule  $Q_N \leftarrow \overline{Body}$ , for a static rule in  $P$  of the form **caused not**  $Q$  **if**  $Body$ ;
- The rules (4) and (5), for each fluent  $Q \in \mathcal{F}$ .

For this translation we obtain a result similar to the one obtained for the translations of the  $\mathcal{B}$  language:

**Theorem 3.** Let  $P$  be any action program in the definite fragment of  $\mathcal{C}$  with set of fluents  $\mathcal{F}$ ,  $(I^C \oplus D^{CP}, \mathcal{F}^C)$  its translation,  $s$  a state,  $s^C$  the interpretation over  $\mathcal{F}^C$  defined as follows:  $s^C = s \cup \{Q_N \mid Q \in s\} \cup \{\text{not } Q_N \mid \text{not } Q \in s\}$  and  $K$  any set of actions. Then  $s^*$  is a resulting state from  $s^C$  given  $I^C \oplus D^{CP}$  and the set of actions  $K$  iff there exists  $s'$  such that  $s'$  is a resulting state from  $s$ , given  $P$  and the set  $K$  and  $s^* \equiv_{\mathcal{F}_L} s'$ .

By showing translations of the action languages  $\mathcal{B}$  and the definite fragment of  $\mathcal{C}$  into EAPs, we proved that EAPs are *at least as expressive* as such languages. Moreover, the translations above are quite simple: basically one EAP static or dynamic rule for each static or dynamic rule in the other languages. The next natural question is: Are they *more expressive*?

## 5 Updates of Action Domains

Action description languages describe the rules governing a domain where actions are performed, and the environment changes. In practical situations, it may happen that the very rules of the domain change with time too. When this happens, it would be desirable to have ways of specifying the necessary updates to the considered action program, rather than to have to write a new one. EAPs

are just a particular kind of Evolp sequences. So, as in general Evolp sequences, they can be updated by external events.

When one wants to update the existing rules with a rule  $\tau$ , all that has to be done is to add the fact  $assert(\tau)$  as an external event. This way, the rule  $\tau$  is asserted and the existing Evolp sequence is updated. Following this line, we extend EAPs by allowing the external events to contain facts of the form  $assert(\tau)$ , where  $\tau$  is an Evolp rule, and we show how they can be used to express updates to EAPs. For simplicity, below we use the notation  $assert(R)$ , where  $R$  is a set of rules, for the set of expressions  $assert(\tau)$  where  $\tau \in R$ .

To illustrate how to update an EAP, we come back to Example 1. Let  $I \oplus D$  be the EAP defined in there. Let us now consider that after some shots, and dead turkeys, rubber bullets are acquired. One can now either load the gun with normal bullets or with a rubber bullets, but not with both. If one shoots with a rubber loaded gun, the turkey is not killed.

To describe this change in the domain, we introduce a new inertial fluent representing the gun being loaded with rubber bullets. We have to express that, if the gun is rubber-loaded, one can not kill the turkey. For this purpose we introduce the new macro:

$$not\ effect(F \leftarrow Body) \leftarrow Cond.$$

where  $F$ , is a fluent literal,  $Body$  is a set of fluents literals and  $Cond$  is a set of fluent or action literals. We refer to such expressions as *effects inhibitions*. This macro simply stands for the rule

$$assert(not\ event(F \leftarrow Body)) \leftarrow Cond.$$

where  $event(F \leftarrow Body)$  is as before. The intuitive meaning is that, if the condition  $Cond$  is true in the current state, any dynamic rule whose effect is the rule  $F \leftarrow Body$  is ignored.

To encode the changes described above, we update the EAP with the external event  $E_1$  consisting of the facts  $assert(I_1)$  where

$$I_1 = (\mathbf{initialize}(rubber\_loaded))$$

Then, in the subsequent state, we update the program with the external update  $E_2 = assert(D_1)$  where  $D_1$  is the set of rules<sup>4</sup>

$$\begin{aligned} &\mathbf{inertial}(rubber\_loaded). \\ &\mathbf{effect}(rubber\_loaded) \leftarrow rubber\_load. \\ &\mathbf{effect}(not\ rubber\_loaded) \leftarrow shoot(X). \\ &\mathbf{effect}(\perp) \leftarrow rubber\_loaded, load. \\ &\mathbf{effect}(\perp) \leftarrow loaded, rubber\_load. \\ &not\ \mathbf{effect}(dead(X) \leftarrow hit(X)) \leftarrow rubber\_loaded. \end{aligned}$$

<sup>4</sup> In the remainder, we use  $assert(U)$ , where  $U$  is a set of macros (which are themselves sets of Evolp rules), to denote the set of all facts  $assert(\tau)$  such that there exists a macro  $\eta$  in  $U$  with  $\tau \in \eta$ .

Let us analyze the proposed update. First, the fluent *rubber\_loaded* is initialized. It is important to initialize any fluent before starting to use it. The newly introduced fluent is declared as inertial, and two dynamic rules are added specifying that load actions are not executable when the gun is already loaded in a different way. Finally we use the new condition to specify that the effect  $dead(X) \leftarrow hit(X)$  does not occur if, in the previous state, the gun was loaded with rubber bullets. Since this update is more recent than the original rule  $\mathbf{effect}(dead(X) \leftarrow hit(X)) \leftarrow shoot(X)$ , the dynamic rule is updated.

Basically updating the original EAP with the rule

$$not\ \mathbf{effect}(dead(X) \leftarrow hit(X)) \leftarrow rubber\_loaded.$$

has the effect of adding *not rubber\_loaded* to the preconditions of the dynamic rule

$$\mathbf{effect}(dead(X) \leftarrow hit(X)) \leftarrow shoot(X).$$

So far we have shown how to update the preconditions of a dynamic rule. It is also possible to update static rules and the descriptions of effects of actions. Suppose the cylinder of the gun becomes dirty and, whenever one shoots, the gun may either work properly or fail. If the gun fails, the action *shoot* has no effect. We introduce two new fluents in the program with the event  $assert(I_2)$  where  $I_2 = \mathbf{initialize}(fails, work)$ . Then, we assert the event  $E_2 = assert(D_2)$  where  $D_2$  is the following EAP

$$\begin{aligned} \mathbf{effect}(fails \leftarrow not\ work) &\leftarrow shoot(X). \\ \mathbf{effect}(work \leftarrow not\ fails) &\leftarrow shoot(X). \\ not\ missed(X) &\leftarrow fails. \\ not\ hit(X) &\leftarrow fails. \\ not\ bang &\leftarrow fails. \\ \mathbf{effect}(loaded \leftarrow fails) &\leftarrow loaded. \\ \mathbf{effect}(rubber\_loaded \leftarrow fails) &\leftarrow rubber\_loaded. \end{aligned}$$

The first two dynamic rules simply introduce the possibility that a failure may occur every time we shoot. The three static rules describe changes in the behaviour of the environment when the gun fails, and amount to negate what was entailed by static and dynamic rules in  $D$ . The last two dynamic rules update two of the dynamic rules in  $D$  and  $D_1$ , respectively. These rules specify that, when a failure occurs, the gun remains loaded with the same kind of bullet. Since the new rules of  $D_2$  are more recent than the rules in  $D$  and  $D_1$ , they update these latter ones.

This last example shows how to update static and dynamic rules with new static and dynamic rules. To illustrate how this is indeed achieved in this example, we now show a possible evolution of the updated system. Suppose currently the gun is not loaded. One loads the gun with a rubber bullet, and then shoots at the turkey named Trevor. The initial state is  $\{\}$ . The first set of actions is  $\{rubber\_load\}$ . The resulting state after this action is  $s' \equiv \{rubber\_loaded\}$ . Suppose one performs the action *load*. Since the EAP is updated with the dynamic

rule  $\mathbf{effect}(\perp) \leftarrow \mathit{rubber\_loaded}, \mathit{load}$ . there is no resulting state. This happens because we have performed a non executable action. Suppose, instead, that the second set of actions is  $\{\mathit{shoot}(\mathit{trevor})\}$ . In this case there are three possible resulting states. In one the gun fails and, in it, the resulting state is again  $s'$ . In the second, the gun works but the bullet misses Trevor. In this case, the resulting state is  $s'_1 \equiv \{\mathit{missed}(\mathit{trevor})\}$ . Finally, in the third, the gun works and the bullet hits Trevor. Since the bullet is a rubber bullet, Trevor is still alive. In this case the resulting state is  $s'_2 \equiv \{\mathit{hit}(\mathit{trevor})\}$ .

The events may introduce changes in the behaviour of the original EAP. This opens a new problem. In classical action languages we do not care about the previous *history* of the world: If the current state of the world is  $s$ , the computation of the resulting states is not affected by the states before  $s$ . In the case of EAPs the situation is different, since external updates can change the behaviour of the considered EAP. Fortunately, we do not have to care about the *whole* history of the world, but just about those events containing new initializations, inertial declarations, effects inhibitions, and static and dynamic rules.

It is possible to have a compact description of an EAP that is updated several times via external events. For that we need to further extend the original definition of EAPs.

**Definition 10.** *An updated Evolp action program over  $(\mathcal{F}, \mathcal{A})$  is any sequence  $I \oplus D_1 \oplus \dots \oplus D_n$  where  $I$  is  $\mathit{initialize}(\mathcal{F})$ , and the various  $D_k$  are sets consisting of static rules, dynamic rules, inertial declarations and effects inhibitions such that any fluent appearing in  $D_k$  belongs to  $\mathcal{F}$ .*

**Definition 11.** *Let  $I \oplus D_1 \oplus \dots \oplus D_n$  be any updated EAP and  $s$  a state of the world. Then  $s'$  is a resulting state from  $s$  given  $I \oplus D_1 \oplus \dots \oplus D_n$  and the sequence of sets of actions  $K_1, \dots, K_n$  iff there exists an evolving stable model  $M_1, \dots, M_n$  of  $I \oplus D_1 \oplus \dots \oplus D_n$  given the external events  $(s \cup K_1), \dots, K_n, \emptyset$  such that  $s' \equiv_{\mathcal{F}} M_n$ .*

In general, if we updated an Evolp action program  $I \oplus D$  with the subsequent events  $\mathit{assert}(I_1), \mathit{assert}(D_1)$ , where  $I_1 \oplus D_1$  is another EAP, we obtain the equivalent updated Evolp action program  $(I \cup I_1) \oplus D \oplus D_1$  For ally:

**Theorem 4.** *Let  $I_0 \cup I \oplus D_0 \oplus D_1 \oplus \dots \oplus D_k$  be any update EAP over  $(\mathcal{F}, \mathcal{A})$ . Let  $\bigoplus E_i^n$  be a sequence of events such that:  $E_1 = K_1 \cup s$ , where  $s$  is any state of the world and  $K_1$  is any set of actions; and the others  $E_i$ s are any set of actions  $K_\alpha$ , or any set  $\mathit{assert}(\mathit{initialize}(\mathcal{F}_\beta))$  where  $\bigcup \mathcal{F}_\beta \equiv I$ , or any  $\mathit{assert}(D_i)$  with  $1 \leq i \leq k$ . Let  $s_1, \dots, s_n$  be a sequence of possible resulting states from  $s$  given the EAP  $I_0 \oplus D_0$  and the sequence of events  $\bigoplus E_i^n$  and  $K_{n+1}$  a set of actions. Then  $s_1, \dots, s_n, s'$  is a resulting state from  $s$  given  $I_0 \oplus D_0$  and the sequence of events  $\bigoplus E_i^n \oplus K_{n+1}$  iff  $s'$  is a resulting state from  $s_n$  given  $I_0 \cup I \oplus D_0 \oplus D_1 \oplus \dots \oplus D_k$  and the set of actions  $K_{n+1}$ .*

By applying this theorem we can, for instance, simplify the updates to the original EAP of the example in this section into the updated EAP  $I_{sum} \oplus D \oplus D_1 \oplus D_2$ , where  $I_{sum} \equiv I \cup I_1 \cup I_2$ ,  $I$  and  $D$  are as in Example 1, and the  $I_i$ s and  $D_i$ s are as described above.

Yet one more possibility opened by updated Evolp action programs is to cater for successive elaborations of a program. Consider an initial problem described by an EAP  $I \oplus D$ . If we want to describe an elaboration of the program, instead of *rewriting*  $I \oplus D$  we can simply *update* it with new rules. This gives a new answer to the problem of elaboration tolerance [25] and also open the new possibility of *automatically update* action programs by other action programs.

The possibility to elaborate on an action program is also discussed in [15] in the context of the  $\mathcal{C}$  language. The solution proposed there, is to consider  $\mathcal{C}$  programs whose rules have one extra fluent atom in their bodies, all these extra fluents being false by default. The elaboration of an action program  $P$  is the program  $P \cup U$  where  $U$  is a new action program. The rules in  $U$  can defeat the rules in  $P$  by changing the truth value of the extra fluents. An advantage of EAP over that approach is that in EAPs the possibility of updating rules is a built-in feature rather than a programing technique involving manipulation of rules and introduction of new fluents. Moreover, in EAPs we can simply encode the new behaviours of the domain by new rules and then let these new rules update the previous ones.

## 6 Conclusions and Future Work

In this paper we have explored the possibility of using logic programs updates languages as action description languages. In particular, we have focused our attention on the Evolp language [2]. As a first point, we have defined a new action language paradigm, christened Evolp action programs, defined as a macro language over Evolp. We have provided an example of usage of this language, and compared Evolp action programs with action languages  $\mathcal{A}$ ,  $\mathcal{B}$  and the definite fragment of  $\mathcal{C}$ , by defining simple translations into Evolp of programs in these languages. Finally, we have also shown and argued about the capability of EAPs to handle changes in the domain during the execution of actions.

Though all the results in this paper refer to the update language Evolp, it is not our stance that these could not be obtained if other LP update languages were used instead. For recasting (so to speak) of the results in other LP update languages, one would have to resort to established relationships between the various LP update languages, such as the ones found in [2, 19]. Also, the possibility of handling changes in the domain shown by EAPs, could in principle be obtained if, instead of Evolp, another update language with the capability of updating update rules were used instead. Another LP update language with this capability is the KABUL language defined in [19]. However, the study of which of the existing LP update languages could be used as action description languages, in a way similar to what is described here for Evolp, is outside the scope of this paper, and would, in our opinion, fit better in a paper with a focus on relationship among various LP update languages. Our goal in this paper was to show that (at least) one LP update language can be used for describing effects of actions, and can be formally compared with existing action description languages. This goal was achieved by showing exactly that for the language Evolp.

Several important topics are not touched here, and will be subject of future work. Important fields of research are how to deal, in the Evolp context, with the problem of planning prediction and postdiction [24], when dealing with incomplete knowledge of the state of the world. Yet another topic involves the possibility of concurrent execution of actions. Nevertheless, we have not fully explored this topic, and confronted the results with extant works [6, 18].

The development of implementations for Evolp and EAPs is another necessary step. Finally EAPs have to be tested in real and complex contexts.

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## A Proofs

Before presenting the proofs of the results in this paper, we present an alternative definition of the transition function of EAPs, and prove its equivalence to the original definition (Definition 6). We do so because in some proofs it is more convenient to use this alternative definition.

In this alternative definition, and in its prove, we will use the notation  $S|_{\mathcal{I}}$  to denote the restriction of the set  $S$  to the literals in the set  $\mathcal{I}$  i.e., to denote  $S \cap \mathcal{I}$ .

**Theorem 5.** *Let  $I \oplus D$  be any EAP,  $s$  a state of the world and  $K$  a set of actions. Let  $\mathcal{R}$  be the set of static rules in  $D$ ,  $\mathcal{I}$  the following set of fluent literals*

$$\mathcal{I} = \{Q \in \mathcal{F} : \mathbf{inertial}(Q) \in D\} \cup \{\text{not } Q : Q \in \mathcal{F} : \mathbf{inertial}(Q) \in D\}$$

and  $D(s, K)$  be the following set of rules:

$$D(s, K) = \{\tau : \mathbf{effect}(\tau) \leftarrow \text{Cond} \in D \wedge K \cup s \models \text{Cond}\}$$

Then  $s'$  is a resulting state from  $s$  given  $I \oplus D$  and the set of actions  $K$  iff

$$s' = \text{least} \left( (s \cap s' \cap \mathcal{I}) \cup \text{Default}(s', \mathcal{R} \cup D(s, K)) \Big|_{(\mathcal{F}_L \setminus \mathcal{I})} \cup D(s, K) \cup \mathcal{R} \right) \quad (6)$$

*Proof.* By Definition 6,  $s'$  is a resulting state from  $s$  given  $I \oplus D$  and the set of actions  $K$  iff there exists an evolving stable model  $M_1, s^*$  of  $I \oplus D$  given the external events  $s \cup K, \emptyset$  such that  $s' \equiv_{\mathcal{F}} s^*$ . An interpretation  $M_1$  is an evolving stable model of  $I \oplus D$  given the external events  $s \cup K$  iff  $M_1$  is a refined stable models of  $I \oplus D \cup s \cup K$  i.e.,

$$M_1 = \text{least} \left( (I \cup D \cup s \cup K) \setminus \text{Rej}^s(M_1, I \oplus D \cup K \cup s) \cup \text{Default}(M_1) \right)$$

All the atoms of the formula  $\text{event}(\tau)$  where  $\tau$  is the effect of a dynamic rule are false by default in  $I \oplus D \cup K \cup s$ . Hence the rules of the formula (1) and (3), which have those atoms in their bodies, play no role when calculating the least model. Also all the literals of the formula  $\text{prev}(Q)$ , where  $Q$  is a fluent literal, are false by default, and so the rules of the formula  $Q \leftarrow \text{prev}(Q)$  play no role either. Since the initial (starting) state  $s$  is always assumed consistent wrt. the static rules, there is no conflict between the static rules in  $D$ . Thus, static rules do not reject any literal in  $s$  nor do they infer any fluent literal that does not belong to  $s$ . So, we can simplify the expression above in the following way:

$$M_1 = \text{least} \left( (D^* \cup s \cup K) \cup \text{Default}(M_1) \right)$$

where  $D^*$  is the set of all rules the formula

$$\text{assert}(\text{event}(\tau)) \leftarrow \text{Cond}.$$

for which there is a dynamic rule  $\text{effect}(\tau) \leftarrow \text{Cond}$  in  $D$ , union with the set of all rules of the formula

$$\text{assert}(\text{prev}(Q)) \leftarrow Q. \quad \text{assert}(\text{not prev}(Q)) \leftarrow \text{not } Q.$$

for every  $Q$  such that  $\text{inertial}(Q)$  belongs to  $D$ .

Hereafter, for sake of simplicity, in interpretations we omit the negative literals of the formula  $\text{not } A$  whenever  $A$  is an auxiliary atom or an action literal. In other words, we omit  $\text{not } A$  whenever  $A \notin \mathcal{F}$ . Moreover, by  $\text{Prev}(s)$  we denote the set of literals which are either of the formula  $\text{prev}(F)$  where  $F$  is a fluent literal that is declared as inertial in  $D$  and is true in  $s$ , or of the formula  $\text{not prev}(F)$  where  $F$  is a fluent literal that is declared as inertial in  $D$  and is false in  $s$ . Finally, by  $\text{ED}(s, K)$  we mean the set of literals  $\text{event}(\tau)$  such that

$$\text{assert}(\text{event}(\tau)) \leftarrow \text{Cond}.$$

belongs to  $D$  and  $s \cup K \models \text{Cond}$ .

Given this, it is easy to see that the trace associated with any evolving interpretation  $M_1, s^*$  is the sequence  $\mathcal{J} : I \oplus D \oplus \text{Prev}(s) \cup \text{ED}(s, K)$ . So,  $M_1, s^*$

is an evolving stable model of  $I \oplus D$  given the sequence of events  $K, \emptyset$  iff  $s^*$  is a refined stable model of  $\mathcal{J}$ .

Let  $s^*$  be any interpretation over the language of  $I \oplus D$ , and  $s' = s^*|_{\mathcal{F}}$ . To prove the theorem, we simply have to prove that  $s^*$  is a refined stable model of  $\mathcal{J}$  iff  $s'$  satisfies the equivalence (6). By definition of refined stable model,  $s^*$  is a refined stable model of  $\mathcal{J}$  iff

$$s^* = \text{least} \left( (I \cup D \cup \text{Prev}(s) \cup D(s, K)) \setminus \text{Rej}^S(s^*) \cup \text{Default}(s^*) \right)$$

$\Rightarrow$  Assume that  $s^*$  is a refined stable model of  $\mathcal{J}$ . To prove that  $s'$  satisfies the equivalence, we start by simplifying the expression above defining  $s^*$ .

Let  $s' = s^*_{\mathcal{F}}$ . Since  $s'$  only has fluent literals, the dynamic rules and the inertial declarations in  $D$  play no role in verifying the equivalence. Hence, the only rules we are interested in are the static rules in  $\mathcal{R}$ . Moreover, since  $s^*$  is two valued, there is no mutual rejection between the rules in  $\mathcal{R}$ : otherwise there would be a fluent literal  $Q$  such that all the rules with head  $Q$  or *not*  $Q$  would be rejected, and such that *not*  $Q$  would not be in the set  $\text{Default}(s^*)$  as well. In such a case, neither  $Q$  nor *not*  $Q$  would be in  $s^*$  which would contradict the two valuedness of  $s^*$ . Finally, by partially evaluating the facts in  $ED(s, K)$ , in the rules of the form

$$F \leftarrow \text{Body}, \text{event}(F \leftarrow \text{Body}).$$

we can delete the atom  $\text{event}(\tau)$  from the body of those rules whenever  $\text{event}(\tau) \in ED(s, K)$ , and delete one such rule when  $\text{event}(\tau) \notin ED(s, K)$ . With this, we can simplify the equivalence for  $s'$  into:

$$s' = \text{least} \left( I \setminus \text{Rej}^S(s^*) \cup \text{Prev}(s) \cup \mathcal{R} \cup D(s, K) \cup \text{Default}(s^*) \right)$$

We can split the set of default assumptions into two subsets: the one concerning the inertial fluent literals; and the one concerning the fluent literals that are not inertial. Taking this splitting in consideration, the equivalence for  $s'$  becomes:

$$s' = \text{least} \left( \begin{array}{l} I \setminus \text{Rej}^S(s^*) \cup \text{Prev}(s) \cup \text{Default}(s^*)|_{\mathcal{I}} \cup \\ \mathcal{R} \cup D(s, K) \cup \text{Default}(s^*)|_{(\mathcal{F}_L \setminus \mathcal{I})} \end{array} \right)$$

where  $\text{Default}(s^*)$  stands for  $\text{Default}(s^*, I \oplus R \cup D(s, K))|_{(\mathcal{F}_L \setminus \mathcal{I})}$ . Notice that the expression  $\text{Default}(s^*, I \oplus R \cup D(s, K))|_{(\mathcal{F}_L \setminus \mathcal{I})}$  is equivalent to  $\text{Default}(s', \mathcal{R} \cup D(s, K))|_{(\mathcal{F}_L \setminus \mathcal{I})}$ . Moreover, the expression  $\text{Default}(s^*)|_{\mathcal{I}}$  is equivalent to  $\text{Default}(s', s \cup \mathcal{R} \cup D(s, K))|_{\mathcal{I}}$ . Let  $\text{Inherit}(s)$  be the set of rules:

$$\text{Inherit}(s^*) = \{Q \in \mathcal{F} : Q \leftarrow \text{prev}(Q) \in I \setminus \text{Rej}^S(s^*) \wedge \text{prev}(Q) \in \text{Prev}(s)\}$$

What remains to show in order to prove that  $s'$  satisfies the equivalence (6) is that

$$\text{Inherit}(s^*) \cup \text{Default}(s^*)|_{\mathcal{I}} \equiv (s \cap s' \cap \mathcal{I})$$

For showing this, we consider separately the negative and the positive fluent literals. Let  $Q$  be a fluent literal that belongs to  $(s \cap s' \cap \mathcal{I})$ . We want to prove this is equivalent to say that  $Q \leftarrow \text{prev}(Q)$  belongs to  $I \setminus \text{Rej}^S(s^*)$  and that  $\text{Prev}(Q) \in \text{Prev}(s)$  i.e., we want to prove that  $Q \in \text{Inherit}(s^*)$ .

The literal  $Q$  belongs to  $(s \cap s' \cap \mathcal{I})$  iff  $Q \in \mathcal{I}$ ,  $\text{not } Q \notin s$  and  $\text{not } Q \notin s'$ . This implies that there exists no rule in  $\mathcal{R} \cup D(s, K)$  whose head is  $\text{not } Q$  and whose body is true. So, the rule  $Q \leftarrow \text{prev}(Q)$  belongs to  $I \setminus \text{Rej}^S(s^*)$  and, by  $Q \in s$  and by definition of  $\text{Prev}(s)$ , we conclude that  $\text{Prev}(Q) \in \text{Prev}(s)$ . Let assume now  $Q \leftarrow \text{prev}(Q)$  belongs to  $I \setminus \text{Rej}^S(s^*)$ , then there exists no rule in  $\mathcal{R} \cup D(s, K)$  whose head is  $\text{not } Q$  and whose body is true. If, furthermore,  $\text{Prev}(Q) \in \text{Prev}(s)$ , then  $\text{not } Q \notin \text{Default}(s^*)$  and so  $\text{not } Q$  is not derived by any rule nor by default assumption. Thus,  $\text{not } Q \notin s'$  and so  $Q \in s$ . Moreover, by definition if  $\text{prev}(Q) \in \text{Prev}(s)$  then  $Q \in s$  and  $Q \in \mathcal{I}$ . So, we have proved that

$$Q \in (s \cap s' \cap \mathcal{I}) \Leftrightarrow Q \leftarrow \text{prev}(Q) \in I \setminus \text{Rej}^S(s^*) \wedge \text{prev}(Q) \in \text{Prev}(s)$$

Let us now consider the negative fluent literals. In this case we want to prove that, for any inertial fluent, the following equivalence holds.

$$\text{not } Q \in (s \cap s') \Leftrightarrow \text{not } Q \in \text{Default}(s', s \cup \mathcal{R} \cup D(s, K)) | \mathcal{F}$$

We know  $\text{not } Q \in s'$  iff  $Q \notin s'$ , which, since  $s'$  is a model of  $\mathcal{R} \cup D(s, K)$ , implies that there exists no rule in  $\mathcal{R} \cup D(s, K)$  whose head is  $Q$  and whose body is satisfied by  $s'$ . This, together with the fact that  $Q \notin s$ , by definition of  $\text{Default}$  implies that  $\text{not } Q \in \text{Default}(s', s \cup \mathcal{R} \cup D(s, K))$ , as desired.

$\Leftarrow$  Let us now suppose that  $s'$  satisfies the equivalence (6). i.e.

$$s' = \text{least} \left( (s \cap s' \cap \mathcal{I}) \cup \text{Default}(s', \mathcal{R} \cup D(s, K)) |_{(\mathcal{F}_L \setminus \mathcal{I})} \cup D(s, k) \cup \mathcal{R} \right)$$

Let  $NED$  be the set of literals of the form  $\neg \text{event}(\tau)$  such that  $\text{event}(\tau) \in ED(s, K)$  and there is no dynamic rule of the form  $\text{effect}(\tau) \leftarrow \text{Cond}$  such that  $s'$  satisfies  $\text{Cond}$ . Let  $s'$  be the following evolving interpretation (again we omit in the interpretation, the negative literals which are not fluent literals).

$$s^* = s' \cup \text{Prev}(s) \cup ED(s, K) \cup NED \cup \text{assert}(ED(s', K)) \cup \text{assert}(\text{Prev}(s))'$$

We have to prove that  $s^*$  is a refined stable model of  $\mathcal{J}$ . We start this proof by showing that

$$\text{Inherit}(s^*) \cup \text{Default}(s^*) |_{\mathcal{I}} \equiv (s \cap s' \cap \mathcal{I})$$

We start by assuming that  $Q$  is a fluent literal in  $(s \cap s' \cap \mathcal{I})$ .  $Q$  is such a fluent iff  $\text{Prev}(Q) \in \text{Prev}(s)$ , and  $\text{not } Q \notin s'$ . Since  $s'$  is a model of  $\mathcal{R} \cup D(s, K)$ , we conclude that there exists no rule in  $\mathcal{R} \cup D(s, K)$  with head  $\text{not } Q$  and true body in  $s'$ . Thus, the rule  $Q \leftarrow \text{prev}(Q) \in I \setminus \text{Rej}^S(s^*)$ , and hence  $Q \in \text{Inherit}(s^*)$ .

Let assume now  $Q \in \text{Inherit}(s^*)$  (i.e.  $Q \leftarrow \text{prev}(Q) \in I \setminus \text{Rej}^S(s^*)$  and  $\text{prev}(Q) \in \text{Prev}(s)$ ) then  $Q \in s$ . This implies that  $\text{not } Q \notin s$ ,  $Q \in \mathcal{I}$ , and there exists no rule in  $\mathcal{R} \cup D(s, K)$  with head  $Q$  whose body is true in  $s'$ . Consequently,  $\text{not } Q \notin s'$  (i.e.  $Q \in s'$ ), and finally  $Q \in (s \cap s' \cap \mathcal{I})$ . Let us now consider the negative fluent literals. We want to prove that, for any inertial fluent, the following equivalence holds.

$$\text{not } Q \in (s \cap s') \Leftrightarrow \text{not } Q \in \text{Default}(s', s \cup \mathcal{R} \cup D(s, K))|_{\mathcal{F}}$$

The proof proceeds in the same way as above, in order to conclude that

$$\text{Inherit}(s^*) \cup \text{Default}(s^*)|_{\mathcal{I}} \equiv (s \cap s' \cap \mathcal{I})$$

We obtain then the following equivalence

$$s' = \text{least} \left( \begin{array}{l} \text{Inherit}(s^*) \cup \text{Default}(s^*)|_{\mathcal{I}} \cup \\ \text{Default}(s', \mathcal{R} \cup D(s, K))|_{(\mathcal{F}_L \setminus \mathcal{I})} \cup D(s, k) \cup \mathcal{R} \end{array} \right)$$

which is equivalent to

$$s' = \text{least} ( \text{Inherit}(s^*) \cup \text{Default}(s^*) \cup D(s, k) \cup \mathcal{R} )|_{\mathcal{F}_L}$$

Since  $s'$  is consistent wrt.  $D(s, K)$  and  $\mathcal{R}$ , these sets of rules do not contain any pair of rules with conflicting heads and whose bodies are both true in  $s'$ . So, by replacing  $\text{Inherit}(s^*)$  with  $\text{Prev}(s) \cup I \setminus \text{Rej}^S(s^*)$  we obtain

$$s' = \text{least} ( (I \cup D(s, K) \cup mR) \setminus \text{Rej}^S(s^*) \cup \text{Default}(s^*) )|_{\mathcal{F}_L}$$

and from this, and by considering the definition of  $s^*$

$$s^* = \text{least} ((I \cup D \cup \text{Prev}(s) \cup D(s, K)) \setminus \text{Rej}^S(s^*) \cup \text{Default}(s^*))$$

This equation is, by definition, equivalent to say that  $M_1, s^*$  is an evolving stable model of  $I \oplus D$  given the sequence of events  $K, \emptyset$ . In other words,  $s'$  is a resulting state from  $s$  given  $I \oplus D$  and the set of actions  $K$ .

In the extreme cases where the set of inertial fluents coincides with the whole set of fluents and, when the set of inertial fluents is empty, we obtain two simplifications of the equivalence (6).

**Corollary 1.** *Let  $I \oplus D$  be any EAP,  $s$  a state of the world and  $K$  a set of actions. Let  $\mathcal{R}, D(s, K)$  be as in theorem 5. Moreover let every fluent be an inertial fluent. Then  $s'$  is a resulting state from  $s$  given  $I \oplus D$  and the set of actions  $K$  iff*

$$s' = \text{least} (s \cap s') \cup D(s, k) \cup \mathcal{R}$$

*Proof.* Follows trivially as a special case of theorem 5.

**Corollary 2.** *Let  $I \oplus D$  be any EAP,  $s$  a state of the world and  $K$  a set of actions. Let  $\mathcal{R}$ ,  $D(s, K)$  be as in theorem 5. Moreover let the set of inertial fluents be the empty set. Then  $s'$  is a resulting state from  $s$  given  $I \oplus D$  and the set of actions  $K$  iff  $s'$  is a stable model of the logic program  $D(s, k) \cup \mathcal{R}$*

*Proof.* It follows trivially as a special case of theorem 5 that

$$s' = \text{least} (Default(s', \mathcal{R} \cup D(s, K))|_{(\mathcal{F}_L \setminus \mathcal{I})} \cup D(s, k) \cup \mathcal{R})$$

As proved in [19] this amounts to say  $s'$  is a stable model of  $D(s, k) \cup \mathcal{R}$ .

Having shown this alternative to the definition of the transition function of EAPs, and proven its equivalence to the original Definition 6, we are now ready to prove all of the theorems (that we recall here, for the sake of readability) in this paper.

**Theorem 1 (Complexity of EAPs).** *Let  $I \oplus D$  be any EAP over  $(\mathcal{F}, \mathcal{A})$ ,  $s$  a state of the world and  $K \subseteq \mathcal{A}$ . To find a resulting state  $s'$  from  $s$  given  $I \oplus D$  and the set of actions  $K$  is an NP-complete problem.*

*Proof.* By corollary 2, and given that the problem of finding a stable model of a program is NP-hard, we conclude that finding a resulting state  $s'$  from  $s$  given  $I \oplus D$  and the set of actions  $K$  is an NP-hard problem.

As for membership, from theorem 5 and from the observation that the computation of  $\text{least}(P)$ , where  $P$  is a logic program, is polynomial wrt. the number of rules in  $P$  (since  $\text{least}(P)$  is the least Herbrand model of  $P$  considering the negative literals in  $P$  as new atoms), it follows that checking whether a given state  $s'$  is resulting state is a polynomial problem wrt. the number of rules in  $I \oplus D$  plus the number of elements in  $\mathcal{F} \cup \mathcal{A}$ . Hence, the problem of finding a resulting state  $s'$  from  $s$  given  $I \oplus D$  and the set of actions  $K$  is NP.

**Theorem 2 (Relation to  $\mathcal{B}$ ).** *Let  $P$  be any  $\mathcal{B}$  program with set of fluents  $\mathcal{F}$ ,  $(I^B \oplus D^{BP}, \mathcal{F})$  its translation,  $s$  a state and  $K$  any set of actions. Then  $s'$  is a resulting state from  $s$  given  $P$  and the set of actions  $K$  iff it is a resulting state from  $s$  given  $I^B \oplus D^{BP}$  and the set of actions  $K$ .*

*Proof.* It trivially follows from corollary 1.

**Theorem 3 (Relation to  $\mathcal{C}$ ).** *Let  $P$  be any action program in the definite fragment of  $\mathcal{C}$  with set of fluents  $\mathcal{F}$ ,  $(I^C \oplus D^{CP}, \mathcal{F}^C)$  its translation,  $s$  a state,  $s^C$  the interpretation over  $\mathcal{F}^C$  defined as follows:  $s^C = s \cup \{Q_N \mid Q \in s\} \cup \{\text{not } Q_N \mid \text{not } Q \in s\}$  and  $K$  any set of actions. Then  $s^*$  is a resulting state from  $s^C$  given  $I^C \oplus D^{CP}$  and the set of actions  $K$  iff there exists  $s'$  such that  $s'$  is a resulting state from  $s$ , given  $P$  and the set  $K$  and  $s^* \equiv_{\mathcal{F}_L} s'$ .*

*Proof.* By corollary 2,  $s^*$  is a resulting state from  $s^C$  given  $I^C \oplus D^{CP}$  and the set of actions  $K$  iff  $s'$  is a stable model of the program  $\mathcal{R} \cup D(s, K)$  where  $\mathcal{R}$  and  $D(s^C, K)$  are defined as in theorem 5. From the translation of definite causal

theories into logic programs presented in [15], it follows that this is equivalent to say that  $s'$  is a model of the causal theory obtained by all the static rules of  $P$  plus the rules of the form **caused**  $J$  **if**  $H$  for which a dynamic rule

**caused**  $J$  **if**  $H$  **after**  $O$

belongs to  $P$  and  $Q$  is true in  $s \cup K$ . This, in turn, is equivalent to saying that  $s'$  is a resulting state from  $s$  given  $P$  and the set of actions  $K$ , as desired.

**Theorem 4 (Simplification of updated EAPs).** *Let  $I_0 \cup I \oplus D_0 \oplus D_1 \oplus \dots \oplus D_k$  be any update EAP over  $(\mathcal{F}, \mathcal{A})$ . Let  $\bigoplus E_i^n$  be a sequence of events such that:  $E_1 = K_1 \cup s$ , where  $s$  is any state of the world and  $K_1$  is any set of actions; and the others  $E_i$ s are any set of actions  $K_\alpha$ , or any set **assert**(**initialize**( $\mathcal{F}_\beta$ )) where  $\bigcup \mathcal{F}_\beta \equiv I$ , or any **assert**( $D_i$ ) with  $1 \leq i \leq k$ . Let  $s_1, \dots, s_n$  be a sequence of possible resulting states from  $s$  given the EAP  $I_0 \oplus D_0$  and the sequence of events  $\bigoplus E_i^n$  and  $K_{n+1}$  a set of actions. Then  $s_1, \dots, s_n, s'$  is a resulting state from  $s$  given  $I_0 \oplus D_0$  and the sequence of events  $\bigoplus E_i^n \oplus K_{n+1}$  iff  $s'$  is a resulting state from  $s_n$  given  $I_0 \cup I \oplus D_0 \oplus D_1 \oplus \dots \oplus D_k$  and the set of actions  $K_{n+1}$ .*

*Proof.* The sequence  $s_1, \dots, s_n, s'$  is a sequence of possible resulting states iff there exists a sequence of evolving interpretations  $M_0, M_1, \dots, M_n, s^*$  such that  $M_0|_{\mathcal{F}} \equiv s$ ,  $M_i|_{\mathcal{F}} \equiv s_i$  and  $s^*|_{\mathcal{F}} \equiv s'$ . The trace of  $M_0, M_1, \dots, M_n, s^*$  is the DLP  $I_0 \oplus D_0 \oplus T_1 \dots \oplus T_n$  where each  $T_i$ s is a set of literal of one of the following for  $s$ :

$$\begin{aligned} T_i &= Aux_i \\ T_i &= Aux_i \cup \mathbf{initialize}(\mathcal{F}_\beta) \\ T_i &= Aux_i \cup D_j \text{] for some } 0 \leq j \leq k \end{aligned}$$

and  $Aux_i$  is a set of auxiliary literals of the form  $Prev(Q)$  or  $not\ Prev(Q)$ , where  $Q$  is an inertial literal or  $event(\tau)$  or  $not\ event(\tau)$ ,  $\tau$  being the effect of some dynamic rule.

To compute  $s^*$ , the only relevant part of the trace is formed by the various **initialize**( $\mathcal{F}_\beta$ s),  $D_k$ s and the last set of auxiliary literals  $Aux_n$ . Moreover, the semantics does not change if we put the various **initialize**( $\mathcal{F}_\beta$ s) in the first program of the sequence, since a fluent only appears in a  $D_j$  after being initialized. Hence we can simplify the trace of  $M_0, M_1, \dots, M_n, s^*$  into:

$$I_0 \cup I \oplus D_0 \oplus D_1 \oplus \dots \oplus D_k \cup Aux_n$$

The set  $Aux_n$  can be split in three separate sets

$$Aux_n = Prev(s_n) \cup ED(s_n, K) \cup Retract(s_n)$$

where  $Prev(s_n)$  and  $ED(s_n, K)$  are as defined in the proof of theorem 5 and  $Retract(s_n)$  is the set of all literals of the form  $not\ event(\tau)$  coming from dynamic rules whose preconditions are true in  $s_{n-1}$  and false in  $s_n$ . The negative literals in  $Retract(s_n)$  simply rejects facts of the form  $event(\tau)$  from  $Aux_{n-1}$ . Since we

have already simplified the trace by erasing all the  $Aux_i$ s with  $i < n$ , we can ignore the set  $Retract(s_n)$ . Thus, we obtain that  $s_1, \dots, s'$  is a sequence of possible resulting states iff an interpretation  $s^*$ , with  $s^*|_{\mathcal{F}_L} \equiv s'$ , is a refined stable model of  $I_0 \cup I \oplus D_0 \oplus D_1 \oplus \dots \oplus D_k \oplus ED(s_n, K) \cup Prev(s_n)$ . This is equivalent to saying that  $s'$  is a resulting state from  $s$  given  $I_0 \cup I \oplus D_0 \oplus D_1 \oplus \dots \oplus D_k$  and the set of actions  $K_{n+1}$ , as desired.



# Dynamic Logic Programming: Various Semantics Are Equal on Acyclic Programs

M. Homola

Comenius University, Bratislava, Slovakia  
homola@tbc.sk

**Abstract.** Multidimensional dynamic logic programs (MDLPs) are suitable to represent knowledge dynamic in time, or more generally, information coming from various sources, partially ordered by arbitrary relevancy relation, e.g., level of authority. They have been shown useful for modeling and reasoning about multi-agent systems. Various approaches to define semantics of MDLPs have been presented. Most of the approaches can be characterized as based on rejection of rules.

It is understood that on some restricted classes of MDLPs several of these semantics coincide. We focus on acyclic programs. We show that for a MDLP  $\mathcal{P}$  and a candidate model  $M$ , if  $\mathcal{P}$  is acyclic to some extent then several of the known semantics coincide on  $M$ . It follows as a direct consequence that on the class of acyclic programs all of these semantics coincide.

## 1 Introduction

**Background.** In *Multidimensional Dynamic Logic Programs (MDLPs)*, introduced in [1], knowledge is encoded into several logic programs, partially ordered by a relevance relation. MDLPs have been shown as well suited for representing knowledge change in time, and as well, to provide favourable representation for reasoning over information structured by some relevancy relation, such as authority hierarchies.

Already in [1], authors have shown that MDLPs are useful to model and reason about multi-agent systems. Particularly in logic based multi-agent systems where knowledge of an agent is naturally represented by rules. Thus, knowledge associated with an agent at a given state is encoded into a logic program. Assume that the agent's knowledge evolves with time. With each new time-state new knowledge appears to the agent, in form of rules, perceived through sensors or communicated with other agents. This new knowledge may be in general contrary to the knowledge inherited from the previous time-states. We want the agent to be able to resolve such conflicts, assigning more relevance to the more recent knowledge.

MDLPs allow us to do this in a natural way. Agent's initial state and subsequent perceptions are modeled as a sequence of logic programs. More recent information is treated as more relevant. MDLPs assign semantics to the sequence,

resolving conflicts between rules according to their relevancy. Moreover, they enable for determining semantics of the agent's knowledge at arbitrary state, thus allowing us to query the agent's knowledge history.

Besides time, MDLPs are capable of handling other relevancy relations, like specificity of the information or authority. This is particularly handy in multi-agent communities where an authoritative hierarchy among the agents is present. Assume that the knowledge of each agent is represented by a logic program. If an agent is authoritatively superior to the another one, we treat also the program of the former one as more relevant than the program of the latter one. Assuming that the agents obey the authority, we are able to query the global knowledge of the system but as well the knowledge of a subsystem rendered by an agent together with all the agents that are inferior to it.

Moreover, the framework allows us to combine several "relevancy dimensions" into a single MDLP. Thus, we are able to model, e.g., the knowledge distributed over an authority-enabled community of agents and as well the change of the whole system in time. Hence, we favor MDLPs as a powerful framework for modeling and reasoning about knowledge distributed over multi-agent systems, logic-based in particular. However, a multi-agent system does not have to be associated with a single MDLP, nor the view provided by the MDLP has to be global. For instance, each agent may use a MDLP to maintain its own view of the system, reflecting its own preference amongst the chunks of information obtained by communication with other agents. Thus, MDLPs may also provide a local knowledge repository for each agent of the system. For a more detailed analysis, we refer the reader to [3, 1, 11, 12]. We also refer the reader to [13], in order to see how extensions of MDLPs can benefit to multi-agent systems, and to [14] to see how the knowledge of multiple agents can be combined when there is no authoritative order among the agents.

**Motivation.** Various approaches have been presented in order to provide semantics of MDLPs. Most of these semantics are based on similar notions (e.g., generalization of stable model semantics, employing rejection of rules) and are very close, one to another. Such semantics include  $\mathcal{P}$ -Justified Update semantics introduced in [2, 3], Dynamic Stable Model semantics from [4, 1], Update Answer Set semantics from [5, 3] and Refined Dynamic Stable Model semantics of [6, 7]. (The latest one is only known for linearly ordered MDLPs.) Usually, a new semantics has been introduced to cope with drawbacks of the older ones. Most important contributions are those of Leite [3, 8], Eiter et al. [5, 9] and Alferes et al. [4, 6].

Typically, semantics assigns a set of models to a program. Models are picked among the interpretations of the program. Authors point out that for some particular pairs of semantics, for a given MDLP, the model-set of one semantics is always a subset of the model-set of the other one. Thus, a sort of hierarchy of the model-sets assigned to a MDLP by different semantics is organized (cf. [3, 5, 6, 10]).

Studying the differences and similarities between these semantics, helps us to evaluate them w.r.t. our intuitions. Perhaps we do not need such a rich family

of semantics, indeed if the difference between the shows to be very small. Particularly, within the field of multi-agent systems, it helps us to determine whether or not MDLPs are appropriate for a particular application, and if yes, which semantics to choose.

Also, it is a shared opinion, that on “plain” MDLPs, which are not obfuscated with cyclic dependencies (cyclic chains of rules), conflicting rules within a same logic program and other inconvenient constructs, all of these semantics coincide. Different behavior on some “abnormal” MDLPs is usually assigned to the inability of some of the semantics to deal with these abnormalities. Several restrictive conditions on MDLPs have been introduced in order to identify classes of programs on which two or more semantics coincide (cf. [3, 5, 6]). From this point of view, we find several results of [5, 3, 7], about restricted classes of MDLPs on which some of the semantics coincide, not tight, as many MDLPs on which the semantics also match are beyond the proposed classes.

We focus on a hypothesis that has been sketched already (cf. [5, 3, 7]), that perhaps on MDLPs that do not contain cycles several of the semantics may coincide. We see this hypothesis as valuable, since acyclic programs form a broad subclass and it is known that for some, simpler, applications they are sufficient. So, we suggest further evaluation of these semantics w.r.t. the class of acyclic programs and programs with limited occurrence of cyclic dependencies.

**Contribution.** As in [3, 15], we build MDLPs over a more general language of generalized extended logic programs that unifies the previous approaches under a common framework, allowing for more elegant comparisons, while keeping the previous approaches as special cases, so the results are propagated.

We introduce a new concept of sufficient acyclicity. Logic program is sufficiently acyclic if each of its literals is supported by at least one acyclic derivation. As the main result we establish a restrictive condition, using the notion of sufficient acyclicity, under which four (five) of the semantics coincide on the given interpretation of the given MDLP (linear MDLP). It trivially follows that on acyclic programs these semantics coincide entirely. This article presents the results of the author’s Master’s thesis [10] that can be viewed as its extended version.

## 2 Preliminaries

We first introduce basic concepts from logic programming. Logic programs are built from propositional *atoms*. The set of all atoms is denoted by  $\mathcal{A}$ . We employ two kinds of negation, *explicit negation*  $\neg$  and *default negation* *not*. Let  $p$  be a proposition. By  $\neg p$  we intuitively mean that (we know that)  $A$  is not true. Default negation is sometimes called negation as failure. We use it to express lack of objective evidence: by *not*  $p$  we intuitively mean that we have no evidence confirming that  $p$  is true.

An *objective literal* is an atom or an atom preceded by explicit negation (e.g.,  $A \in \mathcal{A}$  and  $\neg A$  are objective literals). A *default literal* is an objective

literal preceded by default negation (e.g., *not*  $A$ , *not*  $\neg A$  are default literals,  $A \in \mathcal{A}$ ). Both objective literal and default literal are *literals*. We denote the set of all objective literals by  $\mathcal{O}$ , the set of all default literals by  $\mathcal{D}$  and the set of all literals by  $\mathcal{L}$ .

A *rule* is a formula  $L \leftarrow L_1, \dots, L_n$ , where  $n \geq 0$  and  $L, L_1, \dots, L_n \in \mathcal{L}$ . A rule of a form  $L \leftarrow$  (i.e.,  $n = 0$ ) is called a *fact*. For each rule  $r$  of a form  $L \leftarrow L_1, \dots, L_n$  we call the literal  $L$  the *head* of  $r$  and denote it by  $h(r)$  and we call the set  $\{L_1, \dots, L_n\}$  the *body* of  $r$  and denote it by  $b(r)$ .

A set of rules  $P$  is called a *generalized extended logic program* (*logic program*, *GELP*). GELPs are the most general logic programs that we use. We favor the approach outlined in [3, 15], where MDLPs are built over GELPs, unifying the previous approaches under a common framework, allowing for more elegant comparisons, while keeping the previously used languages as special cases, so the results are propagated. We also remark, that GELPs enable to properly manipulate three truth values, “something is true”, “something is false”, and “we do not know”, allowing to adequately switch from one to another, what we mark as a desirable feature, once dealing with knowledge updates.

Several other flavours of logic programs do exist. We mention *extended logic programs*, a subclass of GELPs formed by programs that do not contain default literals in heads of rules. *Generalized logic programs* do not allow explicit negation at all, i.e., for each objective literal  $L$ , contained in the program, it holds that  $L \in \mathcal{A}$ , and for each default literal *not*  $L$ , contained in the program, it holds that  $L \in \mathcal{A}$ . A logic program is *definite* if it only contains atoms of  $\mathcal{A}$  in the heads, as well as in the bodies of its rules, i.e., definite logic programs do not allow negation at all.

Let  $P$  be a GELP. The expanded version of  $P$  is the program  $\dot{P} = P \cup \{\text{not } \neg h(r) \leftarrow b(r) \mid r \in P \wedge h(r) \in \mathcal{O}\}$ . Two literals  $L \in \mathcal{O}$  and *not*  $L$  are said to be *conflicting*. Two rules are conflicting if their heads are conflicting literals. We denote this by  $L \bowtie L'$  and by  $r \bowtie r'$  respectively. For any set of literals  $S$ ,  $S^+ = S \cap \mathcal{O}$  and  $S^- = S \cap \mathcal{D}$ .

A set of literals that does not contain a pair of conflicting literals is called an *interpretation*. An interpretation is *total* if for each  $L \in \mathcal{O}$  it contains  $L$  or *not*  $L$ . A literal  $L$  is *satisfied* in an interpretation  $I$  if  $L \in I$  and we denote it by  $I \models L$ . Also  $I \models S$ , a set of literals  $S$ , if  $I \models L$  for each  $L \in S$ . A rule  $r$  is satisfied in an interpretation  $I$  (denoted by  $I \models r$ ) if  $I \models h(r)$  whenever  $I \models b(r)$ . Let  $P$  be a definite logic program. We denote by *least*( $P$ ) the unique *least model* of  $P$  that exists, as showed by van Emden and Kowalski in [16].

Most of the semantic approaches in dynamic logic programming build on ideas of the stable model semantics of logic programs that has been introduced by Gelfond and Lifschitz in [17]. According to this semantics a total interpretation  $M$  is a stable model of a GELP  $P$  if it holds that  $M = \text{least}(P \cup M^-)$ <sup>1</sup>.

<sup>1</sup> With an abuse of notation, we commonly treat (sets of) literals as (sets of) facts, and also GELPs as definite programs, considering each negated literal as a new atom.

### 3 MDLPs and Various Semantics Based on Rejection of Rules

Logic programs have been proven useful in the area of knowledge representation. As long as the information we deal with is rather static we face no problem to encode it in form of a logic program. But we reach the barrier very soon, when dealing with information change in time, or when integrating information from several sources with various levels of relevancy.

To deal with this problem, the framework of dynamic logic programming has been introduced in [4]. In this framework information is encoded into several programs that are linearly ordered into a sequence by their level of relevancy. Such sequences are called dynamic logic programs.

This framework has been further generalized in [1] by allowing logic programs ordered by arbitrary (i.e., also non-linear) partial ordering. Multidimensional dynamic logic programs were born. We formalize the latter approach in Definition 1.

**Definition 1.** Let  $G = (V, E)$  be a directed acyclic graph with finite set of vertices  $V$ . Let  $\mathcal{P} = \{P_i \mid i \in V\}$  be a set of logic programs. The pair  $(\mathcal{P}, G)$  is a multidimensional dynamic logic program or often just program or MDLP.

We often use just  $\mathcal{P}$  instead of  $(\mathcal{P}, G)$  and assume the existence of the corresponding  $G$ . The multiset of all rules of the expanded versions  $\hat{P}_i$  of the logic programs  $P_i, i \in V$  of  $\mathcal{P}$  is denoted by  $\cup_{\mathcal{P}}$ . Let  $i, j \in V$ , we denote by  $i \prec j$  (and also by  $P_i \prec P_j$ ) if there is a directed path from  $i$  to  $j$  in  $G$ . We denote by  $i \preceq j$  (and by  $P_i \preceq P_j$ ) if  $i \prec j$  or if  $i = j$ .

A *dynamic logic program (DLP, linear MDLP)* is such a MDLP  $\mathcal{P}$  whose  $G$  is collapsed into a single directed path. So, DLPs form a subclass of MDLPs, they are precisely all linearly ordered MDLPs.

Most of the semantic approaches in dynamic logic programming are based on the ideas of stable model semantics of simple logic programs. A set of models is assigned to a program by each of these semantics. Models are picked among the interpretations of the program.

As a MDLP in general may contain conflicting rules, semantics try to resolve these conflicts, when it is possible, according to the relevancy level of the conflicting rules. A common approach is to assign a set of *rejected rules* to a given program  $\mathcal{P}$  and a “candidate model” interpretation  $M$ . Rejected rules are then subtracted from the union of all rules of  $\mathcal{P}$ , gaining the residue of  $\mathcal{P}$  w.r.t.  $M$ . Also the set of *default assumptions* (so called just *defaults*) is assigned to  $\mathcal{P}$  and  $M$ . Defaults are picked among the default literals. A fix-point condition is verified, whether  $M$  coincides with the least model of the union of the residue and the default assumptions. If so, then  $M$  is a model of  $\mathcal{P}$  w.r.t. the semantics. A semantics that can be characterized in this manner is said to be *based on rejection of rules* or *rule-rejecting*.

Once we deal with several rule-rejecting semantics, then any difference between them originates in the way how particularly rejection of rules and default

assumptions are implemented in these semantics. Two different kinds of rejection have been used with MDLPs. The original rejection used in [4, 1] keeps each rule intact as long as there is no reason for rejecting it in favour of a more relevant rule that is satisfied in the considered interpretation. Formally, the set of rejected rules of  $\mathcal{P}$  w.r.t.  $M$  is

$$Rej(\mathcal{P}, M) = \{r \in \dot{P}_i \mid (\exists r' \in \dot{P}_j) i \prec j, M \models b(r'), r \bowtie r'\} .$$

In [5], an alternative notion of rejection has been introduced, allowing each rule to reject other rules only if it is not rejected already. Such a set of rejected rules of  $\mathcal{P}$  w.r.t.  $M$  is formalized as

$$Rej^*(\mathcal{P}, M) = \{r \in \dot{P}_i \mid (\exists r' \in \dot{P}_j) i \prec j, M \models b(r'), r \bowtie r', r' \notin Rej^*(\mathcal{P}, M)\} .$$

Originally, in [2], default assumptions have been computed just exactly as in the stable model semantics of logic programs. Formally,

$$Def^*(\mathcal{P}, M) = M^- .$$

Later on, in [4, 1], another approach has been introduced, as the original set of defaults showed to be too broad. We formalize defaults according to this approach as

$$Def(\mathcal{P}, M) = \{not L \mid L \in \mathcal{O}, (\nexists r \in \mathbb{U}_{\mathcal{P}}) h(r) = L, M \models b(r)\} .$$

Combining two implementations of rejection and two of default assumptions immediately leads to four semantics of MDLPs. We define each of them formally in the following.

**Definition 2.** *A rule-rejecting semantics that uses  $Rej(\mathcal{P}, M)$  for rejection and  $Def^*(\mathcal{P}, M)$  for defaults is called the dynamic justified update (DJU) semantics. That is, a total interpretation  $M$  is a model of a MDLP  $\mathcal{P}$  w.r.t. the DJU semantics whenever  $M = least(Res(\mathcal{P}, M) \cup Def^*(\mathcal{P}, M))$ , where  $Res(\mathcal{P}, M) = \mathbb{U}_{\mathcal{P}} \setminus Rej(\mathcal{P}, M)$  is the residue.*

The DJU semantics is the very first rule-rejecting semantics that has been used in dynamic logic programming. If we restrict to DLPs build from generalized logic programs, it is identical with the  $\mathcal{P}$ -justified updates semantics of [2]. Soon the original default assumptions showed to be too broad. In [4, 1], they have been replaced by  $Def(\mathcal{P}, M)$ . The semantics is formally defined as follows.

**Definition 3.** *A rule-rejecting semantics that uses  $Rej(\mathcal{P}, M)$  for rejection and  $Def(\mathcal{P}, M)$  for defaults is called the dynamic stable model (DSM) semantics. Or equivalently, a total interpretation  $M$  is a model of a MDLP  $\mathcal{P}$  w.r.t. the DSM semantics whenever  $M = least(Res(\mathcal{P}, M) \cup Def(\mathcal{P}, M))$ , where the residue is as in Definition 2.*

In [5], the alternative notion of rejection,  $Rej^*(\mathcal{P}, M)$ , has been combined with  $Def^*(\mathcal{P}, M)$  to produce semantics for DLPs build from extended logic programs. The semantics has been originally called the update answer set semantics. In our setting we formalize it in Definition 4.

**Definition 4.** A rule-rejecting semantics that uses  $Rej^*(\mathcal{P}, M)$  for rejection and  $Def^*(\mathcal{P}, M)$  for defaults is called the backward dynamic justified update (BDJU) semantics. In other words, a total interpretation  $M$  is a model of a MDLP  $\mathcal{P}$  w.r.t. the BDJU semantics whenever  $M = \text{least}(Res^*(\mathcal{P}, M) \cup Def^*(\mathcal{P}, M))$ , where  $Res^*(\mathcal{P}, M) = \cup_{\mathcal{P}} \setminus Rej^*(\mathcal{P}, M)$  is the residue.

By the label “backward” we indicate use of  $Rej^*(\mathcal{P}, M)$  rejection, as the algorithm for its computation from [5] traverses  $\mathcal{P}$  in backward direction compared to the one for  $Rej(\mathcal{P}, M)$  found in [4, 1]. In [3], the three above-mentioned semantics have been brought to a more general platform offered by GELPs. Also a backward variant of the DSM semantics has been introduced, that we formalize in Definition 5. In [3], this semantics is called the U-model semantics.

**Definition 5.** A rule-rejecting semantics that uses  $Rej^*(\mathcal{P}, M)$  for rejection and  $Def(\mathcal{P}, M)$  for defaults is called the backward dynamic stable model (BDSM) semantics. That is, a total interpretation  $M$  is a model of a MDLP  $\mathcal{P}$  w.r.t. the BDSM semantics whenever  $M = \text{least}(Res^*(\mathcal{P}, M) \cup Def(\mathcal{P}, M))$ , where the residue is as in Definition 4.

The set of all models of a program  $\mathcal{P}$  w.r.t. the DJU semantics is denoted by  $DJU(\mathcal{P})$ . Similarly,  $DSM(\mathcal{P})$ ,  $BDJU(\mathcal{P})$  and  $BDSM(\mathcal{P})$  are the sets of all models according to the remaining three semantics.

We have presented four rule-rejecting semantics of MDLPs. The following two examples taken from [3] show that each of this semantics is different.

*Example 1.* Let  $\mathcal{P} = \{P_1 \prec P_2\}$  where  $P_1 = \{a \leftarrow\}$ ,  $P_2 = \{\text{not } a \leftarrow \text{not } a\}$ . It holds that  $DSM(\mathcal{P}) = BDSM(\mathcal{P}) = \{\{a, \text{not } \neg a\}\}$ . But, for the other two,  $DJU(\mathcal{P}) = BDJU(\mathcal{P}) = \{\{a, \text{not } \neg a\}, \{\text{not } a, \text{not } \neg a\}\}$ .

*Example 2.* Let  $\mathcal{P} = \{P_1 \prec P_2 \prec P_3\}$  where  $P_1 = \{a \leftarrow\}$ ,  $P_2 = \{\text{not } a \leftarrow\}$  and  $P_3 = \{a \leftarrow a\}$ . It holds that  $DJU(\mathcal{P}) = DSM(\mathcal{P}) = \{\{\text{not } a, \text{not } \neg a\}\}$ . On the other hand,  $BDJU(\mathcal{P}) = BDSM(\mathcal{P}) = \{\{a, \text{not } \neg a\}, \{\text{not } a, \text{not } \neg a\}\}$ .

Moreover, as it has been shown in [3], the sets of models assigned to arbitrary program  $\mathcal{P}$ , one set by each of these semantics, form a kind of hierarchy w.r.t. the set inclusion relation. The DSM semantics is the most restrictive one, the set of models w.r.t. DSM is always a subset of the other model-sets. On the other hand, the set of models w.r.t. any semantics is always a subset of the one w.r.t. BDJU, which always provides the broadest set of models. We summarize these observations in Theorem 1 taken from [3].

**Theorem 1.** For each MDLP  $\mathcal{P}$  it holds that

$$\begin{aligned} DSM(\mathcal{P}) &\subseteq DJU(\mathcal{P}) \subseteq BDJU(\mathcal{P}) , \\ DSM(\mathcal{P}) &\subseteq BDSM(\mathcal{P}) \subseteq BDJU(\mathcal{P}) . \end{aligned}$$

## 4 Equality on the Class of Acyclic Programs

We have shown in Examples 1 and 2 that the four rule-rejecting semantics are in general distinct. However, many MDLPs exist, such as the one from Example 3, on which these four semantics coincide.

*Example 3.* Let  $\mathcal{P} = \{P_1, P_2, P_3 \mid P_1 \prec P_3, P_2 \prec P_3\}$ . Let  $P_1 = \{a \leftarrow\}$ ,  $P_2 = \{\text{not } a \leftarrow\}$  and  $P_3 = \{a \leftarrow\}$ . This simple MDLP can be viewed as a model of a community of three agents, who take part in the hierarchy of authorities. The first two of them are of incomparable authority and moreover, they have conflicting knowledge. This conflict is resolved by the third one of them, who is represented by logic program  $P_3$  and its authority level is superior to the former two. All of the four semantics agree with this intuition and assign  $M = \{a, \text{not } \neg a\}$  to  $\mathcal{P}$  as its single model.

Examples like this one lead us to a hypothesis that there probably are vast classes of programs on which several semantics coincide. It shows that several rule-rejecting semantics possibly behave equally on “plain” programs, that are not obfuscated with cyclic dependencies among literals or other obstacles. Different behavior on such programs is supposed to be caused by different ability of the semantics to deal with such obstacles.

To evaluate cyclic dependencies among literals in programs we adopt the graph-theoretic framework introduced in [5]. An *AND/OR-graph*  $(N, C)$  is a hypergraph, whose set of nodes  $N = N_A \uplus N_O$  decomposes into the set of *AND-nodes*  $N_A$  and the set of *OR-nodes*  $N_O$ , and its set of connectors  $C = N \times \bigcup_{i=0}^{|N|} N^i$  is a function, i.e., for each  $I \in N$  there is exactly one tuple  $\langle O_1, \dots, O_k \rangle$  s.t.  $\langle I, O_1, \dots, O_k \rangle \in C$ . For any connector  $\langle I, O_1, \dots, O_k \rangle$ ,  $I$  is its *input node* and  $O_1, \dots, O_k$  are its *output nodes*.

Let  $(N, C)$  be an AND/OR-graph,  $I \in N$  and  $\langle I, O_1, \dots, O_k \rangle \in C$ . A tree  $p$  is a *path* in  $(N, C)$  *rooted in*  $I$  if one of the following conditions holds:

- (i)  $k = 0 \wedge p = \langle I \rangle$ ,
- (ii)  $k > 0 \wedge I \in N_A \wedge p = \langle I, p_1, \dots, p_k \rangle$ ,
- (iii)  $k > 0 \wedge I \in N_O \wedge (\exists i) 1 \leq i \leq k \wedge p = \langle I, p_i \rangle$ ,

where  $p_i$  is a path in  $(N, C)$  rooted in  $O_i$ ,  $1 \leq i \leq k$ .

Let  $p = \langle I, p_1, \dots, p_k \rangle$  be a path in an AND/OR-graph. A path  $p'$  is a *subpath* of  $p$  if  $p' = p$  or  $p'$  is a subpath of  $p_i$  for some  $i$ ,  $1 \leq i \leq k$ . A path  $p$  in an AND/OR-graph is said to be *acyclic* if for every subpath  $p'$  (including  $p$ ) rooted in the node  $R$ , no subpath  $p''$  of  $p'$  is rooted in  $R$ .

**Definition 6.** Let  $P$  be a logic program. An AND/OR-graph  $G_P = (N, C)$  is associated with  $P$  if both of the following conditions hold:

- (i)  $N_A = P \wedge N_O = \mathcal{L}$ ,
- (ii)  $C = \{\langle r, L_1, \dots, L_k \rangle \mid r = L \leftarrow L_1, \dots, L_k \in P\} \cup \{\langle L, r_1, \dots, r_n \rangle \mid \{r_1, \dots, r_n\} = \{r \in P \mid h(r) = L\}\}$ .



Armed with such a framework we instantly identify the class of acyclic programs in Definition 7. Clearly, this definition is equivalent to the original one, as introduced in [18].

**Definition 7.** *We say that logic program  $P$  is strictly acyclic (or just acyclic) if  $G_P$  does not contain a path that is cyclic. We say that a MDLP  $\mathcal{P}$  is strictly acyclic if  $\mathbb{U}_{\mathcal{P}}$  is strictly acyclic.*

In [5], further reduction of  $G_{\mathcal{P}}$  is utilized, once an interpretation  $M$  and a given notion of rejection are available. The resulting reduced AND/OR-graph is stripped from dependencies corresponding to rules that are rejected or that are not applicable.

**Definition 8.** *Let  $\mathcal{P}$  be a MDLP,  $M$  a total interpretation and  $Rejected(\mathcal{P}, M)$  a set of rejected rules according to some rule-rejecting semantics. The reduced AND/OR-graph of  $\mathcal{P}$  with respect to  $M$ ,  $G_{\mathcal{P}}^M$  is obtained from  $G_{\mathcal{P}}$  by*

1. removing all  $r \in N_A$  and their connectors (as well as removing  $r$  from all connectors containing it as an output node) if either  $r \in Rejected(\mathcal{P}, M)$  or  $M \not\models b(r)$ , and
2. replacing, for every  $L \in \mathcal{O}$ , the connector of not  $L$  by the 0-connector  $\langle \text{not } L \rangle$ , if  $L$  is associated with 0-connector after step 1 and no  $r \in Rejected(\mathcal{P}, M)$  exists s.t.  $h(r) = L$ .

Possessing the outlined framework, authors of [5] have introduced the “root condition” and the “chain condition”, that we adopt in Definition 9 and 10 respectively.

**Definition 9.** *Let  $\mathcal{P}$  be a MDLP,  $M$  a total interpretation and  $Rejected(\mathcal{P}, M)$  a set of rejected rules according to some rule-rejecting semantics. We say that  $\mathcal{P}$ ,  $M$  and  $Rejected(\mathcal{P}, M)$  obey the root condition if, for each not  $L \in M^-$ , one of the following conditions holds:*

- (i)  $(\forall r \in \mathbb{U}_{\mathcal{P}}) h(r) = L \implies M \not\models b(r)$ ,
- (ii) there exists an acyclic path  $p$  in  $G_{\mathcal{P}}^M$  rooted in not  $L$ .

**Definition 10.** *We say that a MDLP  $\mathcal{P}$  and a total interpretation  $M$  obey the chain condition if, for each pair of rules  $r \in P_i$ ,  $r' \in P_j$  s.t.  $i \prec j$ ,  $r \bowtie r'$ ,  $M \models b(r)$ ,  $M \models b(r')$  and  $r' \in Rej^*(\mathcal{P}, M)$ , there also exists  $r'' \in P_s$  s.t.  $j \prec s$ ,  $r' \bowtie r''$  and  $b(r'') \subseteq b(r)$ .*

A theorem follows in [5], stating that if both, the root and the chain condition, are satisfied by a DLP  $\mathcal{P}$ , a total interpretation  $M$  and  $Rej(\mathcal{P}, M)$  then  $M \in DSM(\mathcal{P})$  if and only if  $M$  is a model of  $\mathcal{P}$  (both transformed to extended logic programs) w.r.t. the BDJU semantics.

In [3] relations between all four of these semantics are further investigated, once all four are generalized to the platform of GELPs. It is shown there, that the root condition renders a proper subclass of DLPs, in order to compare two semantics that utilize  $Def(\mathcal{P}, M)$  and  $Def^*(\mathcal{P}, M)$  for defaults respectively, and

share the same interpretation of rejection. We adopt this proposition from [3] and generalize it to the platform of MDLPs in Theorem 2. In [3] it is also shown that two pairs of semantics that differ in rejection but use the same defaults, pairwise, coincide on a DLP  $\mathcal{P}$  and a total interpretation  $M$  if they obey the chain condition. We adopt this proposition in Theorem 3.<sup>2</sup>

**Theorem 2.** *Let  $\mathcal{P}$  be a MDLP,  $M$  a total interpretation. Then it holds that:*

- (i)  $M \in DJU(\mathcal{P}) \equiv M \in DSM(\mathcal{P})$  if and only if  $\mathcal{P}$ ,  $M$  and  $Rej(\mathcal{P}, M)$  obey the root condition,
- (ii)  $M \in BDJU(\mathcal{P}) \equiv M \in BDSM(\mathcal{P})$  if and only if  $\mathcal{P}$ ,  $M$  and  $Rej^*(\mathcal{P}, M)$  obey the root condition.

**Theorem 3.** *Let  $\mathcal{P}$  be a MDLP,  $M$  a total interpretation. If  $\mathcal{P}$  and  $M$  obey the chain condition then each of the following propositions holds:*

- (i)  $M \in DJU(\mathcal{P}) \equiv M \in BDJU(\mathcal{P})$ ,
- (ii)  $M \in DSM(\mathcal{P}) \equiv M \in BDSM(\mathcal{P})$ .

It follows in [3], that if both of the conditions are obeyed by  $\mathcal{P}$  and  $M$ , then all four of the semantics coincide on  $\mathcal{P}$  and  $M$ . However, as we show in Example 4, any time the chain condition is not obeyed but the semantics do coincide. We argue that this restriction is not accurate.

*Example 4.* Let  $\mathcal{P} = \{P_1 \prec P_2 \prec P_3\}$ ,  $P_1 = \{a \leftarrow\}$ ,  $P_2 = \{not\ a \leftarrow\}$  and  $P_3 = \{a \leftarrow not\ b\}$ . The chain condition is not obeyed by  $\mathcal{P}$  and  $M = \{a, not\ b, not\ \neg a, not\ \neg b\}$ . Yet,  $DSM(\mathcal{P}) = BDSM(\mathcal{P}) = \{M\}$  and  $DJU(\mathcal{P}) = BDJU(\mathcal{P}) = \{M\}$ .

We now return to considerations about programs with restricted occurrence of cycles. We focus on a hypothesis that different behavior of semantics is always accompanied by presence of cyclic dependencies among literals. Our aim is to restrict somehow the occurrence of cyclic dependencies in order to establish the coincidence of the semantics.

Programs with cycles are often considered odd. Self-dependence, connected with presence of cycles, is marked as unpleasant and undesirable feature, as strict, deductive reasoning – closely interconnected with mathematical logic – forbids it. Yet, in logic programming cycles are useful, for example to express equivalence. Moreover there are programs that contain cycles and still different semantics match regarding the . Both of these features are apparent from Example 5. Hence we introduce yet another, weaker, condition of acyclicity in the consecutive Definition 11. With this condition, we are able to identify programs, where cycles may be present, but each literal is supported by at least one acyclic derivation.

<sup>2</sup> We remark that this property does not depend on the particular choice of defaults. In fact, it holds for arbitrary set of default assumptions. See [10] for details.

*Example 5.* Let  $\mathcal{P} = \{P_1 \prec P_2\}$ ,  $P_1 = \{a \leftarrow b; b \leftarrow a\}$  and  $P_2 = \{a \leftarrow \}$ . All of the four semantics match on  $\mathcal{P}$ .  $DJU(\mathcal{P}) = DSM(\mathcal{P}) = BDJU(\mathcal{P}) = BDSM(\mathcal{P}) = \{\{a, b, \text{not } \neg a, \text{not } \neg b\}\}$ . Actually, the cyclic information of program  $P_1$  is not redundant in any way.  $P_1$  states that the truth value of  $a$  is equivalent with the truth value of  $b$  and vice versa. Later, when the more recent knowledge of  $P_2$  appears telling that  $a$  is true we derive that also  $b$  is true.

**Definition 11.** We say that logic program  $P$  is sufficiently acyclic if for every literal  $L \in \mathcal{L}$  there exists an acyclic path in the hypergraph  $G_P$  associated with  $P$  that is rooted in  $L$ . A MDLP  $\mathcal{P}$  is sufficiently acyclic whenever  $\cup_{\mathcal{P}}$  is sufficiently acyclic.

The application of the condition of sufficient acyclicity on MDLPs in general is, however, useless – as when the residue is computed, several rules are retracted and the condition may not be satisfied anymore. So we resort to the one-model relations of two semantics quite like in the case of the root condition. The relation is established for a program and a given model. Possessing a candidate model, the residue is determined, and the condition is applied on the residue instead of the whole program.

To establish one-model equivalence of two semantics on a program, we repeatedly use a method, that is sketched in Remark 1.

*Remark 1.* Let  $\mathcal{P}$  be a MDLP and let  $M$  be a total interpretation. Let  $S_1$  and  $S_2$  be two rule-rejecting semantics with shared interpretation of defaults and different interpretation of rejection. Let  $D$  be the set of defaults assigned to  $\mathcal{P}$  and  $M$  by these semantics and let  $R_1$  and  $R_2$  be the residues assigned to  $\mathcal{P}$  and  $M$  by  $S_1$  and  $S_2$  respectively. If

- (i)  $M \in S_2(\mathcal{P})$ ,
- (ii)  $R_1 \subseteq R_2$ ,

then  $M \in S_1(\mathcal{P})$  if and only if there exists such  $R \subseteq R_1$  that  $M = \text{least}(R \cup D)$  – i.e., we are able to find  $R$ , a subset of  $R_1$ , s.t.  $R$  still contains enough of rules that are necessary to compute  $M$ . Therefore we concentrate on searching for such sets  $R \subseteq R_1$  in order to establish equivalence of  $S_1$  and  $S_2$  regarding  $\mathcal{P}$  and  $M$ .

The condition for one-model equality of that pairs of semantics that differ in the interpretation of rejection and use same defaults is expressed in Theorem 4. The theorem uses the following lemma.

**Lemma 1.** Let  $S$  be the BDSM or the BDJU semantics. Let  $\mathcal{P}$  be a MDLP,  $M \in S(\mathcal{P})$  and let  $\text{Defaults}(\mathcal{P}, M)$  be the default assumptions assigned to  $\mathcal{P}$  and  $M$  by  $S$ . If the set  $R$  defined as

$$R = \{r \mid r \in \text{Res}(\mathcal{P}, M) \wedge M \models b(r)\}$$

is sufficiently acyclic then  $M$  can be computed as a model in the given semantics using only the rules of  $R$ . That is,  $M = \text{least}(R \cup \text{Defaults}(\mathcal{P}, M))$ .

*Proof.* Since  $R$  is sufficiently acyclic, there exists a rule  $r \in R$  such that for each  $L \in b(r)$  for no  $r' \in R$  holds  $h(r') = L$ . And  $r \in R$  so it holds that  $M \models b(r)$ . From Definitions 2 and 4 and from how  $R$  is defined it follows that for each rule  $q \in Res^*(\mathcal{P}, M)$ ,  $M \models b(q)$  there is a  $q' \in R$  s.t.  $h(q) = h(q')$  and since  $M \in S(\mathcal{P})$  then  $b(r) \subseteq Defaults(\mathcal{P}, M)$ . We now construct

$$\begin{aligned} M^0 &= Defaults(\mathcal{P}, M) , & M^1 &= M^0 \cup h(r) , \\ R^0 &= R , & R^1 &= R^0 \setminus \{r'' \mid h(r'') = h(r)\} . \end{aligned}$$

Assume that  $M^j$  and  $R^j$  are constructed by adding one literal  $L \in \mathcal{L}$  to  $M^{j-1}$  and removing all  $r''$  from  $R^{j-1}$  such that  $h(r'') = L$ ,  $0 < j \leq i$ . Again, as  $R$  is sufficiently acyclic, there is  $r \in R^i$  s.t. for each  $L \in b(r)$  for no  $r' \in R^i$  holds  $h(r') = L$ . From the construction of  $D^i$ ,  $R^i$  it follows that

$$(\forall j \leq i) M^j \cup \{h(r) \mid r \in R^j\} = M .$$

Therefore  $b(r) \subseteq M^i$ , and so we are able to construct

$$M^{i+1} = M^i \cup h(r) , \quad R^{i+1} = R^i \setminus \{r'' \in R^i \mid h(r'') = h(r)\} .$$

It is straightforward that  $\bigcup_{i=1}^{\infty} M^i = M$ . This way we have computed  $M$  as a model in  $S$  only from the rules of  $R$ . (Step by step, we have simulated the iterations of the *least*( $\cdot$ ) operator.) In other words,

$$M = least(R \cup Defaults(\mathcal{P}, M)) .$$

□

**Theorem 4.** *Let  $\mathcal{P}$  be a MDLP and  $M$  be its total interpretation. If the set*

$$R = \{r \mid r \in Res(\mathcal{P}, M) \wedge M \models b(r)\}$$

*is sufficiently acyclic then it holds that*

- (i)  $M \in DSM(\mathcal{P}) \equiv M \in BDSM(\mathcal{P})$ , and also
- (ii)  $M \in DJU(\mathcal{P}) \equiv M \in BDJU(\mathcal{P})$ .

*Proof.* The only-if part of both (i) and (ii) follows from Theorem 1. The if part proves as follows. Let  $\mathcal{P}$  be a MDLP. Let  $M \in BDSM(\mathcal{P})$  ( $BDJU(\mathcal{P})$  respectively). Let  $R$  be sufficiently acyclic. From Lemma 1 we get that  $M$  can be computed only using the rules of  $R$ . Since

$$R \subseteq Res(\mathcal{P}, M) \subseteq Res^*(\mathcal{P}, M) ,$$

it follows from Remark 1 that  $M \in DSM(\mathcal{P})$  ( $M \in DJU(\mathcal{P})$ ). □

In Theorem 4 we have presented a restrictive condition for one-model equality of those pairs of semantics that differ in rejection and use safe defaults. We now show (in Lemma 2) that under this condition also the root condition is satisfied. It follows as a direct consequence of this lemma and Theorem 4 that under our condition all four semantics coincide (Corollary 1).

**Lemma 2.** *Let  $\mathcal{P}$  be a MDLP and  $M$  its total interpretation. Let*

$$R = \{r \mid r \in \text{Res}(\mathcal{P}, M) \wedge M \models b(r)\} .$$

*If  $R$  is sufficiently acyclic then both of the triples  $\mathcal{P}, M, \text{Rej}(\mathcal{P}, M)$  and  $\mathcal{P}, M, \text{Rej}^*(\mathcal{P}, M)$  obey the root condition.*

*Proof.*  $R$  is sufficiently acyclic, hence for every  $L \in M^-$  either  $L \in \text{Def}(\mathcal{P}, M)$  and then condition (i) of Definition 9 (root condition) is satisfied or there exists a rule  $r \in \text{Res}(\mathcal{P}, M)$  s.t.  $M \models b(r)$  and  $h(r) = L$  and therefore also  $r' \in R$  s.t.  $h(r') = L$  and so there is a path  $p$  in  $G_R$  rooted in  $L$  that is acyclic. The subpath  $p'$  of  $p$ , terminated in every *not*  $L' \in \mathcal{D}$  whose connector was replaced by  $\langle \text{not } L' \rangle$  in step 2 of the construction of  $G_{\mathcal{P}}^M$ , is an acyclic path in  $G_{\mathcal{P}}^M$  rooted in  $L$ . And so condition (ii) of Definition 9 is satisfied. Hence the root condition is obeyed by  $\mathcal{P}, M$  and  $\text{Rej}(\mathcal{P}, M)$ .

As for each  $r \in \text{Res}(\mathcal{P}, M)$ ,  $M \models b(r)$  there exists such  $r' \in \text{Res}^*(\mathcal{P}, M)$  that  $h(r') = h(r)$  and  $M \models b(r')$  and vice versa, we get that also  $\mathcal{P}, M$  and  $\text{Rej}^*(\mathcal{P}, M)$  obey the root condition.  $\square$

**Corollary 1.** *Let  $\mathcal{P}$  be a MDLP and  $M$  its total interpretation. If the set*

$$R = \{r \mid r \in \text{Res}(\mathcal{P}, M) \wedge M \models b(r)\}$$

*is sufficiently acyclic then*

$$M \in \text{DSM}(\mathcal{P}) \equiv M \in \text{BDSM}(\mathcal{P}) \equiv M \in \text{DJU}(\mathcal{P}) \equiv M \in \text{BDJU}(\mathcal{P}) .$$

Moreover, as for a strictly acyclic program each of its subsets is sufficiently acyclic, it trivially follows that all four semantics coincide on strictly acyclic programs as we state in the following corollary.

**Corollary 2.** *Let  $\mathcal{P}$  be a strictly acyclic MDLP. Then*

$$\text{DSM}(\mathcal{P}) = \text{BDSM}(\mathcal{P}) = \text{DJU}(\mathcal{P}) = \text{BDJU}(\mathcal{P}) .$$

We have shown that the four rule-rejecting semantics coincide on strictly acyclic programs. In Corollary 1 we have also established a more accurate restriction that renders the one-model equivalence of the semantics. However, comparing entire model-sets assigned to a program by two semantics one by one is computationally as complex as computing and enumerating these two model-sets. So, this result is rather of theoretical value.

## 5 RDSM Semantics and DLPs

In [7], Alferes et al. have introduced a new semantics for linear DLPs. Motivation for this new semantics roots in the observation that even the most restrictive semantics, DSM, provides counterintuitive models for some programs (cf. Example 6).

*Example 6.* Let  $\mathcal{P} = \{P_1 \prec P_2\}$  where  $P_1 = \{a \leftarrow ; \text{not } a \leftarrow\}$  and  $P_2 = \{a \leftarrow a\}$ . It holds that  $DSM(\{P_1\}) = \emptyset$ , it is not surprising as  $P_1$  is contradictory. If we inspect the single rule of  $P_2$  we see that it actually brings no new factual information. We suppose that addition of such rule should not add new models to the program. However,  $DSM(\mathcal{P}) = \{\{a, \text{not } \neg a\}\}$ .

Such rules as the one of  $P_2$  from Example 6, having head a subset of the body, are called *tautological*. Tautological rules are in fact just a special case of cycles that only span throughout one rule. In [7], authors have identified even broader class of extensions of DLPs that, according to their intuition, should not yield new models of the programs. Such extensions are called *refined extensions*. Then a principle has been formulated, stating that, having a proper semantics, if a program  $\mathcal{P}'$  is just a refined extension of  $\mathcal{P}$  then it should not have a model that is not also a model of  $\mathcal{P}$ . This principle is called the *refined extension principle*. We refer the reader who is interested in precise definitions to [7].

In [7], also a modified DSM semantics has been introduced. The modification is slight, two conflicting rules of the same program are allowed to reject each other. Formally, the set of rejected rules of this semantics is

$$Rej^R(\mathcal{P}, M) = \{r \in \dot{P}_i \mid (\exists r' \in \dot{P}_j) i \preceq j, M \models b(r'), r \bowtie r'\}.$$

The semantics is formalized in Definition 12.

**Definition 12.** *A rule-rejecting semantics of DLPs that uses  $Rej^R(\mathcal{P}, M)$  for rejection and  $Def(\mathcal{P}, M)$  for defaults is called the refined dynamic stable model (RDSM) semantics. In other words, a total interpretation  $M$  is a model of a DLP  $\mathcal{P}$  w.r.t. the RDSM semantics whenever  $M = \text{least}(Res^R(\mathcal{P}, M) \cup Def(\mathcal{P}, M))$ , where  $Res^R(\mathcal{P}, M)$  is the residue.*

We agree with [7] that the RDSM semantics is very favourable. It has been shown in [7] that it satisfies the refined extension principle and, as we adopt in Theorem 5, it always yields such model-set that is a subset of the model-set w.r.t. the DSM semantics. Moreover, it has been precisely described and motivated in [7], why some models provided by DSM should be excluded.

**Theorem 5.** *For any DLP  $\mathcal{P}$  it holds that  $RDSM(\mathcal{P}) \subseteq DSM(\mathcal{P})$ .*

In [7], it further has been shown that for a program  $\mathcal{P}$  that does not contain a pair of conflicting rules in the very same  $P_i \in \mathcal{P}$ , the RDSM and the DSM semantics coincide. However, this result neither is tight as any programs exist s.t. DSM and RDSM coincide on the and the condition is not satisfied.

The RDSM semantics has been introduced only for linear DLPs and according to our deepest knowledge all attempts to generalize it for MDLPs have failed so far (cf. [19]). Hence, in this section, we restrict our considerations to linear DLPs. In the remaining we show that under a very similar restriction as the one of Corollary 1, for a given model, all five of the semantics coincide.

First of all, the following example demonstrates why the condition has to be altered.

*Example 7.* Recall again the program  $\mathcal{P}$  from Example 6. Let  $M = \{a, \text{not } \neg a\}$ . Even if  $R = \{a \leftarrow, a \leftarrow a\}$  is sufficiently acyclic,  $M \in \text{DSM}(\mathcal{P})$  and  $M \notin \text{RDSM}(\mathcal{P})$ . Indeed, the fact that  $R \not\subseteq \text{Res}^R(\mathcal{P}, M)$  causes the trouble. The sufficient acyclicity is broken in  $\text{Res}^R(\mathcal{P}, M)$  and therefore  $a$  can not be derived in the refined semantics.

The further restrictive condition is introduced in Theorem 6, where we prove the model coincidence of RDSM and DSM and we also confirm that the propositions of Theorem 4 hold under this modified condition as well. The theorem uses the following lemma.

**Lemma 3.** *Let semantics  $S$  be one of DSM, DJU, BDSM and BDJU. Let  $\mathcal{P}$  be a DLP. Let  $M \in S(\mathcal{P})$ . Let  $\text{Rejected}(\mathcal{P}, M)$  be the rejected rules,  $\text{Residue}(\mathcal{P}, M)$  be the residue and  $\text{Defaults}(\mathcal{P}, M)$  be the defaults assigned to  $\mathcal{P}$  and  $M$  by  $S$ . If*

$$R' = \{r \mid r \in \text{Res}^R(\mathcal{P}, M) \wedge M \models b(r)\}$$

*is sufficiently acyclic then  $M$  can be computed as a model in the given semantics using only the rules of  $R'$ . That is,  $M = \text{least}(R' \cup \text{Defaults}(\mathcal{P}, M))$ .*

*Proof.* From Definitions 2, 4 and 12 and from how  $R'$  is defined it follows that if  $M \in S(\mathcal{P})$  then for each rule  $q \in \text{Residue}(\mathcal{P}, M)$ ,  $M \models b(q)$  there is a  $q' \in R'$  s.t.  $h(q) = h(q')$ . Once we are aware of this fact this lemma is proved exactly as Lemma 1.  $\square$

**Theorem 6.** *Let  $\mathcal{P}$  be a DLP and  $M$  be its total interpretation. If*

$$R' = \{r \mid r \in \text{Res}^R(\mathcal{P}, M) \wedge M \models b(r)\}$$

*is sufficiently acyclic then the following propositions hold:*

- (i)  $M \in \text{DSM}(\mathcal{P}) \equiv M \in \text{RDSM}(\mathcal{P})$ ,
- (ii)  $M \in \text{DSM}(\mathcal{P}) \equiv M \in \text{BDSM}(\mathcal{P})$ ,
- (iii)  $M \in \text{DJU}(\mathcal{P}) \equiv M \in \text{BDJU}(\mathcal{P})$ .

*Proof.* Propositions (ii) and (iii) are proved like in the above Theorem 4. The if part of (i) follows from Theorem 5. The only if part of (i) proves as follows.

Let  $M \in \text{DSM}(\mathcal{P})$ . Let  $R'$  be sufficiently acyclic. From Lemma 3 we know that  $M$  can be computed using only the rules of  $R'$ . Also

$$R' \subseteq \text{Res}^R(\mathcal{P}, M) \subseteq \text{Res}(\mathcal{P}, M) ,$$

so it follows from Remark 1 that  $M \in \text{RDSM}(\mathcal{P})$ .  $\square$

In the following lemma we show that even if we have slightly modified the condition, its satisfaction still implies that the root condition is also satisfied. Hence if the modified condition is satisfied, all five of the semantics for DLPs coincide on a given model as we state in Corollary 3.

**Lemma 4.** *Let  $\mathcal{P}$  be a MDLP and  $M$  its total interpretation. Let*

$$R' = \{r \mid r \in Res^R(\mathcal{P}, M) \wedge M \models b(r)\} .$$

*If  $R'$  is sufficiently acyclic and  $M \in DJU(\mathcal{P})$  ( $M \in BDJU(\mathcal{P})$ ) then  $\mathcal{P}$ ,  $M$ ,  $Rej(\mathcal{P}, M)$  ( $\mathcal{P}$ ,  $M$ ,  $Rej^*(\mathcal{P}, M)$ ) obey the root condition.*

*Proof.* This lemma is the same way as Lemma 2 if we realize that when  $M \in DJU(\mathcal{P})$  ( $M \in BDJU(\mathcal{P})$ ) then for each rule  $r \in Res(\mathcal{P}, M)$  ( $r \in Res^*(\mathcal{P}, M)$ ) s.t.  $M \models b(r)$  and  $h(r) = L$  there also exists  $r' \in R'$  s.t.  $h(r') = L$ .  $\square$

**Corollary 3.** *Let  $\mathcal{P}$  be a DLP and  $M$  its total interpretation. If the set*

$$R' = \{r \mid r \in Res^R(\mathcal{P}, M) \wedge M \models b(r)\}$$

*is sufficiently acyclic then*

$$\begin{aligned} M \in DSM(\mathcal{P}) &\equiv M \in BDSM(\mathcal{P}) \equiv M \in RDSM(\mathcal{P}) \equiv \\ &\equiv M \in DJU(\mathcal{P}) \equiv M \in BDJU(\mathcal{P}) . \end{aligned}$$

As for Corollary 1, also for Corollary 3 it holds that if, using it, we want to compare entire model-sets assigned to a program by a pair of semantics, computational complexity is the same as enumerating and comparing these two model-sets. Anyway, it trivially follows from this corollary that all five of the semantics coincide on strictly acyclic programs, as follows in Corollary 4.

**Corollary 4.** *Let  $\mathcal{P}$  be a strictly acyclic DLP. Then*

$$DSM(\mathcal{P}) = BDSM(\mathcal{P}) = RDSM(\mathcal{P}) = DJU(\mathcal{P}) = BDJU(\mathcal{P}) .$$

## 6 Conclusion

In accordance with [3, 15], we have built MDLPs over a more general language of GELPs, that allows for more elegant comparisons, since no transformations are necessary, as the previous approaches are obtained as its special cases. We have then compared four different rule-rejecting semantics of MDLPs and in addition one more when restricted to linear DLPs. We have introduced sufficient acyclicity. Using this notion, we have provided a restrictive condition on a MDLP (DLP)  $\mathcal{P}$  and a given candidate model  $M$  s.t. if it is satisfied all four (five) semantics coincide on  $\mathcal{P}$  and  $M$ . As a trivial consequence we have stated the main result, that on strictly acyclic programs all four (five) of the semantics coincide.

There are several open problems. As there are programs that contain cycles and several of the five semantics coincide on them, the search for a more proper characterization of the class of programs on which these semantics coincide is still open. In this line, we suggest investigation of other well known classes, as



stratified and call-consistent programs. One of the most favourable semantics, RDSM, is only known for DLPs, generalizing RDSM to MDLPs is a challenging problem. Comparing semantics that are based on rejection of rules with other approaches (such as the one of [15] based on Kripke structures) might be interesting. To meet this goal, we propose that more abstract criteria for evaluating these semantics should be introduced, seeing some of the present ones, e.g., the refined extension principle of [6, 7], too attached to the rule-rejecting framework.

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# Declarative Agent Control

Antonis Kakas<sup>1</sup>, Paolo Mancarella<sup>2</sup>, Fariba Sadri<sup>3</sup>,  
Kostas Stathis<sup>2,4</sup>, and Francesca Toni<sup>2,3</sup>

<sup>1</sup> Dept. of Computer Science, University of Cyprus  
`antonis@cs.ucy.ac.cy`

<sup>2</sup> Dip. di Informatica, Università di Pisa  
`{paolo, stathis, toni}@di.unipi.it`

<sup>3</sup> Dept. of Computing, Imperial College London  
`{fs, ft}@doc.ic.ac.uk`

<sup>4</sup> School of Informatics, City University London  
`kostas@soi.city.ac.uk`

**Abstract.** In this work, we extend the architecture of agents (and robots) based upon fixed, one-size-fits-all cycles of operation, by providing a framework of declarative specification of agent control. Control is given in terms of *cycle theories*, which define in a declarative way the possible alternative behaviours of agents, depending on the particular circumstances of the (perceived) external environment in which they are situated, on the internal state of the agents at the time of operation, and on the agents' behavioural profile. This form of control is adopted by the KGP model of agency and has been successfully implemented in the PROSOCS platform. We also show how, via cycle theories, we can formally verify properties of agents' behaviour, focusing on the concrete property of agents' *interruptibility*. Finally, we give some examples to show how different cycle theories give rise to different, heterogeneous agents' behaviours.

## 1 Introduction

To make theories of agency practical, not only a control component is proposed within concrete agent (robot) architectures. Most such architectures rely upon a fixed, one-size-fits-all cycle of control, which is forced upon the agents whatever the situation in which they operate. This kind of control has many drawbacks, and has been criticised by many (e.g. in robotics), as it does not allow us to take into account changes in the environment promptly and it does not take into account agent's preferences and "personality".

In this paper, we present an alternative approach, which models agents' control via declarative, logic-based *cycle theories*, which provide *flexible control* in that: (i) they allow the same agent to exhibit different behaviour in different circumstances (internal and external to the agent), thus extending in a non-trivial way conventional, fixed cycles of behaviour, (ii) they allow us to state and verify formal properties of agent behaviour (e.g. their interruptibility), and thus (iii) provide implementation guidelines to design suitable agents for suitable

applications. Furthermore, cycle theories allow different agents to have different patterns of behaviour in the same circumstances, by varying few, well-identified components. Thus, by adopting different cycle theories we obtain behaviourally *heterogeneous* agents.

The notion of cycle theory and its use to determine the behaviour of agents can in principle be imported into any agent system, to replace conventional fixed cycles. However, in defining the cycle theory of an agent, we will assume that the agent is equipped with a pool of *state transitions* that modify its internal state. We will understand the operation of agents simply in terms of sequences of such transitions. Such sequences can be obtained from *fixed cycles* of operation of agents as in most of the literature. Alternatively, such sequences can be obtained via fixed cycles together with the possibility of selecting amongst such fixed cycles according to some criteria e.g. the type of external environment in which the agent will operate (see the recent work of [4]). Yet another possibility, that we pursue in this paper, is to specify the required operation via more versatile cycle theories that are able to generate dynamically several cycles of operations according to the current need of the agent. This approach has been adopted in the KGP model of agency [10, 2] and implemented in the PROSOCS platform [16].

We will define a cycle theory as a logic program with priorities over rules. The rules represent possible follow-ups of (already executed) transitions. The priorities express high-level preferences of the particular agent equipped with the cycle theory, that characterise the operational behaviour of the agent, e.g. a preference in testing the preconditions of an action before it tries to execute it. We will assume that the choice for the next transition depends only on the transition that has just been executed (and the resulting state of the agent), and not on the longer history of the previous transitions. We believe this not to be restrictive, in that the effects of any earlier transitions may in any case be recorded in the internal state of the agent and reasoned upon by it. Also, the approach can be extended to take into account longer histories of transitions when deciding the next one.

## 2 Background

Cycle theories will be written in the general framework of Logic Programming with Priorities (LPP). Our approach does not rely on any concrete such framework. One such concrete framework could be the Logic Programming without Negation as Failure (LPwNF) [5, 8] suitably extended to deal with dynamic preferences [9]. Other concrete frameworks that could be used for LPP are, for instance, those presented in [13, 12]. Note also that our approach does not depend crucially on the use of the framework of LPP: other frameworks for the declarative specification of preference policies, e.g. Default Logic with Priorities [3], could be used instead. Note, however, that the use of a logic-based framework where priorities are encoded within the logic itself is essential, since it allows reasoning even with potentially contradictory preferences. Also, note that

the choice of one logic rather than another might affect the properties of agents specified via cycle theories.

For the purposes of this paper, we will assume that an *LPP-theory*, referred to as  $\mathcal{T}$ , consists of four parts:

- (i) a low-level part  $P$ , consisting of a logic program; each rule in  $P$  is assigned a name, which is a term; e.g., one such rule could be

$$n(X) : p(X) \leftarrow q(X, Y), r(Y)$$

with name  $n(X)$ ;

- (ii) a high-level part  $H$ , specifying conditional, dynamic priorities amongst rules in  $P$ ; e.g., one such priority could be

$$h(X) : n(X) \succ m(X) \leftarrow c(X)$$

to be read: if (some instance of) the condition  $c(X)$  holds, then the rule in  $P$  with name (the corresponding instance of)  $n(X)$  should be given higher priority than the rule in  $P$  with name (the corresponding instance of)  $m(X)$ . The rule is given a name,  $h(X)$ ;

- (iii) an auxiliary part  $A$ , defining predicates occurring in the conditions of rules in  $P$  and  $H$  and not in the conclusions of any rule in  $P$ ;
- (iv) a notion of incompatibility which, for the purposes of this paper, can be assumed to be given as a set of rules defining the predicate *incompatible*, e.g.

$$\text{incompatible}(p(X), p'(X))$$

to be read: any instance of the literal  $p(X)$  is incompatible with the corresponding instance of the literal  $p'(X)$ . We assume that incompatibility is symmetric, and refer to the set of all incompatibility rules as  $I$ .

Any concrete LPP framework is equipped with a notion of entailment, that we denote by  $\models_{pr}$ . Intuitively,  $\mathcal{T} \models_{pr} \alpha$  iff  $\alpha$  is the “conclusion” of a sub-theory of  $P \cup A$  which is “preferred” wrt  $H \cup A$  in  $\mathcal{T}$  over any other any other sub-theory of  $P \cup A$  that derives “conclusion” incompatible with  $\alpha$  (wrt  $I$ ). Here, we are assuming that the underlying logic programming language is equipped with a notion of “entailment” that allows to draw “conclusions”. In [13, 12, 9, 8, 5],  $\models_{pr}$  is defined via argumentation.

### 3 Abstract Agent Model

We assume that our agents conform to the following abstract model, which can be seen as a high-level abstraction of most agent systems in the literature. Agents are equipped with

- some *internal state*, which changes over the life-time of the agent, and is formalised in some logic-based language or via some concrete data structure in some programming language;
- some pool of (*state*) *transitions*, that modify the state of the agent, and may take some inputs to be “computed” or selected by
- some *selection functions* on their states.

For example, the state may consist of beliefs, desires and intentions, represented in so-called logics, as in the BDI architecture [14] and its follow-ups, e.g. [1], or commitments and commitment rules, as in [15], or beliefs, goals and capabilities, represented in concurrent logic programming, as in [7], or knowledge, goals and plan, represented in (extensions of) logic programming, as in [11].

The transitions in the given pool can be any, but, if we abstract away from existing agent architectures and models in the literature, we can see that we need at least a transition responsible for observing the environment, thus rendering the agents situated. This transition might modify the internal state differently in concrete agent architectures, to record the observed events and properties of the environment. Here, we will call such a transition *Passive Observation Introduction* (POI). POI is “passive” in the sense that, via such a transition, the agent does not look for anything special to observe, but rather it opens its “reception channel” and records any inputs what its sensors perceive. Another transition that is present in most agent systems is that of *Action Execution* (AE), whereby actions may be “physical”, communicative, or “sensing”, depending on the concrete systems.

Other useful transitions besides POI and AE (see e.g. [10, 2]) may include Goal Introduction (GI), to introduce new goals into the state of the agent, taking into account changes to the state and to the external environment that somehow affect the preferences of the agent over which goals to adopt, Plan Introduction (PI), to plan for goals, Reactivity (RE), to react to perceived changes in the environment by means of condition-action/commitment-like rules, Sensing Introduction (SI), to set up sensing actions for sensing the preconditions of actions in the agent’s plan, to make sure these actions are indeed executable, Active Observation Introduction (AOI), to actively seek information from the environment, State Revision (SR) to revise the state currently held by the agent, and Belief Revision (BR), e.g. by learning.

Whatever pool of transitions one might choose, and whatever their concrete specification might be, we will assume that they are represented as

$$T(S, X, S', \tau)$$

where  $S$  is the state of the agent before the transition is applied and  $S'$  the state after,  $X$  is the (possibly empty) input taken by the transition, and  $\tau$  is the time of application of the transition. Note that we assume the existence of a *clock* (possibly external to the agent and shared by a number of agents), whose task is to mark the passing of time. The clock is responsible for labelling the transitions with the time at which they are applied. This time (and thus the clock) might play no role in some concrete agent architectures and models, where time is not reasoned upon explicitly. However, if the framework adopted to represent the state of the agent directly manipulates and reasons with time, the presence of a clock is required. Note also that the clock is useful (if not necessary) to label executed actions, and in particular communicative actions, to record their time of execution, as foreseen e.g. by FIPA standards for communication [6].

As far as the selection functions are concerned, we will assume that each transition  $T$  available to the agent is equipped with a selection function  $f_T$ , whose specifi-

cation depends on the representation chosen for the state and on the specification of the transition itself. For example, AE is equipped with a selection function  $f_{AE}$  responsible for choosing actions to be executed. These actions may be amongst those actions in the plan (intention/commitment store) part of the state of the agent whose time has not run-out at the time of selection (and application of the transition) and belonging to a plan for some goal which has not already been achieved by other means.

In the next Section, we will see that, for fixed cycles, the role of the selection functions is exclusively to select the inputs for the appropriate transition when the turn of the transition comes up. Later, in Section 5, we will see that the role of selection functions when using cycle theories is to help decide which transition is preferred and should be applied next, as well as provide its input.

## 4 Fixed Cycles and Fixed Operational Trace

Both for fixed cycles and cycle theories, we will assume that the operation of an agent will start from some *initial state*. This can be seen as the state of the agent when it is created. The state then evolves via the transitions, as concluded by the fixed cycle or cycle theory. For example, the initial state of the agent could have an empty set of goals and an empty set of plans, or some designer-given goals and an empty set of plans. In the sequel, we will indicate the given initial state as  $S_0$ .

A *fixed cycle* is a fixed sequence of transitions of the form

$$T_1, \dots, T_n$$

where each  $T_i$ ,  $i = 1, \dots, n$ , is a transition chosen from the given pool, and  $n \geq 2$ .

A fixed cycle induces a *fixed operational trace* of the agent, namely a (typically infinite) sequence of applications of transitions, of the form

$$T_1(S_0, X_1, S_1, \tau_1), T_2(S_1, X_2, S_2, \tau_2), \dots, T_n(S_{n-1}, X_n, S_n, \tau_n), \\ T_1(S_n, X_{n+1}, S_{n+1}, \tau_{n+1}), \dots, T_n(S_{2n-1}, X_{2n}, S_{2n}, \tau_{2n}), \dots$$

where, for each  $i \geq 1$ ,  $f_{T_i}(S_{i-1}, \tau_i) = X_i$ , namely, at each stage,  $X_i$  is the (possibly empty) input for the transition  $T_i$  chosen by the corresponding selection function  $f_{T_i}$ .

Then, a classical “observe-think-act” cycle (e.g. see [11]) can be represented in our approach as the fixed cycle:

$$POI, RE, PI, AE, AOI.$$

As a further example, a purely reactive agent, e.g. with its knowledge consisting of condition-action rules, can execute the cycle

$$POI, RE, AE.$$

Note that POI is interpreted here as a transition which is under the control of the agent, namely the agent decides when it is time to open its “reception channel”. Below, in Section 8, we will see a different interpretation of POI as an “interrupt”.

Note that, although fixed cycles such as the above are quite restrictive, they may be sufficiently appropriate in some circumstances. For example, the cycle for a purely reactive agent may be fine in an environment which is highly dynamic. An agent may then be equipped with a catalogue of fixed cycles, and a number of conditions on the environment to decide when to apply which of the given cycles. This would provide for a (limited) form of intelligent control, in the spirit of [4], paving the way toward the more sophisticated and fully declarative control via cycle theories given in the next Section.

## 5 Cycle Theories and Cycle Operational Trace

The role of the cycle theory is to dynamically control the sequence of the internal transitions that the agent applies in its “life”. It regulates these “narratives of transitions” according to certain requirements that the designer of the agent would like to impose on the operation of the agent, but still allowing the possibility that any (or a number of) sequences of transitions can actually apply in the “life” of an agent. Thus, whereas a fixed cycle can be seen as a restrictive and rather inflexible catalogue of allowed sequences of transitions (possibly under pre-defined conditions), a cycle theory identifies *preferred patterns* of sequences of transitions. In this way a cycle theory regulates in a flexible way the operational behaviour of the agent.

Formally, a cycle theory  $\mathcal{T}_{cycle}$  consists of the following parts.

- An *initial* part  $\mathcal{T}_{initial}$ , that determines the possible transitions that the agent could perform when it starts to operate (*initial cycle step*). More concretely,  $\mathcal{T}_{initial}$  consists of rules of the form

$$*T(S_0, X) \leftarrow C(S_0, \tau, X), now(\tau)$$

sanctioning that, if the conditions  $C$  are satisfied in the initial state  $S_0$  at the current time  $\tau$ , then the initial transition should be  $T$ , applied to state  $S_0$  and input  $X$ , if required. Note that  $C(S_0, \tau, X)$  may be absent, and  $\mathcal{T}_{initial}$  might simply indicate a fixed initial transition  $T_1$ .

The notation  $*T(S, X)$  in the head of these rules, meaning that the transition  $T$  can be potentially chosen as the next transition, is used in order to avoid confusion with the notation  $T(S, X, S', \tau)$  that we have introduced earlier to represent the actual application of the transition  $T$ .

- A *basic* part  $\mathcal{T}_{basic}$  that determines the possible transitions (*cycle steps*) following other transitions, and consists of rules of the form

$$*T'(S', X') \leftarrow T(S, X, S', \tau), EC(S', \tau', X'), now(\tau')$$

which we refer to via the name  $\mathcal{R}_{T|T'}(S', X')$ . These rules sanction that, after the transition  $T$  has been executed, starting at time  $\tau$  in the state  $S$  and ending at the current time  $\tau'$  in the resulting state  $S'$ , and the conditions  $EC$  evaluated in  $S'$  at  $\tau'$  are satisfied, then transition  $T'$  could be the next transition to be applied in the state  $S'$  with the (possibly empty) input  $X'$ , if required. The conditions  $EC$  are called *enabling conditions* as they determine when a cycle-step from the transition  $T$  to the transition  $T'$  can be applied.



In addition, they determine the input  $X'$  of the next transition  $T'$ . Such inputs are determined by calls to the appropriate selection functions.

- A *behaviour part*  $\mathcal{T}_{behaviour}$  that contains rules describing dynamic priorities amongst rules in  $\mathcal{T}_{basic}$  and  $\mathcal{T}_{initial}$ . Rules in  $\mathcal{T}_{behaviour}$  are of the form  $\mathcal{R}_{T|T'}(S, X') \succ \mathcal{R}_{T|T''}(S, X'') \leftarrow BC(S, X', X'', \tau), now(\tau)$  with  $T' \neq T''$ , which we will refer to via the name  $\mathcal{P}_{T' \succ T''}^T$ . Recall that  $\mathcal{R}_{T|T'}(\cdot)$  and  $\mathcal{R}_{T|T''}(\cdot)$  are (names of) rules in  $\mathcal{T}_{basic} \cup \mathcal{T}_{initial}$ . Note that, with an abuse of notation,  $T$  could be 0 in the case that one such rule is used to specify a priority over the *first* transition to take place, in other words, when the priority is over rules in  $\mathcal{T}_{initial}$ . These rules in  $\mathcal{T}_{behaviour}$  sanction that, at the current time  $\tau$ , after transition  $T$ , if the conditions  $BC$  hold, then we prefer the next transition to be  $T'$  over  $T''$ , namely doing  $T'$  has *higher priority* than doing  $T''$ , after  $T$ . The conditions  $BC$  are called *behaviour conditions* and give the behavioural profile of the agent. These conditions depend on the state of the agent after  $T$  and on the parameters chosen in the two cycle steps represented by  $\mathcal{R}_{T|T'}(S, X')$  and  $\mathcal{R}_{T|T''}(S, X'')$ . Behaviour conditions are *heuristic* conditions, which may be defined in terms of the *heuristic selection function*, where appropriate. For example, the heuristic action selection function may choose those actions in the agent's plan whose time is close to running out amongst those whose time has not run out.
- An *auxiliary part* including definitions for any predicates occurring in the enabling and behaviour conditions, and in particular for selection functions (including the heuristic ones, if needed).
- An *incompatibility part*, including rules stating that all different transitions are incompatible with each other and that different calls to the same transition but with different input items are incompatible with each other. These rules are facts of the form
 
$$incompatible(*T(S, X), *T'(S, X')) \leftarrow$$
 for all  $T, T'$  such that  $T \neq T'$ , and of the form
 
$$incompatible(*T(S, X), *T(S, X')) \leftarrow X \neq X'$$
 expressing the fact that only one transition can be chosen at a time.

Hence,  $\mathcal{T}_{cycle}$  is an LPP-theory (see Section 2) where:

- (i)  $P = \mathcal{T}_{initial} \cup \mathcal{T}_{basic}$ , and (ii)  $H = \mathcal{T}_{behaviour}$ .

In the sequel, we will indicate with  $\mathcal{T}_{cycle}^0$  the sub-cycle theory  $\mathcal{T}_{cycle} \setminus \mathcal{T}_{basic}$  and with  $\mathcal{T}_{cycle}^s$  the sub-cycle theory  $\mathcal{T}_{cycle} \setminus \mathcal{T}_{initial}$ .

The cycle theory  $\mathcal{T}_{cycle}$  of an agent is responsible for the behaviour of the agent, in that it induces a *cycle operational trace* of the agent, namely a (typically infinite) sequence of transitions

$$T_1(S_0, X_1, S_1, \tau_1), \dots, T_i(S_{i-1}, X_i, S_i, \tau_i), \\ T_{i+1}(S_i, X_{i+1}, S_{i+1}, \tau_{i+1}), \dots$$

(where each of the  $X_i$  may be empty), such that

- $S_0$  is the given initial state;
- for each  $i \geq 1$ ,  $\tau_i$  is given by the clock of the system, with the property that  $\tau_i < \tau_{i+1}$ ;
- (*Initial Cycle Step*)  $\mathcal{T}_{cycle}^0 \wedge now(\tau_1) \models_{pr} *T_1(S_0, X_1)$ ;

- (*Cycle Step*) for each  $i \geq 1$   
 $\mathcal{T}_{cycle}^s \wedge T_i(S_{i-1}, X_i, S_i, \tau_i) \wedge now(\tau_{i+1}) \models_{pr} *T_{i+1}(S_i, X_{i+1})$   
 na mely each (non-final) transition in a sequence is followed by the . ost preferred transition, as specified by  $\mathcal{T}_{cycle}$ .

If, at some stage, the . ost preferred transition determined by  $\models_{pr}$  is not unique, we choose arbitrarily one.

Note that, for simplicity, the above definition of operational trace prevents the agent from executing transitions *concurrently*. However, a first level of concurrency can be incorporated within traces, by allowing all preferred transitions to be executed at every step. For this we would only need to relax the above definition of *incompatible* transitions to be restricted between any two transitions whose executions could interact with each other and therefore cannot be executed concurrently on the same state, e.g. the Plan Introduction and State Revision transitions. This would then allow several transitions to be chosen together as preferred next transitions and a concurrent . odel of operation would result by carrying out simultaneously the (non-interacting) state updates imposed by these transitions. Further possibilities of concurrency will be subject of future investigations.

In section 8 we will provide a simple extension of the notion of operational trace defined above.

## 6 Fixed Versus Flexible Behaviour

Cycle theories generalise fixed cycles in that the behaviour given by a fixed operational trace can be obtained via the behaviour given by a cycle operational trace, for some special cycle theories. This is shown by the following theorem , which refers to the notions of *fixed cycle* and *fixed operational trace* introduced in Section 4.

**Theorem 1.** *Let  $T_1, \dots, T_n$  be a fixed cycle, and let  $f_{T_i}$  be a given selection function for each  $i = 1, \dots, n$ . Then there exists a cycle theory  $\mathcal{T}_{cycle}$  which induces a cycle operational trace identical to the fixed operational trace induced by the fixed cycle.*

*Proof.* The proof is by construction as follows.

- $\mathcal{T}_{initial}$  consists of the rule  
 $*T_1(S_0, X) \leftarrow now(\tau)$   
 i.e. the initial transition is simply  $T_1$ .
- $\mathcal{T}_{basic}$  consists of the following rules, for each  $i$  with  $2 \leq i \leq n$ :  
 $*T_i(S', X') \leftarrow T_{i-1}(S, X, S', \tau), now(\tau'), X' = f_{T_i}(S', \tau')$ .  
 In addition  $\mathcal{T}_{basic}$  contains the rule  
 $*T_1(S', X') \leftarrow T_n(S, X, S', \tau), now(\tau'), X' = f_{T_1}(S', \tau')$ .
- $\mathcal{T}_{behaviour}$  is empty.
- the auxiliary part contains the definitions of the given selection functions  $f_{T_i}$ , for each  $i = 1, \dots, n$ .

The proof then easily follows by construction, since at each stage only one cycle step is enabled and no preference reasoning is required to choose the next transition to be executed.  $\square$

It is clear that there are so e ( any) cycle theories that cannot be . apped onto any fixed cycles, e.g. the cycle theory given in the next Section. So, providing control via cycle theories is a genuine extension of providing control via conventional fixed cycles.

## 7 An Example

In this Section we ex . plify the flexibility afforded by cycle theories through a simple exa . ple. Assume that the pool of transitions consists of GI, PI, AE and POI, as described in Section 3. We start fro . the cycle theory corresponding to the fixed cycle given by POI, GI, PI, AE which is constructed as follows (see Theore . 1).

(1)  $\mathcal{T}_{initial}$  with the following rule

$$*POI(S_0, \{\}) \leftarrow$$

na . mely, the only way an agent can start is through a POI.

(2)  $\mathcal{T}_{basic}$  with the following rules

$$*GI(S', \{\}) \leftarrow POI(S, \{\}, S', \tau)$$

$$*PI(S', Gs) \leftarrow GI(S, \{\}, S', \tau), Gs = f_{PI}(S', \tau), now(\tau')$$

$$*AE(S', As) \leftarrow PI(S, Gs, S', \tau), As = f_{AE}(S', \tau'), now(\tau')$$

$$*POI(S', \{\}) \leftarrow AE(S, As, S', \tau)$$

(3)  $\mathcal{T}_{behaviour}$  is e . pty.

A first simple i . prove . ent, providing a li . ited for . of flexibility, consists in refining the rule  $\mathcal{R}_{GI|PI}(\cdot)$  by adding the condition that the set of goals to plan for, which are selected by the corresponding selection function  $f_{PI}$ , is non-e . pty. This a . counts at . odifying the second rule of  $\mathcal{T}_{basic}$  by adding the condition  $Gs \neq \{\}$  to its body.

Si . milarly, AE is an option after PI if so . e actions can actually be selected for execution. This a . counts at . odifying the the third rule of  $\mathcal{T}_{basic}$  by adding the condition  $As \neq \{\}$  to its body.

In this case, we should provide further options for choosing the transition to be executed after  $GI$  and  $PI$ , respectively. To adhere with the given original cycle, these rules could be si . ply suitable rules na . ed by  $\mathcal{R}_{GI|AE}(S', As)$ ,  $\mathcal{R}_{GI|POI}(S', \{\})$  and  $\mathcal{R}_{PI|POI}(S', \{\})$ , i.e. AE and POI are also an option after GI, and POI is also an option after PI. With this choice, the standard operational trace is recovered by adding to the  $\mathcal{T}_{behaviour}$  part of the cycle theory the following rules

$$\mathcal{R}_{GI|PI}(S', Gs) \succ \mathcal{R}_{GI|AE}(S', As) \leftarrow$$

$$\mathcal{R}_{GI|AE}(S', As') \succ \mathcal{R}_{GI|POI}(S', \{\}) \leftarrow$$

$$\mathcal{R}_{GI|PI}(S', Gs') \succ \mathcal{R}_{PI|POI}(S', \{\}) \leftarrow$$

$$\mathcal{R}_{PI|AE}(S', As) \succ \mathcal{R}_{PI|POI}(S', \{\}) \leftarrow$$

The first rule states that PI has to be preferred over AE as the next transition to be applied after GI, whenever both PI and AE are enabled. Similarly for the other rules.

A more interesting, proper extension of the original (fixed) cycle amounts at adding further options to the transition which can follow any given transition. Imagine for instance that we want to express the behaviour of a *punctual* or *timely* agent. This agent should always prefer executing actions if there are actions in the plan which have become *urgent*. This can be declaratively formalised by adding to the  $\mathcal{T}_{basic}$  part the rules

$$*AE(S', As') \leftarrow T(S, X, S', \tau), As' = f_{AE}(S', \tau), now(\tau')$$

for each transition  $T$  in the pool, and by adding to the  $\mathcal{T}_{behaviour}$  part the following rules named  $\mathcal{P}_{AE>T'}^T$ :

$$\mathcal{R}_{T|AE}(S', As') \succ \mathcal{R}_{T|T'}(S', X') \leftarrow urgent(As')$$

for each transition  $T$  and  $T' \neq AE$ , where *urgent* is defined in the auxiliary part of the theory with the intuitive meaning. In the rest of this Section, we use  $\mathcal{T}_{cycle}^{fix}$  to refer to the cycle theory corresponding to the fixed cycle POI, GI, PI, AE, and we use  $\mathcal{T}_{cycle}^{ext}$  to refer to the extended cycle theory.

As a concrete example, consider an agent aiding a businessman who, while on a business trip, can choose amongst three possible goals: return home (*home*), read news (*news*), and recharge his laptop battery (*battery*). Let us use first the cycle theory  $\mathcal{T}_{cycle}^{fix}$ .

Suppose that, initially (when  $now(1)$  holds), the agent's state is empty, namely the (businessman's) agent holds no plan or goal, and that the initial POI does not add anything to the current state. Then GI is performed as the next transition in the trace:

$$GI(S_0, \{\}, S_1, 1),$$

and suppose also that the application of GI generates the agent's goal (added to  $S_1$ )  $G_1 = home$ . This goal may come along with a time parameter and so temporal constraints associated with it, e.g. the actual goal can be represented by  $(home, t) \wedge t < 20$ . Due to space limitations, we intentionally omit here the details concerning the temporal parameters of goals and actions, and the temporal constraints associated with them. Since the state contains a goal to be planned for, suppose that the selection function  $f_{PI}$  selects this goal, and the PI transition is applied next, producing two actions *book\_ticket* and *take\_train*. Hence, the second transition of the trace is (when  $now(3)$  holds)

$$PI(S_1, \{\}, S_2, 3)$$

where the new state  $S_2$  contains the above actions.

Suppose now that the selection function  $f_{AE}$  selects the action *book\_ticket* and hence that the next element of the trace is (when  $now(4)$  holds)

$$AE(S_2, \{book\_ticket\}, S_3, 4). \quad (*)$$

In the original fixed cycle the next applicable transition is POI, and assume that this is performed at some current time, say 10. Hence the next element of the trace is (when  $now(10)$  holds)

$$POI(S_3, \{\}, S_4, 10). \quad (**)$$

Imagine that this POI brings about the new knowledge that the laptop battery is low, suitably represented in the resulting state  $S_4$ . Then the next transition GI changes the state so that the goal *battery* is added, and then PI is performed to introduce a suitable plan to recharge the battery and so on.

Now suppose that we use  $\mathcal{T}_{cycle}^{ext}$  instead and that the operational trace is identical up to the execution of the transition (\*). At this point, the action *take\_train* may have become *urgent*. Notice that it is likely that this same action was not urgent at time 3, when *book\_ticket* was selected for execution, but has become urgent at time 10 (e.g. because the train is leaving at 11). Then, if we use  $\mathcal{T}_{cycle}^{ext}$ , the rule  $\mathcal{P}_{AE \succ POI}^{AE}$  applies and the next element of the trace, replacing (\*\*) above, becomes

$$AE(S_3, \{take\_train\}, S'_4, 10).$$

This example shows how the use of cycle theories can lead to flexible behaviours. More flexibility may be achieved by allowing the agents to be interruptible, i.e. to be able to react to changes in the environment in which they are situated as soon as they perceive those changes. This added flexibility requires some further extensions, that we discuss in the next Section.

## 8 Interruptible Agents

In our approach we can provide a declarative specification of *interruptible* agents, i.e. agents that are able to dynamically modify their “normal” (either fixed or cycle) operational trace when they perceive changes in the environment in which they are situated.

In order to obtain interruptibility, we will make use of the POI transition as the means by which an agent can react to an interrupt. Referring to the example of the previous Section, assume that our agent can book the ticket only through its laptop and, by the time it decides to actually book the ticket, the laptop battery has run out. Then, the action of recharging the laptop battery should be executed as soon as possible in order to (possibly) achieve the initial goal. Indeed, executing the booking action before recharging would not be feasible at all.

In order to model the environment where the agent is situated, we assume the existence of an environmental knowledge base  $Env$  that it is not directly under the control of the agent, in that the latter can only dynamically assimilate the knowledge contained in  $Env$ . This knowledge base can be seen as an abstraction of the physical (as opposed to the mental) part of the agent (its *body*) which, e.g. through its sensors, perceives changes in the environment. We assume that, besides the knowledge describing the agent’s percepts,  $Env$  models a special propositional symbol, referred to as *changed\_env* which holds as soon as the body of the agent perceives any new, relevant changes in the environment. The way we model the reaction of the agent to the changes represented by *changed\_env* becoming true, is through the execution of a POI. We also assume that the execution of a POI transition resets the truth value of *changed\_env*, so that the agent may be later alerted of further changes in the environment.

The *Env* knowledge base becomes now part of the knowledge that the agent uses in order to decide the next step in its operational trace. This is formally specified through the notion of *cycle-env operational trace*, which extends the notion of cycle operational trace introduced in Section 5, by replacing the definitions of *Initial Cycle Step* and *Cycle Step* by the following new definitions:

$$\begin{aligned} (\text{Initial Cycle-env Step}): \mathcal{T}_{cycle}^0 \wedge Env \wedge now(\tau_1) &\models_{pr} *T_1(S_0, X_1); \\ (\text{Cycle-env Step}) \text{ for each } i \geq 1 & \\ \mathcal{T}_{cycle}^s \wedge T_i(S_{i-1}, X_i, S_i, \tau_i) \wedge Env \wedge now(\tau_{i+1}) & \\ &\models_{pr} *T_{i+1}(S_i, X_{i+1}) \end{aligned}$$

We can now define a notion of *interruptible agent* as follows. Let  $\mathcal{T}_{cycle}$  be the cycle theory of the agent and let  $T_1(\cdot), \dots, T_i(\cdot), \dots$  be a cycle operational trace of the agent. Let also  $T_i(S_{i-1}, X_i, S_i, \tau_i)$  be an element of the given trace such that:

$$\begin{aligned} Env \wedge now(\tau_i) &\models \neg changed\_env, \text{ and} \\ Env \wedge now(\tau_{i+1}) &\models changed\_env. \end{aligned}$$

In other words, some changes have happened in the environment between the time of the execution of the transitions  $T_i$  and  $T_{i+1}$  in the trace. Then we say that the agent is interruptible if

$\mathcal{T}_{cycle} \wedge T_i(S_{i-1}, X_i, S_i, \tau_i) \wedge Env \wedge now(\tau_{i+1}) \models_{pr} *POI(S_i, \{\})$ , i.e. as soon as the environment changes, in a cycle-env operational trace the next transition would be a POI.

It is worth noting that by interruptibility we do not mean here that the (executions of) transitions are interrupted, rather the trace is interrupted.

In order to make an agent interruptible, we need to extend both  $\mathcal{T}_{basic}$  and  $\mathcal{T}_{behaviour}$ . In  $\mathcal{T}_{basic}$ , POI should be made an option after any other transition in the pool, which is achieved by adding the following rule  $\mathcal{R}_{T|POI}(S, \{\})$ , for any  $T$ :

$$*POI(S', \{\}) \leftarrow T(S, X', S', \tau).$$

In  $\mathcal{T}_{behaviour}$ , the following set of rules, where  $T, T'$  are transitions with  $T' \neq POI$ , express that POI should be preferred over any other transition if the environment has actually changed:

$$\mathcal{R}_{T|POI}(S', \{\}) \succ \mathcal{R}_{T|T'}(S', X) \leftarrow changed\_env. \quad (***)$$

Notice that, even if the above extensions are provided in the overall  $\mathcal{T}_{cycle}$  theory, the interruptibility of the agent is still not guaranteed. For instance,  $\mathcal{T}_{behaviour}$  could contain further rules which make a transition  $T \neq POI$  preferable over POI even if *changed\_env* holds. One way to achieve full interruptibility is by adding the condition  $\neg changed\_env$  in the body of any rule in  $\mathcal{T}_{behaviour}$  other than the rules (\*\*\*) given above.

## 9 Patterns of Behaviour

In this section we show how different patterns of operation can arise from different cycle theories aiming to capture different profiles of operational behaviour by agents. We assume the agent is equipped with a set of transitions, as in the KGP model [10, 2] (see Section 3 for an informal description of these transitions):

- POI, *Passive Observation Introduction*
- AE, *Action Execution*
- GI, *Goal Introduction*
- PI, *Plan Introduction*
- RE, *Reactivity*
- SI, *Sensing Introduction*
- AOI, *Active Observation Introduction*
- SR, *State Revision*

In Section 7 we have given a simple example of a cycle theory describing a punctual, timely agent which attempts to execute its planned actions in time. This agent was obtained by adding some specific rules to  $\mathcal{T}_{behaviour}$  of a given cycle theory. The same approach can be adopted to obtain different profiles.

For example, we can define a *focused or committed* agent, which, once chosen a plan to execute, prefers to continue with this plan (refining it and/or executing parts of it) until the plan is finished or it has become invalid, at which point the agent may consider other plans or other goals. Hence transitions that relate to an existing plan have preference over transitions that relate to other plans. This profile of behaviour can be captured by the following rules added to  $\mathcal{T}_{behaviour}$  of an appropriate cycle theory:

$$\mathcal{R}_{T|AE}(S, As) \succ \mathcal{R}_{T|T'}(S, X) \leftarrow same\_plan(S, As)$$

for any  $T$  and any  $T' \neq AE$ , and

$$\mathcal{R}_{T|PI}(S, Gs) \succ \mathcal{R}_{T|T'}(S, X) \leftarrow same\_plan(S, Gs)$$

for any  $T$  and any  $T' \neq PI$ . These rules state that the agent prefers to execute actions or to reduce goals from the same plan as the actions that have just been executed. Here, the behaviour conditions are defined in terms of some predicate *same\_plan* which, intuitively, checks that the selected inputs for AE and PI, respectively, belong to the *same plan* as the actions most recently executed within the latest AE transition.

Another example of behavioral profile is the *impatient* pattern, where actions that have been tried and failed are not tried again. This can be captured by rules of the form :

$$\mathcal{R}_{T|T'}(S, -) \succ \mathcal{R}_{T|AE}(S, As) \leftarrow failed(S, As)$$

for any  $T$  and any  $T' \neq AE$ . In this way, AE is given less preference than any other transition  $T'$  after any transition  $T$ . Intuitively, *As* are *failed* actions. As a result of this priority rule it is possible that such failed actions would remain un-tried again (unless nothing else is enabled) until they are timed out and dropped by SR.

If we want to capture a *careful* behaviour where the agent revises its state when one of its goals or actions times out (being careful not to have in its state other goals or actions that are now impossible to achieve in time) we would have in  $\mathcal{T}_{behaviour}$  the rule:

$$\mathcal{R}_{T|SR}(S, \{\}) \succ \mathcal{R}_{T|T'}(S, -) \leftarrow timed\_out(S, \tau)$$

for any  $T$  and any  $T' \neq SR$ . In this way, the SR transition is preferred over

all other transitions, where the behaviour condition  $timed.out(S, \tau)$  succeeds if some goal or action in the state  $S$  has timed out at time  $\tau$ .

## 10 Conclusions and Ongoing Work

We have presented an approach providing declarative agent control, via logic programs with priorities. Our approach shares the aims of 3APL [4], to make the agent cycle programmable and the selection mechanisms explicit, but goes beyond it. Indeed, the approach of [4] can be seen as relying upon a catalogue of fixed cycles together with the possibility of selecting amongst such fixed cycles according to some criteria, whereas we drop the concept of fixed cycle completely, and replace it with fully programmable cycle theories.

Our approach allows us to achieve flexibility and adaptability in the operation of an autonomous agent. It also offers the possibility to state and verify properties of agents' behaviour formally. In this paper we have exemplified the first aspect via an example, and the second aspect via the property of "interruptibility" of agents. The identification and verification of more properties is a matter for future work.

Our approach also lends itself to achieving heterogeneity in the overall operational behaviour of different agents that can be specified within the proposed framework. Indeed, an advantage of control via cycle theories is that it opens up the possibility to produce a variety of patterns of operation of agents, depending on the particular circumstances under which the transitions are executed. This variety can be increased, and many different *patterns or profiles of behaviour* can be defined by varying the cycle theory, thus allowing agents with (possibly) the same knowledge and operating in the same environment to exhibit heterogeneous behaviour, due to their different cycle theories. We have given a number of examples of profiles of behaviour. A systematic study of behaviour parameterisation (perhaps linking with Cognitive Science) is a matter for future work, as well as the comparison on how different behaviours affect the agents' individual welfare in different contexts.

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# Metareasoning for Multi-agent Epistemic Logics<sup>\*</sup>

Konstantine Arkoudas and Selmer Bringsjord

RPI  
{arkouk, brings}@rpi.edu

**Abstract.** We present an encoding of a sequent calculus for a multi-agent epistemic logic in Athena, an interactive theorem proving system for many-sorted first-order logic. We then use Athena as a metalanguage in order to reason about the multi-agent logic as an object language. This facilitates theorem proving in the multi-agent logic in several ways. First, it lets us marshal the highly efficient theorem provers for classical first-order logic that are integrated with Athena for the purpose of doing proofs in the multi-agent logic. Second, unlike model-theoretic embeddings of modal logics into classical first-order logic, our proofs are directly convertible into native epistemic logic proofs. Third, because we are able to quantify over propositions and agents, we get much of the generality and power of higher-order logic even though we are in a first-order setting. Finally, we are able to use Athena's versatile tactics for proof automation in the multi-agent logic. We illustrate by developing a tactic for solving the generalized version of the wise men problem.

## 1 Introduction

Multi-agent modal logics are widely used in Computer Science and AI. Multi-agent epistemic logics, in particular, have found applications in fields ranging from AI domains such as robotics, planning, and motivation analysis in natural language [1]; to negotiation and game theory in economics; to distributed systems analysis and protocol authentication in computer security [2, 3]. The reason is simple—intelligent agents must be able to reason about knowledge. It is therefore important to have efficient means for performing machine reasoning in such logics. While the validity problem for most propositional modal logics is of intractable theoretical complexity<sup>1</sup>, several approaches have been investigated in recent years that have resulted in systems that appear to work well in practice. These approaches include tableau-based provers, SAT-based algorithms, and translations to first-order logic coupled with the use of resolution-based automated theorem provers (ATPs). Some representative systems are FaCT [6], KSATC [7], TA [8], LWB [9], and MSPASS [10].

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<sup>1</sup> For instance, the validity problem for multi-agent propositional epistemic logic is PSPACE-complete [4]; adding a common knowledge operator makes the problem EXPTIME-complete [5].

Translation-based approaches (such as that of MSPASS) have the advantage of leveraging the tremendous implementation progress that has occurred over the last decade in first-order theorem proving. Soundness and completeness are ensured by the soundness and completeness of the resolution prover (once the soundness and completeness of the translation have been shown), while a decision procedure is automatically obtained for any modal logic that can be translated into a decidable fragment of first-order logic, such as the two-variable fragment. Furthermore, the task of translating from a modal logic to the classical first-order setting is fairly straightforward (assuming, of course, that the class of Kripke frames captured by the modal logic is first-order definable [11]; modal logics such as the Gödel-Löb logic of provability in first-order Peano arithmetic would require translation into second-order classical logic). For instance, the well-known formula  $\Box P \wedge \Box(P \Rightarrow Q) \Rightarrow \Box Q$  becomes

$$\forall w_1 . [(\forall w_2 . R(w_1, w_2) \Rightarrow P(w_2)) \wedge (\forall w_2 . R(w_1, w_2) \Rightarrow P(w_2) \Rightarrow Q(w_2))] \Rightarrow (\forall w_2 . R(w_1, w_2) \Rightarrow Q(w_2))$$

Here the variables  $w_1$  and  $w_2$  range over possible worlds, and the relation  $R$  represents Kripke’s accessibility relation. A constant propositional atom  $P$  in the modal language becomes a unary predicate  $P(w)$  that holds (or not) for a given world  $w$ .

This is the (naive) classical translation of modal logic into first-order logic [4], and we might say that it is a *semantic* embedding, since the Kripke semantics of the modal language are explicitly encoded in the translated result. This is, for instance, the approach taken by McCarthy in his “Formalizing two puzzles involving knowledge” [12]. A drawback of this approach is that proofs produced in the translated setting are difficult to convert back into a format that makes sense for the user in the original modal setting (although alternative translation techniques such as the functional translation to path logic can rectify this in some cases [13]). Another drawback is that if a result is not obtained within a reasonable amount of time—which is almost certain to happen quite often when no decision procedure is available, as in first-order modal logics—then a batch-oriented ATP is of little help to the user due to its “low bandwidth of interaction” [14].

In this paper we explore another approach: We embed a multi-agent epistemic logic into many-sorted first-order logic in a proof-theoretic rather than in a model-theoretic way.<sup>2</sup> Specifically, we use the interactive theorem proving system Athena [15] to encode the formulas of the epistemic logic along with the inference rules of a sequent calculus for it. Hence first-order logic becomes our metalanguage and the epistemic logic becomes our object language. We then use standard first-order logic (our metalanguage) to reason about proofs in the object logic. In effect, we end up reasoning about reasoning—hence the term *metareasoning*. Since our metareasoning occurs at the standard first-order level, we are

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<sup>2</sup> This paper treats a propositional logic of knowledge, but the technique can be readily applied to full first-order multi-agent epistemic logic, and indeed to hybrid multi-modal logics, e.g., combination logics for temporal and epistemic reasoning.

free to leverage existing theorem-proving systems for automated deduction. In particular, we make heavy use of Vampire [16] and Spass [17], two cutting-edge resolution-based ATPs that are seamlessly integrated with Athena.

Our approach has two additional advantages. First, it is trivial to translate the constructed proofs into modal form, since the Athena proofs are already *about proofs in the modal logic*. Second, because the abstract syntax of the epistemic logic is explicitly encoded in Athena, we can quantify over propositions, sequents, and agents. Accordingly, we get the generalization benefits of higher-order logic even in a first-order setting. This can result in significant efficiency improvements. For instance, in solving the generalized wise men puzzle it is necessary at some point to derive the conclusion  $M_2 \vee \dots \vee M_n$  from the three premises  $\neg K_\alpha(M_1)$ ,  $K_\alpha(\neg(M_2 \vee \dots \vee M_n) \Rightarrow M_1)$ , and

$$\neg(M_2 \vee \dots \vee M_n) \Rightarrow K_\alpha(\neg(M_2 \vee \dots \vee M_n))$$

where  $M_1, \dots, M_n$  are atomic propositions and  $\alpha$  is an epistemic agent,  $n > 1$ . In the absence of an explicit embedding of the epistemic logic, this would have to be done with a tactic that accepted a list of propositions  $[M_1 \dots M_n]$  as input and performed the appropriate deduction dynamically, which would require an amount of effort quadratic in the length of the list. By contrast, in our approach we are able to formulate and prove a “higher-order” lemma stating

$$\forall P, Q, \alpha. \{ \neg K_\alpha(P), K_\alpha(\neg Q \Rightarrow P), \neg Q \Rightarrow K_\alpha(\neg Q) \} \vdash Q$$

Obtaining the desired conclusion for any given  $M_1, \dots, M_n$  then becomes a matter of instantiating this lemma with  $P \mapsto M_1$  and  $Q \mapsto M_2 \vee \dots \vee M_n$ . We have thus reduced the asymptotic complexity of our task from quadratic time to constant time.

But perhaps the most distinguishing aspect of our work is our emphasis on *tactics*. Tactics are proof algorithms, which, unlike conventional algorithms, are guaranteed to produce sound results. That is, if and when a tactic outputs a result  $P$  that it claims to be a theorem, we can be assured that  $P$  is indeed a theorem. Tactics are widely used for proof automation in first- and higher-order proof systems such as HOL [18] and Isabelle [19]. In Athena tactics are called *methods*, and are particularly easy to formulate owing to Athena’s Fitch-style natural deduction system and its assumption-based semantics [20]. A major goal of our research is to find out how easy—or difficult—it may be to automate multi-agent modal logic proofs with tactics. Our aim is not to obtain a completely automatic decision procedure for a certain logic (or class of logics), but rather to enable efficient interactive—i.e., semi-automatic—theorem proving in such logics for challenging problems that are beyond the scope of completely automatic provers. In this paper we formulate an Athena method for solving the generalized version of the wise men problem (for any given number of wise men). The relative ease with which this method was formulated is encouraging.

The remainder of this paper is structured as follows. In the next section we present a sequent calculus for the epistemic logic that we will be encoding. In Section 3 we present the wise men puzzle and formulate an algorithm for solving

$$\begin{array}{c}
\frac{\Gamma \vdash P \quad \Gamma \vdash Q}{\Gamma \vdash P \wedge Q} [\wedge-I] \quad \frac{\Gamma \vdash P \wedge Q}{\Gamma \vdash P} [\wedge-E_1] \quad \frac{\Gamma \vdash P \wedge Q}{\Gamma \vdash Q} [\wedge-E_2] \\
\\
\frac{\Gamma \vdash P}{\Gamma \vdash P \vee Q} [\vee-I_1] \quad \frac{\Gamma \vdash Q}{\Gamma \vdash P \vee Q} [\vee-I_2] \\
\\
\frac{\Gamma \vdash P_1 \vee P_2 \quad \Gamma, P_1 \vdash Q \quad \Gamma, P_2 \vdash Q}{\Gamma \vdash Q} [\vee-E] \\
\\
\frac{\Gamma, P \vdash Q}{\Gamma \vdash P \Rightarrow Q} [\Rightarrow-I] \quad \frac{\Gamma \vdash P \Rightarrow Q \quad \Gamma \vdash P}{\Gamma \vdash Q} [\Rightarrow-E] \\
\\
\frac{\Gamma \vdash \neg\neg P}{\Gamma \vdash P} [\neg-E] \quad \frac{\Gamma, P \vdash \perp}{\Gamma \vdash \neg P} [\neg-I] \quad \frac{}{\Gamma, P \vdash P} [Reflex] \\
\\
\frac{\Gamma \vdash P}{\Gamma \cup \Gamma' \vdash P} [Dilution] \quad \frac{\Gamma \vdash P \wedge \neg P}{\Gamma \vdash \perp} [\perp-I] \quad \frac{}{\Gamma \vdash \top} [\top-I]
\end{array}$$

**Fig. 1.** Inference rules for the propositional connectives

the generalized version of it in the sequent calculus of Section 2. In Section 4 we discuss the Athena encoding of the epistemic logic and present the Athena method for solving the generalized version problem. Finally, in Section 5 we consider related work.

## 2 A Sequent Formulation of a Multi-agent Epistemic Logic

We will use the letters  $P, Q, R, \dots$ , to designate arbitrary *propositions*, built according to the following abstract grammar:

$$P ::= A \mid \top \mid \perp \mid \neg P \mid P \wedge Q \mid P \vee Q \mid P \Rightarrow Q \mid K_\alpha(P) \mid C(P)$$

where  $A$  and  $\alpha$  range over a countable set of atomic propositions (“atoms”) and a primitive domain of *agents*, respectively. Propositions of the form  $K_\alpha(P)$  and  $C(P)$  are read as follows:

$K_\alpha(P)$ : *agent  $\alpha$  knows proposition  $P$*

$C(P)$ : *it is common knowledge that  $P$  holds*

By a *context* we will mean a finite set of propositions. We will use the letter  $\Gamma$  to denote contexts. We define a *sequent* as an ordered pair  $\langle \Gamma, P \rangle$  consisting of

$$\begin{array}{c}
\frac{}{\Gamma \vdash [K_\alpha(P \Rightarrow Q)] \Rightarrow [K_\alpha(P) \Rightarrow K_\alpha(Q)]} [K] \quad \frac{}{\Gamma \vdash K_\alpha(P) \Rightarrow P} [T] \\
\\
\frac{\frac{}{\emptyset \vdash P} [C-I]}{\Gamma \vdash C(P)} [C-I] \quad \frac{}{\Gamma \vdash C(P) \Rightarrow K_\alpha(P)} [C-E] \\
\\
\frac{}{\Gamma \vdash [C(P \Rightarrow Q)] \Rightarrow [C(P) \Rightarrow C(Q)]} [C_K] \quad \frac{}{\Gamma \vdash C(P) \Rightarrow C(K_\alpha(P))} [R]
\end{array}$$

**Fig. 2.** Inference rules for the epistemic operators

a context  $\Gamma$  and a proposition  $P$ . A more suggestive notation for such a sequent is  $\Gamma \vdash P$ . Intuitively, this is a judgment stating that  $P$  follows from  $\Gamma$ . We will write  $P, \Gamma$  (or  $\Gamma, P$ ) as an abbreviation for  $\Gamma \cup \{P\}$ . The sequent calculus that we will use consists of a collection of inference rules for deriving judgments of the form  $\Gamma \vdash P$ . Figure 1 shows the inference rules that deal with the standard propositional connectives. This part is standard (e.g., it is very similar to the sequent calculus of Ebbinghaus et al. [21]). In addition, we have some rules pertaining to  $K_\alpha$  and  $C$ , shown in Figure 2.

Rule  $[K]$  is the sequent formulation of the well-known *Kripke axiom* stating that the knowledge operator distributes over conditionals. Rule  $[C_K]$  is the corresponding principle for the coalition knowledge operator. Rule  $[T]$  is the “truth axiom”: an agent cannot know false propositions. Rule  $[C_I]$  is an introduction rule for coalition knowledge: if a proposition  $P$  follows from the empty set of hypotheses, i.e., if it is a tautology, then it is coalitionally known. This is the coalition-knowledge version of the “omniscience axiom” for single-agent knowledge which says that  $\Gamma \vdash K_\alpha(P)$  can be derived from  $\emptyset \vdash P$ . We do not need to postulate that axiom in our formulation, since it follows from  $[C-I]$  and  $[C-E]$ . The latter says that if it is coalitionally known that  $P$  then any (every) agent knows  $P$ , while  $[R]$  says that if it is coalitionally known that  $P$  then it is coalitionally known that (any) agent  $\alpha$  knows it.  $[R]$  is a reiteration rule that allows us to capture the recursive behavior of  $C$ , which is usually expressed via the so-called “induction axiom”

$$C(P \Rightarrow E(P)) \Rightarrow [P \Rightarrow C(P)]$$

where  $E$  is the shared-knowledge operator. Since we do not need  $E$  for our purposes, we omit its formalization and “unfold”  $C$  via rule  $[R]$  instead.

We state a few lemmas that will come handy later:

**Lemma 1 (Cut).** *If  $\Gamma_1 \vdash P_1$  and  $\Gamma_2, P_1 \vdash P_2$  then  $\Gamma_1 \cup \Gamma_2 \vdash P_2$ .*

**Proof:** Assume  $\Gamma_1 \vdash P_1$  and  $\Gamma_2, P_1 \vdash P_2$ . Then, by  $[ \Rightarrow -I ]$ , we get  $\Gamma_2 \vdash P_1 \Rightarrow P_2$ . Further, by dilution, we have  $\Gamma_1 \cup \Gamma_2 \vdash P_1 \Rightarrow P_2$  and  $\Gamma_1 \cup \Gamma_2 \vdash P_1$ . Hence, by  $[ \Rightarrow -E ]$ , we obtain  $\Gamma_1 \cup \Gamma_2 \vdash P_2$ .  $\square$

The proofs of the remaining lemmas are equally simple exercises:

**Lemma 2 ( $\Rightarrow$ -transitivity).** *If  $\Gamma \vdash P_1 \Rightarrow P_2$ ,  $\Gamma \vdash P_2 \Rightarrow P_3$  then  $\Gamma \vdash P_1 \Rightarrow P_3$ .*

**Lemma 3 (contrapositive).** *If  $\Gamma \vdash P \Rightarrow Q$  then  $\Gamma \vdash \neg Q \Rightarrow \neg P$ .*

**Lemma 4.** (a)  $\emptyset \vdash (P_1 \vee P_2) \Rightarrow (\neg P_2 \Rightarrow P_1)$ ; and (b)  $\Gamma \vdash C(P_2)$  whenever  $\emptyset \vdash P_1 \Rightarrow P_2$  and  $\Gamma \vdash C(P_1)$ .

**Lemma 5.** *For all  $P, Q$ , and  $\Gamma$ ,  $\Gamma \vdash [C(P) \wedge C(Q)] \Rightarrow C(P \wedge Q)$ .*

### 3 The Generalized Wise Men Puzzle

Consider first the three-wisemen version of the puzzle:

Three wisemen are told by their king that at least one of them has a white spot on his forehead. In reality, all three have white spots on their foreheads. We assume that each wiseman can see the others' foreheads but not his own, and thus each knows whether the others have white spots. Suppose we are told that the first wiseman says, "I do not know whether I have a white spot," and that the second wiseman then says, "I also do not know whether I have a white spot." Now consider the following question: Does the third wiseman now know whether or not he has a white spot? If so, what does he know, that he has one or doesn't have one?

This version is essentially identical to the muddy-children puzzle, the only difference being that the declarations of the wisemen are made sequentially, whereas in the muddy-children puzzle the children proclaim what they know (or not know) in parallel at every round.

In the generalized version of the puzzle we have an arbitrary number  $n + 1$  of wisemen  $w_1, \dots, w_{n+1}$ ,  $n \geq 1$ . They are told by their king that at least one of them has a white spot on his forehead. Again, in actuality they all do. And they can all see one another's foreheads, but not their own. Supposing that each of the first  $n$  wisemen,  $w_1, \dots, w_n$ , sequentially announces that he does not know whether or not he has a white spot on his forehead, the question is what would the last wiseman  $w_{n+1}$  report.

For all  $n \geq 1$ , it turns out that the last— $(n + 1)^{st}$ —wiseman knows he is marked. The case of two wisemen is simple. The reasoning runs essentially by contradiction. The second wiseman reasons as follows:

Suppose I were not marked. Then  $w_1$  would have seen this, and knowing that at least one of us is marked, he would have inferred that he was the marked one. But  $w_1$  has expressed ignorance; therefore, I must be marked.

Consider now the case of  $n = 3$  wisemen  $w_1, w_2, w_3$ . After  $w_1$  announces that he does not know that he is marked,  $w_2$  and  $w_3$  both infer that at least one of them is marked. For if neither  $w_2$  nor  $w_3$  were marked,  $w_1$  would have seen this and would have concluded—and stated—that he was the marked one,

since he knows that at least one of the three is *asked*. At this point the puzzle reduces to the two-*en* case: both  $w_2$  and  $w_3$  know that at least one of the *is asked*, and then  $w_2$  reports that he does not know whether he is *asked*. Hence  $w_3$  proceeds to reason as previously that he is *asked*.

In general, consider  $n + 1$  wise-*en*  $w_1, \dots, w_n, w_{n+1}$ ,  $n \geq 1$ . After the first  $j$  wise-*en*  $w_1, \dots, w_j$  have announced that they do not know whether they are *asked*, for  $j = 1, \dots, n$ , the remaining wise-*en*  $w_{j+1}, \dots, w_{n+1}$  infer that at least one of the *is asked*. This holds for  $j = n$  as well, which means that the last wise-*en*  $w_{n+1}$  will infer (and announce, owing to his honesty) that he is *asked*.

The question is how to formalize this in our logic. Again consider the case of two wise-*en*  $w_1$  and  $w_2$ . Let  $M_i, i \in \{1, 2\}$  denote the proposition that  $w_i$  is *asked*. For any proposition  $P$ , we will write  $K_i(P)$  as an abbreviation for  $K_{w_i}(P)$ . We will only need three pre-*ises*:

$$\begin{aligned} S_1 &= C(\neg K_1(M_1)) \\ S_2 &= C(M_1 \vee M_2) \\ S_3 &= C(\neg M_2 \Rightarrow K_1(\neg M_2)) \end{aligned}$$

The first pre-*ise* says that it is common knowledge that the first wise-*en* does not know whether he is *asked*. Although it sounds innocuous, note that a couple of assumptions are necessary to obtain this pre-*ise* from the mere fact that  $w_1$  has announced his ignorance. First, truthfulness—we must assume that the wise-*en* do not lie, and further, that each one of the *knows* that they are all truthful. And second, each wise-*en* must know that the other wise-*en* will hear the announcement and believe it. Pre-*ise*  $S_2$  says that it is common knowledge that at least one of the wise-*en* is *asked*. Observe that the announcement by the king is crucial for this pre-*ise* to be justified. The two wise-*en* can see each other and thus they individually know  $M_1 \vee M_2$ . However, each of the *may* not know that the other wise-*en* knows that at least one of the *is asked*. For instance,  $w_1$  *may* believe that he is not *asked*, and even though he sees that  $w_2$  is *asked*, he *may* believe that  $w_2$  does not know that at least one of the *is asked*, as  $w_2$  cannot see himself. Finally, pre-*ise*  $S_3$  states that it is common knowledge that if  $w_2$  is *not asked*, then  $w_1$  will know it (because  $w_1$  can see  $w_2$ ). From these three pre-*ises* we are to derive the conclusion  $C(M_2)$ —that it is common knowledge that  $w_2$  is *asked*. Symbolically, we need to derive the judgment  $\{S_1, S_2, S_3\} \vdash C(M_2)$ . If we have encoded the epistemic propositional logic in a predicate calculus, then we can achieve this immediately by instantiating Lemma 7 below with  $\alpha \mapsto w_1$ ,  $P \mapsto M_1$  and  $Q \mapsto M_2$ —without performing any inference whatsoever. This is what we have done in Athena.

For the case of  $n = 3$  wise-*en* our set of pre-*ises* will be:

$$\begin{aligned} S_1 &= C(\neg K_1(M_1)) \\ S_2 &= C(M_1 \vee M_2 \vee M_3) \\ S_3 &= C(\neg(M_2 \vee M_3) \Rightarrow K_1(\neg(M_2 \vee M_3))) \\ S_4 &= C(\neg K_2(M_2)) \\ S_5 &= C(\neg M_3 \Rightarrow K_2(\neg M_3)) \end{aligned}$$



Consider now the general case of  $n + 1$  wise . en  $w_1, \dots, w_n, w_{n+1}$ . For any  $i = 1, \dots, n$ , define

$$\begin{aligned} S_1^i &= C(\neg K_i(M_i)) \\ S_2^i &= C(M_i \vee \dots \vee M_{n+1}) \\ S_3^i &= C(\neg(M_{i+1} \vee \dots \vee M_{n+1}) \Rightarrow K_i(\neg(M_{i+1} \vee \dots \vee M_{n+1}))) \end{aligned}$$

and  $S_2^{n+1} = C(M_{n+1})$ . The set of pre ises,  $\Omega_{n+1}$ , can now be defined as

$$\Omega_{n+1} = \{C(M_1 \vee \dots \vee M_{n+1})\} \bigcup_{i=1}^n \{S_1^i, S_3^i\}$$

Hence  $\Omega_{n+1}$  has a total of  $2n + 1$  elements. Note that  $S_2^1$  is the co . only known disjunction  $M_1 \vee \dots \vee M_{n+1}$  and a known pre ise, i.e., a . e member of  $\Omega_{n+1}$ . However,  $S_2^i$  for  $i > 1$  is *not* a pre ise. Rather, it beco es derivable after the  $i^{\text{th}}$  wise . an has . ade his announce ent. Managing the derivation of these propositions and eli inating the . via applications of the cut is the central function of the algorithm below. Before we present the algorithm we state a couple of key le . as.

**Lemma 6.** *Consider any agent  $\alpha$  and propositions  $P, Q$ , and let  $R_1, R_2, R_3$  be the following three propositions:*

1.  $R_1 = \neg K_\alpha(P)$ ;
2.  $R_2 = K_\alpha(\neg Q \Rightarrow P)$ ;
3.  $R_3 = \neg Q \Rightarrow K_\alpha(\neg Q)$

Then  $\{R_1 \wedge R_2 \wedge R_3\} \vdash Q$ .

*Proof.* By the following sequent derivation:

- |  |  |
|--|--|
| 1. $\{R_1 \wedge R_2 \wedge R_3\} \vdash R_1$                                      | $[Reflex], \wedge\text{-}E_1$                    |
| 2. $\{R_1 \wedge R_2 \wedge R_3\} \vdash R_2$                                      | $[Reflex], \wedge\text{-}E_1, \wedge\text{-}E_2$ |
| 3. $\{R_1 \wedge R_2 \wedge R_3\} \vdash R_3$                                      | $[Reflex], \wedge\text{-}E_2$                    |
| 4. $\{R_1 \wedge R_2 \wedge R_3\} \vdash K_\alpha(\neg Q) \Rightarrow K_\alpha(P)$ | 2, $[K], \Rightarrow\text{-}E$                   |
| 5. $\{R_1 \wedge R_2 \wedge R_3\} \vdash \neg Q \Rightarrow K_\alpha(P)$           | 3, 4, Lemma 2                                    |
| 6. $\{R_1 \wedge R_2 \wedge R_3\} \vdash \neg K_\alpha(P) \Rightarrow \neg\neg Q$  | 5, Lemma 3                                       |
| 7. $\{R_1 \wedge R_2 \wedge R_3\} \vdash \neg\neg Q$                               | 6, 1, $\Rightarrow\text{-}E$                     |
| 8. $\{R_1 \wedge R_2 \wedge R_3\} \vdash Q$  | 7, $[\neg\text{-}E]$                             |

□

**Lemma 7.** *Consider any agent  $\alpha$  and propositions  $P, Q$ . Define  $R_1$  and  $R_3$  as in Lemma 6, let  $R_2 = P \vee Q$ , and let  $S_i = C(R_i)$  for  $i = 1, 2, 3$ . Then  $\{S_1, S_2, S_3\} \vdash C(Q)$ .*

*Proof.* Let  $R'_2 = \neg Q \Rightarrow P$  and consider the following derivation:

1. $\{S_1, S_2, S_3\} \vdash S_1$	[ <i>Reflex</i> ]
2. $\{S_1, S_2, S_3\} \vdash S_2$	[ <i>Reflex</i> ]
3. $\{S_1, S_2, S_3\} \vdash S_3$	[ <i>Reflex</i> ]
4. $\emptyset \vdash (P \vee Q) \Rightarrow (\neg Q \Rightarrow P)$	Lemma 4a
5. $\{S_1, S_2, S_3\} \vdash C((P \vee Q) \Rightarrow (\neg Q \Rightarrow P))$	4, [ <i>C-I</i> ]
6. $\{S_1, S_2, S_3\} \vdash C(P \vee Q) \Rightarrow C(\neg Q \Rightarrow P)$	5, [ <i>C<sub>K</sub></i> ], [ $\Rightarrow$ - <i>E</i> ]
7. $\{S_1, S_2, S_3\} \vdash C(\neg Q \Rightarrow P)$	6, 2, [ $\Rightarrow$ - <i>E</i> ]
8. $\{S_1, S_2, S_3\} \vdash C(\neg Q \Rightarrow P) \Rightarrow C(K_\alpha(\neg Q \Rightarrow P))$	[ <i>R</i> ]
9. $\{S_1, S_2, S_3\} \vdash C(K_\alpha(\neg Q \Rightarrow P))$	8, 7, [ $\Rightarrow$ - <i>E</i> ]
10. $\{R_1 \wedge K_\alpha(\neg Q \Rightarrow P) \wedge R_3\} \vdash Q$	Lemma 6
11. $\emptyset \vdash (R_1 \wedge K_\alpha(\neg Q \Rightarrow P) \wedge R_3) \Rightarrow Q$	10, [ $\Rightarrow$ - <i>I</i> ]
12. $\{S_1, S_2, S_3\} \vdash C((R_1 \wedge K_\alpha(\neg Q \Rightarrow P) \wedge R_3) \Rightarrow Q)$	11, [ <i>C-I</i> ]
13. $\{S_1, S_2, S_3\} \vdash C(R_1 \wedge K_\alpha(\neg Q \Rightarrow P) \wedge R_3) \Rightarrow C(Q)$	12, [ <i>C<sub>K</sub></i> ], [ $\Rightarrow$ - <i>E</i> ]
14. $\{S_1, S_2, S_3\} \vdash C(R_1 \wedge K_\alpha(\neg Q \Rightarrow P) \wedge R_3)$	1, 3, 9, Lemma 5, [ $\wedge$ - <i>I</i> ]
15. $\{S_1, S_2, S_3\} \vdash C(Q)$	13, 14, [ $\Rightarrow$ - <i>E</i> ]

□

Our method can now be expressed as follows:

$\Phi \leftarrow \{S_1^1, S_2^1, S_3^1\};$

$\Sigma \leftarrow \Phi \vdash S_2^2;$

Use Lemma 7 to derive  $\Sigma$ ;

**If**  $n = 1$  **halt**

**else**

**For**  $i = 2$  **to**  $n$  **do**

**begin**

$\Phi \leftarrow \Phi \cup \{S_1^i, S_3^i\};$

$\Sigma' \leftarrow \{S_1^i, S_2^i, S_3^i\} \vdash S_2^{i+1};$

      Use Lemma 7 to derive  $\Sigma'$ ;

$\Sigma'' \leftarrow \Phi \vdash S_2^{i+1};$

      Use the cut on  $\Sigma$  and  $\Sigma'$  to derive  $\Sigma''$ ;

$\Sigma \leftarrow \Sigma''$

**end**

The loop variable  $i$  ranges over the interval  $2, \dots, n$ . For any  $i$  in that interval, we write  $\Phi^i$  and  $\Sigma^i$  for the values of  $\Phi$  and  $\Sigma$  upon conclusion of the  $i^{\text{th}}$  iteration of the loop. A straightforward induction on  $i$  will establish:

**Lemma 8 (Algorithm correctness).** *For any  $i \in \{2, \dots, n\}$ ,*

$$\Phi^i = \{C(M_1 \vee \dots \vee M_{n+1})\} \bigcup_{j=1}^i \{S_1^j, S_3^j\}$$

*while*  $\Sigma^i = \Phi^i \vdash S_2^{i+1}$ .

Hence,  $\Phi^n = \Omega_{n+1}$ , and  $\Sigma^n = \Phi^n \vdash S_2^{n+1} = \Omega_{n+1} \vdash S_2^{n+1} = \Omega_{n+1} \vdash C(M_{n+1})$ , which is our goal.

It is noteworthy that no such correctness argument is necessary in the formulation of the algorithm as an Athena method, as methods are guaranteed to be sound. Their results are always logically entailed by the assumption base, assuming that our primitive methods are sound (see Chapter 8 of [20]).

## 4 Athena Implementation

In this section we present the Athena encoding of the epistemic logic and our method for solving the generalized version of the wise men puzzle (refer to the Athena web site [15] for more information on the language). We begin by introducing an uninterpreted domain of epistemic agents: (`domain Agent`). Next we represent the abstract syntax of the propositions of the logic. The following Athena datatype mirrors the abstract grammar for propositions that was given in the beginning of Section 2:

```
(datatype Prop
  True
  False
  (Atom Boolean)
  (Not Prop)
  (And Prop Prop)
  (Or Prop Prop)
  (If Prop Prop)
  (Knows Agent Prop)
  (Common Prop))
```

We proceed to introduce a binary relation `sequent` that may obtain between a finite set of propositions and a single proposition:

```
(declare sequent (-> ((FSet-Of Prop) Prop) Boolean))
```

Here `FSet-Of` is a unary sort constructor: for any sort `T`, (`FSet-Of T`) is a new sort representing the set of all finite sets of elements of `T`. Finite sets are built with two polyphic constructors: the constant `null`, representing the empty set; and the binary constructor `insert`, which takes an element  $x$  of sort `T` and a finite set  $S$  (of sort (`FSet-Of T`)) and returns the set  $\{x\} \cup S$ . We also have all the usual set-theoretic operations available (`union`, `intersection`, etc.).

The intended interpretation is that if (`sequent S P`) holds for a set of propositions  $S$  and a proposition  $P$ , then the sequent  $S \vdash P$  is derivable in the epistemic logic via the rules presented in Section 2. Accordingly, we introduce axioms capturing those rules. For instance, the conjunction introduction rule is represented by the following axiom:

```
(define And-I
  (forall ?S ?P ?Q
    (if (and (sequent ?S ?P)
             (sequent ?S ?Q))
        (sequent ?S (And ?P ?Q))))))
```

Note that the lowercase **and** above is Athena’s built-in conjunction operator, and hence represents conjunction at the metalanguage level, whereas **And** represents the object-level conjunction operator of the epistemic logic.

The cut rule and the condition on knowledge introduction (necessitation) rule become:

```
(define cut
  (forall ?S1 ?S2 ?P ?Q
    (if (and (sequent ?S1 ?P)
             (sequent (insert ?P ?S2) ?Q))
        (sequent (union ?S1 ?S2) ?Q))))

(define common-intro-axiom
  (forall ?P ?S
    (if (sequent null ?P)
        (sequent ?S (Common ?P))))))
```

The remaining rules are encoded by similar first-order axioms.

We next proceed to derive several lemmas that are useful for the proof. Some of these lemmas are derived completely automatically via the ATPs that are integrated with Athena. For instance, the cut rule is proved automatically (in about 10 seconds). As another example, the following result—part (b) of Lemma 4—is proved automatically:

```
(forall ?S ?P1 ?P2
  (if (and (sequent null (If ?P1 ?P2))
           (sequent ?S (Common ?P1)))
      (sequent ?S (Common ?P2))))
```

Other lemmas are established by giving natural deduction proofs. For instance, the proof of Lemma 6 in Section 3 is transcribed virtually verbatim in Athena, and validated in a fraction of a second. (The fact that the proof is abridged—i.e., multiple steps are compressed into single steps—is readily handled by invoking ATPs that automatically fill in the details.) Finally, we are able to prove Lemma 7, which is the key technical lemma. Utilizing the higher-order character of our encoding, we then define a method `main-lemma` that takes an arbitrary list of agents  $[a_1 \dots a_n]$ ,  $n \geq 1$ , and specializes Lemma 7 with  $P \mapsto M_{a_1}$ ,  $Q \mapsto M_{a_2} \vee \dots \vee M_{a_n}$ , and  $\alpha \mapsto a_1$  (recall that for any agent  $\alpha$ ,  $M_\alpha$  signifies that  $\alpha$  is marked). So, for instance, the application of `main-lemma` to the list  $[a_1, a_2, a_3]$  would derive the conclusion  $\{S_1, S_2, S_3\} \vdash C(M_{a_2} \vee M_{a_3})$ , where  $S_1 = C(\neg K_{a_1}(M_{a_1}))$ ,  $S_2 = C(M_{a_1} \vee M_{a_2} \vee M_{a_3})$ , and

$$S_3 = C(\neg(M_{a_2} \vee M_{a_3}) \Rightarrow K_{a_1}(\neg(M_{a_2} \vee M_{a_3})))$$

We also need a simple result `shuffle` asserting the equality  $\Gamma, P_1, P_2 = \Gamma, P_2, P_1$  (i.e.,  $\Gamma \cup \{P_1\} \cup \{P_2\} = \Gamma \cup \{P_2\} \cup \{P_1\}$ ).

Using these building blocks, we express the tactic for solving the generalized wisen problem as the Athena method `solve` below. It takes as input a list of agents representing wisen, with at least two elements. Note that the for loop in the pseudocode algorithm has been replaced by recursion.

```

(define (solve wise-men)
  (dletrec
    ((loop (method (wise-men th)
      (dmatch wise-men
        ([_] (!claim th))
        ((list-of _ rest)
          (dlet ((new-th (!main-lemma wise-men))
            (dmatch [th new-th]
              ([(sequent context Q2)
                (sequent (insert Q1
                  (insert Q2 (insert Q3 null))) P])
                (dlet ((cut-th
                  (!derive (sequent
                    (union
                     context
                     (insert Q1 (insert Q3 null)))
                    P)
                  [th new-th shuffle cut])))
              (!loop rest cut-th))))))))
    (dlet ((init (!prove-goal-2 wise-men))
      (!loop (tail wise-men) init))))

```

Assuming that  $w_1, w_2, w_3$  are agents representing wise men, invoking the method `solve` with the list `[w1 w2 w3]` as the argument will derive the appropriate result:  $\Omega_3 \vdash (\text{Common } (\text{isMarked } w_3))$ , where  $\Omega_3$  is the set of premises for the three-men case, as defined in the previous section.

## 5 Related Work

The wise men problem became a staple of epistemic AI literature after being introduced by McCarthy [12]. Formalizations and solutions of the two-wise men problem are found in a number of sources [22, 23, 24], most of the in simple multi-agent epistemic logics (without coalition knowledge). Several variations have been given; e.g., Konolige has a version in which the third wise man states that he does not know whether he is marked, but that he would know if only the second wise man were wiser [25]. Balli and Wilks [26] solve the three-men version of the puzzle using the “nested viewpoints” framework. Vincenzo Pallotta’s solution [27] is similar but his ViewGen framework facilitates agent simulation. Ki and Kowalski [28] use a Prolog-based implementation of *metareasoning* to solve the same version of the problem using coalition knowledge. A more natural proof was given by Aiello et al. [29] in a rewriting framework.

The importance of reasoning about the intentional states of intelligent agents is widely recognized (see, for instance, the recent work by Dastani et al. on inferring trust [30]). Agent *metareasoning* and *metaknowledge*, in particular, is extensively discussed in “Logical foundations of Artificial Intelligence” by Gensereth and Nilsson [24] (it is the subject of an entire chapter). They stress that the main advantage of an explicit encoding of the reasoning process is that it makes

it possible to “create agents capable of reasoning in detail about the inferential abilities of and beliefs of other agents,” as well as enabling introspection.<sup>3</sup>

The only work we are aware of that has an explicit encoding of an epistemic logic in a rich metalanguage is a recent project [32] that uses the Calculus of Constructions (Coq [33]). However, there are important differences. First, they encode a Hilbert proof system, which has an adverse impact on the readability and writability of proofs. The second and most important difference is our emphasis on reasoning efficiency. The seamless integration of Athena with state-of-the-art provers such as Vampire and Spass is crucial for automation, as it enables the user to skip tedious steps and keep the reasoning at a high level of detail. Another distinguishing aspect of our work is our heavy use of tactics. Athena uses a block-structured natural-deduction style not only for writing proofs but also for writing proof tactics (“methods”). Proof methods are much easier to write in this style, and play a key role in proof automation. Our emphasis on automation also differentiates our work from that of Basin et al. [34] using Isabelle, which only addresses proof presentation in modal logics, not automatic proof discovery.

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<sup>3</sup> In addition, Bringsjord and Yang [31] have claimed that the best of human reasoning is distinguished by a capacity for meta-reasoning, and have proposed a theory—mental metalogic—of human and machine reasoning that emphasizes this type of reasoning.

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# Graded BDI Models for Agent Architectures\*

A. A. C. a. i<sup>1</sup>, L. . G. d. <sup>2</sup>, a. d. C. a. e. S. e. a<sup>2</sup>

<sup>1</sup> Depto. de Sistemas e Informática,  
Facultad de Cs. Exactas, Ingeniería y Agrimensura,  
Universidad Nacional de Rosario,  
Av Pellegrini 250, 2000 Rosario, Argentina

<sup>2</sup> Institut d'Investigació en Intel·ligència Artificial (IIIA) - CSIC,  
Campus Universitat Autònoma de Barcelona s/n,  
08193 Bellaterra, Catalunya, España

**Abstract.** In the recent past, an increasing number of multiagent systems (MAS) have been designed and implemented to engineer complex distributed systems. Several previous works have proposed theories and architectures to give these systems a formal support. Among them, one of the most widely used is the BDI agent architecture presented by Rao and Georgeff. We consider that in order to apply agents in real domains, it is important for the formal models to incorporate a model to represent and reason under uncertainty. With that aim we introduce in this paper a general model for graded BDI agents, and an architecture, based on multi-context systems, able to model these graded mental attitudes. This architecture serves as a blueprint to design different kinds of particular agents. We illustrate the design process by formalising a simple travel assistant agent.

## 1 Introduction

In the recent past, an increasing number of multiagent systems (MAS) have been designed and implemented to engineer complex distributed systems. Several previous works have proposed theories and architectures to give these systems a formal support. Among them, one of the most widely used is the BDI agent architecture presented by Rao and Georgeff. We consider that in order to apply agents in real domains, it is important for the formal models to incorporate a model to represent and reason under uncertainty. With that aim we introduce in this paper a general model for graded BDI agents, and an architecture, based on multi-context systems, able to model these graded mental attitudes. This architecture serves as a blueprint to design different kinds of particular agents. We illustrate the design process by formalising a simple travel assistant agent.

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$$A_g = (\{BC, DC, IC, PC, CC\}, \Delta_{br})$$

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 a... ach. I... a... a, e... ha f... the a... ach d e... e d b... H a e e  
 a... e.g. [12] a d [10] he... ce... a... e a... i g i d e a... i h b... d e i g  
 ... i a b e... d a... he... e... i a b e... a - a e d gic. The b a i c i d e a i... he  
 f... i g. F... i... a c e, e... c... i d e a B e i e f c... e... he e b e i e f d e g e e a e  
 ... b e... d e d a... b a b i i e. The... f... e a c h c a... i c a ( ... - a e d) f... a  
 $\varphi$ , e... c... i d e a... d a f... a  $B\varphi$  h i c h i... i... e... e d a...  $\varphi$  i... b a b e.  
 T h i... d a f... a  $B\varphi$  i... he a f u z z y f... a h i c h a b e... e... e... e,  
 d e e d i g... he... b a b i i... f  $\varphi$ . I... a... a, e c a... a e a... h - a e f  
 $B\varphi$  e c i e... he... b a b i i... f  $\varphi$ . M... e... e, i g a... a - a e d gic, e c a...  
 e... e... he g... e... i g a... i... f... b a b i i... he... a... g i c a... i... i... i g  
 ... d a f... a e f... h e... i d  $B\varphi$ . The... he... a - a e d gic... a c h i... e... c a...  
 b e... d... e a... ab... he... d a f... a e  $B\varphi$ , h i c h f a i h f... e... e c... he  
 ... ce... a... d e c h... e... e... e... he d e g e e... f b e i e f.

I... h i... a, f... he... e... a... c... e... e... e... c h... e... he... i... e... a e d  
 L... a... e... i c... gic b... a... he... e... e... c... i... f... a - a e d gic... a b e d... e f...  
 e a c h... i, a c c... d i g... he... e a... e... d e d... e d... i... e a c a e<sup>1</sup>. The e f... e... i... h i...  
 ... i d f... g i c a f a e... e... e... ha... h a e, b e i d e... he a... i... f L... a... e... i c...  
 ... a - a e d gic, a... e... f a... i... c... e... d i g... he b a i c... a... e... f a...  
 ... a... i c... a... ce... a... he... . H e... c... e... i... h i... a... a... c h... , e a... i g a b...  
 ... b... a b i i e ( ... a... he... ce... a... d e... ) c a... b e d... e... i... a... e... e g a... a...  
 ... i... h i... a... i f... a d e i b e... g i c a f a e... . The a... e... a - a e d g i c a  
 f a e... a b e... d... e... e... e... a d e a... ab... d e g e e... f d e i e a d  
 i... e... i... , a... i... b e... e... i... d e a... i... a... e... .

### 3 Belief Context

The... e... f... h i... c... e... i... d e... h e a g e... ? b e i e f a b... he... e... i... e... .  
 I... d e... e... e... e... b e i e f, e... e... d a... a - a e d f... a e, f... i g... h e  
 a b... e... e... i... e d... g i c a f a e... . We c... i d e... i... h i... a... e... he... a... i c... a...

<sup>1</sup> The reason of using this many-valued logic is that its main connectives are based on the arithmetic addition in the unit interval [0, 1], which is what is needed to deal with additive measures like probabilities. Besides, Lukasiewicz logic has also the *min* conjunction and *max* disjunction as definable connectives, so it also allows to define a logic to reason about degrees of necessity and possibility.

ca e f... g... babi... he... a... he... ce... ai... de... O he... de... igh  
 be... ed a... e b... dif... g he c... e... di g a...

**3.1 The BC Language**

The... ab... he c, edibi... f c, i... .. 11... , e de... e a a g age f...  
 beief... e... e a... f... i g G. d e a? [10], ba ed... L a i e i c... gic. I...  
 de... de... he ba i c, i... a g age, e... a f... a c a i c a... .. 11... a  
 a g age  $L$ , de... ed... a c... abe... e... f... .. 11... a... a i a b e  $PV$  a d  
 c... e c i e ( $\neg, \rightarrow$ ), a d e... e d i... e... e... a c i... . We... a e a d a... a g e f...  
 D... a i c... gic... h i c h h a... b e... e d... .. de... a g e... ? a c i... .. 1 [23] a d [16].  
 The... a c i... , he... e... i... .. e... .. a... f... .. a... .. he... c a... e... a d... h e... a... .. c i a e d  
 c... .. .. be... a... .. f... a... .. i... .. a... e d... a g e... ? be... i e... e... .

The... .. 11... a... a g age  $L_1$  h... e... e d... ..  $L_D$ , b... a d d i... g... .. i... .. a c i... ..  
 .. da... i e... f... he... f... .. [ $\alpha$ ] h... e... e...  $\alpha$ ... i... .. a... .. a c i... .. M... e... c... .. c... .. e... .., g... i... .. e... ..  
 $\Pi_0$ ... f... .. b... .. e... .. e... .. i... .. g... .. e... .. e... .. a... .. a c i... .. , he... e... ..  $\Pi$ ... f... .. a... .. (c... .. .. i... .. e  
 a c i... .. ) a d f... .. .. a e... ..  $L_D$ ... i... .. de... .. e d... .. a... .. f... .. :

- $\Pi_0 \subset \Pi$  (e... e... .. a... .. a c i... .. a... .. e... .. a... ..)
- if  $\alpha, \beta \in \Pi$  h... e... ..  $\alpha; \beta \in \Pi$ , (h... e... .. c... .. a... .. e... .. a... .. i... .. f... .. a c i... .. .. i... .. a... .. a... .. a... ..)
- if  $\alpha, \beta \in \Pi$  h... e... ..  $\alpha \cup \beta \in \Pi$  (... .. de... .. e... .. 11... .. i c... .. d i... .. c... ..)
- if  $\alpha \in \Pi$  h... e... ..  $\alpha^* \in \Pi$  (i... .. e... .. a... ..)
- If  $A$ ... i... .. a... .. f... .. .. a... .., h... e... ..  $A? \in \Pi$  (e... ..)
- if  $p \in PV$ , h... e... ..  $p \in L_D$
- if  $\varphi \in L_D$  h... e... ..  $\neg\varphi \in L_D$
- if  $\varphi, \psi \in L_D$  h... e... ..  $\varphi \rightarrow \psi \in L_D$
- if  $\alpha \in \Pi$  a d  $\varphi \in L_D$  h... e... ..  $[\alpha]\varphi \in L_D$ .

The... .. i... .. e... .. e... .. a... .. i... .. f... .. [ $\alpha$ ]  $A$ ... i... .. “after the execution of  $\alpha$ ,  $A$  is true”

We... de... .. e... .. a... .. da... .. a... .. g... .. a g age  $BC$ ... .. e... .. he... .. a... .. g... .. a g age  $L_D$ ... .. e... .. a... .. ab... .. he  
 beief... .. c... .. .. .. 11... .. . T... .. d... .. , e... .. e... .. e... .. d... .. he... .. c... .. i... .. a... .. g... .. a g age  $L_D$ ... .. i... .. h... .. a  
 f... .. .. .. a... .. .. da... .. .. e... .. a... .. B. If  $\varphi$ ... i... .. a... .. .. 11... .. .. 1... ..  $L_D$ , h... e... .. i... .. e... .. de... .. de... .. e... .. a... .. g  
 .. f... ..  $B\varphi$ ... .. h... .. a... ..  $\varphi$ ... .. i... .. be... .. i... .. e... .. a... .. b... .. e... .. . F... .. .. a... .. e... .. f... .. ..  $BC$ ... .. a... .. e... .. f... .. .. e... .. :

- *Crisp (non B-modal)*: h... e... .. a... .. e... .. he... .. (c... .. i... .. ) f... .. .. a... .. e... .. f... .. ..  $L_D$ , b... .. i... .. 1... .. h... e... .. a  
 .. a... .. , h... .. , if  $\varphi \in L_D$  h... e... ..  $\varphi \in BC$ .
- *B-Modal*: h... e... .. a... .. e... .. b... .. i... .. f... .. .. e... .. e... .. a... .. .. da... .. .. f... .. .. a... .. e... ..  $B\varphi$ , h... e... .. e... ..  $\varphi$ ... .. i... ..  
 c... .. , a d... .. h... .. c... .. .. a... ..  $\bar{r}$ , f... .. each... .. a... .. a... ..  $r \in [0, 1]$ , .. i... .. g... .. he... .. c... .. e... .. c... .. i... .. e  
 .. f... .. L... .. a... .. i... .. e... .. a... .. .. a... .. e d... .. gic:  
 • If  $\varphi \in L_D$  h... e... ..  $B\varphi \in BC$   
 • If  $r \in Q \cap [0, 1]$  h... e... ..  $\bar{r} \in BC$   
 • If  $\Phi, \Psi \in BC$  h... e... ..  $\Phi \rightarrow_L \Psi \in BC$  a d  $\Phi \& \Psi \in BC$  ( h... e... .. e... .. &... .. a d... ..  $\rightarrow_L$   
 .. c... .. .. e... .. .. d... .. he... .. c... .. .. c... .. .. a d... .. i... .. i... .. c a... .. i... .. f... .. L... .. a... .. i... .. e... .. gic)

O he... L... .. a... .. i... .. e... .. gic... .. c... .. e... .. c... .. i... .. e... .. f... .. he... .. .. da... .. .. f... .. .. a... .. e... .. ca... .. b... .. e... .. de... .. e d... .. f... ..  
 &,  $\rightarrow_L$  a d  $\bar{0}$ :  $\neg_L \Phi$ ... i... .. de... .. e d... .. a... ..  $\Phi \rightarrow_L \bar{0}$ ,  $\Phi \wedge \Psi$ ... a... ..  $\Phi \& (\Phi \rightarrow_L \Psi)$ ,  $\Phi \vee \Psi$ ... a... ..  
 $\neg_L (\neg_L \Phi \wedge \neg_L \Psi)$ , a d  $\Phi \equiv \Psi$ ... a... ..  $(\Phi \rightarrow_L \Psi) \& (\Psi \rightarrow_L \Phi)$ .













The model  $\langle IC, \mathcal{K}, \mathbf{1} \rangle$  is a  $\mathbf{K}_1$  model  $K = \langle W, e, \{\pi_w\}_{w \in W} \rangle$  where  $W$  is a domain of individuals,  $e$  is a  $\mathbf{K}_1$  accessibility relation, and for each  $w \in W$ ,  $\pi_w : W \rightarrow [0, 1]$  is a probability distribution where  $\pi_w(w') \in [0, 1]$  is the degree to which the agent believes each individual  $w'$  is her  $w$ .

The belief accessibility relation  $I : V \times W \rightarrow \{0, 1\}$  is defined as the  $\mathbf{K}_1$  accessibility relation where  $I(\varphi, w) = N_w(\{w' \mid e(\varphi, w') = 1\})$ , where  $N_w$  denotes the  $\mathbf{K}_1$  neighbourhood function associated with the probability distribution  $\pi_w$ , defined as  $N_w(S) = \{1 - \pi_w(s) \mid s \notin S\}$ . A standard consequence of this definition is that  $I$  is a reflexive and transitive relation, and hence a belief accessibility relation (cf. [12]), having the following properties:

1. A reflexive and transitive relation.
2. A reflexive and transitive relation.
3. A reflexive and transitive relation:
  - $I(\varphi \rightarrow \psi) \rightarrow (I\varphi \rightarrow I\psi)$
  - $\neg I(\perp)$
  - $I(\varphi \wedge \psi) \equiv (I\varphi \wedge I\psi)$
4. Deductively closed: if  $I(\varphi)$  and  $I(\varphi \rightarrow \psi)$  then  $I(\psi)$ .

## 6 Planner and Communication Contexts

The action effect model is defined as follows. The Planner Context (PC) has a belief domain which is the agent's belief domain, and a set of actions, where the agent's belief is updated. This change is indeed handled as a deductive consequence of the action's effect. Within this context, the planner's belief domain is defined as a goal set (PL), where a belief is a goal. The belief is a goal if and only if:

- $action(\alpha, P, A, c_\alpha)$  where  $\alpha \in \Pi_0$  is a set of actions,  $P \subset PL$  is the belief set,  $c_\alpha \in [0, 1]$  is the belief degree;  $A \subset PL$  are the actions and  $c_\alpha \in [0, 1]$  is the belief degree of the action.
- $plan(\varphi, \alpha, P, A, c_\alpha, r)$  where  $\alpha \in \Pi_1$  is a set of actions,  $\varphi$  is a goal,  $P$  are the belief degrees of  $\alpha$ ,  $A$  are the actions,  $\varphi \in A$ ,  $c_\alpha$  is the belief degree of  $\alpha$  and  $r$  is the belief degree ( $> 0$ ) of action  $\alpha$  achieving  $\varphi$  before  $\alpha$ . We assume that the belief degree of the belief is a goal.
- $bestplan(\varphi, \alpha, P, A, c_\alpha, r)$  is a belief degree,  $\varphi$  is a goal,  $\alpha$  is a set of actions,  $r$  is the belief degree of  $\alpha$ .

Each action is feasible, that is, the effect of the action is a belief set, the belief set is a belief set, the belief set is a belief set, and the belief set is a belief set. The feasible action is a belief set, and the belief set is a belief set. The belief set is a belief set, and the belief set is a belief set. The belief set is a belief set, and the belief set is a belief set. (see (2) in the Section 7).













he eci c i . a ce f . a ic a . e f age . . The i e e a i . i  
a . a . . e e i e . a d a i d a e h e f . a . . d e e e . ed.

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i a . . . . b h e S a i h . . ec WEBI2, TIC2003-08763-C02-00.

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1. he e e ded BIT gic. Sec d, he ad a age ha i a e he i a i  
 1. hi a e i ha cha i a i g i e a d i e c a i a i a i f he  
 gic, hich d be b a i e d i f he e a e e e d e d e a i  
 ca . I ha ca e, add i a a i d ha e be g i e cha a c e i e he  
 e a i c i .

C i d e he e a e , hich i a e a d a e a . Th  
 e a e ca b e i a e d i g h e e a d a d a d a e a e  $\square^1_{ij}$ ,  $\square^2$   
 a d  $\square^3$  [4]

$$T_{ij}\varphi \equiv \diamond^1_{ij}(\square^2\varphi \wedge \square^3\neg\varphi)$$

he e  $\diamond\varphi$  a b b e i a e  $\neg\square\neg\varphi$  a .

T d e a d h e e d c i e e b e h a h f  $T_{ij}\varphi$  i a d w f a  
 d e  $M$  e a h a h e e i a h e ( e i g h b , h d )  $S \in T_{ij}(w)$  ch h a  
 $M, w' \models \varphi$  f e e  $w' \in S$ , a d  $M, w'' \not\models \varphi$  f e e  $w'' \notin S$ . Th  $\diamond^1_{ij}$  e a b e  
 e f e h e e i e c e f a h e ( e i g h b , h d ) ,  $\square^2$  i d e e e  
 h e h f  $\varphi$  i  $S$ , a d  $\square^3$  e e e h e f a e h d f  $\varphi$  i d e  $S$ .

### 4.3 Topic as Enumeration of Options

I h i a e , e a e e h a i i h a e i c a d h a i c a e h a e d  
 b a a g e <sup>3</sup>. F e a e , h e i i i ( 5 . 0 ) h a a c i a i f a i a i  
 i c . M e e , i h e H e i g - L g i a a c h i i c a b e g  
 e i c , h g h h i d e a a e i h e e a e . C e e e , a  
 c i c a i f h e f a i a i f i c i h a e h a e a e h i c h  
 i c h e e a e b h a h e e a e a h e i c a a a b e . I i b a i g  
 e i c i a g i e i c , h a e c a a i f e i c . F , h i e a e , e  
 i d c e b h a e a , t o p i c a d a e a , a l l t o p i c s . W e i d e i f a i c  
 i h h e e f a i c i i h a h a e h i c a a b e c ( e e a b e ) .  
 F e a e , h e i c a c i a i f a i i d e i d e i h h e e

$$\{i(0.0), \dots, i(10.0), e(0.50), \dots, e(2.00)\}$$

S c h a i c e i b e e e e d b a f a i e

$$\text{topic}(i(0.0) \times \dots \times i(10.0) \times e(0.50) \times \dots \times e(2.00))$$

i h i c '  $\times$  ' i d e e a a e a e a i e . . . O e c d i g i a f . . .

**Definition 4 (Topics).** *The language of BIT with topics is the language of BIT, together with clause*

- if  $\varphi$  is a sentence of BIT, then so are  $\square^1\varphi$ ,  $\square^2\varphi$ ,  $\square^3\varphi$  and  $\square^4\varphi$ .

Moreover, we add the following abbreviations:

- $\varphi_1 \times \dots \times \varphi_n \equiv \diamond^2(\square^3\varphi_1 \wedge \square^4\neg\varphi_1) \wedge \dots \wedge \diamond^2(\square^3\varphi_n \wedge \square^4\neg\varphi_n) \wedge \square^2((\square^3\varphi_1 \wedge \square^4\neg\varphi_1) \vee \dots \vee (\square^3\varphi_n \wedge \square^4\neg\varphi_n))$

<sup>3</sup> We assume here that topics are shared by all agents to simplify our presentation.

- $\text{topic}(\varphi_1 \times \dots \times \varphi_n) \equiv \diamond^1(\varphi_1 \times \dots \times \varphi_n)$
- $\text{all\_topics}((\varphi_{1,1} \times \dots \times \varphi_{1,n}); \dots; (\varphi_{k,1} \times \dots \times \varphi_{k,m})) \equiv \square^1((\varphi_{1,1} \times \dots \times \varphi_{1,n}) \vee \dots \vee (\varphi_{k,1} \times \dots \times \varphi_{k,m}))$
- $\text{topic\_contained}(\varphi, \psi) \equiv \square^1(\diamond^2(\square^3\varphi \wedge \square^4\neg\varphi) \supset \diamond^2(\square^3\psi \wedge \square^4\neg\psi))$

The `topic` axiom is a biconditional between a formula and its  $\diamond^1$  modal operator. The `all_topics` axiom is a biconditional between a formula and its  $\square^1$  modal operator. The `topic_contained` axiom is a biconditional between a formula and its  $\square^1$  modal operator.

The `topic` axiom is a biconditional between a formula and its  $\diamond^1$  modal operator. The `all_topics` axiom is a biconditional between a formula and its  $\square^1$  modal operator. The `topic_contained` axiom is a biconditional between a formula and its  $\square^1$  modal operator. The `topic_contained` axiom is a biconditional between a formula and its  $\square^1$  modal operator. The `topic_contained` axiom is a biconditional between a formula and its  $\square^1$  modal operator.

We also have a biconditional between a formula and its  $\square^1$  modal operator. We have a biconditional between a formula and its  $\square^1$  modal operator. We have a biconditional between a formula and its  $\square^1$  modal operator.

$$\begin{aligned} \text{topic}(\varphi_1 \times \dots \times \varphi_n) &\equiv B_{ij}\text{topic}(\varphi_1 \times \dots \times \varphi_n) \\ \text{topic}(\varphi_1 \times \dots \times \varphi_n) &\equiv I_{ij}\text{topic}(\varphi_1 \times \dots \times \varphi_n) \\ \text{topic}(\varphi_1 \times \dots \times \varphi_n) &\equiv T_{ij}\text{topic}(\varphi_1 \times \dots \times \varphi_n) \end{aligned}$$

The `topic` axiom is a biconditional between a formula and its  $\diamond^1$  modal operator. The `all_topics` axiom is a biconditional between a formula and its  $\square^1$  modal operator. The `topic_contained` axiom is a biconditional between a formula and its  $\square^1$  modal operator.

In a recent paper, we have shown that the `topic` axiom is a biconditional between a formula and its  $\diamond^1$  modal operator. In a recent paper, we have shown that the `topic` axiom is a biconditional between a formula and its  $\diamond^1$  modal operator.

#### 4.4 Comparison with Janin and Walukiewicz

The `topic` axiom is a biconditional between a formula and its  $\diamond^1$  modal operator. The `all_topics` axiom is a biconditional between a formula and its  $\square^1$  modal operator. The `topic_contained` axiom is a biconditional between a formula and its  $\square^1$  modal operator.

<sup>4</sup> This insight is attributed to Alexandru Baltag by Yde Venema.

is indicated above, the set of the  $\times$ -relations is read from  $S = \{p, q, r\}$  in the order  $p \times q \times r$ . Let us see how the idea is adapted to the case of the definition of  $p \times q \times q \times r$ . Here, again, we have the formula  $\Box^a$  and  $\Diamond^a$  as before, hence the case definition is  $(p \wedge q) \times r \rightarrow p \times r$ . Since the definition is the same as before, we can use the same idea:

- (a)  $\Box\varphi \equiv \Diamond^2(\Box^3\varphi \wedge \Box^4\neg\varphi)$  (1st axiom, as before)
- (b)  $a \rightarrow S \equiv \bigwedge_{\varphi \in S} \Diamond^a\varphi \wedge \Box^a \bigvee_{\varphi \in S} \varphi$  (Jaxiom and Weakness)

The same idea is used in the definition of the 2nd axiom (b), but in the formula  $\Box^3\varphi \wedge \Box^4\neg\varphi$  the formula  $\varphi$  is a formula of the form  $\bigwedge_{\varphi \in S} \Diamond^2(\Box^3\varphi \wedge \Box^4\neg\varphi) \wedge \Box^2 \bigvee_{\varphi \in S} (\Box^3\varphi \wedge \Box^4\neg\varphi)$ , which can be defined in the same way as before. Since the definition is the same as before, we can use the same idea, which is  $\Diamond^1$ .

### 4.5 Topics and Trust

Now we can define the formula which is used in the definition of the *topic-based trust transfer (T3)*.

$$\Diamond^1(\Diamond^2(\Box^3\varphi \wedge \Box^4\neg\varphi)) \wedge \text{topic\_contained}(\varphi, \psi) \supset (T_{ij}\varphi \supset T_{ij}\psi) \tag{T3}$$

We find that the formula is the same as before. Since the definition is the same as before, we can use the same idea.

*Example 2.* The formula is a formula of the form (f) defined as follows:

$$f \equiv (i(0.0) \times \dots \times i(10.0) \times e(0.50) \times \dots \times e(2.00)) \quad \text{topic}(f) \quad \text{all\_topics}(f)$$

In the formula, the formula  $f$  is the same as before, hence the formula is 'hard-coded'. Now, we see that the formula  $T3$  is defined as  $T_{is}i(r_1) \vee T_{is}e(r_2) \supset (T_{is}i(r_3) \wedge T_{is}e(r_4))$ . In the formula, the formula  $\text{topic}(f)$  is the same as before  $\Diamond^1(\Diamond^2(\Box^3i(r_1) \wedge \Box^4\neg i(r_1)))$  and the formula  $\text{all\_topics}(f)$  is the same as before  $\text{topic\_contained}(i(r_1), i(r_3))$ . Using the formula  $T3$ , we can see that  $T_{is}i(r_1) \supset T_{is}i(r_3)$ . Similarly, we can see that  $T_{is}i(r_1) \supset T_{is}e(r_4)$  and hence  $T_{is}i(r_1) \vee T_{is}e(r_2) \supset T_{is}i(r_3) \wedge T_{is}e(r_4)$ . So the formula is hard-coded. It is the same as before, hence the formula is the same as before.

First, we see that the formula is the same as before, hence the formula is the same as before. Then, we see that the formula is the same as before, hence the formula is the same as before. Finally, we see that the formula is the same as before, hence the formula is the same as before.

## 5 Questions

In his recent, he logic of Lia is extended with the ... , because of her ... . Questions have been ... . The idea is a ... . ... . Each of which ... . The ... . Technically, a ... . ... . ... . Which ... ? ... . ... . ... .

Let us ... , which ... . We ... . ... .

... . We ... . ... .

**Definition 5 (Questions).** *The language of BIT with topics and questions, is the language of BIT with topics, together with the following clause:*

- if  $\varphi$  is a sentence of BIT with topics, then so is  $\Box_{ij}\varphi$ , for  $1 \leq i \neq j \leq n$ .

Moreover, we add the following abbreviations:

- $\text{question}_{ij}(\varphi_1 \times \dots \times \varphi_n) = \Diamond_{ij}(\varphi_1 \times \dots \times \varphi_n)$
- $Q_{ij}\varphi = \Diamond_{ij}\Diamond^2(\Box^3\varphi \wedge \Box^4\neg\varphi)$

The ... . The ... .

e e d h e e a i c f h e B I T i g i c i h i c , i h a i a b e a c c e i b i l i  
 e a i c e e d i g i . I h e e a i c  $\diamond_{ij}$  e i a e q u e s t i o n  $_{ij}$   
 e e e h e e i e c e f a e i g h b h d c e e d i g i h e a e e a  
 e i f a g e  $i \ j$  . T h e e a a  $\diamond^2$  a d  $\square^3, \square^4$  a e a g a i e d  
 e e h e e i e f h e  $\times$  a i f a e a i e . N e h a i e e ,  
 b i e i c , h e e a i c f e i i i a d e a i e a g e  $i$  a d  $j$  .  
 T h e e e h e i i i h a i c a e a f h e g e e a i g i c a a g a g e ,  
 h i c h i h a e d b a a g e , h e e a h e e i i h a h a e b e e a e d a e  
 a i c a f e c i c a g e .

I a a , h i i d e a a i i a e a i c . I d e e e e . G e e  
 e d i a d S h f i d e a f a a i i . I c a e e a d e h a a e  
 a e i i b e e c i e , a d h a h e e e e d a e e c e h e h e  
 i g i c a a c e , i e . , h a a e i a i i h e i g i c a a c e , h e e a d h e  
 f i g a i i :

$$\text{question}_{ij}(\varphi_1 \times \dots \times \varphi_n) \supset (\varphi_i \wedge \varphi_j \supset \perp), \text{ f o r } 1 \leq i \neq j \leq n$$

$$\text{question}_{ij}(\varphi_1 \times \dots \times \varphi_n) \supset (\varphi_1 \vee \dots \vee \varphi_n \equiv \top)$$

**5.1 Questions and Trust**

T h e e c i c e a i b e e e e i a d h a e i e f a i e i  
 h i e c i i b a e d h e f i g i i i . I f a g e  $i$  h a d e b e a e e d  
 a e i a a g e  $j$  h i c h a g e  $i$  a e a d b e i e e h a e e , a d a g e  
 $j$  h a d e d i f a i h a c e e d h e i i i a b e i e f a g e  $i$  ,  
 h e a g e  $i$  i h e e c d a g e  $j$  . O h e i e , i f a g e  $j$  h a d e d  
 h e a g a e , i e . h e i f a i d e e c e e d  $i$  i i a b e i e f ,  
 h e a g e  $i$  i a g e  $j$  . T h i i i i f a i e d b h e f i g  
 a i i h i c h e c a *question-based trust derivation* a d *question-based distrust  
 derivation* e e c i e .

$$(Q_{ij}\varphi \wedge B_i\varphi \wedge B_iI_{ij}\varphi) \supset T_{ij}\varphi$$

$$(Q_{ij}\varphi \wedge B_i\neg\varphi \wedge B_iI_{ij}\varphi) \supset \neg T_{ij}\varphi$$

H e e , h e c i b a i f  $Q_{ij}\varphi$  a d  $B_iI_{ij}\varphi$  i e a e e e h a  $I_{ij}\varphi$  i a  
 e e a e e e f a g e  $j$  a e i e e d b a g e  $i$  . T h e a d i g a  
 b e b e a i c f a e i g i h i c h d i e e e i i c a b e e d , i h h e  
 a e i d f a e . F e a e a a e a e e a b e e a b h  
 W h e d e h e b c e e ? a d ' W h e d e h e a i c e e ? . H e e , h e e  
 b e a e e e i a f h e h e e e f i f e i g .

U n g h e e a i i , e c a f a i e e i g e a e .

*Example 3.* A g e  $i$  a a e b e e i c e s h e e c h a g e a e :  $\text{question}_{is}(e(0.50) \times$   
 $\dots \times e(2.00))$  h i c h i i e  $Q_{is}e(0.50) \wedge \dots \wedge Q_{is}e(2.00)$  . I f h e a g e b e i e e  
 f e a e h a h e e c h a g e 1 ,  $B_i e(1)$  , a d h e e b e e i c e g i e h e c e c  
 a e , i e . ,  $B_i I_{is} e(1)$  , h e i g h e e i i b a e d e e c e a i a i e e  
 c a d e i e  $T_{is} e(1)$  . S i a , i c a e h e a g e b e i e f d e e c e e d h e  
 a e , f e a e  $B_i e(5)$  a d h e e f e  $B_i \neg e(1)$  b e c a e e c h a g e a e a e  
 i e , e d e i e  $\neg T_{is} e(1)$  b e e i i b a e d d i e e c e a i .







a d d i e c e d . a d a . h e a g e . T h e e c a i e e . a e a i . h a c a b e g e e a i e d . a c h i e . T h i a e c i i c e e e c e d i . h e g i c f L i a .

A b . e . a d . i c a i h a e e c e d a . f a e . i . i g i c . A i c a d i . b i c c a b e e d i d e i f h e . c e f a e . F e a e . G . a d S i d e [16] . e a e h e i c f a d i c . e ( a . c a e d c e n t e r . f o c u s o f a t t e n t i o n ) . h e i e . i . h a i i e d e d . b e c . e e d b h e a h . M . e e c h i c a e e a c h . a b . e . i d e i h e c e e f i f . a i . e i e a [17] . C e a . i . i f . a i . e i e a i . a e . d e . h a c i c . a c e e c a . a . h a d c e . a e a b . h e a e i c .

A . i . h a i e . i i a . i f d i . h e . c a e d B A N . g i c [18] . e d . d e e a h e i c a i . i c e i c . e e c e i . A h g h h e e i . e i c i . i . f . i h e e g i c . h a i g a e c e e c . a a . f f b e i g . e d . T h e . i i i e f B A N . g i c a e a f . : i s e e s X , h i c h e a . h a a g e . i . e c e d a . e a g e c . a i g X . T h i . i i a . L i a ? i f . ; j s a i d X , h i c h e a . h a a g e . j a c a . e . a . e a g e c . a i g X , a d h a i c a e j i . b e . e d . X . g h . b e i e e d b i ; i c o n t r o l s X , h i c h c a b e i e . e e d a a i g h a a g e . i i . e d a a a h . i . X . T h i . i . i g h b e d e e d . a d . e . f . i c . I B A N . g i c i i f e . e d . e e e . e d h i d a i e . i e a h e i c a i . e i c e ; f r e s h X , h i c h e a . h a X h a . b e e . e . e i . . . , a d i  $\xleftarrow{K}$  j , h i c h e a . h a a g e . i a d j a e e i e d . e h e a e e c e e K . S h a i g a e c . a a . f f b e i g . e d . T h e e a e e e a d i e e c e b e e B A N . g i c a d L i a ? B I T . g i c a d h e a h e a e e d . A . b i . d i e e c e i h e e f e e . h i c h i a b e f . L i a . A . h e d i e e c e c e . h e e e c i e : L i a ? . g i c a e h e i e . i f a i d i d a a g e : . d e . h a c i c . a c e c a I b e i e e h e c e . f a e a g e ? B A N a e h e b i d ' e e i e f a d e i g e ; h . h d I d e i g . . . c . a i d e c e . g e i g . ? T h e . d e . i g . g i c i a . d i e e .

F i a . . . h a b e e . d i e d e e i e i . h e c e e f a ' G i d ' - i e a c h i e c e f . h e h a i g f e . c e a d e . i c e [19] . M c h f h i . . . i a . e d . H e e . h e d e . i g f . a . d e . h a a e d e e d i h e c e e f . c h e e a c h [20] d e e e . b e c . a e d i h h e B I T . g i c . . . e d h e e . O h e f . a i a i . . . e . . . f . d a . g i c a . e i [21] .

### 8 Conclusion

T h e . a . a i . . . a . . . e i a d a c e d c . . . e . . . e . . . c h a . . . a . a g e e . . . e . i c . . . e . e c e i [22] a d e . a i . . . e . a . e d f . e a . e i e B a [23] . T h e e a i c a i . . . d e e a . c h . . . e . e c i e . . . i . . . f . . . h a h e . i . . . f . . . e d i . . . c i a h e i e . M . e . e . . . i e i g e . a g e . . . e . . . e c h a i . . . e a . . . a b . . . h e a g e . . . f . e a . . . e i c . . . e a i . . . c . . . d i a i . . . e e c . . . i c . . . e c e . A g e . . . h a . e a . . . a b . . . h e i . e a i . . . i h . h e a g e . . . c h a a g e . . . e a . . . i g a b . . . . . i b e c . . . e a i . . . a e g e . c a b e e f . . . e a . . . i g a b . . . . . e i c i . L i a ? . g i c d e . . . e . . . . . c h a b . . . h e i . e . . . c . e . f . . . . . h i c h a e e b e c . . . i d e e d a a

ba c b , b i d e e a i h e e a i b e e e a d h e c c e , i  
a i c a h e e a i b e e e , b e i e f a d i f a i a c i . . .

Thi a e e e e e e e i . . . Lia ' BIT gic, h i c a h e  
d e i a i f . . . F i , e e e d h e g i c i h i c . I h i a , e c a  
e e h a f . . . i h e h f e . . . i i , e c a i f e . . . i h e  
h f h e . . . i i h a a e e a e d b . . . i c .

S e c d , e e e d h e g i c i h e i . . . I h i a , e c a e e h a  
i f . . . a e e i c i a e d f , . . . e e a e i i c i c i d e e d e e a b a  
a g e . T h e e a e i d f . . . i f e e c e i c i e . W e i g h a h a b  
e e c i g a h e a g e a a e i , . . . i d i c a e h a . . . i . . . h i  
h e a g e . T h , e i . . . i . . . O h e h e h a d , e i . . . a b e  
a e d a e g i c a . I . . . i g e a e h e a g e d e i b e a e a e d f a  
e i . . . i h a . . . a e , i . . . d e i f e i f h e e i g a g e c d b e  
e d . . . i i . . . f a e a e d i c .

A e i c c e h e a i c a b i i f . . . i c i e . W e h a e a e a d e e  
a e a i e i c i e e g a d i g . . . a d e i . . . I a . . . e e e a a b e  
e i c h e d e i a i a i . . . i a i . . . i h i c h e a g e i e a i e  
i g a . I a e a i a i , h e e a c h e . . . h e a e a h e e i . . . h e  
a . . . B a c e c a e h e e i . . . i e c e a i a e h e e a c h e  
h e d e a b h e a e h e e a i g e i . . . T h i h  
h a h e c i a c e i h i c h . . . i a i e d , e e d b e d e e c a e f . . .

T h e e a e e e a i . . . a . . . e i e f . . . h i c h e a i d i c e d .  
T h e g i c d e e c a e h e e e e f i . I h e . . . i g e a e , . . . i g  
h e e b e i c e i , b e c a e h e f i a a g e e f h e a g e d e e d  
i . N e h a i h c h a i , h e a g e d g h e g h h e b e  
f e i g h e e i c e i h h e e i a b e c h a g e e d .

W e b i e i d i c a e d h h e g i c i g h b e f h e e e d e d i h e e  
a d . . . a . T h i h e e , . . . d e i e a h i f f . . . a e i e i c . . . i f  
e , a b b e i e f , . . . e a c i c a . . . i f . . . a b a c i . . . W e a  
d i c e d h h e g i c i e a e d . . . e g e e a a a c i . . . d e . . . ,  
h i c h i . . . e c . . . e c h a i . . . g a a e e d b a . . . i . . . M e e e a c h  
i e e d e d . . . e c h e e . . . d e i h . . . i . . . i . . . a . . . .

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# Coordination Between Logical Agents

Chiaki Sakama<sup>1</sup> and Kazuo Inoue<sup>2</sup>

<sup>1</sup> Department of Computer and Communication Sciences,  
Wakayama University,  
Sakaedani, Wakayama 640 8510, Japan  
sakama@sys.wakayama-u.ac.jp  
<sup>2</sup> National Institute of Informatics,  
2-1-2 Hitotsubashi, Chiyoda-ku, Tokyo 101 8430, Japan  
ki@nii.ac.jp

**Abstract.** In this paper we suppose an agent that has a knowledge base written in logic programming and sets of beliefs under the answer set semantics. We then consider the following two problems: given two logic programs  $P_1$  and  $P_2$ , which have the sets of answer sets  $\mathcal{AS}(P_1)$  and  $\mathcal{AS}(P_2)$ , respectively; (i) find a program  $Q$  which has the set of answer sets such that  $\mathcal{AS}(Q) = \mathcal{AS}(P_1) \cup \mathcal{AS}(P_2)$ ; (ii) find a program  $R$  which has the set of answer sets such that  $\mathcal{AS}(R) = \mathcal{AS}(P_1) \cap \mathcal{AS}(P_2)$ . A program  $Q$  satisfying the condition (i) is called *generous coordination* of  $P_1$  and  $P_2$ ; and  $R$  satisfying (ii) is called *rigorous coordination* of  $P_1$  and  $P_2$ . Generous coordination retains all of the original belief sets of each agent, but admits the introduction of additional belief sets of the other agent. By contrast, rigorous coordination forces each agent to give up some belief sets, but the result remains within the original belief sets for each agent. We provide methods for constructing these two types of coordination and discuss their properties.

## 1 Introduction

In this paper we consider two agents that have different sets of beliefs, and agents negotiate and accept each other's beliefs. We call this process *coordination*. The goal is to find a program that satisfies the conditions (i) and (ii) above. We provide methods for constructing these two types of coordination and discuss their properties.

Suppose an agent has a knowledge base in logic programming and a set of beliefs. We call this set of beliefs *answer sets* [7]. An agent's beliefs are represented by a set of beliefs which can be built by a logic program. The belief set of an agent is called *belief set* [2]. An agent has a set of beliefs, and a set of beliefs, which are represented by a set of beliefs. Different agents have different sets of beliefs. We here consider coordination between agents. We provide methods for constructing these two types of coordination and discuss their properties. Conclude, finally, a set of beliefs.

... g a  $P_1$  hich ha ... a ... e ...  $S_1$  a d  $S_2$ ; a d a ... he ... gic ... g a  $P_2$  hich ha ... a ... e ...  $S_2$  a d  $S_3$ . The ... e a ... d a e ... g a hich i a e ... f c ... d i a i ... be ee  $P_1$  a d  $P_2$ . I ... h i a e ... e c ... i d e ... d i e e ... i ... e i a ... g a  $Q$  hich ha h e e a ... e ...  $S_1, S_2$ , a d  $S_3$ ; h e h e i a ... g a  $R$  hich ha h e i g e a ... e ...  $S_2$ .

The e ... i ... i d e d i e e ... e f c ... d i a i ... h e ... e e a i ... a f h e i g i a b e i e f ... f e a c h a g e ... b ... a d i ... h e i ... d c i ... f a d d i ... a b e i e f ... f h e h e a g e ... B ... a ... h e e c ... d ... e f ... c e e a c h a g e ... g i e ... e b e i e f ... e ... b ... h e e ... e a i ... i h i h e i g i a b e i e f ... f ... e a c h a g e ... The e ... e f c ... d i a i ... c c ... i ... e a i f e. F ... i ... a c e ... h e f ... i g ... c e a i ... d e c i d e h e A c a d e ... A a d f B e P i c ... e ... e b e f h e A c a d e ... i a e ... N ... h e e a e h e e ... e b e ...  $p_1, p_2$ , a d  $p_3$ , a d e a c h ... e b e c a ... i a e a ... :  $p_1$  ... i a e  $f_1$  a d  $f_2$ ,  $p_2$  ... i a e  $f_2$  a d  $f_3$ , a d  $p_3$  ... i a e  $f_2$ . A h i ... e ... h e e ... i e e  $f_1, f_2$ , a d  $f_3$  a e ... e d. The i a i ... i e ... e e d b h e e ... g a ... :

$$\begin{aligned} P_1 &: f_1; f_2 \leftarrow, \\ P_2 &: f_2; f_3 \leftarrow, \\ P_3 &: f_2 \leftarrow, \end{aligned}$$

h e e ... e e e ... d i ... c i ... H e e,  $P_1$  h a ... a ... e ... e :  $\{f_1\}$  a d  $\{f_2\}$ ;  $P_2$  h a ... a ... e ... e :  $\{f_2\}$  a d  $\{f_3\}$ ;  $P_3$  h a h e i g e a ... e ... e :  $\{f_2\}$ . The h e e ... i e e c ... e ... d ... h e a ... e ... e :  $\{f_1\}, \{f_2\}$ , a d  $\{f_3\}$ . A ... g a h a i g h e e h e e a ... e ... e i h e ... e f c ... d i a i ... A f e ... a ... i g, h e ...  $f_2$  i ... e d b h e e ... e b e ... a d b e c ... e h e i ... e f h e A a d. T h a i, h e i ... e i ... e e e d b h e a ... e ... e  $\{f_2\}$ . A ... g a h a i g h i i g e a ... e ... e i h e e c ... d ... e f c ... d i a i ... T h ... h e e ... e f c ... d i a i ... h a e i d i e e ... i a i ... , a d i i ... e a i g f ... d e e ... c ... a i ... a g i c f ... h e e c ... d i a i ... b e e e a g e ...

The ... b e i h e h ... b i d a ... g a h i c h e a i e ... c h c ... d i a i ... F ... a ... h e ... b e ... c ... i d e d i h i a e a e d e c i b e d a f ...

**Given:** ... g a ...  $P_1$  a d  $P_2$ ;

**Find:** (1) a ... g a  $Q$  a i f i g  $AS(Q) = AS(P_1) \cup AS(P_2)$ ;

(2) a ... g a  $R$  a i f i g  $AS(R) = AS(P_1) \cap AS(P_2)$ ,

h e e  $AS(P)$  e ... e e ... h e e f a ... e ... e f a ... g a  $P$ . The ... g a  $Q$  a i f i g (1) i c a e d *generous coordination* o f  $P_1$  a d  $P_2$ ; a d h e ... g a  $R$  a i f i g (2) i c a e d *rigorous coordination* o f  $P_1$  a d  $P_2$ . We d e e ... e h d f ... c ... i g h e e ... e f c ... d i a i ... a d e i f h e e ...

The ... f h i a e i ... g a i e d a f ... . S e c i ... 2 ... e e ... d e ... i ... a d e ... i ... g i e ... e d i h i a e ... . S e c i ... 3 i ... d c e a f a e ... f c ... d i a i ... b e e e ... g i c ... g a ... . S e c i ... 4 ... i d e ... e h d f ... c ... i g c ... d i a i ... a d a d d e e ... h e i ... e ... e ... . S e c i ... 5 d i c ... e ... e a e d i ... e a d S e c i ... 6 ... a i e h e a e ...



$P_1$  and  $P_2$  are said to be *AS-combinable* if  $\text{cre} \subseteq \text{cre} \cup \text{cre}$ ,  $\text{AS}(P_1) \cup \text{AS}(P_2) \subseteq \text{cre} \cup \text{cre}$  and  $\text{cre} \subseteq \text{cre}$ .

*Example 2.1.* Given the following programs:

$$\begin{aligned} P_1 : & \quad p; q \leftarrow, \\ & \quad p \leftarrow q, \\ & \quad q \leftarrow p, \\ P_2 : & \quad p \leftarrow \text{not } q, \\ & \quad q \leftarrow \text{not } p, \end{aligned}$$

then  $\text{AS}(P_1) = \{\{p, q\}\}$  and  $\text{AS}(P_2) = \{\{p\}, \{q\}\}$ . Then,  $\text{cre}(P_1) = \text{skp}(P_1) = \{p, q\}$ ;  $\text{cre}(P_2) = \{p, q\}$  and  $\text{skp}(P_2) = \emptyset$ .  $P_1$  and  $P_2$  are AS-combinable because  $\text{cre} \subseteq \{p, q\} \cup \{p, q\}$  and  $\text{cre} \subseteq \text{cre} \cup \text{cre}$ .

Technically, the following program  $P_1$  and  $P_2$  are AS-combinable, because the AS-combinability condition requires  $\bar{L} \leftarrow \text{not } L$  for every  $L \in \text{Lit}$ . In each program,  $\text{cre} \subseteq \bar{L} \cup L$  and  $\text{cre} \subseteq \text{cre} \cup \text{cre}$  are satisfied for each  $L$ .

*Example 2.2.* In the above example,  $P'_1 = P_1 \cup Q$  and  $P'_2 = P_2 \cup Q$  in which

$$\begin{aligned} Q : & \quad \bar{p} \leftarrow \text{not } p, \\ & \quad \bar{q} \leftarrow \text{not } q. \end{aligned}$$

Then,  $\text{AS}(P'_1) = \{\{p, q\}\}$  and  $\text{AS}(P'_2) = \{\{p, \bar{q}\}, \{\bar{p}, q\}\}$ .  $P'_1$  and  $P'_2$  are AS-combinable.

### 3 Coordination Between Programs

Given two programs, coordination is defined as a program which is a combination of the two. In this section, we define coordination of coordination and the associated concepts.

**Definition 3.1.** Let  $P_1$  and  $P_2$  be two programs. A program  $Q$  is said to be *generous coordination* of  $P_1$  and  $P_2$ ; a program  $R$  is said to be *rigorous coordination* of  $P_1$  and  $P_2$  if  $\text{cre}(Q) = \text{cre}(P_1) \cup \text{cre}(P_2)$  and  $\text{cre}(R) = \text{cre}(P_1) \cap \text{cre}(P_2)$  respectively.

Generally, coordination is a set of the associated sets of each agent, based on the condition of addition of the sets of the agents. Basically, rigorous coordination of each agent is given by the associated sets, but the associated sets are not necessarily the same for each agent.

Technically, generous coordination is a program  $P_1$  and  $P_2$  are AS-combinable, i.e.,  $\text{cre} \subseteq \text{cre} \cup \text{cre}$  and  $\text{cre} \subseteq \text{cre} \cup \text{cre}$ . Then, the condition of generous coordination is satisfied for each program, and the program is AS-combinable.

Generic coordination between agents has a well-known AS-compatibility property. In a rigorous AS-compatibility adaptation of the generic algorithm, we are interested in Sec. 3.2.

**Definition 3.2.** For generic agents  $P_1$  and  $P_2$ , let  $Q$  be a result of generic coordination, and  $R$  a result of rigorous coordination. We say that generic (resp. rigorous) coordination *succeeds* if  $AS(Q) \neq \emptyset$  (resp.  $AS(R) \neq \emptyset$ ); otherwise, it *fails*.

Generic coordination always succeeds whenever both  $P_1$  and  $P_2$  are consistent. Otherwise, if  $AS(P_1) \cap AS(P_2) = \emptyset$ , rigorous coordination fails. A simple example can be found in Fig. 1. Note that generic coordination always succeeds if both agents are consistent, which can be deduced from the above. But this does not mean that generic coordination always succeeds (consistency alone is not sufficient for each agent).

As an example, let us consider the following simple example.

**Proposition 3.1** *When generous/rigorous coordination of two programs succeeds, the result of coordination is consistent.*

Consistency changes the complexity of the algorithm. Each agent

**Proposition 3.2** *Let  $P_1$  and  $P_2$  be two programs.*

1. *If  $Q$  is a result of generous coordination,*
  - (a)  $crd(Q) = crd(P_1) \cup crd(P_2)$  ;
  - (b)  $skp(Q) = skp(P_1) \cap skp(P_2)$  ;
  - (c)  $crd(Q) \supseteq crd(P_i)$  for  $i = 1, 2$  ;
  - (d)  $skp(Q) \subseteq skp(P_i)$  for  $i = 1, 2$ .
2. *If  $R$  is a result of rigorous coordination,*
  - (a)  $crd(R) \subseteq crd(P_1) \cup crd(P_2)$  ;
  - (b)  $skp(R) \supseteq skp(P_1) \cup skp(P_2)$  if  $AS(R) \neq \emptyset$  ;
  - (c)  $crd(R) \subseteq crd(P_i)$  for  $i = 1, 2$  ;
  - (d)  $skp(R) \supseteq skp(P_i)$  for  $i = 1, 2$  if  $AS(R) \neq \emptyset$ .

*Proof.* 1.(a) Assume  $L$  is a consistent state in  $AS(P_1) \cup AS(P_2)$ .  $L$  is consistent in  $AS(P_1)$  and consistent in  $AS(P_2)$ . (b)  $L$  is consistent in  $AS(P_1) \cup AS(P_2)$  if and only if  $L$  is consistent in  $AS(P_1)$  and consistent in  $AS(P_2)$ . The result of (c) and (d) holds by (a) and (b), respectively.

2.(a) If  $L$  is consistent in  $AS(P_1) \cap AS(P_2)$ ,  $L$  is consistent in  $AS(P_i)$  ( $i = 1, 2$ ). (b) If  $L$  is consistent in  $AS(P_1) \cup AS(P_2)$  if and only if  $L$  is consistent in  $AS(P_1)$  and consistent in  $AS(P_2)$ . The result of (c) and (d) holds by (a) and (b), respectively.  $\square$



*Example 3.1.* Let  $\mathcal{AS}(P_1) = \{\{a, b, c\}, \{b, c, d\}\}$  and  $\mathcal{AS}(P_2) = \{\{b, c, d\}, \{c, e\}\}$ ,  
 $\text{he, e } \text{crd}(P_1) = \{a, b, c, d\}$ ,  $\text{skp}(P_1) = \{b, c\}$ ,  $\text{crd}(P_2) = \{b, c, d, e\}$ , and  
 $\text{skp}(P_2) = \{c\}$ . Given a coordination  $Q$  of  $P_1$  and  $P_2$  has  $\text{he, e } \text{crd}(Q) = \{a, b, c, d, e\}$   
 $\mathcal{AS}(Q) = \{\{a, b, c\}, \{b, c, d\}, \{c, e\}\}$  and  $\text{skp}(Q) = \{c\}$ . Rigorous coordination  $R$  has  
 $\mathcal{AS}(R) = \{\{b, c, d\}\}$  and  $\text{skp}(R) = \{b, c, d\}$ . The above example is defined as follows.

Given a coordination  $Q$  of  $P_1$  and  $P_2$ ,  $\text{he, e } \text{crd}(Q) = \{a, b, c, d, e\}$  and  $\mathcal{AS}(Q) = \{\{a, b, c\}, \{b, c, d\}, \{c, e\}\}$ . This example has a coordination  $R$  of  $P_1$  and  $P_2$  such that  $\mathcal{AS}(R) = \{\{b, c, d\}\}$  and  $\text{skp}(R) = \{b, c, d\}$ . This example is defined as follows.

**Definition 3.3.** For a coordination  $Q$  of  $P_1$  and  $P_2$ , a coordination  $R$  of  $P_1$  and  $P_2$  is said to be *generous* if  $\mathcal{AS}(Q) = \mathcal{AS}(P_1)$  and  $\mathcal{AS}(R) = \mathcal{AS}(P_1)$ . We say that  $P_1$  *dominates*  $P_2$  under generous coordination if  $\mathcal{AS}(P_1) \subseteq \mathcal{AS}(P_2)$ .

**Proposition 3.3** *Let  $P_1$  and  $P_2$  be two programs. When  $\mathcal{AS}(P_1) \subseteq \mathcal{AS}(P_2)$ ,  $P_2$  dominates  $P_1$  under generous coordination, and  $P_1$  dominates  $P_2$  under rigorous coordination.*

When  $P_2$  dominates  $P_1$  under generous coordination, a coordination  $Q = P_2$ . Since  $P_1$  dominates  $P_2$  under rigorous coordination, a coordination  $R = P_1$ . In case  $\text{he, e } \text{crd}(Q) = \text{he, e } \text{crd}(R)$ , the coordination fails,  $\text{he, e } \text{crd}(Q) = \text{he, e } \text{crd}(R)$  and  $\text{skp}(Q) \neq \text{skp}(R)$ . The coordination fails if  $\text{he, e } \text{crd}(Q) \neq \text{he, e } \text{crd}(R)$  and  $\mathcal{AS}(P_1) \not\subseteq \mathcal{AS}(P_2)$  and  $\mathcal{AS}(P_2) \not\subseteq \mathcal{AS}(P_1)$  for a coordination  $Q$  of  $P_1$  and  $P_2$ . In this case, the coordination fails if  $\text{he, e } \text{crd}(Q) \neq \text{he, e } \text{crd}(R)$ .

## 4 Computing Coordination

### 4.1 Computing Generous Coordination

We define a head function  $\text{head}$  of a coordination  $Q$  as follows.

**Definition 4.1.** Given a coordination  $Q$  of  $P_1$  and  $P_2$ ,

$$P_1 \oplus P_2 = \{ \text{head}(r_1); \text{head}(r_2) \leftarrow \text{body}_*(r_1), \text{body}_*(r_2) \mid r_1 \in P_1, r_2 \in P_2 \},$$

he, e  $head(r_1); head(r_2)$  i he di, c i, f  $head(r_1)$  a d  $head(r_2)$ ,  $body_*(r_1) = body(r_1) \setminus \{not L \mid L \in T \setminus S\}$  a d  $body_*(r_2) = body(r_2) \setminus \{not L \mid L \in S \setminus T\}$  f, a  $S \in \mathcal{AS}(P_1)$  a d  $T \in \mathcal{AS}(P_2)$ .

The, g a  $P_1 \oplus P_2$  i c, e c i, f, e h i c h a, e b a i e d b c, b i g a, e f  $P_1$  a d a, e f  $P_2$  i e e, i b e a. I  $body_*(r_1)$  e e, NAF-1 e a  $not L$  ch ha  $L \in T \setminus S$  i d, e d beca e he e i e c e f h i, a, e e he d e i a i, f, e i e a i  $head(r_2)$  a f e c, b i a i, .

*Example 4.1.* C, i d e, g a :

$$\begin{aligned} P_1 : & p \leftarrow not\ q, \\ & q \leftarrow not\ p, \\ P_2 : & \neg p \leftarrow not\ p, \end{aligned}$$

he, e  $\mathcal{AS}(P_1) = \{\{p\}, \{q\}\}$  a d  $\mathcal{AS}(P_2) = \{\{\neg p\}\}$ . The,  $P_1 \oplus P_2$  beca e

$$\begin{aligned} & p; \neg p \leftarrow not\ q, \\ & q; \neg p \leftarrow not\ p. \end{aligned}$$

N, e ha  $not\ p$  f, he, e f  $P_2$  i d, e d i, he, e i g, e beca e f he e i e c e f  $\{p\}$  i  $\mathcal{AS}(P_1)$ .

B he d e i i,  $P_1 \oplus P_2$  i c, e d i, i e  $|P_1| \times |P_2| \times |\mathcal{AS}(P_1)| \times |\mathcal{AS}(P_2)|$ , he, e  $|P|$ , e e e, he, e be, f, e i  $P$  a d  $|\mathcal{AS}(P)|$ , e e e, he, e be, f a, e, e, i  $P$ .

The, g a  $P_1 \oplus P_2$  g e e a c, a i, e e, e d, d a, i e a, /, e, a d he f, i g, g a, a f, a i, a e he f, i, i f he, g a,

- (e i i a i, f a, g i e : TAUT)  
D e e e a, e r f, a, g a i f  $head(r) \cap body^+(r) \neq \emptyset$ .
- (e i i a i, f c, a d i c i, : CONTRA)  
D e e e a, e r f, a, g a i f  $body^+(r) \cap body^-(r) \neq \emptyset$ .
- (e i i a i, f, - i i a, e : NONMIN)  
D e e e a, e r f, a, g a i f he e i a, he, e r' i he, g a, ch ha  $head(r') \subseteq head(r)$ ,  $body^+(r') \subseteq body^+(r)$  a d  $body^-(r') \subseteq body^-(r)$ .
- (e, g i g d, i c a e d i e a, : DUPL)  
A d i, c i, ( $L; L$ ) a e a i g i  $head(r)$  i, e g e d i,  $L$ , a d a c, c, i, ( $L, L$ ) a, ( $not\ L, not\ L$ ) a e a i g i  $body(r)$  i, e g e d i,  $L$ ,  $not\ L$ , e e c i e.

The, g a, a f, a i, a, e e, e he a, e, e, f a EDP [3].

*Example 4.2.* G i e, g a :

$$\begin{aligned} P_1 : & p \leftarrow q, \\ & r \leftarrow, \\ P_2 : & p \leftarrow not\ q, \\ & q \leftarrow r, \end{aligned}$$



*Proof.* Suppose  $S \in \mathcal{AS}(P_1)$ . Then,  $S$  satisfies each of  $P_1^S$ , i.e.  $S$  satisfies each of  $P_1^S \oplus P_2^T$  for any  $T \in \mathcal{AS}(P_2)$  (Lemma 4.1). (Note: a  $P_1$  and  $P_2$  are AS-compatible, hence each of  $P_1^S$  and  $P_2^T$  are also AS-compatible.) Finally,  $\text{head}(r_1); \text{head}(r_2) \leftarrow \text{body}^+(r_1), \text{body}^+(r_2) \models P_1^S \oplus P_2^T$ , i.e.  $\text{head}(r_1); \text{head}(r_2) \leftarrow \text{body}^+(r_1), \text{body}^+(r_2) \models S = \text{body}^-(r_2) \cap T = \emptyset$ . Otherwise,  $\text{head}(r_1); \text{head}(r_2) \leftarrow \text{body}^+(r_1), \text{body}^+(r_2) \models P_1 \oplus P_2$ ,  $\text{head}(r_1); \text{head}(r_2) \leftarrow \text{body}^+(r_1), \text{body}^+(r_2) \models (P_1 \oplus P_2)^S \models (\text{body}^-(r_1) \setminus \{L \mid L \in T \setminus S'\}) \cap S = \emptyset$  and  $(\text{body}^-(r_2) \setminus \{L \mid L \in S' \setminus T\}) \cap S = \emptyset$  for any  $S' \in \mathcal{AS}(P_1)$  and  $T \in \mathcal{AS}(P_2)$ . Hence,  $(\text{body}^-(r_1) \setminus \{L \mid L \in T \setminus S'\}) \cap S \subseteq \text{body}^-(r_1) \cap S$  and  $(\text{body}^-(r_2) \setminus \{L \mid L \in S' \setminus T\}) \cap S \subseteq \text{body}^-(r_2) \cap T \cap S \subseteq \text{body}^-(r_2) \cap T$ . Hence,  $P_1^S \oplus P_2^T \subseteq (P_1 \oplus P_2)^S$ . So, each of  $\text{head}(r_1); \text{head}(r_2) \leftarrow \text{body}^+(r_1), \text{body}^+(r_2) \models (P_1 \oplus P_2)^S \setminus (P_1^S \oplus P_2^T)$ . Since  $S$  satisfies each of  $r_1 \models P_1$ ,  $S \models \text{body}^+(r_1), \text{body}^+(r_2) \models S \models \text{head}(r_1); \text{head}(r_2)$ . Thus, each of  $S$  satisfies  $P_1^S \oplus P_2^T$  and each of  $(P_1 \oplus P_2)^S \setminus (P_1^S \oplus P_2^T)$ . But  $P_1^S \oplus P_2^T \subseteq (P_1 \oplus P_2)^S$ ,  $S$  satisfies each of  $(P_1 \oplus P_2)^S$  and  $S \in \mathcal{AS}(P_1 \oplus P_2)$ . The case of  $S \in \mathcal{AS}(P_2)$  is proved in the same way.

Conversely, suppose  $S \in \mathcal{AS}(P_1 \oplus P_2)$ . Then,  $S$  satisfies each of  $\text{head}(r_1); \text{head}(r_2) \leftarrow \text{body}^*(r_1), \text{body}^*(r_2) \models P_1 \oplus P_2$ , i.e.  $S \models \text{body}^*(r_1), \text{body}^*(r_2) \models S \models \text{head}(r_1); \text{head}(r_2)$ . If  $S \not\models \text{head}(r_1)$  for some  $r_1 \in P_1$ ,  $S \models \text{head}(r_2)$  for any  $r_2 \in P_2$ . Then,  $S \models \text{body}^*(r_2) \models S \models \text{head}(r_2)$  for any  $r_2 \in P_2$ , i.e.  $S \models \text{head}(r_2)$  and  $S \not\models \text{body}^*(r_2)$ . As  $S \not\models \text{body}^*(r_2) \models S \not\models \text{body}(r_2)$ , i.e.  $S \models \text{head}(r_2)$  and  $S \not\models \text{body}(r_2)$  for any  $r_2 \in P_2$ . Hence,  $S$  satisfies each of  $P_2$ . Else if  $S \not\models \text{head}(r_2)$  for some  $r_2 \in P_2$ , i.e.  $S \not\models \text{body}(r_2)$  for any  $r_2 \in P_2$ ,  $S \models \text{head}(r_1)$  for some  $r_1 \in P_1$  and  $S \models \text{head}(r_2)$  for some  $r_2 \in P_2$ ,  $S$  satisfies both  $P_1$  and  $P_2$ . Thus, it is clear that  $S$  satisfies either  $P_1$  or  $P_2$ . So, each of  $S$  satisfies  $P_1$  or  $P_2$ . Thus, each of  $S$  satisfies  $P_1$ . Then, each of  $S$  satisfies  $P_1 \oplus P_2$ . This is a contradiction. Hence, each of  $S$  satisfies each of  $P_1 \oplus P_2$ . Since a goal is satisfied by  $S$  iff  $S$  satisfies  $P_2$ .  $\square$

*Example 4.3.* In Lemma 4.1,  $\mathcal{AS}(P_1 \oplus P_2) = \{\{p\}, \{q\}, \{\neg p\}\}$ , hence  $\mathcal{AS}(P_1 \oplus P_2) = \mathcal{AS}(P_1) \cup \mathcal{AS}(P_2)$ .

## 4.2 Computing Rigorous Coordination

Next, we define a method for computing rigorous coordination between agents.

**Definition 4.2.** Given two goals  $P_1$  and  $P_2$ ,

$$P_1 \otimes P_2 = \bigcup_{S \in \mathcal{AS}(P_1) \cap \mathcal{AS}(P_2)} R(P_1, S) \cup R(P_2, S),$$

where  $\mathcal{AS}(P_1) \cap \mathcal{AS}(P_2) \neq \emptyset$  and

$$R(P, S) = \{\text{head}(r) \cap S \leftarrow \text{body}(r), \text{not}(\text{head}(r) \setminus S) \mid r \in P \text{ and } r^S \in P^S\}$$

and  $\text{not}(\text{head}(r) \setminus S) = \{\text{not } L \mid L \in \text{head}(r) \setminus S\}$ .



**Theorem 4.4.** *Let  $P_1$  and  $P_2$  be two programs. Then,  $\mathcal{AS}(P_1 \otimes P_2) = \mathcal{AS}(P_1) \cap \mathcal{AS}(P_2)$ .*

*Proof.* Suppose  $S \in \mathcal{AS}(P_1) \cap \mathcal{AS}(P_2)$ . Then,  $S$  satisfies the  $head(r) \leftarrow body(r)$  of  $P_1$  and  $P_2$ , so it satisfies the disjunctive  $head(r) \cap T \leftarrow body(r)$ ,  $not(head(r) \setminus T) \rightarrow R(P_1, T) \cup R(P_2, T)$  for all  $T \in \mathcal{AS}(P_1) \cap \mathcal{AS}(P_2)$ . Thus,  $S$  satisfies  $P_1 \otimes P_2$ . Suppose it does not satisfy  $P_1 \otimes P_2$ . Then, there is a minimal  $U \subset S$  which satisfies the  $head(r) \leftarrow body(r)$  of  $P_1 \otimes P_2$ . In this case,  $U$  satisfies  $R(P_1, S)$ . By Lemma 4.3, however,  $S$  satisfies the  $head(r) \leftarrow body(r)$  of  $R(P_1, S)$ . Contradiction. Hence,  $S$  satisfies the  $head(r) \leftarrow body(r)$  of  $P_1 \otimes P_2$ .

Conversely, suppose  $S \in \mathcal{AS}(P_1 \otimes P_2)$ . Then,  $S$  satisfies the  $head(r) \leftarrow body(r)$ ,  $not(head(r) \setminus T) \rightarrow R(P_1, T) \cup R(P_2, T)$  for all  $T \in \mathcal{AS}(P_1) \cap \mathcal{AS}(P_2)$ . By Lemma 4.3,  $T$  satisfies the  $head(r) \leftarrow body(r)$  of  $R(P_1, T)$  and  $R(P_2, T)$ , so it satisfies the  $head(r) \leftarrow body(r)$  of  $L \in S \setminus T$  and also  $M \in T \setminus S$ . Hence, a subset of  $R(P_1, T) \cup R(P_2, T)$  has the  $head(r) \cap T$ , so it satisfies  $L \in S \setminus T$  in contradiction to the head. Thus,  $L$  is included in the  $head(r) \leftarrow body(r)$  of  $S$ , hence  $S \setminus T = \emptyset$ . As both  $T$  and  $S$  satisfy the  $head(r) \leftarrow body(r)$ ,  $T \setminus S = \emptyset$ . Hence,  $T = S$  and  $S \in \mathcal{AS}(P_1) \cap \mathcal{AS}(P_2)$ .  $\square$

*Example 4.5.* In Example 4.4,  $\mathcal{AS}(P_1 \otimes P_2) = \{\{p\}, \{q\}\}$ , hence  $\mathcal{AS}(P_1 \otimes P_2) = \mathcal{AS}(P_1) \cap \mathcal{AS}(P_2)$ .

### 4.3 Algebraic Properties

In this subsection, we provide some properties of the  $\oplus$  and  $\otimes$ .

**Proposition 4.5** *For programs  $P_1, P_2$ , and  $P_3$ , the operations  $\oplus$  and  $\otimes$  have the following properties:*

- (i)  $P_1 \oplus P_2 = P_2 \oplus P_1$  and  $P_1 \otimes P_2 = P_2 \otimes P_1$ ;
- (ii)  $(P_1 \oplus P_2) \oplus P_3 = P_1 \oplus (P_2 \oplus P_3)$  if  $P_1, P_2$  and  $P_3$  are NAF-free;
- (iii)  $(P_1 \otimes P_2) \otimes P_3 = P_1 \otimes (P_2 \otimes P_3)$ .

*Proof.* The proof of (i) and (ii) are straightforward. To see (iii),  $\mathcal{AS}(P_1 \otimes P_2) \otimes P_3 = \mathcal{AS}(P_1) \cap \mathcal{AS}(P_2) \otimes P_3$  holds by Theorem 4.4. Thus, both  $(P_1 \otimes P_2) \otimes P_3$  and  $P_1 \otimes (P_2 \otimes P_3)$  consist of subsets of  $R(P_1, S) \cup R(P_2, S) \cup R(P_3, S)$  for every  $S \in \mathcal{AS}(P_1) \cap \mathcal{AS}(P_2) \cap \mathcal{AS}(P_3)$ .  $\square$

The operation  $\oplus$  is associative and commutative. The operation  $\otimes$  is also associative and commutative. The operation  $\oplus$  is idempotent,  $P \oplus P = P$  if NONMIN and DUPL are assumed.  $P \oplus P$  and  $P \otimes P$  are idempotent because  $\mathcal{AS}(P \otimes P) = \mathcal{AS}(P)$  holds. Besides this,  $P \otimes P$  has the recursive factoring property, i.e.,  $P \otimes P$  can be factored into  $P$ .

By Proposition 4.5, the recursive factoring property and the distributive law hold for the operation  $\otimes$ . This allows the factoring of the recursive factoring property. The operation  $\otimes$  is NAF-free.

The following formulae are intended as a guide. In this case, the above -  
 and the distributive law hold, i.e.,

$$\begin{aligned} P_1 \oplus (P_1 \otimes P_2) &\neq P_1 \text{ and } P_1 \otimes (P_1 \oplus P_2) \neq P_1; \\ P_1 \oplus (P_2 \otimes P_3) &\neq (P_1 \oplus P_2) \otimes (P_1 \oplus P_3) \text{ and} \\ P_1 \otimes (P_2 \oplus P_3) &\neq (P_1 \otimes P_2) \oplus (P_1 \otimes P_3), \end{aligned}$$

Note that the above formulae do not hold, but the following ones do hold:

$$\begin{aligned} \mathcal{AS}(P_1 \oplus (P_1 \otimes P_2)) &= \mathcal{AS}(P_1 \otimes (P_1 \oplus P_2)) = \mathcal{AS}(P_1), \\ \mathcal{AS}(P_1 \oplus (P_2 \otimes P_3)) &= \mathcal{AS}((P_1 \oplus P_2) \otimes (P_1 \oplus P_3)), \\ \mathcal{AS}(P_1 \otimes (P_2 \oplus P_3)) &= \mathcal{AS}((P_1 \otimes P_2) \oplus (P_1 \otimes P_3)). \end{aligned}$$

### 5 Discussion

We are familiar with the distributive laws of a logic which has a conjunction which has a distributive law. Given a set of formulae  $\{S_1, \dots, S_m\}$ , the conjunction of these formulae is  $S_1 \vee \dots \vee S_m$ , the disjunction of these formulae is  $R_1 \wedge \dots \wedge R_n$ . The set of formulae  $\{R_1, \dots, R_n\}$  has the distributive law. The distributive law of a logic is a guide to the distributive law of a logic.

$$\begin{aligned} P_1 : & \text{ sweet} \leftarrow \text{strawberry}, \\ & \text{strawberry} \leftarrow, \\ P_2 : & \text{ red} \leftarrow \text{strawberry}, \\ & \text{strawberry} \leftarrow, \end{aligned}$$

the  $\mathcal{AS}(P_1) = \{\{\text{sweet}, \text{strawberry}\}\}$  and  $\mathcal{AS}(P_2) = \{\{\text{red}, \text{strawberry}\}\}$ .

The following formulae which have the distributive law  $\mathcal{AS}(P_1) \cup \mathcal{AS}(P_2)$ , are the DNF of each above formulae:

$$(\text{sweet} \wedge \text{strawberry}) \vee (\text{red} \wedge \text{strawberry}).$$

Conversely, the CNF of the above formulae is

$$(\text{sweet} \vee \text{red}) \wedge \text{strawberry}.$$

As a result, the distributive law

$$\begin{aligned} Q : & \text{ sweet}; \text{ red} \leftarrow, \\ & \text{strawberry} \leftarrow \end{aligned}$$

is a guide which is given by the distributive law of  $P_1$  and  $P_2$ . On the other hand, the distributive law  $P_1 \oplus P_2$  becomes

*sweet*; *red*  $\leftarrow$  *strawberry*,  
*strawberry*  $\leftarrow$ ,

af e e i i a i g d i c a e d i e a a d e d d a e e .

The e e e e g a e h e h e a e e a i g b h a e d i e e e e a . The , a e e i i h i c h e e i e e e f e a b e a a e e f c e d i a i . ? O e a e i P<sub>1</sub>  $\oplus$  P<sub>2</sub>. The i i i b e h i d h i e e c i i h a e e d i e e i c d e a e c h i f e a i a e b e f e h e i g i a e g a e . C e a i g Q i h P<sub>1</sub>  $\oplus$  P<sub>2</sub>, i f e a i e f d e e d e c b e e e *sweet* ( , *red*) a d *strawberry* i e i Q.<sup>2</sup> G e e a e e a i g, i f h e e e i d i e e c a d i d a e f c e d i a i . b e e e e e g a e , a e g a e h i c h i e a c i c a c e e e h e i g i a e e i e f e e d . The , a e e i i h e e e a e c h e a c i c a c e e e b e e e g a e ? O e e i e h a e i i d i , a i e a e d a b e e e i g d e e d e c e a i e b e e e i e a e . W e e f e a e e f c e d i a i e h i c h i h e i d e e d e c e a i e f e h e i g i a e g a e a e c h a e b e .

M e e e c i e e e h e *dependency graph* f a e g a P<sub>1</sub> h i c h e a c h d e e e e e a g e d i e a a d h e e i a d i e c e d e d g e f e L<sub>1</sub> e L<sub>2</sub> ( e a L<sub>1</sub> *depends on* L<sub>2</sub>) i h e e i a g e d e i P e c h h a L<sub>1</sub> a e a i h e h e a d L<sub>2</sub> a e a i h e b d f h e e . L e (L<sub>1</sub>, L<sub>2</sub>) b e a a i f g e d i e a e c h h a L<sub>1</sub> d e e d e L<sub>2</sub> i h e d e e d e c g a h f a e g a e . L e  $\delta(P)$  b e h e c e c i e f c h a i i P . F e e e e g a e P<sub>1</sub> a d P<sub>2</sub>, e e h a d i e e e g a e P<sub>3</sub> a d P<sub>4</sub> a e b a l e d a c a d i d a e f c e d i a i . The , e a h a P<sub>3</sub> i *preferable* P<sub>4</sub> i f

$$\Delta(\delta(P_3), \delta(P_1) \cup \delta(P_2)) \subset \Delta(\delta(P_4), \delta(P_1) \cup \delta(P_2)),$$

h e e  $\Delta(S, T)$  e e e e h e e e e i c d i e e c e b e e e e . S a d T, i . e . , (S \ T)  $\cup$  (T \ S) . A e i g e h e a b e e e a e e ,  $\delta(P_1) = \{(sweet, strawberry)\}$ ,  $\delta(P_2) = \{(red, strawberry)\}$ ,  $\delta(Q) = \emptyset$ , a d  $\delta(P_1 \oplus P_2) = \{(sweet, strawberry), (red, strawberry)\}$ . The ,  $\Delta(\delta(P_1 \oplus P_2), \delta(P_1) \cup \delta(P_2)) \subset \Delta(\delta(Q), \delta(P_1) \cup \delta(P_2))$ , e e c e c d e h a P<sub>1</sub>  $\oplus$  P<sub>2</sub> i e f e a b e e Q . F e h e e a b a i e e d b e c o i d e e d e e c e e a c i c a c e e e , b e e d e e e e h i i e f e h e e .

C e d i a i e e e h a d i e e e g a e h a e e a a d i g a d c e b i e h e e g a e h i e a i a e e i g e i g i a i f e a i e f e h e . The e b e f c e b i i g g i c a h e i e h a b e e e d i e d b e e e a e e a c h e i d i e e c e e . B a a *et al.* [1] i e d c e a g i h e f c e b i i g g i c e g a e b e f c i g a i f a c i e f i e g i c e e a i . F e i e a c e e e e e e g a e :

$$\begin{aligned} P_1 : & \quad p(x) \leftarrow not\ q(x), \\ & \quad q(b) \leftarrow r(b), \\ & \quad q(a) \leftarrow, \\ P_2 : & \quad r(a) \leftarrow, \end{aligned}$$

<sup>2</sup> Technically, the program Q is obtained by unfolding rules in P<sub>1</sub>  $\oplus$  P<sub>2</sub> [3, 11].





... e a ic f he c... ed ... ga 1 e... f he igi a ... ga ... I he  
 c... e f... a... e gic ... ga ..., Ve,bae e *et al.* [12] 1... d ce a a ia  
 f he e-f... dede a ic, a dide if c... di 1... f... ga  $P_1$  a d  
 $P_2$  ... a i f he e a 1  $Mod(P_1 \cup P_2) = Mod(P_1) \cap Mod(P_2)$  he e  $Mod(P)$  1  
 he e f... de f  $P$ . E a e a d Te 1 [6] c... ide, hee- a ed c... e 1.  
 e a ic f... ga c... 11. a he 1... f... a e... ga ... C...  
 a 1 g he e hee die 1 h... , b h... ga ... e a 1... a d... de 1 g  
 e a ic a e d i e e f... M... e e, he g a f... ga c... 11. 1  
 c... e he ea 1 g f he h e... ga 1 e... f i... b... ga ...;  
 he he ha d, ... ga 1 c... c a... ga h e a... e e a e he  
 1... /1 e, ec 1... f he igi a ... ga ...

C... bi a 1... f... 11. a he ie ha bee... died... de he a e f  
*merging* [8] ... *arbitration* [9]. The g a f he e e each 1... ide a e  
 he... hich 1 c... 1 e a d e e e a... ch 1 f... a 1... a... ibe f...  
 he 1... ce. Me, gi g 1 di e e f... c... di a 1... e e ed 1... hi a e.  
 F... a ce, he ie  $P_1 = \{p \leftarrow\}$  a d  $P_2 = \{q \leftarrow\}$  a e e ged 1...  
 $P_3 = \{p \leftarrow, q \leftarrow\}$ . B c... a , ge e... c... di a 1... f  $P_1$  a d  $P_2$  bec... e  
 $P_1 \oplus P_2 = \{p; q \leftarrow\}$ . Th... , 1 c... a... ge e... c... di a 1... , e gi g d e  
 ... e e e a... e e f he igi a ... ga ... I... e gi g di e e beief b  
 di e e age... a e 1 ed ge he a fa a he a e c... 1 e , hich a e 1  
 di c... di 1 g 1 h he igi a beief f... e age a e ha d... ec... e he... e f  
 he 1 f... a 1... ce... 1 c... ec... F... 1 a ce, ... e age ha  
 he... ga  $P_4 = \{p; q \leftarrow\}$  a d e 1 f... a 1...  $P_1 = \{p \leftarrow\}$  a 1 e . If  $P_4$   
 a d  $P_1$  a e e ged, he e bec... e  $P_5 = \{p \leftarrow\}$ . La e, 1... ha he  
 fac  $p$  1  $P_1$  d e... h d. A h... age, he age ca... ec... e he igi a  
 ... ga  $P_4$  f...  $P_5$ . B c... a , if ge e... c... di a 1... 1 d e, 1 bec... e  
 $P_4 \oplus P_1 = P_4$  a d he igi a 1 f... a 1...  $P_4$  1 e .

Cia... 11 *et al.* [5] 1... d ce a a g age f... c... di a 1 g... gic- ba ed age ...  
 The ha de... e f c... di a 1... : c ab... a 1... a d c... e 11... The 1  
 ga 1... e he e di e e... e f... e ie ig abd c 1... , a d... c...  
 c... a... ga a a e... f c... di a 1... . Rece... , Me e... *et al.* [10] 1... d ce  
 a... gica fa e... f... eg ia 1 g age... The 1... d ce... di e e... de  
 f... eg ia 1... : c... ce 1... a d ada a 1... . The cha ac e 1 e... ch... eg ia 1...  
 b... a 1... a e a d... ide e h d f... c... 1 g... c... e. The e  
 ... a e a e... ge e a... a 1 ed... ic he ie, a d 1... h e e  
 c... di a 1... c... ide ed 1... hi a e, 1 be ide he bec f h e... a e.

C... di a 1... 1... d ced 1... hi a e, 1 a e 1... he e e ha 1... a e  
 he... 1... /1 e, ec 1... f di e e c... ec 1... f a... e e... We ca de e...  
 a ia... f c... di a 1... b 1... d c 1 g... a egie ha de e d... 1 a 1...  
 F... 1 a ce, he he e a e... e ha... age... , 1 1 c... ide ed... a e  
 he *majority* 1... acc... a 1 [8]. Gi e c... ec 1... f a... e e b... he e  
 age... ,  $\{S_1, S_2, S_3\}$ ,  $\{S_2, S_4\}$ , a d  $\{S_1, S_5\}$ ,... ch... a 1... 1 c... e a...  
 ... b 1 d  $\{S_1, S_2\}$  a... a e... f c... di a 1... , h... e be 1... ed b  
 ... e ha... e age... P... 11 e be ee... age... a e a... c... ide ab e. I he



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# A Computational Model for Conversation Policies for Agent Communication

Jamal Bentahar<sup>1</sup>, Bernard Moulin<sup>1</sup>, John-Jules Ch. Meyer<sup>2</sup>,  
and Brahim Chaib-draa<sup>1</sup>

<sup>1</sup>Laval University, Department of Computer Science and Software Engineering, Canada  
jamal.bentahar.1@ulaval.ca

{bernard.moulin, brahim.chaib-draa}@ift.ulaval.ca

<sup>2</sup>University Utrecht, Department of Computer Science, The Netherlands

jj@cs.uu.nl

**Abstract.** In this paper we propose a formal specification of a persuasion protocol between autonomous agents using an approach based on social commitments and arguments. In order to be flexible, this protocol is defined as a combination of a set of conversation policies. These policies are formalized as a set of dialogue games. The protocol is specified using two types of dialogue games: entry dialogue game and chaining dialogue games. The protocol terminates when exit conditions are satisfied. Using a tableau method, we prove that this protocol always terminates. The paper addresses also the implementation issues of our protocol using logical programming and an agent-oriented platform.

## 1 Introduction

Research in agent communication has received much attention during the past years [9; 13; 14]. Agent communication protocols specify the rules of interaction governing a dialogue between autonomous agents in a multi-agent system. These protocols are patterns of behavior that restrict the range of allowed follow-up utterances at any stage during a dialogue. Unlike protocols used in distributed systems, agent communication protocols must take into account the fact that artificial agents are autonomous and proactive. These protocols must be flexible enough and must also be specified using expressive formalisms. Indeed, logic-based protocols seem an interesting way for specifying these protocols [3; 16].

On the one hand, conversation policies [18] and dialogue games [12; 21] aim at offering more flexible protocols [20]. This is achieved by combining different policies and games to construct complete and more complex protocols. In this paper we argue that conversation policies and dialogue games are related and can be used together to specify agent communication. Conversation policies are declarative specifications that govern communication between autonomous agents. We propose to formalize these policies as a set of dialogue games. Dialogue games are interactions between players, in which each player moves by performing utterances according to a pre-defined set of roles. Indeed, protocols specified using, for example, finite state machines are not flexible in the sense that agents must respect the whole protocol from the beginning to

the end. Thus, we propose to specify these protocols by small conversation policies that can be logically put together using a combination of dialogue games.

On the other hand, in the last years, some research works addressed the importance of social commitments in the domain of agent communication [4; 5; 11; 20; 24; 27]. These works showed that social commitments are a powerful representation to model multi-agent interactions. Commitments provide a basis for a normative framework that makes it possible to model agents' communicative behaviors. This framework has the advantage of being expressive because all speech act types can be represented by commitments [11]. Commitment-based protocols enable the content of agent interactions to be represented and reasoned about [17; 28]. In opposition to the BDI mental approach, the commitment-approach stresses the importance of conventions and the public aspects of dialogue. A speaker is committed to a statement when he makes this statement or when he agreed upon this statement made by another participant. In fact, we do not speak here about the expression of a belief, but rather about a particular relationship between a participant and a statement. What is important in this approach is not that an agent agrees or disagrees upon a statement, but rather the fact that the agent *publicly expresses* agreement or disagreement, and acts accordingly.

In this paper we present a persuasion dialogue which is specified using conversation policies, dialogue games and a framework based on commitments. In addition, in order to allow agents to effectively reason on their communicative actions, our framework is also based on an argumentative approach. In our framework the agent's reasoning capabilities are linked to their ability to argue. In this paper *we consider conversation policies as units specified by dialogue games whose moves are expressed in terms of actions that agents apply to commitments and arguments*. Indeed, the paper presents three results: 1- A new formal language for specifying a persuasion dialogue as a combination of conversation policies. 2- A termination proof of the dialogue based on a tableau method [10]. 3- An implementation of the specification using an agent oriented and logical programming.

The paper is organized as follows. In Section 2, we introduce the main ideas of our approach based on commitments and arguments. In Section 3 we address the specification of our persuasion protocol based on this approach. We present the protocol form, the specification of each dialogue game and the protocol dynamics. We also present our termination proof. In Section 4 we describe the implementation of a prototype allowing us to illustrate how the specification of dialogue games is implemented. In Section 5 we compare our protocol to related work. Finally, in Section 6 we draw some conclusions and we identify some directions for future work.

## 2 Commitment and Argument Approach

### 2.1 Social Commitments

A social commitment  $SC$  is a public commitment made by an agent (the *debtor*), that some fact is true or that something will be done. This commitment is directed toward a set of agents (*creditors*) [8]. A commitment is an obligation in the sense that the debtor must respect and behave in accordance with this commitment. A representation



In our framework, we distinguish between arguments that an agent has (private arguments) and arguments that this agent used in its conversation (public arguments). Thus, we use the notation:  $S = Create\_Support(Ag_1, SC(Ag_1, Ag_2, p))$  to indicate the set of commitments  $S$  created by agent  $Ag_1$  to support the content of  $SC(Ag_1, Ag_2, p)$ . This support relation is transitive i.e.:

$$\begin{aligned} (SC(Ag_1, Ag_2, p_2) \in Create\_Support(Ag, SC(Ag_1, Ag_2, p_1))) \\ \wedge SC(Ag_1, Ag_2, p_1) \in Create\_Support(Ag, SC(Ag_1, Ag_2, p_0))) \\ SC(Ag_1, Ag_2, p_2) \in Create\_Support(Ag, SC(Ag_1, Ag_2, p_0)) \end{aligned}$$

Other details about our commitment and argument approach are described in [4]. Surely, an argumentation system is essential to help agents to act on commitments and on their contents. However, reasoning on other social attitudes should be taken into account in order to explain the agents' decisions. In our persuasion protocol we use the agents' trustworthiness to decide, in some cases, about the acceptance of arguments [6].

### 3 Conversation Policies for Persuasion Dialogue

#### 3.1 Protocol Form

Our persuasion protocol is specified as a set of conversation policies. In order to be flexible, these policies are defined as initiative/reactive dialogue games. In accordance with our approach, the game moves are considered as actions that agents apply to commitments and to their contents. A dialogue game is specified as follows:

$$Action\_Ag_1 \xrightarrow{Cond} Action\_Ag_2$$

This specification indicates that if an agent  $Ag_1$  performs the action  $Action\_Ag_1$ , and that the condition  $Cond$  is satisfied, then the interlocutor  $Ag_2$  will perform the action  $Action\_Ag_2$ . The condition  $Cond$  is expressed in terms of the possibility of generating an argument from the agent's argumentation system and in terms of the interlocutor's trustworthiness. We use the notation:  $p \Delta Arg\_Sys(Ag_1)$  to denote the fact that a propositional formula  $p$  can be generated from the argumentation system of  $Ag_1$  denoted  $Arg\_Sys(Ag_1)$ . The formula  $\neg(p \Delta Arg\_Sys(Ag_1))$  indicates the fact that  $p$  cannot be generated from  $Ag_1$ 's argumentation system. A propositional formula  $p$  can be generated from an agent's argumentation system, if this agent can find an argument that supports  $p$ . To simplify the formalism, we use the notation  $Act'(Ag_x, SC(Ag_i, Ag_j, p))$  to indicate the action that agent  $Ag_x$  performs on the commitment  $SC(Ag_i, Ag_j, p)$  or on its content ( $Act' \in \{Create, Withdraw, Accept, Challenge, Refuse\}$ ). For the actions related to the argumentation relations, we write  $Act-Arg(Ag_x, [SC(Ag_n, Ag_m, q), SC(Ag_i, Ag_j, p)])$ . This notation indicates that  $Ag_x$  defends (resp. attacks or justifies) the content of  $SC(Ag_i, Ag_j, p)$  by the content of  $SC(Ag_n, Ag_m, q)$  ( $Act-Arg \in \{Defend, Attack, Justify\}$ ). The commitment that is written between square brackets [ ] is the support of the argument. In a general way, we use the notation  $Act'(Ag_x, S)$  to indicate the action that  $Ag_x$  performs on the set of commitments  $S$  or on the contents of these commitments, and the notation  $Act-Arg(Ag_x, [S], SC(Ag_i, Ag_j, p))$



to indicate the argumentation-related action that  $Ag_x$  performs on the content of  $SC(Ag_i, Ag_j, p)$  using the contents of  $S$  as support. We also introduce the notation  $Act-Arg(Ag_x, [S], S')$  to indicate that  $Ag_x$  performs an argumentation-related action on the contents of a set of commitments  $S'$  using the contents of  $S$  as supports.

We distinguish two types of dialogue games: *entry game* and *chaining games*. The entry game allows the two agents to *open* the persuasion dialogue. The chaining games make it possible to construct the conversation. The protocol terminates when the exit conditions are satisfied (Figure 1).

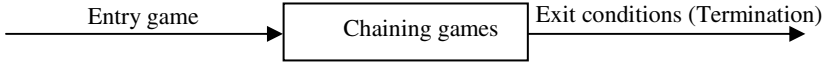
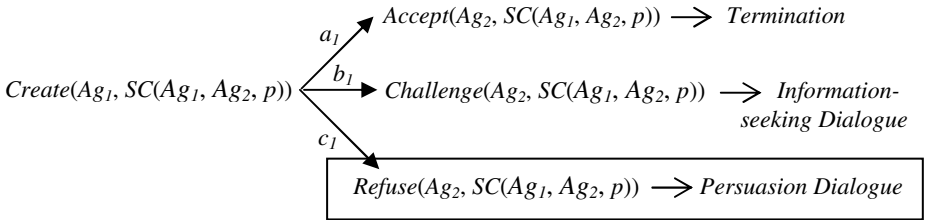


Fig. 1. The general form of the protocol

### 3.2 Dialogue Games Specification

#### A Entry Game

The conversational policy that describes the entry conditions in our persuasion protocol about a propositional formula  $p$  is described by the entry dialogue game as follows (*Specification 1*):



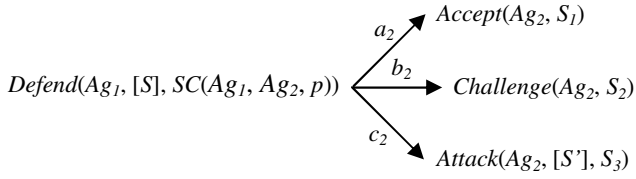
where  $a_1$ ,  $b_1$  and  $c_1$  are three conditions specified as follows:

$$\begin{aligned}
 a_1 &= p \triangle Arg\_Sys(Ag_2) \\
 b_1 &= \neg(p \triangle Arg\_Sys(Ag_2)) \wedge \neg(\neg p \triangle Arg\_Sys(Ag_1)) \\
 c_1 &= \neg p \triangle Arg\_Sys(Ag_2)
 \end{aligned}$$

If  $Ag_2$  has an argument for  $p$  then it accepts  $p$  (the content of  $SC(Ag_1, Ag_2, p)$ ) and the conversation terminates as soon as it begins (Condition  $a_1$ ). If  $Ag_2$  has neither an argument for  $p$  nor for  $\neg p$ , then it challenges  $p$  and the two agents open an information-seeking dialogue (condition  $b_1$ ). The persuasion dialogue starts when  $Ag_2$  refuses  $p$  because it has an argument against  $p$  (condition  $c_1$ ).

#### B Defense Game

Once the two agents opened a persuasion dialogue, the initiator must defend its point of view. Thus, it must play a defense game. Our protocol is specified in such a way that the *persuasion dynamics* starts by playing a defense game. We have (*Specification 2*):



where:

$S = \{SC(Ag_1, Ag_2, p_i) / i=0, \dots, n\}$ ,  $p_i$  are propositional formulas.

$\hat{h}_{i=1}^3 S_i = S$ ,  $S_i \hat{\cap} S_j = \emptyset$ ,  $i, j = 1, \dots, 3$  &  $i \neq j$

By definition,  $Defend(Ag_1, [S], SC(Ag_1, Ag_2, p))$  means that  $Ag_1$  creates  $S$  in order to defend the content of  $SC(Ag_1, Ag_2, p)$ . Formally:

$$Defend(Ag_1, [S], SC(Ag_1, Ag_2, p)) =_{def} (Create(Ag_1, S) \wedge S = Create\_Support(Ag_1, SC(Ag_1, Ag_2, p)))$$

We consider this definition as an *assertional* description of the *Defend* action.

This specification indicates that according to the three conditions ( $a_2$ ,  $b_2$  and  $c_2$ ),  $Ag_2$  can accept a subset  $S_1$  of  $S$ , challenge a subset  $S_2$  and attack a third subset  $S_3$ . Sets  $S_i$  and  $S_j$  are mutually disjoint because  $Ag_2$  cannot, for example, both accept and challenge the same commitment content. *Accept*, *Challenge* and *Attack* a set of commitment contents are defined as follows:

$$Accept(Ag_2, S_1) =_{def} (\forall i, SC(Ag_1, Ag_2, p_i) \in S_1 \quad Accept(Ag_2, SC(Ag_1, Ag_2, p_i)))$$

$$Challenge(Ag_2, S_2) =_{def} (\forall i, SC(Ag_1, Ag_2, p_i) \in S_2 \quad Challenge(Ag_2, SC(Ag_1, Ag_2, p_i)))$$

$$Attack(Ag_2, [S'], S_3) =_{def} \forall i, SC(Ag_1, Ag_2, p_i) \in S_3 \quad \exists S'_j \subseteq S': \\ Attack(Ag_2, [S'_j], SC(Ag_1, Ag_2, p_i))$$

where:  $\hat{h}_{j=0}^m S'_j = S'$ . This indication means that any element of  $S'$  is used to attack one or more elements of  $S_3$ .

The conditions  $a_2$ ,  $b_2$  and  $c_2$  are specified as follows:

$$a_2 = \forall i, SC(Ag_1, Ag_2, p_i) \in S_1 \quad p_i \triangle Arg\_Sys(Ag_2)$$

$$b_2 = \forall i, SC(Ag_1, Ag_2, p_i) \in S_2 \quad (\neg(p_i \triangle Arg\_Sys(Ag_2)) \wedge \neg(\neg p_i \triangle Arg\_Sys(Ag_2)))$$

$$c_2 = \forall i, SC(Ag_1, Ag_2, p_i) \in S_3 \quad \exists S'_j \subseteq S', Content(S'_j) = Support(Ag_2, \neg p_i)$$

where  $Content(S'_j)$  indicates the set of contents of the commitments  $S'_j$ .

## C Challenge Game

The challenge game is specified as follows (*Specification 3*):

$$Challenge(Ag_1, SC(Ag_2, Ag_1, p)) \xrightarrow{a_3} Justify(Ag_2, [S], SC(Ag_2, Ag_1, p))$$

where the condition  $a_3$  is specified as follows:

$$a_3 = (Content(S) = Support(Ag_2, p))$$

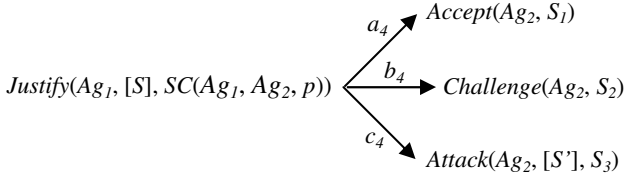
In this game, the condition  $a_3$  is always true. The reason is that in accordance with the commitment semantics, an agent must always be able to defend the commitment it created [5].

**D Justification Game**

For this game we distinguish two cases:

*Case1.*  $SC(Ag_1, Ag_2, p) \notin S$

In this case,  $Ag_1$  justifies the content of its commitment  $SC(Ag_1, Ag_2, p)$  by creating a set of commitments  $S$ . As for the Defend action,  $Ag_2$  can accept, challenge and/or attack a subset of  $S$ . The specification of this game is as follows (*Specification 4*):



where:

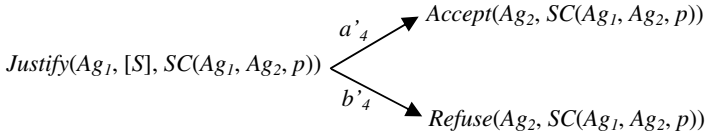
$S = \{SC(Ag_1, Ag_2, p_i) / i=0, \dots, n\}$ ,  $p_i$  are propositional formulas.

$\bigcap_{i=1}^3 S_i = S$ ,  $S_i \cap S_j = \emptyset$ ,  $i, j = 1, \dots, 3$  &  $i \neq j$

$a_4 = a_2$ ,  $b_4 = b_2$ ,  $c_4 = c_2$

*Case2.*  $\{SC(Ag_1, Ag_2, p)\} = S$

In this case, the justification game has the following specification (*Specification 5*):



$Ag_1$  justifies the content of its commitment  $SC(Ag_1, Ag_2, p)$  by itself (i.e. by  $p$ ). This means that  $p$  is part of  $Ag_1$ 's knowledge. Only two moves are possible for  $Ag_2$ : 1) *accept* the content of  $SC(Ag_1, Ag_2, p)$  if  $Ag_1$  is a trustworthy agent for  $Ag_2$  ( $a'4$ ), 2) if not, *refuse* this content ( $b'4$ ).  $Ag_2$  cannot attack this content because it does not have an argument against  $p$ . The reason is that  $Ag_1$  plays a justification game because  $Ag_2$  played a challenge game.

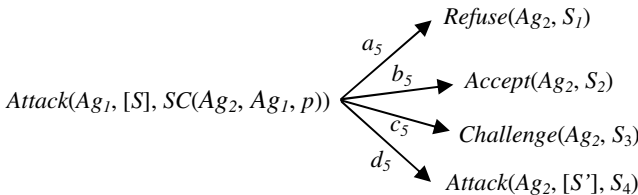
Like the definition of the *Defend* action, we define the *Justify* action as follows:

$$Justify(Ag_1, [S], SC(Ag_1, Ag_2, p)) =_{def} (Create(Ag_1, S) \wedge S = Create\_Support(Ag_1, SC(Ag_1, Ag_2, p)))$$

This means that  $Ag_1$  creates the set  $S$  of commitments to support the commitment  $SC(Ag_1, Ag_2, p)$ .

**E Attack Game**

The attack game is specified as follows (*Specification 6*):



where:

$$S = \{SC(Ag_1, Ag_2, p_i) / i=0, \dots, n\}, p_i \text{ are propositional formulas.}$$

$$\forall_{i=1}^4 S_i = S, Card(S_i)=1, S_i \cap S_j = \emptyset, i, j = 1, \dots, 4 \& i \neq j$$

Formally, the *Attack* action is defined as follows:

$$Attack(Ag_1, [S], SC(Ag_2, Ag_1, p)) =_{def} (Create(Ag_1, SC(Ag_1, Ag_2, \neg p)) \wedge Create(Ag_1, S) \wedge S = Create\_Support(Ag_1, SC(Ag_1, Ag_2, \neg p)))$$

This means that by attacking  $SC(Ag_2, Ag_1, p)$ ,  $Ag_1$  creates the commitment  $SC(Ag_1, Ag_2, \neg p)$  and the set  $S$  to support this commitment.

The conditions  $a_5$ ,  $b_5$ ,  $c_5$  and  $d_5$  are specified as follows:

$$a_5 = \exists i: SC(Ag_2, Ag_1, p_i) \in Create\_Support(Ag_2, SC(Ag_2, Ag_1, \neg q))$$

$$\text{where } S_i = \{SC(Ag_1, Ag_2, q)\}$$

$$b_5 = \forall i, SC(Ag_1, Ag_2, p_i) \in S_2 \quad p_i \triangleleft Arg\_Sys(Ag_2)$$

$$c_5 = \forall i, SC(Ag_1, Ag_2, p_i) \in S_3 \quad (\neg(p_i \triangleleft Arg\_Sys(Ag_2))) \wedge \neg(\neg p_i \triangleleft Arg\_Sys(Ag_2))$$

$$d_5 = \forall i, SC(Ag_1, Ag_2, p_i) \in S_4 \quad \exists S'_j \subseteq S': Content(S'_j) = Support(Ag_2, \neg p_i)$$

$$\wedge \forall k: SC(Ag_2, Ag_1, p_k) \in Create\_Support(Ag_2, SC(Ag_2, Ag_1, \neg p_i))$$

$Ag_2$  refuses  $Ag_1$ 's argument if  $Ag_2$  already attacked this argument. In other words,  $Ag_2$  refuses  $Ag_1$ 's argument if  $Ag_2$  cannot attack this argument since it *already* attacked it, and it cannot accept it or challenge it since it has an argument against this argument. We have only one element in  $S_i$  because we consider a refusal move as an exit condition. The acceptance and the challenge actions of this game are the same as the acceptance and the challenge actions of the defense game. Finally,  $Ag_2$  attacks  $Ag_1$ 's argument if  $Ag_2$  has an argument against  $Ag_1$ 's argument, and if  $Ag_2$  did not attack  $Ag_1$ 's argument before. In  $d_5$ , the universal quantifier means that  $Ag_2$  attacks all  $Ag_1$ 's arguments for which it has an against-argument. The reason is that  $Ag_2$  must act on all commitments created by  $Ag_1$ . The temporal aspect (the past) of  $a_5$  and  $d_5$  is implicitly integrated in  $Create\_Support(Ag_2, SC(Ag_2, Ag_1, \neg q))$  and  $Create\_Support(Ag_2, SC(Ag_2, Ag_1, \neg p_i))$ .

## F Termination

The protocol terminates either by a final acceptance or by a refusal. There is a final acceptance when  $Ag_2$  accepts the content of the initial commitment  $SC(Ag_1, Ag_2, p)$  or when  $Ag_1$  accepts the content of  $SC(Ag_2, Ag_1, \neg p)$ .  $Ag_2$  accepts the content of  $SC(Ag_1, Ag_2, p)$  iff it accepts all the supports of  $SC(Ag_2, Ag_1, p)$ . Formally:

$$Accept(Ag_2, SC(Ag_1, Ag_2, p)) \Leftrightarrow$$

$$[\forall i, SC(Ag_1, Ag_2, p_i) \in Create\_Support(Ag_1, SC(Ag_1, Ag_2, p))]$$

$$Accept(Ag_2, SC(Ag_1, Ag_2, p_i))]$$

The acceptance of the supports of  $SC(Ag_1, Ag_2, p)$  by  $Ag_2$  does not mean that they are accepted directly after their creation by  $Ag_1$ , but it can be accepted after a number of challenge, justification and attack games. When  $Ag_2$  accepts definitively, then it withdraws all commitments whose content was attacked by  $Ag_1$ . Formally:

$$Accept(Ag_2, SC(Ag_1, Ag_2, p)) \quad [\forall i, \forall S, Attack(Ag_1, [S], SC(Ag_2, Ag_1, p_i))]$$

$$Withdraw(Ag_2, SC(Ag_2, Ag_1, p_i))]$$

On the other hand,  $Ag_2$  refuses the content of  $SC(Ag_1, Ag_2, p)$  iff it refuses one of the supports of  $SC(Ag_1, Ag_2, p)$ . Formally:

$$\begin{aligned}
 Refuse(Ag_2, SC(Ag_1, Ag_2, p)) \Leftrightarrow \\
 & [\exists i: SC(Ag_1, Ag_2, p_i) \in Create\_Support(Ag_1, SC(Ag_1, Ag_2, p)) \\
 & \wedge Refuse(Ag_2, SC(Ag_1, Ag_2, p_i))]
 \end{aligned}$$

### 3.3 Protocol Dynamics

The persuasion dynamics is described by the chaining of a finite set of dialogue games: acceptance move, refusal move, defense, challenge, attack and justification games. These games can be combined in a sequential and parallel way (Figure 2).

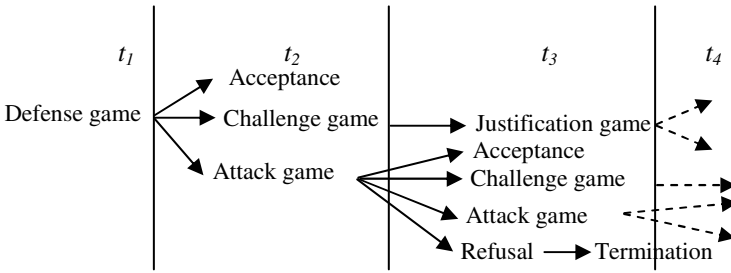


Fig. 2. The persuasion dialogue dynamics

After  $Ag_1$ 's defense game at moment  $t_1$ ,  $Ag_2$  can, at moment  $t_2$ , accept a part of the arguments presented by  $Ag_1$ , challenge another part, and/or attack a third part. These games are played in parallel. At moment  $t_3$ ,  $Ag_1$  answers the challenge game by playing a justification game and answers the attack game by playing an acceptance move, a challenge game, another attack game, and/or a final refusal move. The persuasion dynamics continues until the exit conditions become satisfied (final acceptance or a refusal). From our specifications, it follows that our protocol plays the role of the dialectical proof theory of the argumentation system.

Indeed, our persuasion protocol can be described by the following BNF grammar:

*Persuasion protocol* : *Defense game* ~ *Dialogue games*  
*Dialogue games* : (*Acceptance move*  
// (*Challenge game* ~ *Justification game* ~ *Dialogue games*)  
// (*Attack game* ~ *Dialogue games*)  
| *refusal move*

where: “~” is the sequencing symbol, “//” is the possible parallelization symbol. Two games *Game1* and *Game 2* are possibly parallel (i.e. *Game1* // *Game2*) iff an agent can play the two games in parallel or only one game (*Game1* or *Game2*).

### 3.4 Termination Proof

*Theorem.* *The protocol dynamics always terminates.*

*Proof.* To prove this theorem, we use a tableau method [10]. The idea is to formalize our specifications as tableau rules and then to prove the finiteness of the tableau. Tableau rules are written in such a way that premises appear above conclusions. Using a tableau method means that the specifications are conducted in a top-down fashion. For example, specification 2 (p 3.2 ) can be expressed by the following rules:

$$R1: \frac{Defend(Ag_1, [S], SC(p))}{Accept(Ag_2, S_1)} \quad R2: \frac{Defend(Ag_1, [S], SC(p))}{Challenge(Ag_2, S_1)}$$

$$R3: \frac{Defend(Ag_1, [S], SC(p))}{Attack(Ag_2, [S'], S_1)}$$

We denote the formulas of our specifications by  $\sigma$ , and we define  $E$  the set of  $\sigma$ . We define an ordering  $\hat{h}$  on  $E$  and we prove that  $\hat{h}$  has no infinite ascending chains. Intuitively, this relation is to hold between  $\sigma_1$  and  $\sigma_2$  if it is possible that  $\sigma_1$  is an ancestor of  $\sigma_2$  in some tableau. Before defining this ordering, we introduce some notations:  $Act^*(Ag, [S], S')$  with  $Act^* \in \{Act', Act-Arg\}$  is a formula. We notice that formulas in which there is no support  $[S]$ , can be written as follows:  $Act^*(Ag, [\emptyset], S')$ .  $\sigma[S] \rightarrow_R \sigma[S']$  indicates that the tableau rule  $R$  has the formula  $\sigma[S]$  as premise and the formula  $\sigma[S']$  as conclusion, with  $\sigma[S] = Act^*(Ag, [S], S')$ . The size  $|S|$  is the number of commitments in  $S$ .

**Definition 4.** Let  $\sigma[S_i]$  be a formula and  $E$  the set of  $\sigma[S_i]$ . The ordering  $\hat{h}$  on  $E$  is defined as follows. We have  $\sigma[S_0] \hat{h} \sigma[S_i]$  if:

$$|S_i| < |S_0| \text{ or}$$

For all rules  $R_i$  such that  $\sigma[S_0] \rightarrow_{R_0} \sigma[S_1] \rightarrow_{R_1} \sigma[S_2] \dots \rightarrow_{R_n} \sigma[S_n]$  we have  $|S_n| = 0$ .

Intuitively, in order to prove that a tableau system is finite, we need to prove the following:

1- if  $\sigma[S_0] \rightarrow_R \sigma[S_i]$  then  $\sigma[S_0] \hat{h} \sigma[S_i]$ .

2-  $\hat{h}$  has no infinite ascending chains (i.e. the inverse of  $\hat{h}$  is well-founded).

Property 1 reflects the fact that applying tableau rules results in shorter formulas, and property 2 means that this process has a limit. The proof of 1 proceeds by a case analysis on  $R$ . Most cases are straightforward. We consider here the case of  $R3$ . For this rule we have two cases. If  $|S_i| < |S_0|$ , then  $\sigma[S_0] \hat{h} \sigma[S_i]$ . If  $|S_i| \geq |S_0|$ , the rules corresponding to the attack specification can be applied. The three first rules are straightforward since  $S_2 = \emptyset$ . For the last rule, we have the same situation that  $R3$ . Suppose that there is no path in the tableau  $\sigma[S_0] \rightarrow_{R_0} \sigma[S_1] \rightarrow_{R_1} \sigma[S_2] \dots \rightarrow_{R_n} \sigma[S_n]$  such that  $|S_n| = 0$ . This means that i) the number of arguments that agents have is infinite or that ii) one or several arguments are used several times. However, situation i is not possible because the agents' knowledge bases are finite sets, and situation ii is not allowed in our protocol.

Because the definition of  $\hat{h}$  is based on the size of formulas and since  $|S_0| \in N (< \infty)$  and  $<$  is well-founded in  $N$ , it follows that there is no infinite ascending chains of the form  $\sigma[S_0] \hat{h} \sigma[S_i] \dots$

## 4 Implementation

In this section we describe the implementation of the different dialogue games using the *Jack<sup>TM</sup>* platform [25]. We chose this language for three main reasons:

- 1- It is an agent-oriented language offering a framework for multi-agent system development. This framework can support different agent models.
- 2- It is built on top of and fully integrated with the Java programming language. It includes all components of Java and it offers specific extensions to implement agents' behaviors.
- 3- It supports logical variables and cursors. These features are particularly helpful when querying the state of an agent's beliefs. Their semantics is mid-way between logic programming languages with the addition of type checking Java style and embedded SQL.

### 4.1 General Architecture

Our system consists of two types of agents: conversational agents and trust model agents. These agents are implemented as *Jack<sup>TM</sup>* agents, i.e. they inherit from the basic class *Jack<sup>TM</sup> Agent*. Conversational agents are agents that take part in the persuasion dialogue. Trust model agents are agents that can inform an agent about the trustworthiness of another agent.

According to the specification of the justification game, an agent  $Ag_2$  can play an acceptance or a refusal move according to whether it considers that its interlocutor  $Ag_1$  is trustworthy or not. If  $Ag_1$  is unknown for  $Ag_2$ ,  $Ag_2$  can ask agents that it considers trustworthy for it to offer a trustworthiness assessment of  $Ag_1$ . From the received answers,  $Ag_2$  can build a *trustworthiness graph* and measure the trustworthiness of  $Ag_1$ . This trustworthiness model is described in detail in [6].

### 4.2 Implementation of the Dialogue Games

To be able to take part in a persuasion dialogue, agents must possess knowledge bases that contain arguments. In our system, these knowledge bases are implemented as *Jack<sup>TM</sup> beliefsets*. *Beliefsets* are used to maintain an agent's beliefs about the world. These beliefs are represented in a first order logic and tuple-based relational model. The logical consistency of the beliefs contained in a *beliefset* is automatically maintained. The advantage of using *beliefsets* over normal Java data structures is that *beliefsets* have been specifically designed to work within the agent-oriented paradigm.

Our knowledge bases (KBs) contain two types of information: arguments and beliefs. Arguments have the form (*[Support]*, *Conclusion*), where *Support* is a set of propositional formulas and *Conclusion* is a propositional formula. Beliefs have the form (*[Belief]*, *Belief*) i.e. *Support* and *Conclusion* are identical. The meaning of the propositional formulas (i.e. the ontology) is recorded in a *beliefset* whose access is shared between the two agents.

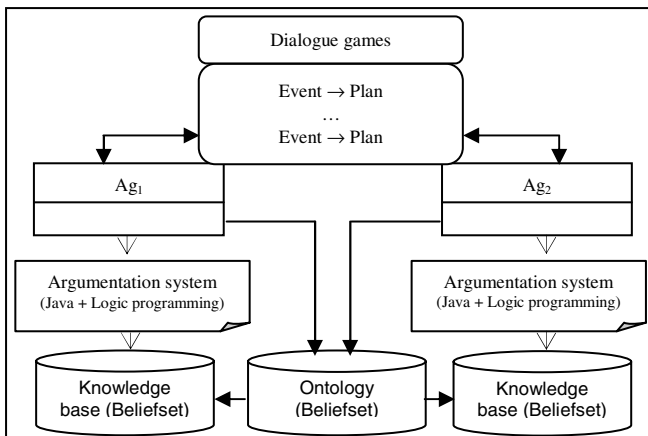
To open a dialogue game, an agent uses its argumentation system. The argumentation system allows this agent to seek in its knowledge base an argument for a given conclusion or for its negation (“*against argument*”). For example, before

creating a commitment  $SC(Ag_1, Ag_2, p)$ , agent  $Ag_1$  must find an argument for  $p$ . This enables us to respect the commitment semantics by making sure that agents can always defend the content of their commitments.

Agent communication is done by sending and receiving messages. These messages are *events* that extend the basic *Jack<sup>TM</sup> event: MessageEvent* class. *MessageEvents* represent events that are used to communicate with other agents. Whenever an agent needs to send a message to another agent, this information is packaged and sent as a *MessageEvent*. A *MessageEvent* can be sent using the primitive: *Send(Destination, Message)*. In our protocol, *Message* represents the action that an agent applies to a commitment or to its content, for example: *Create(Ag<sub>1</sub>, SC(Ag<sub>1</sub>, Ag<sub>2</sub>, p))*, etc.

Our dialogue games are implemented as a set of *events (MessageEvents)* and *plans*. A plan describes a sequence of actions that an agent can perform when an event occurs. Whenever an event is posted and an agent chooses a task to handle it, the first thing the agent does is to try to find a plan to handle the event. Plans are methods describing what an agent should do when a given event occurs.

Each dialogue game corresponds to an event and a plan. These games are not implemented within the agents' program, but as event classes and plan classes that are external to agents. Thus, each conversational agent can instantiate these classes. An agent  $Ag_1$  starts a dialogue game by generating an event and by sending it to its interlocutor  $Ag_2$ .  $Ag_2$  executes the plan corresponding to the received event and answers by generating another event and by sending it to  $Ag_1$ . Consequently, the two agents can communicate by using the same protocol since they can instantiate the same classes representing the events and the plans. For example, the event *Event\_Attack\_Commitment* and the plan *Plan\_ev\_Attack\_commitment* implement the defense game. The architecture of our conversational agents is illustrated in Figure 3.



**Fig. 3.** The architecture of conversational agents

To start the entry game, an agent (initiator) chooses a goal that it tries to achieve. This goal is to persuade its interlocutor that a given propositional formula is true. For this reason, we use a particular event: *BDI Event (Belief-Desire-Intention)*. BDI



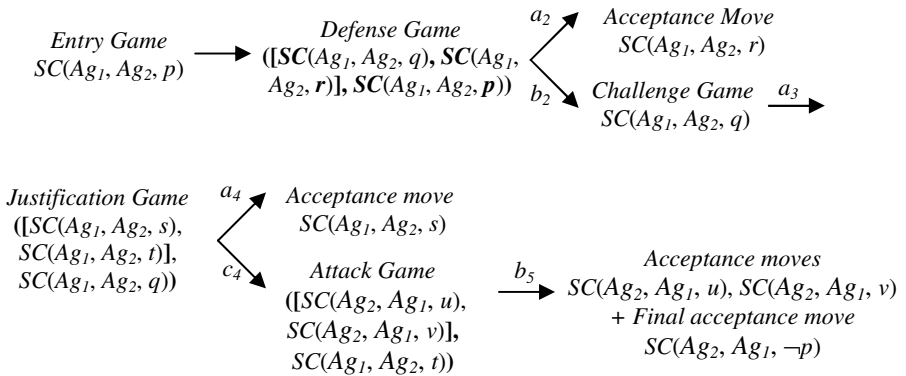
events model goal-directed behavior in agents, rather than plan-directed behavior. What is important is the desired outcome, not the method chosen to achieve it. This type of events allows an agent to pursue long term goals.

### 4.3 Example

In this section we present a simple example dialogue that illustrates some notions presented in this paper.

Ag<sub>1</sub>: Newspapers can publish information I (*p*).  
 Ag<sub>2</sub>: I don't agree with you.  
 Ag<sub>1</sub>: They can publish information I because it is not private (*q*), and any public information can be published (*r*).  
 Ag<sub>2</sub>: Why is information I public?  
 Ag<sub>1</sub>: Because it concerns a Minister (*s*), and information concerning a Minister are public (*t*).  
 Ag<sub>2</sub>: Information concerning a Minister is not necessarily public, because information I is about the health of Minister (*u*), and information about the health remains private (*v*).  
 Ag<sub>1</sub>: I accept your argument.

This example was also studied in [2] in a context of strategical considerations for argumentative agents. The letters on the left of the utterances are the propositional formulas that represent the propositional contents. Agent *Ag<sub>1</sub>*'s KB contains: (*[q, r]*, *p*), (*[s, t]*, *q*) and (*[u]*, *u*). Agent *Ag<sub>2</sub>*'s KB contains: (*[¬t]*, *¬p*), (*[u, v]*, *¬t*), (*[u]*, *u*) and (*[v]*, *v*). The combination of the dialogue games that allows us to describe the persuasion dialogue dynamics is as follows:



*Ag<sub>1</sub>* creates *SC(Ag<sub>1</sub>, Ag<sub>2</sub>, p)* to achieve the goal of persuading *Ag<sub>2</sub>* that *p* is true. *Ag<sub>1</sub>* can create this commitment because it has an argument for *p*. *Ag<sub>2</sub>* refuses *SC(Ag<sub>1</sub>, Ag<sub>2</sub>, p)* because it has an argument against *p*. Thus, the entry game is played and the persuasion dialogue is opened. *Ag<sub>1</sub>* defends *SC(Ag<sub>1</sub>, Ag<sub>2</sub>, p)* by creating *SC(Ag<sub>1</sub>, Ag<sub>2</sub>, q)* and *SC(Ag<sub>1</sub>, Ag<sub>2</sub>, r)*. *Ag<sub>2</sub>* accepts *SC(Ag<sub>1</sub>, Ag<sub>2</sub>, r)* because it has an argument for *r* and challenges *SC(Ag<sub>1</sub>, Ag<sub>2</sub>, q)* because it has no argument for *q* or against *q*. *Ag<sub>1</sub>*

plays a justification game to justify  $SC(Ag_1, Ag_2, q)$  by creating  $SC(Ag_1, Ag_2, s)$  and  $SC(Ag_1, Ag_2, t)$ .  $Ag_2$  accepts the content of  $SC(Ag_1, Ag_2, s)$  and attacks the content of  $SC(Ag_1, Ag_2, t)$  by creating  $SC(Ag_2, Ag_1, u)$  and  $SC(Ag_2, Ag_1, v)$ . Finally,  $Ag_1$  plays acceptance moves because it has an argument for  $u$  and it does not have arguments against  $v$  and the dialogue terminates. Indeed, before accepting  $v$ ,  $Ag_1$  challenges it and  $Ag_2$  defends it by itself (i.e.  $([SC(Ag_2, Ag_1, v), SC(Ag_2, Ag_1, v)])$ ). Then,  $Ag_1$  accepts this argument because it considers  $Ag_2$  trustworthy. This notion of agent trust and its role as an acceptance criteria of arguments are detailed in [6].  $Ag_1$  updates its KB by removing the attacked argument and including the new argument. Figure 4 illustrates the screen shot of this example generated by our prototype. In this figure commitments are described only by their contents and the identifiers of the two agents are the two first arguments of the exchanged communicative actions. The contents are specified using a predicate language that the two agents share (the ontology).



Fig. 4. The example screen shot

## 5 Related Work

In this section, we compare our protocol with some proposals that have been put forward in two domains: dialogue modeling and commitment based protocols.

**1- *Dialogue modeling.*** In [1] and [22] Amgoud, Parsons and their colleagues studied argumentation-based dialogues. They proposed a set of atomic protocols which can be combined. These protocols are described as a set of dialogue moves using Walton and Krabbe's classification and formal dialectics. In these protocols, agents can argue about the truth of propositions. Agents can communicate both propositional statements and arguments about these statements. These protocols have the advantage of taking into account the capacity of agents to reason as well as their attitudes (confident, careful, etc.). In addition, Prakken [23] proposed a framework for protocols for dynamic disputes, i.e., disputes in which the available information can change during the conversation. This framework is based on a logic of defeasible argumentation and is formulated for dialectical proof theories. Soundness and completeness of these protocols have also been studied. In the same direction, Brewka [7] developed a formal model for argumentation processes that combines nonmonotonic logic with protocols for dispute. Brewka pays more attention to the speech act aspects of disputes and he formalizes dispositional protocols in situation calculus. Such a logical formalization of protocols allows him to define protocols in which the legality of a move can be disputed. Semantically, Amgoud, Parsons, Prakken and Brewka's approaches use a defeasible logic. Therefore, it is difficult, if not impossible, to formally verify the proposed protocols.

There are many differences between our protocol and the protocols proposed in the domain of dialogue modeling: 1. Our protocol uses not only an argumentative approach, but also a public one. Locutions are formalized not as agents' private attitudes (beliefs, intentions, etc.), but as social commitments. In opposition of private mental attitudes, social commitments can be verified. 2. Our protocol is based on a combination of dialogue games instead of simple dialogue moves. Using our dialogue game specifications enables us to specify the entry and the exit conditions more clearly. In addition, computationally speaking, dialogue games provide a good balance between large protocols that are very rigid and atomic protocols that are very detailed. 3. From a theoretical point of view, Amgoud, Parsons, Prakken and Brewka's protocols use moves from formal dialectics, whereas our protocol uses actions that agents apply on commitments. These actions capture the speech acts that agents perform when conversing (see Definition 1). The advantage of using these actions is that they enable us to better represent the persuasion dynamics considering that their semantics is defined in an unambiguous way in a temporal and dynamic logic [5]. Specifying protocols in this logic allows us to formally verify these protocols using model checking techniques. 4. Amgoud, Parsons and Prakken's protocols use only three moves: assertion, acceptance and challenge, whereas our protocol uses not only creation, acceptance, refusal and challenge actions, but also attack and defense actions in an explicit way. These argumentation relations allow us to directly illustrate the concept of dispute in this type of protocols. 5. Amgoud, Parsons, Prakken and Brewka use an acceptance criterion directly related to the argumentation system, whereas we use an acceptance criteria for conversational

agents (supports of arguments and trustworthiness). This makes it possible to decrease the computational complexity of the protocol for agent communication.

**2- Commitment-based protocols.** Yolum and Singh [28] developed an approach for specifying protocols in which actions' content is captured through agents' commitments. They provide operations and reasoning rules to capture the evolution of commitments. In a similar way, Fornara and Colombetti [17] proposed a method to define interaction protocols. This method is based on the specification of an interaction diagram (ID) specifying which actions can be performed under given conditions. These approaches allow them to represent the interaction dynamics through the allowed operations. Our protocol is comparable to these protocols because it is also based on commitments. However, it is different in the following respects. The choice of the various operations is explicitly dealt with in our protocol by using argumentation and trustworthiness. In commitment-based protocols, there is no indication about the combination of different protocols. However, this notion is essential in our protocol using dialogue games. Unlike commitment-based protocols, our protocol plays the role of the dialectical proof theory of an argumentation system. This enables us to represent different dialogue types as studied in the philosophy of language. Finally, we provide a termination proof of our protocol whereas this property is not yet studied in classical commitment-based protocols.

## 6 Conclusion and Future Work

The contribution of this paper is the proposition of a logical language for specifying persuasion protocols between agents using an approach based on commitments and arguments. This language has the advantage of expressing the public elements and the reasoning process that allows agents to choose an action among several possible actions. Because our protocol is defined as a set of conversation policies, this protocol has the characteristic to be more flexible than the traditional protocols such as those used in FIPA-ACL. This flexibility results from the fact that these policies can be combined to produce complete and more complex protocols. We formalized these conversation policies as a set of dialogue games, and we described the persuasion dynamics by the combination of five dialogue games. Another contribution of this paper is the tableau-based termination proof of the protocol. We also described the implementation of this protocol. Finally, we presented an example to illustrate the persuasion dynamics by the combination of different dialogue games.

As an extension of this work, we intend to specify other protocols according to Walton and Krabbe's classification [27] using the same framework. Another interesting direction for future work is verifying these protocols using model checking techniques. The method we are investigating is an automata theoretic approach based on a tableau method [10]. This method can be used to verify the temporal and dynamic aspects of our protocol. Finally, we intend to extend our implementation using ideas from the agent programming language 3APL, namely the concept of cognitive agents. An important characteristic of this language that is interesting for us is its dynamic logic semantics [26] because our protocol is based on an action theory and the semantics of our approach is also based on dynamic logic [5].

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# Verifying Protocol Conformance for Logic-Based Communicating Agents<sup>\*</sup>

Mauro Baldoni, Chiara Baroglio, Alberto Martelli,  
Vincenzo Palmieri, and Carlo Schifaone

Dipartimento di Informatica — Università degli Studi di Torino,  
C.so Svizzera, 185 — I-10149 Torino (Italy)  
{baldoni,baroglio,mrt,patti,schi}@di.unito.it

**Abstract.** Communication plays a fundamental role in multi-agents systems. One of the main issues in the design of agent interaction protocols is the verification that a given protocol implementation is “conformant” w.r.t. the abstract specification of it. In this work we tackle those aspects of the conformance verification issue, that regard the dependence/independence of conformance from the agent private state in the case of logic, individual agents, set in a multi-agent framework. We do this by working on a specific agent programming language, DyLOG, and by focussing on interaction protocol specifications described by AUML sequence diagrams. By showing how AUML sequence diagrams can be translated into regular grammars and, then, by interpreting the problem of conformance as a problem of language inclusion, we describe a method for automatically verifying a form of “structural” conformance; such a process is shown to be decidable and an upper bound of its complexity is given. We also give a set of properties that describes the influence of the agent private information on the conformance of its communication policies to protocol specifications.

## 1 Introduction

Multi-agent systems (MAS) feature heterogeneous components, distributed over the network, which interact according to a set of protocols. In this paper we consider the problem of verifying the conformance of a MAS. Following the ideas of [21], formalizing the conformance problem as a language inclusion problem. The goal is to verify if a given MAS implementation conforms to an abstract protocol.

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*definition* ... he igi a requirements, de id f... he a a i ha e, ha i h de b d. Thi e i ca i, f e ca ed validation test, i ca d e b ea f de chec i g ech i e. A di e e be i he e ha e face i hi, hich a e, if if a gi e *implementation*, hich i a age i e ac i, e ec a gi e *abstract protocol definition*. Thi be i a *conformance testing*. Me e, i ce he e ci c i e e a i<sup>1</sup> a e he age i a e i f a i ( he age i a e ), e.g. f, decidi g hich e a ce a ic a e, e f, he e i ha a i e i: hich e e d e he age i e a a e i e ce he c f a ce f a i e e a i a gi e c e ci ca i? I deed, de e di g he e f e he age i *internal state*, di e e e ec i c d cc, a f he bei g c, ec he e ci ca i. Me e i he ca e i hich he e c e f he c e a i i c i ch ha i i b d d ce c ec c e a i, c f a ce i i e ced a a b he age i e a a e ( e i di c hi i e i Sec 1. 3).

I hi e e ac e he be f c f a ce e i ca i f e ci c a g age e e Age UML (AUML f h e ci ed i [27]) a he i e ac i c e ci ca i a g age a d DyLOG [3, 5] a he c e a i i c i e e a i a g age. I he i e a e e ca, ac a, d a f a ech i e f c e ci ca i. A e ha i e i i c de i e a e a a a [6, 24], e i e [23, 11], e a a g ic [15, 16] a d UML ba ed a g age. A he e a a e c e bei g di e a d de i i e a d a d e e ged e. The e a f ch i g AUML i ha, de i e i e i c e e f a e a i c (a a f a e a i c ba ed e i e ca be f d i [10]), hi a g age be e e a a d a age i i ba ed he ide ead a d e UML a d a d, i i i i e a d e a e, he e a e g a h i ca ed i f he ge e a i f c de, a d AUML e e ce diag a ha e bee ad ed b FIPA e e e age i e ac i c. O he he ha d, DyLOG i a g ic a g age f g a i g age, ba ed e a i g ab ac i a d cha ge i a da f a e, ha a he i c i f a e f c e a i i c i e, i he e ci ca i f a e. The a g age e f a e a i c a ach, he e ech ac a e e e e d a a i c i i h ec di i a d e c he e ec e a a e. I a he e ci ca i f *individual agents*, i a ed i a i age c e, each ha i g a e a e f he d. The e f a de c a a i e a g age i he f be ca e i a he f f e i e f he *specific implementation* i a a gh f a d a. I a i c a, a a g age ha e i c i e e e a d e he age i e a a e i e f f i g hich e e ce a i e i e de e d he age e a a e he e a i c f he eech ac. F i a ce, i e e e f h he i ca e a i g ab he e ec f c e a i he age e a a e, i de d c e a i a hich a e e d e ec he i e e ed c, achi e i g a he a e i e e de i ed g a. The DyLOG a g age i b i e i d ced i Sec 1. 2, f a h gh de c i i f i ee [5].

<sup>1</sup> In Java, in a logic language, etc.



O g a f, h i , , 1, h e , , , d , d e , h i c h c , d i , , a D y L O G  
 1 , e e a 1 , c a , b e d e c a , e d a b e i g c , f , a , a A U M L , e c i c a 1 , ,  
 T h i a 1 , e 1 1 , d c e d i e , e e e , f a b , a c 1 , , , h e a g e  
 , e a , a e b , d e , i g h , e e d e g e e , f c , f , a c e ( a g e n t c o n f o r m a n c e , a g e n t  
 s t r o n g c o n f o r m a n c e , a d p r o t o c o l c o n f o r m a n c e ) . W e 1 d e c i b e h e i , e a 1 , ,  
 a d , b 1 e , e i g h e , b e , f c , f , a c e , e i c a 1 , a a , b e , f  
 1 c , 1 , f a c , e - f e e a g a g e ( C F L ) 1 , a , e g a , a g a g e , e 1 h  
 a , e h d f , a , a i c a , e , i f i g h e , , g e , d e g e e , f c , f , a c e ; a  
 , e , b , d , 1 , c , e 1 1 a , g i e . W h e h i , i d f c , f , a c e  
 h d , h e 1 , e e e d , i c , e e c , h e , e c i c a 1 , , h a e e , h e a 1 , a  
 e e c , f h e , e e c h a c , a e , h a e e , h e a g e , e a , a e 1 .

A a a , b e , a 1 , a b , A U M L , , e a h , , h , , , , c ,  
 , a i d a 1 , , c , i c i e h e c h i c e , f h i f , a 1 , b e c a e 1 , a c , f a f , a  
 , e a i c , a e 1 d i c , , a i d a e h e d e i g e d , , c , , , h e , i g -  
 1 a , e c i c a 1 , . T h e a , , c , i c i e h e c h i c e , f a , e a 1 i c a , , a c h ( a  
 , e a F I P A ) b e c a e a h e e e f , , c , a i d a 1 , h i a , a c h h a , h  
 , e e a , a , . T h e d i a 1 f a c 1 , , h e , e a 1 i c a , , a c h 1 , , d e ,  
 h e d i c , f e , i f i g h a a a g e , a c , a c c , d i g , a c , , a g e e d  
 , e a i c , b e c a e 1 1 , , , i b e , h a e a c c e , h e a g e , , i a e , e -  
 a , a e [ 3 0 ] , a , b e , , a s e m a n t i c s v e r i f i c a t i o n . S e a h , h a e  
 , e d a s o c i a l a p p r o a c h , a g e , c , , i c a 1 , [ 2 9 ] , h e e c , , i c a 1 e  
 a c 1 , , a e c , h e , c i a , a e f h e , e , a h e , h a h e 1 e , a , a e  
 f h e a g e , . T h e c i a , a e , e c , d h e , c i a f a c , , 1 e h e p e r m i s s i o n s a d  
 h e c o m m i t m e n t s f h e a g e , , h i c h a e c e a e d a d , d i e d a , g h e 1 -  
 e a c 1 , . T h e c i a a , a c h , e c , e h e e a i c , e i c a 1 , , b e b  
 e , , i g a e f e a b i h e d c , , 1 e , b e e e h e a g e , , h a a e , e d  
 a a , f h e M A S , c i a , a e . I h i f a e , , 1 1 i b e , f , a , , e  
 h e c , e c , e , f b i c 1 e a c 1 , , , c , , i h e e c , h e , e c i c a 1 , ,  
 , c , 1 g f , h e a a 1 h a e ; c h , , f c a , b e b a i e d , f , 1 , a c e , b  
 , e f , d e c h e c 1 g e c h 1 e [ 2 2 , 2 5 , 2 8 , 3 0 , 1 8 , 7 ] ( b , , , e g . , [ 9 ] ) .

N e e , h e e , A U M L 1 b e i g e d , , e a d , e f e , 1 M A S d e e , -  
 , e , b e c a e 1 1 1 1 1 e f , d e i g e , h a h a e a b a c g , d 1 U M L a d  
 1 h e b e c - , i e e d a , a c h , a d f , h i , e a , 1 h a a a e a f , h e d e -  
 , e , f a g e , e e 1 h e 1 d , , d . M e e , h e d e e , i g h e  
 1 g e a g e , , b e i d e , e i f i g h a h e a g e , e e c , h e , c i a c , , 1 e , ,  
 1 1 1 , , a , , d , e i e f h e 1 , e e a 1 , , a d , 1 a i c a , ,  
 , d e , a d i f a d , h i c h e e , c h , e , i e d e e d , h e a g e , , 1 e , a  
 , a e ( 1 h e c a e f c , , i c a 1 , , , h e e a i c , f h e , e e c h a c ) .

### 2 Specification of Communication in DyLOG

DyLOG [5] 1 a high-e e , g i c , , g a , , i g a g a g e f , , d e i g , a 1 , a  
 a g e , , b a e d , , a , d a , g i c f a c 1 , , a d , e a a 1 d e h e e , d a -  
 1 i e a e , e d f , e e e , i g a c 1 , , a e a b e i e f h a a e 1 h e a g e ,  
 , e a , a e . I a c c , , b , h f , a , , i c a d c , , e a c 1 , , , , c e d , e , f ,

... ecif 1 g he age beha 1 . A DyLOG age ca be ... ided 1 h a *communi- cation kit* ha ... ec1 e 1 c ... ica 1 e beha 1 , [3], de ed 1 e ... f 1 e - ac 1 ... c ... , i.e. c ... e a 1 ... icie ha b 1 d ... FIPA-1 e ... eech ac ... The c ... ica 1 ... he ... 1 a h ... ge e ... c ... e ... f he ge e a age ... he ... ; 1 a ic a , b h he c ... e a 1 a ... icie , ha g ide he age ... c ... ica 1 e beha 1 , a d he ... he ... icie , de 1 g he age ... beha 1 , a e e e e ed b ... ced e de 1 1 ... (e ... e e ed b *axiom schema*). DyLOG age ... ca ... ea ... ab ... he 1 c ... ica 1 e beha 1 , a ... e 1 g ... e 1 e 1 e g 1 e a ... c a d a e f de ide a a , 1 he e a c ... e a 1 , ha ... e ec ... he ... c , h 1 ch a ... a 1 e he de 1 ed c d 1 ... he ... a ... e a ... a e ? .

**2.1 The DyLOG Language in Brief**

I DyLOG a ... ic ac 1 ... a e e 1 he ... d ac 1 ... , a ec 1 g he ... d ... e a ac 1 ... , i.e. e 1 g ... c ... ica 1 e ac 1 ... h 1 ch ... a ec he age ... be 1 ef . The e ... fa ... ic ac 1 ... c ... 1 ... f he e  $\mathcal{A}$  f he ... d ac 1 ... , he e  $\mathcal{C}$  f c ... ica 1 e ac 1 , a d he e  $\mathcal{S}$  f e 1 g ac 1 ... F ... each a ... ic ac 1 ... a a d age  $ag_i$  e 1 ... d ce he ... da 1 1 e  $[a^{ag_i}]$  a d  $\langle a^{ag_i} \rangle$ .  $[a^{ag_i}] \alpha$  ... ha  $\alpha$  h d a f e e e e ec 1 ... f ac 1 ... a b age  $ag_i$ ;  $\langle a^{ag_i} \rangle \alpha$  ... ea ... ha he e 1 a ... ib e e ec 1 ... f a (b  $ag_i$ ) a f e ... h 1 ch a h d . We ... e he ... da 1  $\square$  ... de ... e *laws*, i.e. f ... a ha h d a a (a f e e e ac 1 ... e e ce). O ... f ... a 1 a 1 ... f c ... e ac 1 ... d a ... c ... ide ab ... f ... d a ic g 1 c f ... he de 1 1 ... f ac 1 ... e a ... 1 e e e ce , e a d ... -de e ... 1 1 ic ch ice . H ... e e , d 1 e e ... ha [26] , e e fe ... a *Prolog-like* a ad 1 g : ... ced e a e de ed b ... ea ... f ( ... ib ... ec ... 1 e) P ... g -1 e c a e . F ... each ... ced e p , he a g age c ... a 1 ... he ... 1 e a a d e 1 e 1 a ... da 1 1 e  $[p]$  a d  $\langle p \rangle$ . The e a ... a e f a age 1 de c 1 b d a c ... 1 e ... e f *belief formulas* (e ca 1 *belief state*). We ... e he ... da ... e a ...  $\mathcal{B}^{ag_i}$  ... de he be 1 ef f age  $ag_i$ . The ... da 1  $\mathcal{M}^{ag_i}$  1 de ed a he d a f  $\mathcal{B}^{ag_i}$  a d ... ea ... ha  $ag_i$  c ... ide  $\varphi$  ... ib e . A ... e a ... e c ... a 1 ... ha  $ag_i$  (d 1) be 1 e e ab ... he ... d a d ab ... he ... he age ... (e ed be 1 ef a e e e ed f ... ea ... 1 g ... h ... he age ... be 1 ef ca be a ec ed b c ... ica 1 e ac 1 ... ). F ... a ... 1 1 a c ... e e a d c ... 1 e ... e f a ... 1 a d 2 be 1 ef ... , he e a *belief fluent*  $F$  1 a be 1 ef ... a  $\mathcal{B}^{ag_i} L$  ... 1 ... e g a 1 ...  $L$  de ... e a *belief argument*, i.e. a *fluent literal*  $l$  ( $f$  ...  $\neg f$ ) ... a be 1 ef ... e f a ... 1 ( $\mathcal{B}l$  ...  $\neg \mathcal{B}l$ ).

A ... he ... da 1 1 e f he a g age a e ... a ;  $\square 1$  ... e e 1 e a d ... a ... 1 1 e , 1 ... 1 e ac 1 ... 1 h ac 1 ... da 1 1 e 1 ... ed b  $\square \varphi \supset [a^{ag_i}] \varphi$ . The e 1 e 1 c ... da 1  $\mathcal{B}^{ag_i}$  1 e 1 a , a ... 1 1 e a d e c 1 e a . A ... - ... ic ... 1 ... he ... e 1 e c ... be 1 g 1 e , h 1 ch c ... 1 ... 1 a 1 1 1 g a ... 1 ... ab ... e ... a f e ... he e ec 1 ... f ac 1 ... e e ce , ba ed ... a abd c 1 e f a e ... .

**2.2 The Communication Kit in Brief**

The *behavior* f a age  $ag_i$  1 ... ec 1 ed b a d ... a 1 de c 1 1 ... , h 1 ch 1 c de , be 1 de a ... ec 1 ca 1 ... f he age *belief state*: (1) *action and precondition laws* f ... de c 1 b 1 g he a ... ic ... d ac 1 ... 1 ... e ... f he 1 ... ec ... d 1 ... a d he 1

a ec... he age... e a... ae, (ii) *sensing axioms* f... de c... ibi g a... ic... e... i g ac... , (iii) *procedure axioms* f... de c... ibi g c... e beha... , (i) a *communication kit* ha de c... ibe... he age... c... ... ica... e beha... b... ea... ff... he a... .. a d a... f he... d... e... ed ab... e. I fac a *communication kit* c... .. f (i') a... e... f ac... .. a d... ec... di... .. a... .. de... i g a... ede... ed... e... f... .. i... e... eech ac... he age... ca... e... f... /... ec... g... i... e (ii') a... e... f... e... i g a... .. f... de... i g... ecia... e... i g ac... .. f... ge... i g... e... i... f... a... .. b... e... e... a... c... .. ica... .. (iii') a... e... f... ced... e a... .. f... ec... i f... g... i... e... ac... .. c... .. hich ca... be... ee... a... a... ib... a... f... c... .. e... a... .. ica... .. icie... he age... ca... f... .. he... e... g... ag... i... g... a... .. i... h... he... .

*Interaction Protocols* a... e... e... ed a... .. ced... e... ha... b... id... .. i... di... id... a... .. eech ac... .. a d... ec... i f... c... .. e... a... .. ica... .. icie... f... g... id... i g... he age... c... .. ica... .. e beha... . The a... e... e... ed b... *axiom schema* f... .. :

$$\langle p_0 \rangle \varphi \subset \langle p_1; p_2; \dots; p_m \rangle \varphi \tag{1}$$

$p_0$  i... a... .. ced... e... a... e a d... he  $p_i$ ' ( $i = 1, \dots, m$ ) a... e... ei... he... .. ced... e... a... e... , a... .. ic ac... .. .. e... ac... .. (ac... .. f... he f... ..  $Fs?$ , he... e  $Fs$  i... a... be... i... e... c... .. c... .. i... .. he... ?... e... a... .. c... .. e... .. d... .. chec... i g... he... a... e... f... a... .. e... c... .. c... .. i... .. he... c... .. e... .. a... e... h... e... he... ;... i... he... e... e... c... i... g... e... a... .. f... d... .. a... ic... g... ic. Si... ce each age... ha... a... b... ec... i... e... e... ce... .. i... .. f... he... c... .. ica... .. .. i... h... .. he... age... .. , g... e... a... .. c... .. ec... i... ca... .. e... e... ec... .. ha... e... a... .. a... .. ced... .. a... .. e... e... e... a... .. a... .. he... .. .. i... b... e... .. e... i... .. he... c... .. e... a... .. .

The a... .. i... che... a... .. ed... .. de... .. e... .. ced... e... ha... e... he... f... .. f... *inclusion axioms*, hich... e... e... he... b... ec... .. f... .. e... i... .. .. [4, 2], i... .. hich... he... ca... .. f... .. i... .. da... .. g... ic, cha... ac... e... i... ed b... a... .. .. ha... ha... e... he... ge... e... a... .. f... ..  $\langle s_1 \rangle \dots \langle s_m \rangle \varphi \subset \langle t_1 \rangle \dots \langle t_n \rangle \varphi$ , he... e...  $\langle s_i \rangle$  a d...  $\langle t_i \rangle$  a... e... .. da... .. e... a... .. .. ha... be... e... a... .. ed. The e... a... .. .. ha... e... i... e... e... i g... c... .. .. a... .. a... .. .. e... .. i... e... be... ca... .. be... c... .. id... e... ed a... *rewriting rules*. I... [14] hi... .. i... d... f... a... .. .. i... .. ed... f... de... .. i g... *grammar logics* a d... .. e... e... a... .. .. be... ee... f... .. a... a... g... age... a d... .. ch... .. g... ic... a... e... a... .. ed.

A... .. eech ac... .. c... ..  $\mathcal{C}$  ha... f... .. *speech\_act*( $ag_s, ag_r, l$ ), he... e...  $ag_s$  (e... de... ..) a d...  $ag_r$  (e... ce... .. e... ..) a... e... age... .. a d...  $l$  i... he... e... e... age... c... .. e... .. E... ec... .. a d... .. ec... .. di... .. .. a... .. e... .. de... ed b... a... e... .. f... e... ec... .. a d... .. ec... .. di... .. .. a... .. I... .. a... .. ic... .. a... .. , *effects*... ..  $agi'$  be... i... e... f... a... ac... .. c... .. a... e... .. e... ed b... *action laws* f... .. :

$$\Box(\mathcal{B}^{agi} L_1 \wedge \dots \wedge \mathcal{B}^{agi} L_n \supset [c^{agi}] \mathcal{B}^{agi} L_0) \tag{2}$$

$$\Box(\mathcal{M}^{agi} L_1 \wedge \dots \wedge \mathcal{M}^{agi} L_n \supset [c^{agi}] \mathcal{M}^{agi} L_0) \tag{3}$$

La (2)... ea... .. ha... .. , af... e... .. a... .. e... .. e... .. ce... .. f... .. ac... .. i... .. (□), if... he... e... .. f... .. e... .. i... e... a... ..  $L_1 \wedge \dots \wedge L_n$  (e... .. e... .. e... .. i g... he... .. ec... .. di... .. .. f... he... ac... .. i... .. c... ..) i... .. be... i... e... ed b...  $agi$  he... .. , af... e... .. he... e... ec... .. i... .. f...  $c, L_0$  (he... e... ec... .. f...  $c$ ) i... .. a... .. be... i... e... ed b...  $agi$ . N... .. ice... .. ha... .. .. e... .. e... .. e... .. a... .. .. f... .. eech ac... .. .. de... .. .. he... d... .. a... .. ic... .. f... he... .. e... .. a... .. .. a... .. e... .. f... he... age... .. he... e... a... .. e... .. e... .. i g... .. E... ec... .. i g... a... .. eech ac... .. a... .. ca... .. e... .. a... .. age... .. .. ha... e... .. e... .. be... i... e... f... (i... .. .. e... .. a... .. a... .. e... ..), ha... a... e... a... .. .. i... .. .. .. ha... he... .. he... .. be... i... e... b... .. he... age... .. ca... .. .. be... .. e... .. ha... he... .. he... .. ac... .. a... .. ha... e... .. h... .. e... .. be... i... e... f... .. La (3)... a... .. e... .. ha... he... .. he... .. ec... .. di... .. .. f... c... .. a... .. e... .. .. ..  $agi$ , af... .. he... e... ec... .. i... .. f...  $c, i$ ... .. i... .. c... .. .. de... .. .. .. .. a... .. .. i... .. e... ec... .. *Precondition laws*

... ecif . e . a . c . d i . . . h a . . a e a . a c i . . i C e e c a b e i . a . a e . The  
h a e f . . . :

$$\Box(\mathcal{B}^{ag_i} L_1 \wedge \dots \wedge \mathcal{B}^{ag_i} L_n \supset \langle c^{ag_i} \rangle \top) \quad (4)$$

$ag_i$  ca . e . e c . e c . h e . i . . . e c . d i . . . e . . a e i .  $ag_i$ ' b e r e f . a e .

*Get message actions* a e f . . . a i e d a . e . i g a c i . . . , i . e . . . e d g e . . . -  
d c i g a c i . . . h . e . . . c . e . c a . . . b e . e d i c e d b e f . e . h e e c . i . . . I f a c . ,  
f . . . h e . e . e c i e f . h e i d i d a g e . , e . e c i g a . e . a g e c . . . e . d  
. . . e . f . a . e . e . a i . . . , h . i . i . a . . . a . . . h i . . . f i a a . e c i a c a e  
. . . f . e . i g . A *get\_message* a c i . . i d e . e d b . . . h e *inclusion axiom schema*:

$$[\text{get\_message}(ag_i, ag_j, l)]\varphi \equiv \left[ \bigcup_{\text{speech\_act} \in C_{\text{get\_message}}} \text{speech\_act}(ag_j, ag_i, l) \right] \varphi \quad (5)$$

I n t h e ,  $C_{\text{get\_message}}$  i a . . . i e . e . f . e e c h a c . , h i c h a e a . h e . . . i b e  
c . . . . i c a i . . . h a  $ag_i$  c . d e . e c f . . .  $ag_j$  i . h e c . e . . f a g u e . c . -  
e . a i . . . H e c e , h e i f . . . a i . . . h a c a b e b a i e d i c a c a e d b . . . i g  
a . h e e c . . f . h e . e e c h a c . i .  $C_{\text{get\_message}}$  . . .  $ag_i$ ' . e . a . a e .

A a . . . a e c . . . e . , i . h e . e . a i . . . a i . . . e . e a i . . . f . h e a g a g e , (1) i  
h a d e d a . a . e . . i i g . e . F . . . a d e c a a i e . e . a i c . . . i . . . f i e , h e . e  
i a . a i . . . c h e a f . h e . g i c , h e c e i . f . . . I n t h e , h e e . f f . . . a . f  
i d (2), (3) a d (4) d e . e . h e . h e . . . , h i e h . e . f f . . . (1) a d (5) d e . e . h e  
c h a . a c e i . i c . f . h e . . . i . . . d a . . . g i c i . . . h i c h h e f . . . a . a e i . e . . e d .

*Example 1.* The f . . . i g . . . e d . e a i . . . e . e e . a i . . . e e . a i . . . f . h e  
. . . c . i Fig. 1 a h e c . . . e . a i . . . i c h a h e c . . . e . a g e . (cus).  
. . . e f . . . i . e a c i g . i h h e e . i c e . . . i d e . (sp). A i . . . i . . . e . e i g h e . e  
. . . b . . . c . f . . . . S i c e h e A U M L . . . c . c . a i . . . . e . , h e c . . . e .  
a d h e . . . i d e . , h e i . . . e . a i . . . . c . a i . . . . i e . a . e b f .  
b e i . . . e . . . . h e i e f . h e c . . . e . (get\_cinema\_ticket<sub>C</sub>). S i a  
f . h e b . . . c . f . . . e . i g i f . . . a i . . . : yes\_no\_query<sub>Q</sub> i . . . e . e . h e . e  
f . h e . e . i e . a d yes\_no\_query<sub>I</sub> h e . e . f . h e . e . . d e .<sup>2</sup>.

- (a)  $\langle \text{get\_cinema\_ticket}_C(cus, sp, movie) \rangle \varphi \subset$   
 $\langle \text{yes\_no\_query}_Q(cus, sp, available(movie));$   
 $\mathcal{B}^{cus} available(movie)?; \text{get\_info}(cus, sp, cinema(c));$   
 $\text{yes\_no\_query}_I(cus, sp, pay\_by(credit\_card));$   
 $\mathcal{B}^{cus} pay\_by(credit\_card)?; \text{inform}(cus, sp, cc\_number);$   
 $\text{get\_info}(cus, sp, booked(movie)) \rangle \varphi$
- (b)  $\langle \text{get\_cinema\_ticket}_C(cus, sp, movie) \rangle \varphi \subset$   
 $\langle \text{yes\_no\_query}_Q(cus, sp, available(movie)); \mathcal{B}^{cus} available(movie)? ;$   
 $\text{get\_info}(cus, sp, cinema(c));$   
 $\text{yes\_no\_query}_I(cus, sp, pay\_by(credit\_card)); \neg \mathcal{B}^{cus} pay\_by(credit\_card)? \rangle \varphi$

<sup>2</sup> The subscripts next to the protocols names are a writing convention for representing the role that the agent plays:  $Q$  stands for *querier*,  $I$  stands for *informer*,  $C$  for *customer*.

- (c)  $\langle \text{get\_cinema\_ticket}_C(cus, sp, movie) \rangle \varphi \subset$   
 $\langle \text{yes\_no\_query}_Q(cus, sp, available(movie)); \neg \mathcal{B}^{cus} available(movie)? \rangle \varphi$
- (d)  $[\text{get\_info}(cus, sp, Fluent)] \varphi \equiv [\text{inform}(sp, cus, Fluent)] \varphi$

Per il caso  $\text{get\_cinema\_ticket}_C$  si ha la seguente situazione: age.  $cus$  begins the interaction. After checking if he is entitled to the information he asks the  $\text{yes\_no\_query}_Q$  of the cinema, in order to find out if the  $(\text{get\_info})$  function is available (sp) about which cinema he is in. Then, he asks the information about the cinema and begins the  $\text{yes\_no\_query}_I$  of the cinema. If he asks if the cinema is available he can decide to be a direct customer of the cinema or to go to the cinema (b) in order to see the cinema, or to call the cinema (c) in order to see the cinema which he is interested in; case (d) describes  $\text{get\_info}$ , which is a  $\text{get\_message}$  action. In the following the  $\text{get\_answer}$  and  $\text{get\_start}$  deontic modal actions are defined as follows: (5): he might have decided to  $\text{get\_answer}$ , or he might have decided to be a customer of  $cus$  from  $sp$  about  $Fluent$ , or he might have decided to be  $\text{yes\_no\_query}_Q$ .

- (e)  $\langle \text{yes\_no\_query}_Q(cus, sp, Fluent) \rangle \varphi \subset$   
 $\langle \text{queryIf}(cus, sp, Fluent); \text{get\_answer}(cus, sp, Fluent) \rangle \varphi$
- (f)  $[\text{get\_answer}(cus, sp, Fluent)] \varphi \equiv [\text{inform}(sp, cus, Fluent) \cup$   
 $\text{inform}(sp, cus, \neg Fluent) \cup \text{refuseInform}(sp, cus, Fluent)] \varphi$
- (g)  $\langle \text{yes\_no\_query}_I(cus, sp, Fluent) \rangle \varphi \subset \langle \text{get\_start}(cus, sp, Fluent);$   
 $\mathcal{B}^{cus} Fluent?; \text{inform}(cus, sp, Fluent) \rangle \varphi$
- (h)  $\langle \text{yes\_no\_query}_I(cus, sp, Fluent) \rangle \varphi \subset \langle \text{get\_start}(cus, sp, Fluent);$   
 $\mathcal{B}^{cus} \neg Fluent?; \text{inform}(cus, sp, \neg Fluent) \rangle \varphi$
- (i)  $\langle \text{yes\_no\_query}_I(cus, sp, Fluent) \rangle \varphi \subset \langle \text{get\_start}(cus, sp, Fluent);$   
 $\mathcal{U}^{cus} Fluent?; \text{refuseInform}(cus, sp, Fluent) \rangle \varphi$
- (j)  $[\text{get\_start}(cus, sp, Fluent)] \varphi \equiv [\text{queryIf}(sp, cus, Fluent)] \varphi$

Given a set  $\Pi_C$  of actions and a set  $\Pi_{Sget}$  of actions, we define the age.  $agi$ 's initial belief set, a set  $\Pi_{Sget}$  of actions, the set  $\Pi_C$  of actions, and a set  $\Pi_{CP}$  of actions, we define the  $CKit^{agi}$  the communication kit of age.  $agi$ , having the set  $(\Pi_C, \Pi_{CP}, \Pi_{Sget})$ .

A domain description (DD) of age.  $agi$ , is a set  $(\Pi, CKit^{agi}, S_0)$ , where  $CKit^{agi}$  is a set of actions,  $S_0$  is the initial belief set of  $agi$ , and  $\Pi$  is a set of actions  $(\Pi_A, \Pi_S, \Pi_P)$ , where  $\Pi_A$  is the set of actions of age.  $agi$ ,  $\Pi_S$  is a set of actions of age.  $agi$ , and  $\Pi_P$  is a set of actions of age.  $agi$ , has the set of actions  $(\Pi_C, \Pi_{CP}, \Pi_{Sget})$ .

From a DD with the set of actions of age.  $agi$ , we can define the set of actions of age.  $agi$ , a planning action can be triggered by existential queries of the form  $\langle p_1 \rangle \langle p_2 \rangle \dots \langle p_m \rangle Fs$ , where each  $p_k$  ( $k = 1, \dots, m$ ) is a belief set of age.  $agi$ , (a set of actions of age.  $agi$ , or a set of actions of age.  $agi$ ), executed by age.  $agi$ , or a set of actions of age.  $agi$ , has the set  $CKit^{agi}$ . In [3] we

<sup>3</sup> By the word *external* we denote a speech act in which our agent plays the role of the receiver.

... e e ed a g a -d i e c e d ... f ... c e d ... e f ... h e a g a g e b a e d ... e g a i ... a f a r ... e (NAF) h i c h a ... e ... f f ...  $\langle p_1 \rangle \langle p_2 \rangle \dots \langle p_m \rangle F s$  ... b e ... e d f ... a g i e d ... a i d e c i ... i ... a d e ... a a ... e a a c i ... e e c e . A ... e ... f h e f ...  $\langle p_1 ; p_2 ; \dots ; p_n \rangle F s$ , h e e  $p_i, 1 \leq i \leq n (n \geq 0)$ , i e i h e a ... d a c i ... , ... a e ... i g a c i ... , ... a ... c e d ... e a e ... a e ... c c e e d i f i ... i b e ... e e c e  $p_1, p_2, \dots, p_n$  (i h e ... d e ) . a i g f ... h e c ... e ... a e , i ... c h a ... a h a  $F s$  h d a h e e ... i g ... a e . I g e e a , e i ... e e d ... e a b i h i f a g a h d a a g i e ... a e . H e c e , e i ... i e :

$$a_1, \dots, a_m \vdash \langle p_1 ; p_2 ; \dots ; p_n \rangle F s \text{ i h a } \dots e ( \dots a ) \sigma$$

... e a h a h e e ...  $\langle p_1 ; p_2 ; \dots ; p_n \rangle F s$ , i.e.  $\langle p_1 \rangle \langle p_2 \rangle \dots \langle p_n \rangle F s$ , c a b e ... e d f ... h e D D  $(H, CKit^{agi}, S_0)$  a h e a e  $a_1, \dots, a_m$  i h a ... e  $\sigma$ , h e e  $\sigma$  i a a c i ... e e c e  $a_1, \dots, a_m, \dots a_{m+k}$  h i c h ... e e e ... h e a e e ... i g b b e e c i g  $p_1, \dots, p_n$  i h e c ... e ... a e  $a_1, \dots, a_m$ .  $\varepsilon$  d e ... e h e i i i a ... a e .

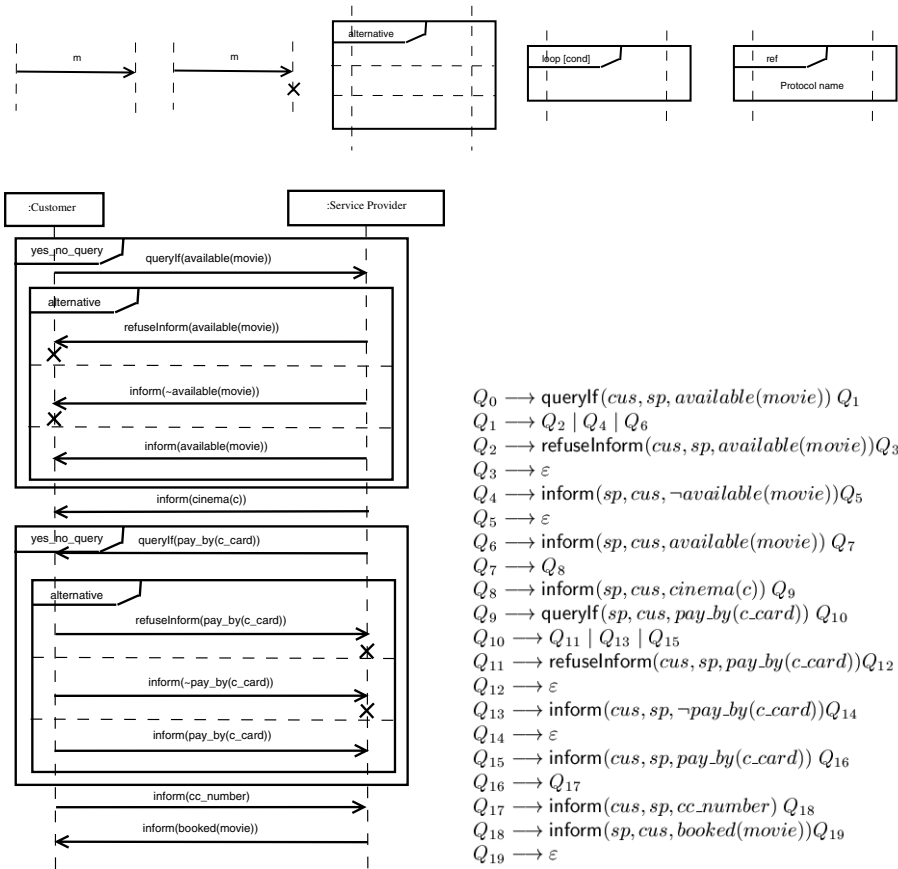
### 3 Protocol Conformance

I AUML a ... c ... i ... e c i e d b ... e a ... f ... e e c e d i a g a ... [27], h i c h ... d e h e i e a c i ... a ... g h e a ... i c i a ... a ... e a g e e c h a n g e , a ... a g e d i ... i e e e c e . T h e e ... i c a ( i e ) d i e ... i ... e c i e h e a ... e a g e i e ... (e e c e d), h e h ... i ... a d i e ... i e ... e e h e a ... i c i a ... a d h e r ... e . T h e c ... e ... a [17], e ... i c h e h e e f ... i b e ... e a ... o f h e a g a g e ; a ... i c a ... i e e i g i h e ... i b i i ... f ... e e e i g ... , c a ... b ... c ... , a d e ... i ... . G e e a ... e a i g , g r e a ... c ... i ... e e a i ... i ... d b e i c e ... h a e a ... e a f ... a ... a i c a ... e i f i g i . *conformance* ... h e d e i e d AUML ... e c i c a i ... . T h e e c h i e h a ... e f ... c ... i ... i ... i g h i ... b e ... i ... a ... b e ... f f ... a a g a g e i c ... i ... . T h i h a i , g r e a ... e e e c e d i a g a ... , e d e ... e a f ... a g a ... a h i c h g e e a e a a g a g e , h a i h e e f a h e c ... e a i ... a ... e d b h e d i a g a ... i e f . T h e a g ... i h ... e d ... h i ... e ... e i d e c i b e d i S e c ... 3.1 . O ... h e h e h a d , g r e a ... D LOG ... e e a i ... f a ... c ... , e d e ... e a a g a g e h a i c ... a e d ... h e ... e i ... b a i e d ... e i f h e a g a g e b a i e d f ... h e i ... e e a i ... i ... i c d e d i h e ... e b a i e d f ... h e e e c e d i a g a ... e c ... c d e h a a ... e ... f c ... f ... a c e h d . W e , a c ... a ... , d e ... e h e e d e g r e e ... f c ... f ... a c e (*agent conformance*, *agent strong conformance*, a d *protocol conformance*), c h a a c e - i e d b d i e e ... e e ... f a b ... a c i ... f ... h e a g e ... i a e ... e a ... a e , h i c h c ... e ... d ... d i e e ... a ... f e ... a c i g h e a g a g e f ... h e i ... e e a i ... . T h e e d e ... i i ... a ... e ... d e ... e h i c h a ... f a ... c ... i ... e e a i ... ... h e ... e c i c a i ... a d ... d e c i b e i a ... d a ... a h ... h e i ... e e - a i ... c a b e e ... i c h e d i h e e c ... h e ... e c i c a i ... , i h ... c ... i ... i g h e c ... f ... a c e . S c h a e ... i c h e ... i i ... , a ... h e ... i g ... g i c a g e ... , h a ... , ... h i ... i c a e d f ... f ... f ... e a ... i g .

### 3.1 Turning an AUML Sequence Diagram into a Linear Grammar

The following hierarchy describes a general AUML sequence diagram, as defined in [17], using the notation of [20], using a grammar  $G = (V, T, P, S)$ , where  $V$  is a set of variables,  $T$  a set of terminals,  $P$  a set of productions, and  $S$  the start symbol.  $L(G)$  is the language generated by  $G$ , i.e. the set of strings in  $T^*$  that can be generated by  $G$ , starting from  $S$ , by a sequence of productions  $P$ .

On the left, the sequence diagram is shown. On the right, the corresponding production rules are listed. The diagram is a sequence diagram between a Customer and a Service Provider. The diagram shows two interactions: one for querying movie availability and another for querying payment options. The production rules on the right map the diagram's structure to a formal grammar, using states  $Q_i$  to represent the flow of the protocol.



**Fig. 1.** On top a set of AUML operators is shown. Below, on the left the sequence diagram, representing the interaction protocol between a cinema booking service and a customer, is reported with its corresponding production rules





... e ca ... e ha a ... he c ... e, a i ... d ced b ... he i ... e e, a i ... be ... g ... he a g age ge e, a ed b ... he g a ... a i ... hich he ... eci ca i ... ca be ... a ... a ed ( ee Fig. 1), he ... he i ... e e, a i ... ca be c ... ide ed c ... f ... a ...

### 3.2 Three Degrees of Conformance

We ha e h ... h AUML e e e ce diag a ... ca be a a a ed i ... eg a ... g a ... a . B i e ... e i g he ... be ... f c ... f ... a ce a a ... be ... f f ... a ... a g age i c ... i ... , e ... i de c i b e a ... e h d f ... a ... a i ca ... e i f i g he ... ge ... f he h ee deg ee ... f c ... f ... a ce ( ... c ... c ... f ... a ce). The e - i ca i ... f ... c ... c ... f ... a ce i h ... be decidab e a d a ... e, b ... d ... f i ... c ... e i ... i g i e .

**Definition 1 (Agent conformance).** *Let  $D = (\Pi, \text{CKit}^{agi}, S_0)$  be a domain description,  $p_{dylog} \in \text{CKit}^{agi}$  be an implementation of the interaction protocol  $p_{AUML}$  defined by means of an AUML sequence diagram. Moreover, let us define the set*

$$\Sigma(S_0) = \{\sigma \mid (\Pi, \text{CKit}^{agi}, S_0) \vdash \langle p_{dylog} \rangle \top \text{ w. a. } \sigma\}$$

*We say that the agent described by means of  $D$  is c ... f ... a ... he e e e ce diag a  $p_{AUML}$  if and only if*

$$\Sigma(S_0) \subseteq L(G_{p_{AUML}}) \tag{6}$$

I ... he ... d , he age c ... f ... a ce ... e, h d i f e ca ... e ha e e, c ... e, a i ... , ha i a i ... a ce f he ... c ... i ... e e ed i ... a g age (a e e c ... i ... ace f  $p_{dylog}$ ), i a e ga c ... e, a i ... acc, d i g ... he g a ... a ha ... e e e ... he AUML e e e ce diag a  $p_{AUML}$ ; ha i ... a ha c ... e, a i ... i a ... ge e, a ed b ... he g a ... a  $G_{p_{AUML}}$ .

The age c ... f ... a ce de e d ... he i i i a ... a e  $S_0$ . D i e e ... i i i a ... a e ca de e ... i e d i e e ... i b e c ... e, a i ... (e e c ... i ... ace). O e ca de ... e a ... i ... f age c ... f ... a ce ha i i i de e de f ... he i i i a ... a e.

**Definition 2 (Agent strong conformance).** *Let  $D = (\Pi, \text{CKit}^{agi}, S_0)$  be a domain description, let  $p_{dylog} \in \text{CKit}^{agi}$  be an implementation of the interaction protocol  $p_{AUML}$  defined by means of an AUML sequence diagram. Moreover, let us define the set*

$$\Sigma = \bigcup_S \Sigma(S)$$

*where  $S$  ranges over all possible initial states. We say that the agent described by means of  $D$  is ... g c ... f ... a ... he e e e ce diag a  $p_{AUML}$  if and only if*

$$\Sigma \subseteq L(G_{p_{AUML}}) \tag{7}$$

The age ... g c ... f ... a ce ... e, h d i f e ca ... e ha e e, c ... e, a i ... f ... e e ... i b e i i a ... a e i a e ga c ... e, a i ... I i i b i ... b de ... i i ... ha age ... g c ... f ... a ce (7) i ... ie age c ... f ... a ce (6).

Age . . . g c . f . a c e , d i e e . . . h a g e . c . f . a c e , d e . . . d e . e d . . . h e i i i a . a e b . . . i d e e d . . . h e e . f . e e c h a c . d e . e d . . . C K i t <sup>ag<sub>i</sub></sup> . I f a c , a e e c . . . a c e σ i b i . a i g i . a c c . . . e a c i . . . a d h e e . a . i c . f . h e . e e c h a c . ( d e . e d b e e c a b i . . . e c . d i . . . a . . . a d a c i . . . a . . . ) .

A . . . g e . . . i . . . f c . f . a c e . h . d e . i e h a a D y L O G i . e e . a i . i c . f . a . . . a A U M L . e e c e d i a g a . . . i n d e p e n d e n t l y f r o m t h e s e m a n t i c s o f t h e s p e e c h a c t s . I . . . h e . . . d . e . . . d i e . . . d i e . . . e a . . . . . f . . . c . a . c . f . a c e . f . h e i . . . e e e d . . . c . . . . . h e c . e . . . d i g A U M L . e e c e d i a g a . I . . . d e . . . d . h i . . . e d e . e a f . . . a g a . a . f . . . h e D y L O G i . e e a i . . . f a c . e . a i . . . . . c . I . . . h i . . . c e . . . h e a . i c a f . . . f a i . . . , a e i n c l u s i o n a x i o m , . . . e d . . . d e . e . . . c . c a e i . a D y L O G i . e e a i . . . c . e . . . h e . . . .

**Algorithm 2 (Generating  $G_{p_{dylog}}$ )** G i e a d . a i d e c i . i . (  $\Pi$  , C K i t <sup>ag<sub>i</sub></sup> , S<sub>0</sub> ) a d a c . . e . a i . . . . . c . p \_ { d y l o g } \in C K i t <sup>ag<sub>i</sub></sup> = (  $\Pi_C$  ,  $\Pi_{CP}$  ,  $\Pi_{Sget}$  ) , e d e . e . h e g a . . . a G \_ { p \_ { d y l o g } } = ( T , V , P , S ) , h e e :

- T 1 h e e . f a . e . . . h a d e . e . h e . e e c h a c . i .  $\Pi_C$  ;
- V 1 h e e . f a . h e e . . . h a d e . e a c . . e . a i . . . . . c . . . . . a g e . . . e . a g e a c i . . . i .  $\Pi_{CP}$  . . .  $\Pi_{Sget}$  ;
- P 1 h e e . f . . . d c i . . . . . e . f . h e . f . . . p\_0 \longrightarrow p\_1 p\_2 \dots p\_n h e e \langle p\_0 \rangle \varphi \subset \langle p\_1 ; p\_2 ; \dots ; p\_n \rangle \varphi 1 a . a . i . . . h a d e . e e i h e a c . . . e . a i . . . . . c . ( h a b e . . . g . . .  $\Pi_{CP}$  ) . . . a g e . . . e . a g e a c i . . . ( h a b e . . . g . . .  $\Pi_{Sget}$  ) . N e h a , 1 h e a e c a e , e a d d a . . . d c i . . . . . e f . e a c h a e . a i e . e e c h a c i . C \_ { g e t . m e s s a g e } e e ( 5 ) , . . . e . e . , h e e a c i . . . F s ? a e . . . . . e . . . e d i . h e . . . d c i . . . . . e ;
- h e a . . . . . b . S 1 h e . . . . . b . p \_ { d y l o g } .

L e . . . d e . e L ( G \_ { p \_ { d y l o g } } ) a h e a g a g e g e . e a e d b . . . e a . . . f h e g a . . . a G \_ { p \_ { d y l o g } } .

**Proposition 2.** G i v e n a d o m a i n d e s c r i p t i o n (  $\Pi$  , C K i t <sup>ag<sub>i</sub></sup> , S<sub>0</sub> ) a n d a c o n v e r s a t i o n p r o t o c o l p \_ { d y l o g } \in C K i t <sup>ag<sub>i</sub></sup> = (  $\Pi_C$  ,  $\Pi_{CP}$  ,  $\Pi_{Sget}$  ) , L ( G \_ { p \_ { d y l o g } } ) i s a c o n t e x t - f r e e l a n g u a g e .

*Proof.* T h e . . . . . i i . . . f . . . . . f . . . . . h e f a c h a G \_ { p \_ { d y l o g } } 1 a c . . . e - f e e g a . . . a . ( C F G ) .

I . . . i i e , h e a g a g e L ( G \_ { p \_ { d y l o g } } ) , e . e e . . . a h e . . . i b e . e . e c e . f . . . e e c h a c . ( c . . . e . a i . . . ) a . . . e d b h e D y L O G . . . . . c . p \_ { d y l o g } 1 d e . e . d e . . . f . . . h e e . . . i . . . f . h e . e . a . . . a e . f . h e a g e . . . F . . . e a . . . e . c a e ( a ) . f . . . g e t . c i n e m a . t i c k e t \_ C . . . e e . e d i . h e . . . e i . . . . . e c i . . . i . . . e . e e . e d a f . . . . :

```

get_cinema_ticket_C(cus, sp, movie) →
  yes_no_query_Q(cus, sp, available(movie))
  get_info(cus, sp, cinema(c))
  yes_no_query_I(cus, sp, pay_by(credit_card))
  inform(cus, sp, cc_number)
  get_info(cus, sp, booked(movie))
    
```





... ed b addi g he de 1 ed ... g f ... he eech ac ... a d c ... 1 ed  
 i h e ... S ch a 1 ... e e a 1 ... i a ... a 1 e ... c c f ... a ce a d,  
 he , a he he deg ee f c f ... a ce.

The ... be ... f chec 1 g he age ... c f ... a ce ... a ... c 1 a gica  
 f a e ... ha bee faced a ... 1 [12]. I [12] age ... c ... ica 1 ... a egie  
 a d ... c ... eci ca 1 ... a e b h e e e ed b ... ea ... f e ... f *if-then rules*  
 1 a gic-ba ed a g age, hich e ie ... abd c 1 e gic ... g a ... 1 g. A ... 1  
 f ea c f ... a ce 1 1 ... d ced, hich a ... chec if he ... ibe ... e  
 ha a age ... ca ... a e, acc di g ... a gi e c ... ica 1 ... a eg , a e ega  
 ... he ... c ... eci ca 1 . The c f ... a ce e 1 d e b di ega di g  
 a ... c di 1 ... e a ed ... he age ... 1 a e ... edge, hich 1 ... c ... ide ed  
 a ... e e a 1 ... de ... decide ea c f ... a ce. O hi e ec , ... 1  
 f c f ... a ce 1 1 i a ... he ... 1 ... f age ... ea c f ... a ce de c ibed  
 ab e. H e e , ... a ... ach a ... ac e a b , ade ca ... f ... c ... : e  
 a e ... e ic ed ... c ... ha e e ia ... a e a e he dia g e ... e  
 f he age ... F he ... e, hie 1 [12] c f ... a ce a id ... dea 1 h he  
 dia g e h i ... , ... 1 ... f c f ... a ce a e 1 ... acc ... he h e c ... e  
 f h e c ... e a 1 , d e ... he fac ha 1 c ... ide ... e e ce f dia g e ac .  
 Thi ca bed e ha ... he da gic f a e ... , hich a ... a ... a  
 dea 1 h c ... e ...

M e e , e a f a e ... a ... g i e a ... e ... 1 ... f c f ... a ce,  
 f hich e ca di 1 g i h di e e deg ee f ab ac 1 ... i h e ec ... he  
 age ... 1 a e e a ... a e. Thi a ... decide hich a ... f a ... c  
 1 ... e e a 1 ... he ... c ... eci ca 1 ... a d ... de c ibe 1 a ... d -  
 a ... a h ... he ... c 1 ... e e a 1 ... ca bee iched i h e ec ... he  
 ... c ... eci ca 1 , i h ... c ... 1 1 g he c f ... a ce. S ch a e ich-  
 e 1 1 ... a ... he ... 1 g gic age ... , h e ab 1 1 f ea ... 1 g ab ... he  
 ... e ie f he 1 e ac 1 ... a ... g age ... bef e he ac a ... cc ... a be  
 a ... e f ... f ... 1 g MAS de 1 g e ...

S fa e ha e f c ... ed ... he c f ... a ce f he ... icie f a 1 g e age ...  
 a ... c ... eci ca 1 . A c ... e e ea ch 1 e ha e a e ... d 1 g c ... ce ...  
 he c di 1 ... b hich ... 1 ... f c f ... a ce ca be ... ed c ... 1 1 a .  
 I 1 1 e , g i e ... age ... icie ha a e c f ... a ... he a e ... c  
 a d ha e c de he di e e ... e f e ee b 1 , 1 ... d be 1 e e 1 g ... e  
 ha he ac a 1 e ac 1 ... f he ... age ... 1 a ... be c f ... a ...

S e a h ... (e.g. [29]) ha e ... ed a di e e a ... ach ... age ... c ... -  
 ica 1 , he *social* a ... ach , 1 hich c ... ica 1 e ac 1 ... a ec he ... cia  
 ... a e f he ... e , a he ha he 1 e a ... a e f he age ... The cia ... a e  
 ec , d he ... cia fac , 1 e he *permissions* a d he *commitments* f he age ... ,  
 hich a e c ea ed a d ... di ed a ... g he 1 e ac 1 . Di e e a ... a che e -  
 ab e di e e ... e f ... e ie ... be ... ed [19]. F ... 1 a ce he ... e a a -  
 ... ach 1 ... e ... 1 ed f ... he e 1 ca 1 ... f *open* ... 1-age ... e ... , he e  
 he h i ... f c ... ica 1 ... 1 be ab e b ... he 1 e a ... a e f he 1 g e  
 age ... a ... be ac ce ed [29]. The ef e he ... cia a ... ach 1 a e 1 ...  
 ... ch a he ... e 1 [1], he e a ... e ... cie ... f age ... 1 c ... ide ed a d he ... b-

extended logic-based formalism (SCL) is added to the basic logic-based formalism. The extended logic-based formalism is used to model the behavior of the agents in the system.

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# An Application of Global Abduction to an Information Agent Which Modifies a Plan Upon Failure - Preliminary Report -

Kei Satoh

National Institute of Informatics,  
2-1-2 Hitotsubashi, Chiyoda-ku, Tokyo, 101-8430, Japan  
ksatoh@nii.ac.jp

**Abstract.** This paper proposes an implementation of an information agent in a new form of abductive logic programming called *global abduction* [11]. We consider an information agent which performs not only information gathering, but also actions which update the outside world. However, since the success of the actions is not guaranteed, the agent might encounter a failure of some action. In this case, the agent needs to modify an alternative plan with consideration to the side-effects caused by the already-executed actions. In this paper, we solve the problem of such plan modification by using global abduction. Global abduction is a new form of abduction whose abducibles can be referred to in any search path once abduced. This mechanism is used to propagate information about already-executed actions so that we can modify an alternative plan to accommodate side-effects caused by the already-executed actions.

## 1 Introduction

Throughout the Internet, hierarchical structures have become accepted and the related information is abundant. The effective use of information agents has become essential. However, since each information agent is allowed to fail, a global gathering which includes the execution of hierarchical structures becomes a challenge. Although information agents are allowed to fail, side effects caused by already-executed actions should be considered. The global gathering mechanism is useful for such a challenge. In this paper, we describe the global gathering mechanism and the effect of the data on the agent's behavior.

The agent's global gathering mechanism is a new form of the global gathering mechanism. In the global gathering mechanism, the agent achieves a goal, but the cause of the failure has not yet been discovered. The failure of the agent is a result of the failure of the agent's behavior. The



age . . . d c, ea e a . . . a . . . a a . . . a e c . . . a . . . a e a, e e, a i . . . f a  
 igh a d . . . a a h e . . . a e a, e e, a i . . . f . . . he acc . . . da i . . . H e e,  
 . . . e f he e, e e, a i . . . igh fa i c e e d . . . . . he he . . . he e a e a  
 . . . aca cie . . . he igh . . . he h e. Thi . . . ea . . . ha . . . he e, igh be a fa i e  
 . . . f i f . . . a i . . . a i . . . a i . . . ac i i . . . a d he, ef, e, e eed . . . dif he a  
 . . . fa i e. H e e, a ead -e ec ed ac i . . . igh ca e ide-e ec . a d e  
 . . . eed . ca e ab . . . ch ide-e ec . he . . . e c . . . ide, a a e, a i e a .  
 I h i a e, e e e he f . . . i g . . . i a i g e a . . . e:

1. A age . . . i a ed . . . b . . . a g d b . . . he . . . bec f c . . . e . . . i g a  
 c, edi ca, d ca, d1 . . . ca, d2 .
2. We a . . . e ha . . . he ba . . . acc . . . a . . . cia ed i h he c, edi ca, d, igh . . .  
 c . . . ai e . . . gh . . . e . . . b . . . he b . . .
3. The age . . . a e a a . . . hich c . . . i . . . f . . . gg i g-1 . . . a i e, e i g b . . .  
 a d, ea, ch i g f, a b . . . he . . . bec f c . . . e . . ., a d . . . cha i g a c . . .  
 i h a c, edi ca, d.
4. The age . . . g i . . . a i e, e i g b . . . (ca ed a a . . . ) i h a . . . e, ID  
 a . . . cia ed i h a c, edi ca, d.
5. S . . . e ha . . . he age . . . g i a . . . he . . . e, f c, edi ca, d ca, d1 .
6. The age . . . a f, a g d b . . . he . . . bec f c . . . e . . . he a a . . .  
 . . . i e a d he i e, e . . . i f . . . a i . . . ab . . . a b . . . (a ed i . . .).
7. The age . . . ie . . . cha e a c . . . f he b . . . i g he c, edi ca, d ca, d1 .
8. I . . . . . ha . . . he ba . . . acc . . . f . . . ca, d1 ca . . . be . . . ed beca e he  
 acc . . . d e . . . c . . . ai e . . . gh . . . e . . . b . . . he b . . .
9. The age . . . bac . . . ac . . . a e a a e, a i e a . . . . . cha e he b . . . b  
 . . . i g he . . . he c, edi ca, d ca, d2 .
10. H e e, he age . . . ha . . . g-1 i h a . . . e, ID a . . . cia ed i h ca, d2 . We  
 a . . . e ha . . . he . . . e d e . . . a . . . d be gg i g-1 a d . . ., he age . . .  
 . . . g- . . . a d he . . . g-1 . . . a a . . . agai a he . . . e, f ca, d2 .
11. Si ce he age . . . a ead . . . . . ab . . . a g d b . . . c . . . e, ( i . . . ),  
 he age . . . a i d, ea, ch i g f, he b . . . agai .
12. The age . . . di, ec . . . . . ceed . . . . . cha e he b . . . b . . . i g he c, edi ca, d  
 ca, d2 .

The cha, ac e, i c, f a . . . b e . . . c a . . . c . . . ide, ed i h i a e, a e a f . . . :

- The e i a fa i e i . . . he a (a h, i a i . . . f ca, d1) hich c . . . d . . .  
 ha e bee a i c i a ed he . . . he a . . . a . . . e ec ed.
- A age . . . a e ac i . . . i h ide-e ec . (a age . . . g-1 a . . . he . . . e, f  
 ca, d1).
- A age . . . cha ge he . . . a . . . he . . . he fa i e, cc . . .
- A age . . . . . c . . . ide, he ide-e ec . f . . . he a, ead -e ec ed ac i . . .  
 he cha g i g he a (a age . . . g- . . . a he . . . e, f ca, d1 a d he . . .  
 . . . g-1 a a . . . he . . . e, a . . . cia ed i h ca, d2).
- A age . . . ca . . . a e . . . e, f he e ide-e ec . i . . . he cha ged a (a e . . . f  
 . . . ea, ch f a b . . . . . he c . . . e, i . . . e, ed).

The e a e a e e a c h i e i e d i a i g a a g e d a a c h a h e a b e .

- H a g a e h e i f a i g a e d f a e d - e e c e d a c i e a e a e a i e a ?
- H i d e i f h e e a c i a i h e h e f a i e i e e c i f h e a c c e ?  
(I h e i g e a e h e a g e i e f h a d i g e h e h e a g e h a d a e d i g g e d i e .)
- H a e a e a a h e f a i e i ?  
(e.g. h a d e h e a g e d i d e i c h a e h e b h e i h a c a d i i a h i e d ?)
- W h a a e h e e a i c f h i a g e ? b e h a i i d e i c o n d e h e c o n c e i f h e a g e ? i e a c h a i ?

We e h e a b e b e b i d c i g a e f a b d c i i g i c a i g c a e d *global abduction* [11]. I h e e i a b d c i e i g a i g f a e ( e e [6] f a c e h e i e e ) e a b d c i c e e i f a i h e h e d e i a i f a g a e e d h h e e . H e e h e e a e a i d i h e d e i a i a h a c h e h e g a a d h e e i e c e h e h e e a c h a h . W e c a h i e f a b d c i *local abduction*.

F g b a a b d c i e i d c e a *global belief state* a e f g b a i f a i h i c h c a b e a c c e d f a e a c h a h a d a a a i a n n o u n c e ( P ) a d h e a r ( P ) . a n n o u n c e ( P ) a e a g a d i e a P i h e g b a b e i f a e . A f e a n n o u n c e ( P ) i e e c e d , P b e c e e g b a a i f i a a e e d i h e b e g i i g f h e e c i e . T h i e a e P i a b d c e d i h e e a c h a h h e e h e a e e i d e b a a g a e d h e h e e a c h a h a i f i e e e i h e b e g i i g . h e a r ( P ) i e e h e h a e f P i a g b a b e i f a e . I f P h a a e a d b e e a c c e d i h e g b a b e i f a e b a n n o u n c e ( P ) , h e a r ( P ) i c c e e d . I f h e c e e e f P h a a e a d b e e a c c e d i h e g b a b e i f a e , h e a r ( P ) f a i . O h e i e h e e c i e a e d i h h e a r ( P ) i e e d e d a d h e d e i a i a h i b e a e e d .

U i g *global abduction* e c a e h e a b e b e c a a f .

- H a g a e a e a d - e e c e d a c i e a e a e a h e a ? => T h e a c i e a e e g a d e d a a b d c i b e f g b a a b d c i e . E e i e a a c i e i h i d e - e c i e f e d i f a i a b c h a c i e i a g a e d h e a e a i e a b a n n o u n c i n g h e e a b d c i b e .
- H i d e i f h e e a c i a i h e h e f a i e i e e c i f h e a c c e ? => B h e a r i n g a b d c i b e e e e i g a e a d - e e c e d a c i e e c a d e e c h e e a c i a d i a e h e e a c i e i a e a i e a h i d i f a e a .
- H a e a e a a h e f a i e i ? => W e c o n d e a a e a i e a b a c a c i g h e c h i c e i a d i d i f h e a e a i e a i f e e a a c c d i g h e i d e e c e f h e a e a d - e e c e d a c i e .



... e c... ide, ed 1 [9]. I [9], he c... ide, ge e a 1 g a... di ed a f... he c... e... a... 1 g a c 1... -e ec... e hie e e e a... di ca 1... e hich di ec... dif a a... i h... ac 1... -e ec... e.

We 1... e e... hi idea b g... ba abd c 1... 1... gic... g a... 1 g. We bac - ac... he ch ice... 1... fai... e f ac 1... a d he c... ide, a a e... a 1 e... a. H... e e, he e... igh be... e ide-e ec... hich 1... e ce he a e... a 1 e... a. If..., e... dif a a e... a 1 e... a... acc... da e he e ide-e ec... T... chec... ch ide-e ec..., e a... ce he a... ead -e ec... ed ac 1... 1... he a e... a 1 e... a h b g... ba abd c 1... The..., 1... he a e... a 1 e... a h, e... efe... he e ac 1... 1... g he a 1 g 1 e a..., a d c... ide, a... di ca 1... f a e... a 1 e... a...

The... c... e f he a e... 1 a f... I Sec 1... 2, e... e 1 e ( igh... di ed) f a e... f g... ba abd c 1... a d h... a... 1... f he... 1 a 1 g... e a... e 1 g g... ba abd c 1... The..., e... e 1 e... e a ic f he f a e... a d a c... ec... f... ced... e f he f a e... ... he e a ic. I Sec 1... 3, e... h... a e ec 1... ace f he... 1 a 1 g e a... e. I Sec 1... 4, e di c... he... e a ed... , a d... e... a 1 e... c... b 1... a d di c... f... e e e a ch 1... Sec 1... 5.

## 2 Global Abduction

We g... e a... ada ed f... a 1 a 1... f g... ba abd c 1... ed f... a... 1... f he... 1 g e a... e. The di... e ce be... e g... ba abd c 1... 1 [11] a d h... a e... 1... ha... e 1... d ce a c... e a...<sup>1</sup> a d... 1 1... e g... 1... c... a 1... a d 1... e a... e a... a 1... a e g... f g a... hich a... ed 1... PROLOG; a de... h... e a ch... 1 h... igh... -ef e a... a 1... f he b... d... f he... e a d... -b... a... a... e... e.

### 2.1 Framework of Global Abduction

**Definition 1.** A g... ba abd c 1 e f a e... GA is the following tuple  $\langle \mathcal{B}, \mathcal{P} \rangle$  where

- $\mathcal{B}$  is a set of predicates called belief predicate.
- Let  $A$  be an atom with belief predicate. We call  $A$  a... 1 1 e be 1 e f 1 e a, or a be 1 e f a... and  $\neg A$  a... e g a 1 e be 1 e f 1 e a. We call a literal of the above form be 1 e f 1 e a. Let  $Q$  be a belief literal. We introduce annotated literals **announce**( $Q$ ) and **hear**( $Q$ ) called a... c 1 g 1 e a and he a 1 g 1 e a respectively. We say that **announce**( $Q$ ) contains  $Q$  and **hear**( $Q$ ) contains  $Q$ .
- $\mathcal{P}$  is a set of rules of the form:

$$H: \neg B_1, B_2, \dots, B_n.$$

<sup>1</sup> Note that in [11], we show how to implement “cut” in global abduction with integrity constraints. Thus, the introducing “cut” does not cause any extra control mechanism. In this paper, however, we omit the detail for simplicity.

where

- $H$  is an ordinary atom which is neither an annotated literal, an equality literal nor a belief literal.
- each of  $B_1, \dots, B_n$  is an ordinary atom, or an annotated literal, or an equality literal of the form  $t = s$ , or a disequality literal of the form  $t \neq s$  or  $!$  (called a cut operator).

We call  $H$  a head denoted as  $head(R)$  and  $B_1, \dots, B_n$  a body denoted as  $body(R)$ . If there are no atoms in the body,  $body(R) = \emptyset$ .

11 e, a... a ed 1 e, a. ha e he f... i g. ea i g.

- F... g. ba abd c 1... e 1... d ce a global belief state, a... e. f g. ba 1 f... a 1... , hich ca be acce ed f... a... ea, ch. a h.
- A... c i g i e a announce(L) 1 a a e, 1... f a g... d... 11 e/ ega 1 e belief L... he g. ba belief. a e. Thi... ea... hie e... a e... ea, ea, ch. ace... a che e a g a, ... e. f he fac... a e added b... he... g a... 1 e f. The... f... a... he... ea, ch. a h, e ca acce. hi addi... 1 i g a hea i g i e a. The e. f... e, L 1... g. ba \_ abd ced b announce(L).
- Hea i g i e a hear(L) 1 a chec... f a g... d... 11 e/ ega 1 e belief L 1... he c... e... belief. a e. If L 1... c ded 1... he c... e... belief. a e, hear(L) c i cide... i h he... h... a e f L... . he c... e... belief. a e. E e f L 1... . c ded 1... he c... e... belief. a e, he... h... a e f hear(L) 1... . de... ed a d he e a a 1... 1... . ed.

The f... i g... g a... h... a... 1... . he... i a i g e a... e. f b... cha e<sup>2</sup>

Example 1. R e f... a g e e a 1... :

```
plan(Genre, card1, Plan):-
    modify_plan(
        [login(id1), book_search(Genre, Book), purchase(Book, card1)],
        Plan).
plan(Genre, card2, Plan):-
    modify_plan(
        [login(id2), book_search(Genre, Book), purchase(Book, card2)],
        Plan).
```

The ab... e... e... e... a e... a i e... a... ha a age... g i e i he a id1 (a... cia ed... i h card1)... id2 (a... cia ed... i h card2) a d he... ea, che f... a b... . he ge... e... ec i ed a Genre. H... e e... , e ha e... chec... he he... he e... e... a... e... e... 1... ac 1... hich 1... e ce he c... e... a... . If... , e... a e a... a... d i ca 1... acc... d i g... he f... i g... e.

<sup>2</sup> Note that a string starting with an upper case is a variable and a string starting with an lower case is a constant.

Re f, Pa M di ca 1.

modify\_plan([],[]):-!.

modify\_plan([login(ID)|Plan],[login(ID)|RevisedPlan]:-  
 hear(logged\_in(ID1)),ID/=ID1,hear(logged\_out(ID1)),!,  
 modify\_plan(Plan,RevisedPlan).

modify\_plan([login(ID)|Plan],[logout(ID1),login(ID)|RevisedPlan]]:-  
 hear(logged\_in(ID1)),ID/=ID1,!,  
 modify\_plan(Plan,RevisedPlan).

modify\_plan([login(ID)|Plan],[login(ID)|RevisedPlan]:-!,  
 modify\_plan(Plan,RevisedPlan).

modify\_plan([book\_search(Genre,Book)|Plan],RevisedPlan):-  
 hear(book\_searched(Genre,Book)),!,  
 modify\_plan(Plan,RevisedPlan).

modify\_plan([book\_search(Genre,Book)|Plan],  
 [book\_search(Genre,Book)|RevisedPlan]]:-!,  
 modify\_plan(Plan,RevisedPlan).

modify\_plan([purchase(Book,Card)|Plan],  
 [purchase(Book,Card)|RevisedPlan]]:-!,  
 modify\_plan(Plan,RevisedPlan).

For a a f, he login ac 1, e... e... e ha he age c... e d e  
 ... g 1 a a... he ID. If he e 1 a h... ha he age... gged 1, e a...  
 chec ha he age... ha a ead... gged... . If... (1 he ec... d... e), he e 1  
 ... di ca 1... 1 a a... ha he age... di ec... g 1... he... 1 e (1 he  
 h... d... e), e add he g... ac 1... bef... e ggi g 1. If he e 1... ch h...  
 (1 he f... h... e), e d... eed... cha ge he a<sup>3</sup>

The f h a d... h... e a e f... a ac 1... f... ea ch i g f... a b... . The f h  
 ... e 1 a de e... f... ed... da... ea ch... f a b... . If a b... ha a ead... bee  
 ... ea ched f... he ge... e Genre, he age... ge... ea che f... a... he b... f...  
 he ge... e. We... e a hea 1 g 1 e a f... book\_searched(Genre,Book) f... ch a  
 chec... . If a b... ha a ead... bee... ea ched f... , e... dif... he a b de e 1 g  
 he ac 1... f... ea ch. O he... 1 e, e d... eed... cha ge he a. Ne ha  
 ... 1 ce... e ad... he... -b... .. na... a eg, e h... d chec 1... he... ace  
 he he... he ea ch... f a b... ha bee... d... e... ha... e ca g a a eed ha  
 he e ha bee... ch... ea ch... he... e e ec... e he... h... e.

The e e h... e 1 f... a ac 1... f b... 1 g a b... . We c... d... a... he  
 ... e... a... d he... ed... da... .. cha e 1 e... ea ch ac 1... , b... e... 1... he... e f...  
 ... 1... .. 1 c 1 .

<sup>3</sup> Precisely speaking, if we have a history of multiple log-ins, we need to keep a correspondence between log-in's and log-out's. However, we do not consider here for simplicity.

Reference 1:

```
execute([]):-!.
execute([login(ID)|Plan]):-
    login(ID),execute(Plan).
execute([logout(ID)|Plan]):-
    logout(ID),execute(Plan).
execute([book_search(Genre,Book)|Plan]):-
    book_search(Genre,Book),execute(Plan).
execute([purchase(Book,Card)|Plan]):-
    purchase(Book,Card),execute(Plan).
```

Reference 2: amazon 1e:

```
login(ID):-!,
    announce(action(login(ID))@amazon),announce(logged_in(ID)).
announce(action(login(ID))@amazon) :- announce(logged_in(ID)).
amazon a ID a d i h i e c d e d a logged_in(ID) b a c i g i
b g b a a b d c i .
```

Reference 3: amazon 1e:

```
logout(ID):-!,
    announce(action(logout(ID))@amazon),announce(logged_out(ID)).
```

Reference 4: amazon 1e:

```
book_search(Genre,Book):-
    hear(book_search(Genre,Book)@amazon),!,
    announce(book_searched(Genre,Book)).
```

In this action, the each clause added attached to amazon 1e and also the  
 1e is added to the 1e. When the 1e is added, the age  
 a b c e 1 b g b a a b d c i . f book\_searched(Genre,Book).

Reference 5: amazon 1e:

```
purchase(X,CARD):-
    hear(authorize(CARD)@checker),!,
    announce(action(purchase(X,CARD))@amazon).
```

The above checker is the checker card CARD is added to the 1e, if  
 1e is added to the 1e, the 1e is added to the 1e.

## 2.2 Semantics for Global Abduction

In this section, we describe the semantics of the global abduction. Reader  
 should refer to the details of the semantics, see [11].

We describe the semantics of the global abduction. In [1, 10], it is shown  
 that the belief can be defined as the belief set.

decide hear(L) has a e. We extend the hear(L) to decide(L) has a e a ic of the global abduction. a e i ded ed ... a belief. a e. A belief a e  $BS_1$  the e of belief i e a. which e e e age of belief. We de e h- a e of belief i e a. ...  $BS$  a f ... :

- If a i e a  $L_1 BS, L_1$  and ... be ... e ...  $BS$ .
- If he c ... e e of f a i e a  $L_1 BS, L_1$  and ... be f a e ...  $BS$ .
- O he i e  $L_1$  and ... be ... de ... ed ...  $BS$ .

Let  $\mathcal{P}$  be e of f ... e. We ... e ace  $announce(L)$  and  $hear(L)$  b a c ... e ... di g belief i e a  $L$ . We de e a e of g ... d ... e b a i e d b e a c i g a h e a i a b e i e e ... e of he e i g ... g a b e e ... e. i h e a g a g e a  $\Pi_{\mathcal{P}}$ . The ... e ... a e  $\Pi_{\mathcal{P}^1}$  ... a h e ... g a  $\Pi_{\mathcal{P}}^{BS}$  h i c h i ... e d c e d b  $BS$  a f ... .

- We de e e e e ... e i h e ... g a  $\Pi_{\mathcal{P}}$  h i c h c ... a i a f a e b e i f i e a ...  $BS_1$  h e b d .
- We de e e e e ... e i e a ...  $BS$  a d e a c e e e ... d e d i e a ...  $BS$  b a e c i a a ...  $undef_1$  h e b d of h e e a i g ... e .

We a ... e h a h e ... h a e f  $undef_1$  a a ...  $undefined$  .

We a ... d ... h e c ... e a ... i c e i d e ... i ... e c e h e c ... e c e of h e ... g a . The ... h e d c e d ... g a  $\Pi_{\mathcal{P}}^{BS}$  i a ... a ... g i c ... g a h i c h a h a e  $undef_1$  h e b d . The ... e f ... h e h e e - a e d e a ... d e e a i c [1] g i e h e ... h a e f a ... d i a g ... d a ... . We a h a h e h e e - a e d e a ... d e f  $\Pi_{\mathcal{P}}^{BS}$  i h e *assumption-based three-valued least model of  $\mathcal{P}$  w.r.t.  $BS$* .

### 2.3 Proof Procedure

I n h i s c o n t e x t , e g i e a ... f ... c e d ... e h i c h i c ... e c i h e a b ... e e a - i c . The e e c i ... f g b a a b d c i e f a e ... i b a e d ... *process reduction*. I n t h e ... c e e a e c e a e d h e a c h i c e ... i f c ... a i ... i e c ... e e d i e c a e ... i g . A ... c e ... e i a e ... c c e f ... i f a h e c ... a i ... i d e a d h e b e i f i e a ... e d i h e ... c e ... a e ... c ... a d i c ... i h h e a b e i f a e . A h e b e e h e e h ... , i f e e e c h e a b e i f a e  $BS$  h e ... g a  $\mathcal{P}$  b c ... i d e i g  $\Pi_{\mathcal{P}}^{BS}$  h e h e a e e ... i b a i e d b ... a SLDNF ... c e d e . The e f ... e , e c a h i ... i c i e "all's well that ends well (AWW)" ... i c i e i h a e a a b ... h e c ... e c e a h e a b e i f a e h e e g e a a ... e .

I n h e ... c e d ... e e d c e a a c i e ... c e ... i ... a e ... c e ... . R e d c i ... f ... a ... d i a ... a ... i a ... a g a e d c i ... i ... g i c ... g a ... i g a d e d c - i ... f ... a a ... c i g i e a c ... e ... d ... i h a ... d a e f h e b e i f a e a d e d c i ... f ... a h e a i g i e a c ... e ... d ... i h a ... i ... h e b e i f a e .

U d a i g h e b e i f a e b a a ... c i g i e a ... a e ... i h e ... e - i ... f h e c ... e e e c e d ... c e a d c h a g e f h e e e c i ... a a e a i e ... c e ... .



**Preliminary Definitions**

We define the following terms and abbreviations.

**Definition 2.** A *process* is the following tuple  $\langle GS, BA, ANS \rangle$  which consists of

- *GS*: a set of atoms to be proved called a *goal*.
- *BA*: a set of ground belief literals called *belief atoms*.
- *ANS*: a set of instantiations of variables in the initial query.

A process  $\langle GS, BA, ANS \rangle$  is called *initial* if each  $L \in BA$  is a ground belief atom.

- *GS* is called *closed* if  $GS = \{G\}$ .
- *BA* is called *deductible* if  $BA = \{L\}$ .
- *ANS* is called *empty* if  $ANS = \{\}$ .

We define the following abbreviations.

**Definition 3.**

- A process  $\langle GS, BA, ANS \rangle$  is a *set of processes*.
- A current belief atom *CBS* is a *belief state*.

$PS$  is a set of processes which are a *goal*, a *belief state*, and *CBS* is the current belief state which is the *age* of current belief.

**Definition 4.** Let  $\langle GS, BA, ANS \rangle$  be a process and *CBS* be a current belief state. A process is a *current CBS* if for every  $L \in BA$ ,  $L$  is true in *CBS* and a process is *not a current CBS* otherwise.

If *BA* is *admissible CBS*, the *deductible* process is *deductible* in the current belief state and the *deductible* process is *deductible*.

**Description of Proof Procedure**

The following procedure is executed by a changed *PS*, *CBS* and *NewPS*, *NewCBS*; the *PS* and *CBS* are changed. We describe the following procedure [11] in the PROLOG-1 environment.

**Initial Step:** Let *GS* be the initial goal.

We give  $\langle GS, \emptyset, ANS \rangle$  the following procedure *he* and *ANS* is a list of variables in *GS*. Then,  $PS = \{\langle GS, \emptyset, ANS \rangle\}$  and *CBS* be the initial belief state.

**Iteration Step:** Do the following.

**Case 1** If there is a *current CBS*  $\langle \emptyset, BA, ANS' \rangle$  and *PS*, *he* is a list of variables in *ANS'* and the current belief state *CBS*.

**Case 2** If *PS* is *not a current CBS*.

**Case 3** See the ... added ac ...  $\langle GS, BA, ANS \rangle$  ...  
 CBS f ... PS a d e e c a e f - ... i e a L (a ... d i a ... , ... a  
 e a i a ... , ... a d i e a i a ... , ... a a ... a e d i e a ... c -  
 ... e a ... ) 1 GS h i c h a i e ... e f h e c d i ... i h e f ... i g  
 b c a e . I f h e e i ... c h ... c e ... e ... d e i g .  
 L e  $PS' = PS - \{ \langle GS, BA, ANS \rangle \}$  a d  $GS' = GS - \{ L \}$ .

**Case 3.1** If  $L_1$  a ... d i a ... ,  
 a e d h e f ... i g ... c e e ...  $PS'$  f ...  $NewPS$ :  
 $\{ \langle \{ body(R) \} \cup GS' \theta, BA, ANS \theta \} |$   
 $R \in \mathcal{P}$  a d  $\exists$  ... g e e a ... i e ( g )  $\theta$  ...  $head(R)\theta = L\theta$   
 i h e ... d e f ... a c h e d ... e i h e ... g a ...

**Case 3.2** If  $L_1$  a e a i a ...  $t = s$ ,  
**Case 3.2.1** if h e e i a ... g  $\theta$  b e e e t a d s , h e  $NewPS =$   
 $\{ \langle GS' \theta, BA, ANS \theta \rangle \} \cup PS'$

**Case 3.2.2** e e i f h e e i ... c h ... g , h e  $NewPS = PS'$ .

**Case 3.3** If  $L_1$  a d i e a i a ...  $t \neq s$ , a d t a d s a e g ... d  
 e ... ,

**Case 3.3.1** if t a d s a e d i e e g ... d e ... h e  $NewPS =$   
 $\{ \langle GS', BA, ANS \rangle \} \cup PS'$

**Case 3.3.2** e e i f t a d s a e i d e i c a e ... , h e  $NewPS = PS'$ .

**Case 3.4** If  $L_1$  a h e a i g i e a h e a r ( Q ) a d h e e i a g ... d i ... a c e  
 f Q 1 CBS, h e  $NewPS = \{ \langle GS', BA \cup \{ Q \}, ANS \rangle \} \cup PS'$ .

**Case 3.5** If  $L_1$  a g ... d a ... c i g i e a a n n o u n c e ( A ) , h e  
 $NewPS = \{ \langle GS', BA \cup \{ A \}, ANS \rangle \} \cup PS'$ , a d  
 $NewCBS = CBS \setminus \{ \bar{A} \} \cup \{ A \}$ .

**Case 3.6** If  $L_1$  h e c ... e a ... 1 , h e e d e e e a a e a i e  
 e d c e d ... h e b d ... f a e a i e ... e c ... e i g i h h e ... e  
 h i c h c ... a i ... h e a b ... e c ...

**“All’s Well that Ends Well (AWW)” Principle**

The f ... i g h e e h ... c ... e c e ... f h e a b ... e ... c e d ... e . The h e e  
 i ... i e ... e a ... h a h e e e c e r e a a ... e f e e c i ... , h e a ... e i  
 c ... e c i h e a ... i ... - b a e d h e e - a e d ... d e ... h e ... g a a d h e  
 ... a b e i f ... a e . T h i s i s a i d e a f A W W p r i n c i p l e .

L e  $ANS'$  b e a i ... a i a i ... f h e a i a b e a d  $GS$  b e h e i i a g a . W e  
 ... i e  $GS \circ ANS'$  a h e g a a b a i e d f ...  $GS$  b e a c i g a i a b e i  $GS$  b  
 c ... e ... d i g e ... i  $ANS'$  . L e  $M$  b e h e a ... i ... - b a e d e a h e e - a e d  
 ... d e f h e ... g a ... a b e i f ... a e a d  $\{ L_1, \dots, L_n \}$  b e a e ... f g ... d  
 i e a ... W e ... i e  $M \models \{ L_1, \dots, L_n \}$  i f  $L_i$  i ... e i  $M$  .

**Theorem 1.** *Let GA be a global abductive framework  $\langle \mathcal{B}, \mathcal{P} \rangle$ . Let GS be an initial goal set. Suppose that an instantiation of the variables ANS' and the current belief state CBS are returned. Let M be the assumption-based three-valued model of P w.r.t. CBS. Then,  $M \models GS \circ ANS'$ .*

A ... f ... f T h e ... e ... i c a b e f ... d i [11].



9. The agent receives a notification from the bank that the amazon card is not working. The agent checks the account and finds that the card is not working. The agent then announces the problem and searches for a solution.
- ```
!,announce(action(login(id1))@amazon),announce(logged_in(id1)),
execute([book_search(computer,Book),purchase(Book,card1)])
```
10. The agent receives a notification from the bank that the amazon card is not working. The agent checks the account and finds that the card is not working. The agent then announces the problem and searches for a solution.
- ```
book_search(computer,Book),execute([purchase(Book,card1)])
```
11. The agent checks the account and finds that the card is not working. The agent then announces the problem and searches for a solution.
- ```
hear(book_search(computer,Book)@amazon),
!,announce(book_searched(computer,Book)),
execute([purchase(Book,card1)])
```
12. The agent checks the account and finds that the card is not working. The agent then announces the problem and searches for a solution.
- ```
purchase(linux,card1),execute([])
```
13. The agent checks the account and finds that the card is not working. The agent then announces the problem and searches for a solution.
- ```
hear(authorize(card1)@checker),
!,announce(action(purchase(linux,card1))@amazon),
execute([])
```
14. The agent checks the account and finds that the card is not working. The agent then announces the problem and searches for a solution.
15. The agent checks the account and finds that the card is not working. The agent then announces the problem and searches for a solution.
- ```
modify_plan(
[login(id2),book_search(computer,Book),purchase(Book,card2)],
Plan),execute(Plan)
```
16. The agent checks the account and finds that the card is not working. The agent then announces the problem and searches for a solution.
- ```
hear(logged_in(ID1)),id2/=ID1,hear(logged_out(ID1)),
!,modify_plan(
[book_search(computer,Book),purchase(Book,card2)],
RevisedPlan),execute([login(id2)|RevisedPlan])
```
17. The agent checks the account and finds that the card is not working. The agent then announces the problem and searches for a solution.
- ```
hear(logged_out(id1))andhear(logged_in(ID1)),id2/=ID1,
!,modify_plan(
[book_search(computer,Book),purchase(Book,card2)],
RevisedPlan),execute([logout(ID1),login(id2)|RevisedPlan])
```
18. The agent checks the account and finds that the card is not working. The agent then announces the problem and searches for a solution.
- This expresses a plan modification mechanism with the consideration of already-executed action.** Because the agent has already executed the action of logging in with the amazon card, the agent must consider this action when modifying the plan.

- ```

modify_plan(
  [book_search(computer,Book),purchase(Book,card2)],
  RevisedPlan), execute([logout(id1),login(id2)|RevisedPlan])
19. The age checker has heard that a good book is available.
    buy the health guide.
    hear(book_searched(computer,Book)),
    !,modify_plan([purchase(Book,card2)],RevisedPlan),
    execute([logout(id1),login(id2)|RevisedPlan])
20. This is the age checker's agenda. linux, execute the
    book - each action from the agenda. This also represents a plan modification mechanism with the consideration of already-executed action. In this case, in stead of adding an action, we delete a redundant action.
    modify_plan([purchase(linux,card2)],RevisedPlan),
    execute([logout(id1),login(id2)|RevisedPlan])
21. Since he is in a difficult financial situation, execute the
    plan to buy a book from amazon.
    execute([logout(id1),login(id2),purchase(linux,card2)])
22. The age checker from amazon buy id1.
    logout(id1),execute([login(id2),purchase(linux,card2)])
23. The age checker is a difficult to buy a book from amazon,
    a difficult to buy a book from amazon.
    !,announce(action(logout(id1))@amazon),announce(logged_out(id1)),
    execute([login(id2),purchase(linux,card2)])
24. The age checker from amazon a id2.
    login(id2),execute([purchase(linux,card2)])
25. The age checker is a difficult to buy a book from amazon,
    a difficult to buy a book from amazon.
    !,announce(action(login(id2))@amazon),announce(logged_in(id2)),
    execute([purchase(linux,card2)])
26. The age checker is a difficult to buy a book from amazon,
    a difficult to buy a book from amazon.
    purchase(linux,card2),execute([])
27. The age checker from linux, he age checker has heard that a
    good book is available.
    hear(authorize(card2)@checker),
    !,announce(action(purchase(linux,card2))@amazon),
    execute([])
28. Since he has heard that a good book is available, he age
    checker has heard that a good book is available.
    announce(action(purchase(linux,card2))@amazon),execute([])
29. Finally, he is in a difficult financial situation.
    !,announce(action(logout(id1))@amazon),announce(logged_out(id1)),
    execute([login(id2),purchase(linux,card2)])

```

### 4 Related Work

The e a e... e... e... b i h e d ... i f... a i... a i... a i... [7,2] h i c h c... i d e... i f... a i... g a h e... i g (... i... h e... d... e... i g) b a... a c... .

G... d e... [2] h a... d e... i f... a i... d e... h e... e... -... d a... i... a d... i... d c e... c a... c... e d... d i f... a i... (LCW) h i c h e... a... a e a c... e d... d a... i... h i c h c a... b e a e... e... e d. T h e... h... LCW c a... a... i d... e d... d a... i f... a i... g a h e... i g. H... e... e... h e d... c... i d e... a... e... a... i g... f a... e... .

K... b... c... [7] d i... c... e... e... a... i g... i f... a i... g a h e... i g. H... e... e... h e... c... i d e... a c... i... i h... e g a... d... i f... a i... a c c e... h e... e... e... i h... i d e... e... e c... . A... e... a... i... f... a c c e... i g... h e... i f... a i... c e... f a... e... c a... e d... b a... e... -d... f... e... i f... a i... c e... a d... h e... e f... e... i d e... e... f... a... c... e... e... a... i g... .

T h e... e... a... e... f... e... e... a... i g... i h... c... g... i... e... b... i c... [3,8,12,13] h i c h c... d... b e... a... i e d... h e... e... a... c... i d e... e d... h e... e... . A... h... g... h... e... a... b... e... a... e... a... i e d... a... i g... e... e... a... i c... , h e... d... e... e... c... a... e... a... b... e... c... i... e... e... e... i... a... d... h e... e... a... i g... i... a... a... d e... f... c... a... c... h... a... g... a... f... e... i... d... e... i... f... i... g... h e... c... e... i... a... i... . A... i... e... d... i... h... e... I... d... c... i... ,... f... a... e... f... a... d... i... c... a... i... i... d... i... e... e... f... h e... e... c... i d e... e d... i... [9]. I... [9], h e... c... i d e... a... d... i... e... d... a... f... h e... e... i... i... g... a... i... g... a... c... i... -e... e... c... e... h e... e... a... e... e... a... d... i... c... a... i... e... h i c h c a... d... i... e... c... d... i f... e... . T h e... e... f... e... h e... a... g... e... i... [9]... h e... e... a... i... g... f... c... a... c... h... a... d... a... d... i... c... a... i... i... a... i... e d... h e... e... .

H a... a... h... e... a... [4,5] g... i... e... a... f... a... e... f... a... g... e... h i c h... e... f... a... i... g... a... e... a... c... i... i... h... i... d... e... e... c... a... d... e... a... a... h... e... i... a... H... i... e... a... c... h... i... c... a... T a... N e... (HTN) a... i... g... I... h... e... i... ,... e... e... i... e... a... a... c... i... i... h... i... d... e... e... c... i... e... f... e... d... ,

- f... a... d... a... b... e... a... c... i... ,... d... i... g... a... c... i... e... e... c... e... i... b... e... i... e... e... d... i... h... e... b... e... g... i... i... g... f... e... e... a... e... a... i... e... a... ,
- a... e... a... i... e... a... h i c h... i... i... c... a... i... b... e... i... h... i... d... e... e... c... i... b... e... d... e... e... d... ,
- a... d... i... f... h... e... e... a... e... a... e... a... i... e... a... i... h... h... e... a... e... a... c... i... i... h... e... b... e... g... i... i... g... , h... e... a... c... i... i... b... e... d... e... e... d... i... h... e... e... a... i... a... i... d... e... d... d a... a... c... i... .

T h e... d... i... e... e... c... e... b... e... e... h... e... i... a... d... i... i... h... a... h... e... c... i d e... d... i... g... f... h... e... a... c... i... ,... d... e... i... f... e... d... d a... a... c... i... i... h... e... b... e... g... i... i... g... f... a... e... a... i... e... a... f... a... d... i... c... a... i... f... a... c... i... , h e... e... a... e... c a... b... e... e... e... i... b... e... i... a... a... d... i... c... a... i... b... a... c... c... d a... i... g... i... d... e... e... c... i... i... g... h... e... a... i... g... ,... e... d... i... c... a... e... .

### 5 Conclusion

T h e... c... ,... i... b... i... f... h... e... a... e... i... h... a... b a... i... c... e... c... h a... i... i... g... g... b a... a... b... d... c... i... f a... i... f... a... i... a... g... e... h i c h... d... i... e... a... a... e... a... i... e... a... a... c... c... d a... e... i... d... e... e... c... b... a... e... a... d... -e... e... c... e... d... a... c... i... .



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c... i... f... b-g a... , ha... i... eed... be... a... ed f... , a d *actions*, ha ca be  
 di... e... ec... ed... , b... ec... he... *preconditions* h... di... g... P... ec... di... a... e... a...  
 a... f... a... ia... a... , a d he... eed... a... i... g... f... , be... f... e... he... ac... i... ca... be... e... e...  
 c... ed. Wi... hi... a... , a... ach... , he... de... c... i... i... f... -e... e... g... a... , b-g a... a d  
 ec... di... i... i... a... a... i... i... e... ea... ed... i... h... he... be... a... i... f... he... e... i... -  
 e... i... h... i... h... e... age... i... i... a... ed... , ia a *sensing* ca... ab... i... f... he... age... . Se... ed  
 cha... ge... i... he... e... i... e... e... a... e... a... i... a... ed... i... hi... he... a... i... g... edge ba... e  
 f... he... age... . C... e... , hi... a... i... i... a... i... d... e... a... he... a... i... gh... f... , a d , b...  
 add... i... g... he... e... ed... i... f... a... i... he... a... i... g... edge ba... e... a... d... , if... i... c... i... -  
 e... i... h... i... , b... d... i... g... (i... i... c... i... ) he... e... i... i... g... be... i... e... f... i... hi... edge  
 ba... e... ha... ead... he... i... c... i... e... c... . Th... , a... a... ach... e... i... e... f...  
 he... e... i... g... ca... ab... i... f... he... age... . Ob... e... a... i... f... he... e... i... e... i...  
 i... gh... ead... he... eed... e... i... e... he... c... e... he... d... a... ia... a... , be... ca... e... a...  
 a... c... e... e... ce... f... he... be... a... i... he... age... i... ce... ha... e... e... -e... e... g... a... ,  
 b-g a... a d ec... di... i... a... ead... h... d... , ha... he... eed... be... e... a... ed... f... ,  
 ha... he... i... e... e... h... d... .

We ad... a... e... a... ia... f... he... e... ca... c... [10], ba... ed... abd... c... i... ,  
 e... e... he... a... i... g... edge ba... e... f... age... , h... i... a... e... f... a... ia...  
 a... i... g... a... d... a... i... a... e... be... a... i... f... he... e... i... e... (i... he... i... e...  
 a... e... de... c... i... bed... ab... e... ). We... e... e... -e... e... g... a... , b-g a... , ec... di... i...  
 a... d... ac... i... i... he... a... g... age... f... he... e... ca... c... . We... i... e... a *tree structure*  
 e... e... -e... e... g... a... , b-g a... , ec... di... i... a... d... ac... i... e... i... e... he... e... i...  
 f... a... ia... a... a... f... e... be... a... i... a... d... be... ca... e... f... he... a... age... f... i... e... . We... de... e...  
 he... beha... i... f... he... a... i... g... age... ia a *sense - revise - plan - execute* i... fe-  
 c... ce... , h... i... e... i... e... (state) *transitions* (f... e... i... g... , e... i... i... , a... i... g... a... d  
 ac... i... e... ec... i... ) a d *selection functions* e... e... ec... i... e... i... ge... -e... e... g... a... ,  
 b-g a... a d ec... di... i... a... f... a... d... ac... i... be... e... ec... ed... . A... a... ia... f...  
 he... a... a... ach... de... c... i... bed... he... e... ha... bee... ed... i... hi... *KGP* age... [7,2] a... d... ea... i... ed...  
 i... hi... he... e... i... e... e... a... i... *PROSOCS* [19] f... *KGP* age... .

The... a... e... i... g... a... i... ed... a... f... . I... ec... i... 2... e... g... i... e... e... bac... g... d...  
 abd... c... i... e... g... i... c... g... a... i... g... i... h... c... , a... i... , i... ce... he... e... e... ca... c... -ba... ed...  
 a... i... g... edge ba... e... f... age... e... ad... i... a... he... i... hi... f... a... e... . I...  
 ec... i... 3... e... g... i... e... he... a... i... g... edge ba... e... . I... ec... i... 4... e... de... e... a... ia...  
 a... a... d... he... c... ce... f... a... i... g... age... . I... ec... i... 5... e... de... e... he... i... d... i... d... a...  
 a... i... . I... ec... i... 6... e... de... e... he... e... ec... i... f... c... i... . I... ec... i... 7... e... g... i... e... a...  
 i... e... e... a... e... . I... ec... i... 8... e... e... a... a... e... , a... a... ach... aga... i... e... a... ed... , a... d...  
 c... c... de... .

## 2 Background: Abductive Logic Programming with Constraints

We b... i... e... ca... he... f... a... e... f... Ab... d... c... i... e... L... ogic P... ro... g... a... i... g... (ALP) f...  
 edge... e... e... a... i... a... d... ea... i... g... [8], h... i... ch... de... i... e... a... i... g... ech...  
 i... e... A... *abductive logic program* i... a... i... e...  $\langle P, A, I \rangle$  he... e...





$holds\_at(L, T)$  (a e i e a L h d a a i e T),  $clipped(T_1, F, T_2)$  (a e F i c i ed - f . . . h d i g . . . h d i g - b e e e a i e T\_1 a d a i e T\_2),  $declipped(T_1, F, T_2)$  (a e F i d e c i ed - f . . . h d i g . h d i g - b e e e a i e T\_1 a d a i e T\_2),  $initially(L)$  (a e i e a L h d a h e i i a i e, a i e 0),  $happens(O, T)$  (a . e a i . / a c i . O h a e . a a i e T),  $initiates(O, T, F)$  (a e F . a . . . h d a f e a . . e a i . O a i e T) a d  $terminates(O, T, F)$  (a e F c e a e . . h d a f e a . . e a i . O a i e T). R g h . e a i g, i a a i g e i g h e a . . . e d i c a e . e e e . h e c a . e e e c . i . . b e e e . e a i . . a d e . i . h e . . d e e d . . d . W e i a . . . e a . e a . e d i c a e  $precondition(O, L)$  ( h e e . i e a L i . . e f h e . e c . d i . . f . . h e e e c a b i i . . f h e . e a i . O). I . . . e . a i a . e a . . . e  $executed$  a d  $observed$  . e d i c a e . . d e a i h d . a i c e . i . . . e . a d h e  $assume\_holds$  . e d i c a e . a . . f . . a i a . a i g .  
 W e . . . g i e  $KB_{plan}$ .  $P_{plan}$  c . . i . . f d . a i - i d e e d e . a d d . a i - d e e d e . e . The b a i c  $domain$ - $independent$  r u l e s , a d a e d f . . h e . . i g i a a E C , a e :

$$\begin{aligned}
 holds\_at(F, T_2) &\leftarrow happens(O, T_1), initiates(O, T_1, F), \\
 &\quad T_1 < T_2, \neg clipped(T_1, F, T_2) \\
 holds\_at(\neg F, T_2) &\leftarrow happens(O, T_1), terminates(O, T_1, F), \\
 &\quad T_1 < T_2, \neg declipped(T_1, F, T_2) \\
 holds\_at(F, T) &\leftarrow initially(F), 0 < T, \neg clipped(0, F, T) \\
 holds\_at(\neg F, T) &\leftarrow initially(\neg F), 0 < T, \neg declipped(0, F, T) \\
 clipped(T_1, F, T_2) &\leftarrow happens(O, T), terminates(O, T, F), T_1 \leq T < T_2 \\
 declipped(T_1, F, T_2) &\leftarrow happens(O, T), initiates(O, T, F), T_1 \leq T < T_2
 \end{aligned}$$

The  $domain$ - $dependent$  r u l e s d e . e h e  $initiates$ ,  $terminates$ , a d  $initially$  . e d i c a e . W e h . a i . e e a . e f . . c h . e i h i h e  $blocks$ - $world$  d . a i .

*Example 1.* The d . a i d e e d e . e f . h e  $mv(X, Y)$  . e a i . i h e b . c . . d d . a i , h e e e c . a e . . . e b . c X . . . b . c Y , a e h e f . . i g :  
 $initiates(mv(X, Y), T, on(X, Y))$   
 $terminates(mv(X, Y), T, clear(Y))$   
 $terminates(mv(X, Y), T, on(X, Z)) \leftarrow holds\_at(on(X, Z), T), Y \neq Z$   
 $initiates(mv(X, Y), T, clear(Z)) \leftarrow holds\_at(on(X, Z), T), Y \neq Z$   
 a e h e  $mv(X, Y)$  . e a i .  $initiates$  b . c X . . b e . . b . c Y a d  $terminates$  Y b e i g c e a . M . e e , i f b . c X . a . . a b . c Z , h e . e a i .  $mv$   $terminates$  h i . e a i . a d  $initiates$  b . c Z b e i g c e a .

The c . d i . . f . h e . e d e . i g  $initiates$  a d  $terminates$  c a b e e e a . . e c . d i . . f . h e e e c . f h e . e a i . ( e . g .  $mv$  i h e e a i e . e a . e ) . b e e a b i h e d . C . d i . . f . h e e e c a b i i . . f . e a i . . a e . e c i e d i h i .  $KB_{pre}$ , h i c h c . . i . . f a e . f . e d e . i g h e . e d i c a e  $precondition$ .

*Example 2.* The . e c . d i . . f . h e e e c a b i i . . f . e a i .  $mv(X, Y)$  a e h a b . h X a d Y a e c e a . . a e :  
 $precondition(mv(X, Y), clear(X)) \quad precondition(mv(X, Y), clear(Y))$

□





Given a *planning*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$ , a *state*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  is a *state*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$ . The *life-cycle*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  is a *life-cycle*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$ .

**Definition 1.** An agent's *state* at time  $\tau$  is a tuple  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$ , where

- $KB_0$  is the recorded set of observations and executed operators (up until  $\tau$ );
- $\Sigma$  is the set of all bindings  $T = X$ , where  $T$  is the time variable associated with some action recorded as having been executed by the agent itself within  $KB_0$ , with the associated execution time  $X$ ;
- $Goals$  is the set of (currently unachieved) goals, held by the agent at time  $\tau$ ;
- $\langle Strategy, TC \rangle$  is a partial plan for  $Goals$ , held by the agent at time  $\tau$ ;

Being able to execute a *plan*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  is a *plan*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$ . The *Goals* and *Strategy* of a *plan*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  are the *Goals* and *Strategy* of  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$ .

We will denote the *initial state* and *final state* of a *plan*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  as  $KB_0$  and  $TC$  respectively. The *Goals* of a *plan*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  are the *Goals* of  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$ .

A *plan*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  is a *success state* if it is a *plan*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$ .

A *plan*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  is a *failure state* if it is a *plan*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$ .

A *plan*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  is a *life-cycle*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  if it is a *plan*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$ .

*sense - revise - plan - execute*

A *plan*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  is a *plan*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$ . The *life-cycle*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  is a *life-cycle*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$ .

We will denote the *initial state* and *final state* of a *plan*  $\langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  as  $KB_0$  and  $TC$  respectively.

- the *set of siblings* of a *plan*  $N \in Tr_S$  is the *set of siblings*  $Siblings(N, Tr_S) = \{N' \in Tr_S \mid N' = \langle -, Pt \rangle\}$ .
- the *set of preconditions* of a *plan*  $A$  is the *set of preconditions*  $Pre(A, Tr_S) = \{P \in Tr_S \mid P = \langle -, A \rangle\}$ .

<sup>2</sup> This is an arbitrary decision, and we could have defined a failure state as one where there is no way to achieve all the goals, and a success state as one where at least one goal can be achieved.



## 5 Transitions Specification

Here we give the formal definition of the sensing, planning, execution, and revision transitions. The formal definitions are given in the next section.

### 5.1 Sensing Transition

Given a state  $S = \langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  at a time  $\tau$ , the sensing transition leads to a state  $S' = \langle KB'_0, \Sigma, Goals, Strategy, TC \rangle$ , where  $KB'_0$  is obtained from  $KB_0$  by adding beliefs about the world (as a result of the sensing process). The beliefs are added by the sensing capability of the agent which is able to  $\models_{Env}^T$  which allows the agent to sense the world.

**Definition 2.** Given a state  $S = \langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  at a time  $\tau$ , if  $\models_{Env}^T l_1 \wedge \dots \wedge l_n \wedge a_1 \wedge \dots \wedge a_m$  where  $n+m \geq 0$ , each  $l_i$  is a fluent literal and each  $a_j$  is an operation  $o_j$  executed by agent  $ag_j$  at some earlier time  $\tau_j$ , then the sensing transition leads to a state  $S' = \langle KB'_0, \Sigma, Goals, Strategy, TC \rangle$  where:

$$KB'_0 = KB_0 \cup \{observed(l_1, \tau) \cup \dots \cup observed(l_n, \tau)\} \cup \{observed(ag_1, o_1, \tau_1, \tau), \dots, observed(ag_m, o_m, \tau_m, \tau)\}.$$

### 5.2 Planning Transition

The sensing transition is followed by a planning selection function  $SelP(S, \tau)$  which gives a plan  $\alpha$  at a time  $\tau$ . The plan is a sequence of actions to be executed. The execution of the plan is followed by the execution of the plan. The plan is a sequence of actions to be executed. The plan is a sequence of actions to be executed. The plan is a sequence of actions to be executed.

We will denote the function  $SelP$  which is able to select a plan from a state  $S = \langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  by  $SelP$ . The function  $SelP$  is defined as follows:

- for a set  $X \subseteq Goals \cup Strategy$ , by  $X(\Sigma)$  we denote the set of beliefs about the world which are entailed by  $X$  in the current state  $\Sigma$ ;
- given a goal  $G \in Goals \cup Strategy$ , by  $Rest(G)$  we denote the set  $Rest(G) = Strategy(\Sigma) \cup Goals(\Sigma) - G(\Sigma)$ ;
- given a set  $N \in Goals \cup Strategy$ , we denote by  $\mathcal{A}(N)$  the abducible version of  $N$ , i.e.

$$\mathcal{A}(N) = \begin{cases} assume\_happens(O, T) & \text{if } N = \langle assume\_happens(O, T), - \rangle \\ assume\_holds(L, T) & \text{if } N = \langle holds\_at(L, T), - \rangle \end{cases}$$

Then we define the function  $\mathcal{A}$  as follows, i.e.  $\mathcal{A}(X) = \bigcup_{N \in X} \mathcal{A}(N)$ .



... a (i g e) ac ... be e ec ed (a ... ibe ... ca ... f h ... e ec ... f ... i ... ided ... he ... ec ...). The e ... he ca e f ... i e ac ... i ... aigh f ... a d.

**Definition 4.** Given a state  $S = \langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  at a time  $\tau$  and an action  $A$  of the form  $\langle assume\_happens(O, T), Pt \rangle$  such that  $A = SelE(S, \tau)$ , then the execution  $\alpha$  leads to a state  $S' = \langle KB'_0, \Sigma', Goals, Strategy, TC \rangle$  where:

- $KB'_0 = KB_0 \cup \{executed(O, \tau)\}$
- $\Sigma' = \Sigma \cup \{T = \tau\}$

N e ha e a e i ... a ... i g ha ac ... a e g ... d e c e f ... he i ... i e a i a b e. The e ... d e a i h ... h e a i a b e i ac ... i ... aigh - f ... a d.

E ec ed ac ... a e e i a e d f ... a e b ... h e e i i ... a ... i ... e e e d e ...

**5.4 Revision Transition**

T ... e c f ... h e e i i ... a ... i ... e e e d ... i ... d c e ... e ... e f ... c ... c e ... A ... d e i ... a d ... b e obsolete ... a ... a e S a a i e \tau f ... a ... f h e f ... i g ... e a ... :

- The ... d e i a g a ... b g a ... e c ... d i ... d e a d ... h e ... d e i e f i ... a c h i e d.
- The a e ... f h e ... d e i ... b ... e e ... S a d \tau. I d e e d, i f a ... d e i ... b ... e e ... h e e i ... e a ... a f ... e e c e a ... f i ... c h i d e ... ( ... d e c e d a ...).

T h ... b ... e e ... d e a ... a c h i e d g a ... b g a ... a d ... e c ... d i ... a d a c ... h a h a e b e e ... d c e d f ... h e ... (a d h ... b e c ... e e d ... d a ...).

**Definition 5.** Given a state  $S = \langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  at a time  $\tau$ , we define the set of obsolete *Obsolete(S, \tau)* as the set composed of each node  $N \in Strategy \cup Goals$  of the form  $N = \langle X, Pt \rangle$  such that:

- $Pt \in Obsolete(S, \tau)$  or
- $X = holds\_at(L, T)$  and  $P_{plan} \cup KB_0 \models_{LP(\mathfrak{R})} \Sigma \wedge holds\_at(L, T) \wedge T \leq \tau \wedge TC$

A ... d e i t i m e d o u t ... a ... a e S a a i e \tau f ... a ... f h e f ... i g ... e a ... :

- I h a ... b e e a c h i e d e ... a d h e e i ... a ... a c h i e e i i ... h e f ... e d e ... e ... a c ... a i ...
- I ... a e ... e f ... i ... b i g i ... i e d ... S a d \tau. I d e e d, i f e i h e ... h e a e ... a i b i g f h e ... d e i ... i e d ... h e e i ... e a ... e e ... h e ... d e f ... a e ... a i g. T h i c ... d i ... i ... i ... e d i f h e ... d e i a ... - e e g a b e c a ... - e e g a d ... i ... e c e a c h ... h e (e c e ... i a ... i b e e ... a c ... a i ... h e i ... e a i a b e).

**Definition 6.** Given a state  $S = \langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  at a time  $\tau$ , we define the set of timed out nodes  $TimedOut(S, \tau)$  as the set composed of each node  $N \in Strategy \cup Goals$  of the form  $\langle holds\_at(L, T), Pt \rangle$  such that:

- $N \notin Obsolete(S, \tau)$  and  $\not\models_{\mathcal{R}} \Sigma \wedge TC \wedge T > \tau$  or
- $Pt \in TimedOut(S, \tau)$  or
- $N \notin Goals$  and there exists  $N' \in Siblings(N)$  such that  $N' \in TimedOut(S, \tau)$ .

Using the above definition, we define the following which gives each goal, event, belief and timed out node:

**Definition 7.** Given a state  $S = \langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  at a time  $\tau$ , the following action leads to a state  $S' = \langle KB_0, \Sigma, Goals', Strategy', TC \rangle$  where, for each  $N \in Strategy' \cup Goals'$ :

- $N \notin TimedOut(S, \tau)$ , and
- if  $N = \langle assume\_happens(O, T), - \rangle$  then it is not the case that  $executed(O, \tau') \in KB_0$  and  $T = \tau' \in \Sigma$ , and
- if  $N \in Obsolete(S, \tau)$  then  $Parent(N) = \langle assume\_happens(O, T), - \rangle$ , and
- $Parent(N) \in Goals' \cup Strategy'$ .

In this way, each timed out node, each belief node and each executed action has been eliminated from the base. The following section is devoted to describing the deletion of beliefs, events and actions. In deed, beliefs, events and actions are eliminated because they are outdated or obsolete. If a belief, event or action is outdated because of a change in the world (e.g. a belief, event or action is false because of a change in the world), then it is outdated. If a belief, event or action is obsolete because of a change in the world (e.g. a belief, event or action is false because of a change in the world), then it is obsolete. In deed, beliefs, events and actions are eliminated because they are outdated or obsolete.

## 6 Selection Functions

The following section describes the selection function each. Here, we give a brief description of the selection function. Note that the selection function is a heuristic function that is used to select the best action.

### 6.1 Planning Selection Function

Given a state  $S = \langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  at a time  $\tau$ , the following action leads to a planning selection function  $SelP(S, \tau)$  which is a heuristic function that is used to select the best action. We define  $SelP$  as follows:

- if the goal is a belief, then  $G_1$  is the best belief goal at  $\tau$ ;
- if the goal is a belief, then  $G_1$  is the best belief goal at  $\tau$ ; i.e.  $G_1$  is the best belief goal at  $\tau$ ;
- if the goal is a belief, then  $G$  is the best belief goal at  $\tau$ .

**Definition 8.** Given a state  $S = \langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  at a time  $\tau$ , the *execution selection function*  $SelP(S, \tau)$  returns a goal, a subgoal or a precondition  $G = \langle holds\_at(L, T), - \rangle$  such that:

- $G \notin TimedOut(S, \tau)$ ;
- $G \notin Obsolete(S, \tau)$ , and it is not the case that  $P_{plan} \cup KB_0 \models_{LP(\mathbb{R})} holds\_at(L, T) \wedge T = \tau \wedge TC \wedge \Sigma$
- there exists no  $G' \in Strategy$  such that  $G = Parent(G')$ ;

Clearly, a behavior has a subgoal, a goal and a precondition. A behavior has a subgoal, a goal and a precondition. We can define the execution selection function  $SelP(S, \tau)$  as follows: a goal  $G$  is selected if and only if it is not in  $TimedOut(S, \tau)$ , it is not in  $Obsolete(S, \tau)$ , and there is no goal  $G'$  in  $Strategy$  such that  $G = Parent(G')$ .

### 6.2 Execution Selection Function

Given a state  $S = \langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  at a time  $\tau$ , the *execution selection function*  $SelE(S, \tau)$  returns an action  $A \in Strategy$  to be executed at  $\tau$ . We define  $SelE(S, \tau)$  as follows:

- if there is an action  $A$  in  $Strategy$  such that  $A$  is not in  $TimedOut(S, \tau)$ ;
- a precondition (child) of  $A$  is not in  $Obsolete(S, \tau)$ ;
- a goal, subgoal, or precondition of  $A$  is not in  $TimedOut(S, \tau)$ ;
- $A$  has not been executed.

**Definition 9.** Given a state  $S = \langle KB_0, \Sigma, Goals, Strategy, TC \rangle$  at a time  $\tau$ , the *execution selection function*  $SelE(S, \tau)$  returns an action  $A = \langle assume\_happens(O, T), - \rangle$  such that:

- $A \notin TimedOut(S, \tau)$ ;
- for each  $P = \langle holds\_at(P, T'), A \rangle \in Strategy$ ,  $P \in Obsolete(S', \tau)$  where  $S' = \langle KB_0, \Sigma, Goals, Strategy, TC \cup \{T = \tau\} \rangle$ ;
- $A \notin Obsolete(S, \tau)$ ;
- there exists no  $\tau'$  such that  $executed(O, \tau') \in KB_0$  and  $T = \tau' \in \Sigma$ .

Notice that the execution selection function  $SelE(S, \tau)$  returns an action  $A$  if and only if the state  $S$  because a precondition has not been checked in a state. The execution selection function  $SelE(S, \tau)$  returns an action  $A$  if and only if the execution selection function  $SelP(S, \tau)$ . Again, the execution selection function  $SelE(S, \tau)$  returns an action  $A$  if and only if the execution selection function  $SelP(S, \tau)$ .

## 7 An Example

In this section, we have a simple example of a behavior. The behavior is defined as follows:

We assume we have blocks  $a, b, c$ , and the table. The following are the initial conditions:  $initially(on(a, table))$ ,  $initially(on(b, table))$ ,  $initially(on(c, table))$ ,  $initially(clear(a))$ ,  $initially(clear(b))$ ,  $initially(clear(c))$ . Our beliefs are  $holds_at(on(b, a), T_1)$  and  $holds_at(on(c, b), T_2)$ . We can achieve the goal:

$$G_1 = \langle holds\_at(on(b, a), T_1), \perp \rangle \quad G_2 = \langle holds\_at(on(c, b), T_2), \perp \rangle$$

where  $TC^0 = \{T_1 = T_2, T_1 \leq 20\}$

The following is a belief-conditional goal, achieving  $G_1$  and  $G_2$ .

**Initial State:**  $S_0 = \{\{\}, \{\}, \{G_1, G_2\}, \{\}, TC^0\}$

**Time 1 - Sensing Transition:**  $\models_{Env}^1 \{\}$

**Resulting state:**  $S_1 = S_0$

**Time 2 - Revision Transition:** The belief is updated as follows.

**Resulting state:**  $S_2 = S_1$

**Time 3 - Planning Transition:** Assume  $selP(S_2, 3) = G_1$ . Let  $(\Delta, C)$  be the abduction  $KB_{plan}$ ,  $\Delta_0 = \{assume\_holds(on(c, b), T_2)\}$  and  $C_0 = TC^0$ , where  $\Delta = \{assume\_happens(mv(b, a), T_3)\}$  and  $C = \{T_3 < T_1\}$ . Let

$$Strategy^3 = \{ \langle assume\_happens(mv(b, a), T_3), G_1 \rangle = A_1 \\ \langle holds\_at(clear(a), T_4), A_1 \rangle \\ \langle holds\_at(clear(b), T_5), A_1 \rangle \}$$

$$TC^3 = TC^0 \cup C \cup \{T_4 = T_3, T_5 = T_3\}$$

**Resulting state:**  $S_3 = \{\{\}, \{\}, \{G_1, G_2\}, Strategy^3, TC^3\}$

As the agent sees  $c$  clear, he updates the  $KB$ . See Section 4.

**Time 4 - Execution Transition:** as the execution of action  $A_1$  achieves the  $initially$  of  $KB_{plan}$ , he  $A_1 = selE(S_3, 4)$  ( $A_1$  is the action that can be executed as follows). Let

$$KB_0^4 = \{executed(mv(b, a), 3)\}$$

$$\Sigma^4 = \{T_3 = 4\}$$

**Resulting state:**  $S_4 = \langle KB_0^4, \Sigma^4, \{G_1, G_2\}, Strategy^3, TC^3 \rangle$

**Time 5 - Sensing Transition:** Assume he gets a belief of the agent's beliefs. He has  $b$  in a clear state.  $\models_{Env}^5 \{on(b, c), \neg on(b, a), \neg on(c, table), \neg clear(c), clear(a)\}$ . Because, he has been able to believe in the execution of  $A_1$  and a feedback. He

$$KB_0^5 = KB_0^4 \cup \{ observed(on(b, c), 5), \quad observed(\neg on(b, a), 5), \\ observed(\neg on(c, table), 5), observed(\neg clear(c), 5), \\ observed(clear(a), 5) \}$$

**Resulting state:**  $S_5 = \langle KB_0^5, \Sigma^4, \{G_1, G_2\}, Strategy^3, TC^3 \rangle$

**Time 6 - Revision Transition:** As the belief is updated as follows.

**Resulting state:**  $S_6 = \langle KB_0^5, \Sigma^4, \{G_1, G_2\}, \{\}, TC^3 \rangle$

**Time 7 - Planning Transition:** Assume he executed goal again  $G_1$ ,  $selP(S_6, 7) = G_1$ . (Note that  $G_1$  again is achieved as in 7.) Since a fact of the environment is:

$$\begin{aligned} Strategy^7 &= \{ \langle assume\_happens(mv(b, a), T'_3), G_1 \rangle = A'_1 \\ &\quad \langle holds\_at(clear(a), T'_4), A'_1 \rangle \\ &\quad \langle holds\_at(clear(b), T'_5), A'_1 \rangle \} \\ TC^7 &= TC^3 \cup \{T'_3 < T_1, T'_4 = T'_3, T'_5 = T'_3\} \end{aligned}$$

**Resulting state:**  $S_7 = \langle KB_0^5, \Sigma^4, \{G_1, G_2\}, Strategy^7, TC^7 \rangle$

**Time 8 - Execution Transition:** a he . ec . di . . f ac i .  $A_1$  a e b . h a ch e d a h i . i e , d e . he *initially* . e i .  $KB_{plan}$  a d . he . b e . a i . . i .  $KB_0$ , he .  $A'_1 = SelE(S_7, 8)$  ( $A'_1$  i he . . ac i . . ha ca be . e e c e d a h i . i e ). Le

$$\begin{aligned} KB_0^8 &= \{executed(mv(b, a), 8)\} \\ \Sigma^8 &= \{T'_3 = 8\} \end{aligned}$$

**Resulting state:**  $S_8 = \langle KB_0^8, \Sigma^8, \{G_1, G_2\}, Strategy^7, TC^7 \rangle$

**Time 9 - Sensing Transition:**  $\models_{Env}^9 \{ \}$

**Resulting state:**  $S_9 = S_8$

**Time 10 - Revision Transition:** A h i . i e he . e i i . . a . i i . . d e e e f . . he . . a e g he ac i .  $A'_1$  a d i . . ec . di . . a  $A'_1$  ha bee . e e c e d .

**Resulting state:**  $S_{10} = \langle KB_0^8, \Sigma^8, \{G_1, G_2\}, \{ \}, TC^7 \rangle$

**Time 11 - Planning Transition:** A . . e ha he . e e c e d g a i .  $SelP(S_{10}, 11) = G_2$ . N e ha a h i . i e  $G_2$  i he . . g a ha ca be . e e c e d beca e g a  $G_1$  i a ch e d . S i a . . a f . he . e i . . a i g . a . i i . . , e :

$$\begin{aligned} Strategy^{11} &= \{ \langle assume\_happens(mv(c, b), T_6), G_2 \rangle = A_2 \\ &\quad \langle holds\_at(clear(a), T_7), A_2 \rangle \\ &\quad \langle holds\_at(clear(b), T_8), A_2 \rangle \} \\ TC^{11} &= TC^7 \cup \{T_6 < T_2, T_7 = T_6, T_8 = T_6\} \end{aligned}$$

**Resulting state:**  $S_{12} = \langle KB_0^8, \Sigma^8, \{G_1, G_2\}, Strategy^{11}, TC^{11} \rangle$

**Time 12 - Execution Transition:** ac i .  $A_2$  i . e e c e d . Le

$$\begin{aligned} KB_0^{12} &= KB_0^8 \cup \{executed(mv(c, b), 12)\} \\ \Sigma^{12} &= \{T_3 = 4, T'_3 = 8, T_6 = 12\} \end{aligned}$$

**Resulting state:**  $S_{13} = \langle KB_0^{12}, \Sigma^{12}, \{G_1, G_2\}, Strategy^{11}, TC^{11} \rangle$

**Time 13 - Sensing Transition:**  $\models_{Env}^{13} \{ \}$

**Resulting state:**  $S_{13} = S_{12}$

**Time 14 - Revision Transition:** A h i . i e he . e i i . . a . i i . . d e e e f . . he . . a e g he ac i .  $A_2$  a d i . . ec . di . . a  $A_2$  ha bee . e e c e d . M . e . e . a b . h  $G_1$  a d  $G_2$  a e a ch e d , he . e i i . . a . i i . . d e e e he . . f . . he g a . e a d i g . a . c c e f . . a . a e .

**Resulting state:**  $S_{14} = \langle KB_0^{12}, \Sigma^{12}, \{ \}, \{ \}, TC^{11} \rangle$ .

## 8 Related Work and Conclusions

P a . i g ha bee . a . e . ac i e . e ea ch a d d e e . . e . a ea f . . . e i e . S . e . . ha e bee . d e . e d f . a . a g e f a . i ca i . . . ch a . . e d i ca . . . b . i c a d e b . e . i c e . M a . . a . . a ch e . . . a . i g ha e bee . . . . e d (e.g he

STRIPS a g a g e i h i i . . . e e . . . a d e a e d . . . a e f h e a . . . e . . .  
 . . . c h a G a h a [1]). H e e e c . . . c e . . . a e . . . h e c . . . e . . . . .

O . . . a . . . a c h . . . . . a i g i b a e d . . . h e a b d c i e *event calculus*. I i  
 h . . . c . . . e . . . e a e d . . . S h a a h a . . . a b d c i . . . a d e e . . . c a c . . . a i g  
 [14, 15, 16, 17, 18] a d . . . h e a . . . a c h b a e d . . . h e *situation calculus*. T h e a -  
 e . . . f . . . h e b a i f G O L O G [11], a i . . . e a i e a g a g e i . . . e e e d i .  
 P R O L O G i c . . . a i g . . . a c . . . - a c i . . . ( a . . . c e d . . . e ) a d . . . - d e . . . i i . . .  
 G O L O G h a b e e . . . h . . . . . b e . . . i a b e f . . . i . . . e e i g . . . b . . . . . g a . . . a  
 h i g h - e e i . . . c i . . . i d . . . a i c d . . . a i . . .

T h e c . . . i b . . . i . . . f . . . . . a e . . . i i . . . d e c i b i g a . . . e . . . h a a . . . a i a  
 a . . . i g a d h e i e e a i g f . . . a i g i h e i g a d e e c i g a c i . . .  
 T h i i e g a i . . . i a i c a . . . i a b e f . . . ( . . . i b . . . e . . . c e b . . . d e d ) a g e . . .  
 i a e d i d . . . a i c e . . . i . . . e . . . O . . . a i a . . . a . . . . . e e e . . . h a e h e  
 a . . . . . f h e *compound actions* f S h a a h a [16]. I f e d e d e d , b h a -  
 . . . a c h e a . . . . . d e e c a b e a c i . . . . . i c . . . H e e e . . . f . . . a i a i . . .  
 i i i e . . . h a [16] a e d . . . e e d . . . e c . . . . . d a c i . . . i . . . h e i e  
 i . . . d e . . . a c h i e e a i a . . . a i g .

C . . . . . d a c i . . . a e a . . . e . . . i e d i . . . h e i a i . . . c a c . . . , i a i c a  
 [12] g i e f . . . a c h a a c e i a i . . . i f c . . . . . d a c i . . . a d h e i . . . e c . . . d i i . . .  
 a d . . . c . . . d i i . . . I e i g a i g h . . . i c . . . . . a e h e i . . . . . f a e . . . i  
 . . . b e c f f . . . e . . . . .

A i . . . . . a f e a . . . e f . . . a . . . a c h i h e e i i . . . f h e a . . . b a e d  
 b h e R e i i . . . a i i . . . T h e . . . e e . . . c . . . e i h e *Strategy* a . . . f e a c h a g e  
 . . . a e a . . . a i e g e . . . e e c i e a . . . f e i i g h e ( a i a ) a . T h i . . . e a . . .  
 . . . h a , i f e a i g b e c . . . e . . . e c e a . . . i i d e . . . . . f . . . a c h e e d g a . . . a d  
 . . . b g a . . . h a a i d i g h e . . . e a i g f . . . . . c a c h . . . e h d e e i [16].

T h e e a e i e . . . h a . . . e h a e . . . a d d e e d e . T h e e i c d e a i c a -  
 i . . . . . b e . . . , h i c h a e a d d e e d i [17] h e e i i . . . i e d . . . h a h e  
*state-constraints* f a i a i . . . f a i c a i . . . c a e a d . . . i c . . . i e c i e . S a e -  
 c . . . . . a i . . . a e f h e f .

$$holds\_at(P,T) \leftarrow holds\_at(P_1,T), \dots, holds\_at(P_n,T)$$

T h i . . . e c a c a e i c . . . i e c i e i f , a a i e t , P\_1, \dots, P\_n a d h . P h d .  
 B a a e a i e . . . i e , a t\_1, \neg P . a h d a d i i . . . c i e d b e f . . . h e i e  
 t . A . . . e f a b . . . e f . . . a e e e d e d . . . d e . . . b g a . . . , a i c a i . . . i a i . . . . .  
 . . . a i . . . e . . . b e a d d e e d . O e a . . . a i d h e . . . b e . . . f i c . . . i e c c d  
 b e . . . a d d , f . . . e a c h . . . a e c . . . a i . . . f h e f . . . a b . . . e . . . a . . . h e . . . e f h e f .

$$declipped(P,T) \leftarrow holds\_at(P_1,T), \dots, holds\_at(P_n,T)$$

T h i a . . . a c h i i i a . . . h e . . . e h a e h a e a e i . . . h e *bridge rules* f  
 S e c i . . . 3 , b . . . e e d . . . b e f . . . h e i e i g a e d .

T h e *Sensing transition*, d e c i b e d i . S e c i . . . 5 , i i . . . . . a . . . f . . . a i a e d  
 a g e . . . , b i . . . a h e . . . i i i c . I i i . . . . . a d d h e b e . . . a i . . . . . h e a g e . . .  
 . . . e d g e b a e a d h e *bridge rules* i h e . . . e d g e b a e e f . . . . . e i . . . i c i  
 c . . . i c . . . e . . . i . . . A a e . . . a i e a . . . a c h i . . . e e e d i [16]. T h i . . . . . a  
 i h a . . . . . c e a . . . b e . . . a i . . . i . . . a d e , ( . . . i b . . . a b d c i e ) e . . . a a i . . . . . f i a e



gh, h, a, idi, g... e... ibe i c... i e cie a d gi i g a, iche, acc...  
 f ca e a d e ec... Thi a... ach ha... b i... di ad a age i ca e he e  
 b e, a i... a e, ch ha he age ca... be e ec ed... d e a a i...  
 f... E.g., i a c... i ca i... ce a i, a age c... d b e, e ha he e...  
 i d... b ha... a f... i g (... e e g e i g) h.

A... he, d a bac... f... Se i g... a i i... i ha i i... a d... a d a i e.  
 The age c... ec i f... a i... f... he e... i... e a a a i e b e, e. A  
*active* f... f... e i g i de c i b e d i [7,2] he, e, a... e a... e f... i g h i ca  
 ac i... , he age ca... e f... ac i e... edge... d c i g (... e e i g) ac i...  
 S ch ac i e e i g ac i... d... a ec he e e, a e... i... e b... he a ec  
 he age... edge ab... he e... i... e. S ch a ac i e e i g ac i... ca  
 be e f... ed, f... e a... e, ... ee i f... a i... f... he e... i... e ab...  
 ... ec d i... f ac i... be f... e he a e e f... ed... ee c... a i... ha  
 a e ec ed ac i... ha had i... de i ed... c... e. Ac i e e i g ac i... a e a...  
 add e ed i [13] f... i... e a i e GOLOG... g a... he e he a... c... d i... a  
 a... h... e c... d i... a e ch e c ed a... - i e.

A... i... e e a ed... b e, a i... i ha... f *exogenous actions*. O... ha d i g  
 f... b e, a i... c... b i e d i h he Re i i... a i i... ee... b e e c i e...  
 ca... e b... he g e... ac i... a d he i e ec... i he e... e ha, i f... age  
 de ec... a ac i... a fac... h i c h i... a i d a e a... a... a b... a a e ad e ec ed,  
 he e i i... ced... e i... e a f... ha... a (a d... f... ha... a). A... he  
 a... ach... e g e... ( a i c i... ) ac i... i ha i [5] he, e, i f... e g e...  
 ac i... cha g e he e e, a e... i... e, a ec... e... ced... e i... e f... ed i h  
 h i c h he age i a b e... e... e he... a e... he... e be f... e he e... g e... e e...  
 c c... ed.

W i h... e ec... f a e... , d a bac... f ha a... ach a e ha a... -  
 b e, f a... i... ha e b e... a d e, i... a i c a... ha he age... ha  
 i d f... e g e... ac i... ca... b e d... e a d... ha he i e ec... a e. A... , h i  
 a... ach d... e a e i... acc... he... i b i... ha a e g e... ac i...  
 ca... he... he age... ach i e e i... g a... a i g ce, a i... b g a... a d ac i...  
 ... e c e... a... .

F i a... , e... e a... ha... e... e a a e... ech i e, e a e... d i g  
 f... a... e... ch a... d... e a d c... e e e... a d... e a e d i g... ac i ca  
 e... e i e a i... i h he C I F F... e [4,3] a... he... de... i g a b d c i e... e a...  
 ... e... .

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2.1 Macro Actions

Consider a navigation task in a grid world MDP. The agent starts at the top-left cell. The goal is to reach the bottom-right cell. The environment is a 10x10 grid with obstacles (thick black lines). The agent can move in four directions (north, south, east, west) or stay in place. The macro actions are defined as: *leave-the-room* (leave the room), *go-to-door* (go to the door), *go-to-goal* (go to the goal), and *stay-in-place* (stay in place). Figure 2 illustrates the difference between primitive actions and macro actions.

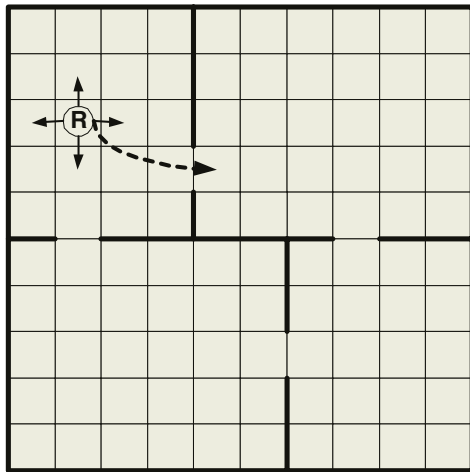


Fig. 2. Navigation task illustrating the difference between primitive actions and macro actions

Actions are *S*, *P*, and *S* [9], *options* consist of the set of actions  $\pi : S \times A \mapsto [0, 1]$ , a set of actions  $\beta : S \mapsto [0, 1]$ , and a set of actions  $I \subseteq S$ . An action can be performed from a state  $s$  if  $s \in I$ . A high-level action is defined as a sequence of actions  $\pi$  such that  $\sum_{a_i \in A} \pi(s, a_i) = 1$ , and the set of actions  $\beta$  for each state  $s$ . While executing the action  $\pi$ , the agent follows the action  $\beta$ . The action  $\pi$  is a set of actions  $\beta$ .

It is important to note that the agent can only execute the action  $\beta$  if the agent is in the state  $s$ . The action  $\beta$  is a set of actions  $\pi$ . The action  $\beta$  is a set of actions  $\pi$ .













...ace, ...ch f he ...e i ...c ... a i ...c a ... i be ...e ed. I i f ... h i ...e e  
 ha c ... a i ...a e c i e c i g a i ed.

A a a i ...e e ab ...a i ... i he ... b ... ac age de i e ... d ... a i ... e he  
 ... b ... ca acce ... ac age f ... de i e ... a ... e . Each ac age i ... e e e ed a a  
 g a ... acce i g a ... ac age e ... a e ... g a add i ... . A ... , ... de i e ... , he  
 ac age i ... ge ... he ... e ... i b i ... f he age ... . Thi c ... e ... d ... a i ... e  
 e a ... e f g a ... e ... a . F ... a i ... e a ... , ac age ... a be c ... e ... e ... e  
 ... ge ... , c ... e ... d i g ... cha g i ... g e a d a e f ... he g a ... .

I a d ... a i c ... e i g (i.e., ... e i ... h i c h ... e e ... e ... ge ... , he age ... a  
 ... d i f ... he ... d) a c h i e i g ... i a i ... i i ... i b e e c e ... h ... g h ... h a ... e -  
 ... a c e . O ... i a i ... e i e ... h a ... he age ... h a e a ... e f e c ... e d i c ... f ... f ... e  
 ... e ... b e h a i ... . R a i ... a i ... e i e ... h a ... he age ... a c ... i i ... b e i e ed  
 b e i ... e e ... . I a d ... a i ... i h c ... e e ... e ... e d g e ( a ... , ... e e ... , a d f ... e ) ,  
 ... a i ... a i ... d e a e ... he ... i a ... i ... h ... g h ... i c ... a i ... a  
 e ... e ... i e . W i h i c ... e e ... e ... e d g e , he age ... h ... d ... e f ... a ... e  
 a i ... c a ... , g i e ... i ... i e d ... e d g e a d ... e ... c e . L a c i g a ... i f ... a i ...  
 a b ... he f ... e , a ... age ... c a ... b e c ... i d e ed , a i ... a i f i ... e e c ... e a c i ... h i c h  
 a ... e c ... i d e ed ... i a i ... h e ... d e ... he age ... h ... d a ... h e i ... e i ... e e c ... e h ... e  
 a c i ... . W h e ... f a c e d ... i h ... e ... i f ... a i ... , he age ... a i ... a i ... a i ... a i ... b  
 ... e i i g i ... d e a d c ... i i g e e c ... i ... i h ... h a ... e e ... a c i ... i ... h e ... b e  
 i e ed ... b e ... i a . T h e f ... i g ... e c ... i ... d e c i b e a g ... i h ... f ... a i ... a i ... g  
 ... a i ... a i ... b ... d i f i g ... h e d e c i ... d e ... i ... e ... e ... cha g e ... i ... h e d e i e  
 ... f ... he age ... .

**3.2 Goal Removal**

G a ... e ... a a ... he age ... e d c e ... h e i ... e f ... h e d e i e ... a c e ... h a ... i  
 ... d e ... . T h e e a e ... c a e f ... g a ... e ... a : (1) h e g a ... h a ... a ... e a d ... b e e  
 a c h i e d a d (2) h e g a ... h a ... a ... e a d ... b e e ... a c h i e d . B ... h ... c a ... e a ... e i ... e  
 d e ... h e ... c ... e f ... h e d e i e ... a c e .

T h e ... c a e i ... i a d e ... h e ... c ... e f ... h e d e i e ... a c e . T h e a g e  
 ... e e d ... e a ... h e c ... e ... a e a ... h e ... e ... f ... h e ... d e ... i h ... e c a c -  
 ... a i ... e c e ... a . A d e i e ... a e ... h a ... a e ... e a c h a b e f ... h e c ... e ... d e i e  
 ... a e c a ... b e ... e d f ... h e ... d e (e.g., h ... e d e i e ... a e ... i ... h i c h ... h e g a  
 b e i ... g ... e ... e d ... h a ... b e e ... a c h i e d ) . I ... f a c ... h e g a ... a ... i a b e i ... e f ... c a ... b e  
 ... e ... e d f ... h e ... e ... e ... a i ... e d ... b a ... e ... a i ... g ... d e i e ... a e ... . S i ... c e ... h e  
 ... a ... e a ... i g ... e d ... h a ... g a ... a ... i a b e ... i ... b e e ... i a e ... f ... a ... e ... a i ... g ... a ... e ,  
 i ... c a ... b e ... a f e ... f a c ... e d ... f ... h e d e i e ... a e ... e ... e ... e ... a i ... i ... h ... a ... e c i g  
 a ... f ... h e ... e ... a i ... g ... d e i e ... a e ... a ... e .

---

**Algorithm 1.** REMOVEGOAL( $d, g$ )

---

```

location = d.location
d = CHILD(d, g)
d.location = location
UPDATE(V(d))
    
```

---











the age of each individual, and the effect of the age on the change. This is each individual's utility function. The MDP is defined as a tuple  $(S, A, T, R, \gamma)$  where  $S$  is the set of states,  $A$  is the set of actions,  $T$  is the transition function,  $R$  is the reward function, and  $\gamma$  is the discount factor.

MDP is defined as a tuple  $(S, A, T, R, \gamma)$  where  $S$  is the set of states,  $A$  is the set of actions,  $T$  is the transition function,  $R$  is the reward function, and  $\gamma$  is the discount factor. The state space  $S$  is defined as the set of all possible configurations of the system. The action space  $A$  is defined as the set of all possible actions that can be taken. The transition function  $T$  is defined as the function that maps a state and an action to a new state. The reward function  $R$  is defined as the function that maps a state and an action to a reward. The discount factor  $\gamma$  is defined as the factor by which the reward is discounted at each time step.

A state is defined as a tuple  $(s_1, s_2, \dots, s_n)$  where  $s_i$  is the state of the  $i$ -th individual. The action space  $A$  is defined as the set of all possible actions that can be taken. The transition function  $T$  is defined as the function that maps a state and an action to a new state. The reward function  $R$  is defined as the function that maps a state and an action to a reward. The discount factor  $\gamma$  is defined as the factor by which the reward is discounted at each time step.

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# Organising Software in Active Environments

Beniamino Hirsch<sup>1</sup>, Michael Fisher<sup>1</sup>, Chiara Ghidini<sup>2,\*</sup>,  
and Paolo Busetta<sup>2</sup>

<sup>1</sup> Department of Computer Science,  
University of Liverpool, United Kingdom  
{M.Fisher, B.Hirsch}@csc.liv.ac.uk

<sup>2</sup> Automated Reasoning Systems Division,  
ITC-IRST, Trento, Italy  
{ghidini, busetta}@itc.it

**Abstract.** In this paper, we investigate the use of logic-based multi-agent systems for modelling active environments. Our case study is an intelligent support system for a so-called “active museum”. We show the approach of structuring the “agent space”, i.e., the social organisations acting within the environment, is well fitted to naturally represent not only the physical structure of the application, but also the virtual structure in which it operates. The adoption of a logic-based modelling system provides high-level programming concepts, and allows the designer to rapidly design and develop flexible software to be used in active environments.

## 1 Introduction

In recent years, computer science has become increasingly effective, and the area is being increasingly explored. The general idea of the “agent space”, i.e., the social organisations acting within the environment, is well fitted to naturally represent not only the physical structure of the application, but also the virtual structure in which it operates. The adoption of a logic-based modelling system provides high-level programming concepts, and allows the designer to rapidly design and develop flexible software to be used in active environments.

Within the agent space each component, as a whole, acting together in a distributed, collaborative, and dynamic manner, have been studied [16]. Some of the techniques developed have been the use of the “agent space”, i.e., the social organisations acting within the environment, is well fitted to naturally represent not only the physical structure of the application, but also the virtual structure in which it operates. The adoption of a logic-based modelling system provides high-level programming concepts, and allows the designer to rapidly design and develop flexible software to be used in active environments.

\* Work supported by MIUR under FIRB-RBNE0195K5 contract.

The ... f... da... i... f... a... gic... a... ide... he... ibi... f... ca... i... g... verification... f... a... de... a... i... ge... i... e... a... c... di... [2].

O... ai... i... hi... a... e... i... i... e... he... a... ach... ab... e... [12,15], h... i... e... e... ia... ba... ed... he... METATEM... e... ec... ab... e... e... a... gic... [1], i... de... h... h... c... a... i... a... gic... i... ge... e... a... a... d... e... a... gic... i... a... ic... a... ,... ge... he... i... h... a... c... ed... age... ,... ace... a... ,... i... c... a... d... a... a... de... c... e... ea... ,... d... c... i... ge... i... e... .

T... ei... f... ce... hi... ca... i... ,... e... i... a... he... ab... e... a... ach... a... ec... i... c... ac... i... e... i... e... ,... a... e... he... -ca... ed... ac... i... e... e... [19]. We... i... h... h... ch... a... gic... -ba... ed... i... -age... ,... e... ,... hi... e... be... i... ge... a... i... e... ,... a... i... gh... f... a... d... b... i... d... ,... ca... be... a... e... ec... i... e... a... d... high... -e... e... f... de... i... g... c... i... ge... i... e... . e... ha... a... e... i... he... e... e... i... be... ,... ada... ,... cha... ge... i... hi... he... i... e... i... e... (a... d... he... ef... e... he... e... ),... a... d... e... i... be... . We... i... h... h... he... a... ach... f... c... i... g... he... age... ,... ace... ,i.e.,... he... cia... ,... ga... i... a... i... ac... i... g... i... hi... he... e... i... e... ,... i... e... ed... a... a... e... e... e... i... g... he... h... i... ca... ,... c... e... f... he... a... i... ca... i... ,... b... a... he... i... a... e... i... e... i... hi... h... i... ch... he... f... a... e... a... ef... a... c... e... ide... .

The... c... e... f... hi... a... e... i... a... f... . T... be... gi... i... h... ,... he... c... ce... f... he... ac... i... e... e... i... e... ai... ed... i... Sec... 1... 2. The... ,... i... Sec... 1... 3, ... e... i... d... ce... he... e... c... ce... f... he... a... ic... a... gic... -ba... ed... ,... ga... i... g... a... g... age... e... ai... i... e... . The... e... i... g... i... -age... e... i... e... ai... ed... i... Sec... 1... 4... a... d... i... i... e... e... a... i... i... de... c... i... b... ed... i... Sec... 1... 5. The... a... i... ca... i... f... hi... a... ach... he... ac... i... e... e... e... ce... a... i... i... e... e... ed... i... Sec... 1... 6, ... a... d... he... ad... a... age... f... hi... a... ach... ,... a... ic... a... a... b... i... e... age... e... h... gh... he... ga... i... a... i... a... a... e... ,... a... e... di... c... ed... i... Sec... 1... 7. F... i... a... ,... i... Sec... 1... 8, ... e... c... i... de... c... c... di... g... e... a... .

## 2 The Active Museum

I... the... PEACH... ec... [19] he... c... ce... f... ac... i... e... e... i... be... i... ge... a... ed... Thi... i... a... f... f... active environment [18], ... a... d... ca... be... ee... a... a... age... ca... e... i... e... ,... i... -edia... ,... i... -da... e... . I... he... ca... e... f... PEACH... e... e... i... i... a... e... i... d... ed... (ei... he... de... a... d... -ac... i... e... ,... de... e... di... g... he... c... e... )... i... h... i... f... a... i... ab... e... h... i... b... i... he... a... e... e... i... hi... he... e... . Thi... i... f... a... i... a... ba... da... f... a... a... i... e... f... i... f... a... i... ce... a... d... ed... ia... e... (... e... e... e... ,... i... e... e... e... e... ,... e... c... ),... a... d... e... e... ed... he... i... b... a... a... i... e... f... c... i... e... (f... e... a... e... ,... ha... d... -he... d... de... i... ce... ch... PDA... ,... i... ,... a... c... ee... ,... a... d... ).

Ge... e... a... e... a... i... g... ac... i... e... e... i... e... ha... e... e... cha... ac... e... i... c... ha... a... e... he... b... a... na... di... e... f... ,... adi... a... c... i... g... a... d... HCI... F... i... a... ce... ,... i... e... e... a... be... i... a... i... ge... ace... ,... i... e... ac... i... g... i... h... di... e... a... i... ca... i... i... a... e... . The... e... f... e... cha... ge... d... a... i... ca... e... i... e... U... e... a... e... -a... a... e... (a... d... i... e... ed... )... ha... he... e... i... e... i... f... ed... f... a... di... i... b... ed... c... e... e... . The... ef... e... he... i... e... ac... i... h... he... e... i... e... a... i... f... i... e... e... a... i... ge...

<sup>1</sup> <http://peach.itc.it>

... i h i c . . . e . H e e , e e i c e a e . . . i d e d b a a i a b e e e f c . . . -  
 . e . . . h a . . . i a d e a e h e e . . . e . . . b r e d e i c e . . . h a . . . a b e  
 . . . i g a . . . h e e e e . S e . . . i c e . . . i d e d b h e e c . . . e . . . c a ( a i a )  
 . . . e a ; h e e f e , h e . . . e e d . . . c . . . d i a e i . . . d e . . . d e c i d e , f . . . i . . . a c e , h  
 . . . i d e a . . . e c i c e . . . i c e , a d h . . . i . . . i . . . i d e d , i a . . . e c i c c . . . e . . .

I . . . e f e e c e . . . c e a i , h e a c i e . . . e . . . , e . . . i . . . i . . . a d e . . . c e  
 a a i a b i . . . a i . . . e c . . . a i . . . h e g e e a i . . . a d d i . . . a . . . f i f . . . a i . . . ;  
 . e . . . c e . . . a . . . a i d . . . c h a g e . . . e . . . i e , h i e e . . . e . . . e a . . . d . I . . . h e b a c -  
 . . . g . . . d , e . . . . . d e i g a g e . . . i e . . . e c . . . d h i . . . i e . . . f e . . . i e a c i . . . a d  
 b i d . . . e b . . . b e . . . i g h e i . . . b e h a i . . . ; h e i . . . g a i . . . c . . . i e . . . e e a -  
 . . . i . . . , a . . . i d i g e e i i . . . . . i a . . . . . i a e c . . . e . . . F . . . h e . . . e . . . e . . . g - e .  
 . . . b e c i e f h e P E A C H . . . e c i . . . . . i g g . . . . . f i i . . . . . c h a f a i i e  
 . . . c a e . . . f c h i d e , b . . . . . i d i g . . . . . h a . . . . . h e h a i g f e . . . i e c e a d  
 i . . . . . e e a . . . i g . A . . . h e e . . . e . . . i i e i . . . . . i e . . . i e c . . . . . i c a i . . . a . . . g h e  
 . . . f a e c . . . a b . . . a i g . . . . . i d e e . . . i c e . . . e . . . b e . . . d c . . . e . . . c . . . i c a i . . .  
 a c h i e c . . . e a d e . . . i c e c . . . . . i i . . . . . e c h i e . T h e . . . b e c i e h e e i . . . c e a e  
 a h i g h d i . . . i b e d a d d a i c e . . . i . . . e . . . , h e e h e . . . b e . . . f c . . . e . . . e . . .  
 c a a b e f . . . i d i g e . . . i c e c . . . i . . . . . a i e .

The i . . . e e a i . . . f a a c i e . . . e . . . h a h a b e e . . . i d e d i P E A C H  
 . . . i e . . . h e a b i . . . i . . . f e d i g e . . . a g e . . . r o l e s , a h e . . . h a . . . i d i d a c . . . -  
 . . . e . . . , a d *overhearing* c . . . e . . . a i . . . h a . . . e i g a . . . g a . . . e f c . . . e . . . e . . . f  
 h e . . . e . . . T h i . . . a b e . . . h e a g g e g a i . . . f e . . . i c e - . . . i d i g a g e . . . i . . . e a . . .  
 h a h a e b e e . . . c a e d *implicit organisations* [5,6]. I . . . . . , h i e a b e c . . . e . . . e -  
 . . . e . . . i i e b e h a i . . . . . b e b i . . . i . . . b e c e . . . b e d d e d i . . . h e e . . . i . . . e . . . , f e e i g  
 h i g h - e e a . . . i c a i . . . ( c . . . c e . . . e d , f . . . i . . . a c e , i h . . . . . i g . . . . . e d g e h a -  
 i g i h i a g e . . . ) f . . . . . i e c . . . c e . . . i g e . . . i c e c . . . . . i i . . . . . a d d e i e . . . i  
 a . . . e c i c e . . . i . . . e . . . T h e i . . . e e a i . . . f h i i d e a i b a e d . . . a f . . . f  
 g . . . . . c . . . . . i c a i . . . c a e d *channelled multicast* [4], h i c h i . . . . . e d b a  
 e . . . e i e a c . . . . . i c a i . . . i f a . . . c . . . e , c a e d *LoudVoice* . L . . . d V i c e . . . -  
 . . . . . h e c e a i . . . f c h a . . . e . . . - h e - ; . . . e . . . a g e . . . e . . . a c h a . . . e a e e c e i d  
 b a a g e . . . . . e d i . . . i .

### 3 MetateM

O e h e a f e e a . . . a d i e e . . . h e i e a d . . . e c i c a i . . . a g a g e , . . .  
 . . . g e h e . . . i h i . . . e e a i . . . . . f i d i d a a g e . . . [17] a d . . . g a i a i . . . a a e c . . . ,  
 . . . c h a e a . . . . . [20], h a e b e e d e . . . e d . H e e , h e a e a . . . f e e a c h . e -  
 d . . . . . e a . M a . . . e c i c a i . . . a g a g e a e . . . c . . . e . . . d i e c . . . a . . . a e  
 i . . . a ( e e c a b e ) . . . g a , a d f e . . . i g . . . e i . . . e h a a i e i h i e a c -  
 i . . . a d c . . . a b . . . a i . . . b e e e a g e . . . O h e . . . h e h a d , i . . . e e a i . . . f  
 . . . i - a g e . . . . . e . . . f e h a e . . . . . a e e i g c . . . e c i . . . i h a g e . . . h e i e . . .  
 h e i e a b . . . e a . . . . .

M a . . . e c i c a i . . . a g a g e a e b a e d . . . g i c , h i c h a . . . . . f . . . ( . . . e i a )  
 . . . e i c a i . . . f h e . . . e c i c a i . . . , a d h e a b i . . . . . e h i g h e e c . . . c e . . . , h i e  
 a . . . . . f e . . . e . . . i g i a c . . . c i e . . . e e a i . . . . . A g e . . . h e i e a e . . . i c a . . . b a e d

... he...-ca ed BDI...gic [3], c... bi 1 g... a d f... *belief, desire, a d intention*.  
 B... 1 g h... e... a 1 ic... 1..., he age... 'ea... 1 g ab... he 1 e... 1...  
 ... e..., he 1 ch ice... c... ea 1... f... a..., a... e... a he... 1 f g a... ca... ea 1  
 be e... e... ed 1... gica... e... H... e e..., hi... e... e... e... ead... a... e...  
 high c... e... 1... ed 1 ha d 1 g he... e... 1 g... eci ca 1...

The... eci ca 1... a g age... e... he e..., ba ed... METATEM [1], 1 a... e... e...  
 c... ab e... gic. Tha 1... a..., e ca... *directly* e... e... he... gica... eci ca 1...,  
 he eb... a... 1 a... b... idg 1 g he ga be... ee... he... a d... ac ice. The... 1-age...  
 e... 1... e... a 1 e... he d... a... ic... c... 1 g... f... he age... ace 1...  
 g... (a d... ea... ). METATEM<sub>1</sub> ba ed... 1 1 1 a 1 ea... e... a... gic [14],  
 e... e... ded... 1 h... da 1 ie f... (b... ded) be 1 ef, c... de... ce a d ab 1 1 ie [12]. The...  
 e... 1 g... gic 1... 1 e... e... 1 e..., e... 1... e... gh... be d 1 ec... e... ec ed. Be...  
 1 ef 1... de ed... 1 g a... da... 1-c... e... gic ha 1... gh... e... 1 a e...  
 he... a da d KD45... da... gic, hi... e... de... ce 1... de ed a... be 1 e 1 g ha...  
 e... e... a... e... hi g 1 ha... e... Ab 1 1 1 e... 1... e..., be 1 g 1 e... e... ha a...  
 da 1... ha ca be... ed... e... f... ae. Whi... e a bi... a... e... a f... ae...  
 ca... be... ed... ecif... he age... beha 1..., age... a... e... 1... ac ice, ... g a... ed...  
 1 g a... ecia... a f... ca ed SNF, hi ch 1... a... ic... a... a... e... ab e... e... e...  
 c... 1... a d... e... 1 ca 1... [10]. A... a... e... f... a... 1... e... e... f... SNF<sup>2</sup> 'e' hi ch...  
 1 gh f... a... f... a... age... de c... 1... 1..., c... ide... he f... 1 g

$$\begin{aligned} \text{start} &\rightarrow \text{in\_office} \\ (\text{in\_office} \wedge \neg \text{hungry}) &\rightarrow \bigcirc \text{in\_office} \\ (\text{in\_office} \wedge \text{hungry} \wedge A_{me} \text{buy\_food}) &\rightarrow B_{me} \bigcirc (\neg \text{in\_office} \wedge \text{buy\_food}) \\ (\text{buy\_food} \wedge A_{me} \text{eat}) &\rightarrow \diamond \neg \text{hungry} \end{aligned}$$

He e, '○' ea... 1 he e... e... e..., hi e '◇' ea... a... e f... e...  
 ... e... . Th..., he ab... e de c... ibe a... ce a... 1 he e I a... 1 he... ce a... he beg 1...  
 1 g f e ec 1..., a d 1 c... 1 e... a... 1 he... ce hi e I a... h... g...  
 H... e e..., ... ce I bec... e h... g... a d I a... ab e... b... f... d (*A<sub>me</sub> buy\_food*), he...  
 I be 1 e e (*B<sub>me</sub>*), ha 1... he e... e... e... 1 1 e..., I 1... 1 ea e he... ce a d b...  
 f... d. F 1 a..., if I b... f... d a d a... ab e... ea (*A<sub>me</sub> eat*), he... e... e... a... I 1...  
 ... be h... g...

The e... ec 1... e... e... 1 a... f... a d cha 1... h... gh a... e... f... ch... e..., g a d...  
 a... c... c... 1 g a... de f... he... eci ca 1... . If a... c... adic 1... 1 g e... e... a ed,  
 bac... ac 1 g... cc... . E... e... a 1 ie..., ch a... '◇¬hungry' a... e... a 1 ed a... a...  
 ... a... ibe; 1... he ca... e f... c... ic 1 g e... e... a 1 ie..., he... de... a... a d 1 g... e... a... e...  
 a... e... ed... . The ch ice... echa 1... a... e... 1... acc... a... c... bi a 1... f... he...  
 ... a d 1 g e... e... a 1 ie..., a d he de ibe a 1... de, 1 g f... c... 1... [11].

A... e... 1... ed ab... e, be 1 ef... 1... de ed... 1 g b... ded... 1-c... e... gic.  
 Si... e... ea 1 g, be 1 ef... e... a... a... e... c... ed b... c... ea 1 g... e... 1 e... 1 e... a d  
 ch e 1 g he f... c... 1... e... c... . A... each *B<sub>i</sub>*... e... a... 1... e... a... ded, a... ec... d... f... he

<sup>2</sup> For clarity the rules are presented in this way, even though they are not in *exactly* the SNF form.





Execution, goals and agents are mediated and mediated, and the mediated and mediated are mediated. The mediated and mediated are mediated. The mediated and mediated are mediated.

### 4.1 Dynamic Grouping

When a multi-agent system is generated, it is a set of agents, each with a set of capabilities. Agents can be added, removed, moved, and cloned. The agents can be added, removed, moved, and cloned. The agents can be added, removed, moved, and cloned.

Because of the agents, they can have their own set of capabilities. Agents can be added, removed, moved, and cloned. The agents can be added, removed, moved, and cloned. The agents can be added, removed, moved, and cloned.

$$receive(M) \Rightarrow \bigcirc do(M)$$

The agents have their own set of capabilities. Agents can be added, removed, moved, and cloned. The agents can be added, removed, moved, and cloned. The agents can be added, removed, moved, and cloned.

The agents have their own set of capabilities. Agents can be added, removed, moved, and cloned. The agents can be added, removed, moved, and cloned. The agents can be added, removed, moved, and cloned.

### 4.2 Communication

The agents have their own set of capabilities. Agents can be added, removed, moved, and cloned. The agents can be added, removed, moved, and cloned. The agents can be added, removed, moved, and cloned.

1. send agent to receive agent (e.g., `send(SE,Message)`),
2. send agent to agent (e.g., `send(SE1,send(SE2,Message))`), and
3. receive agent (e.g., `sendAll(SE,Message)`)

In case (1), the agent can either receive a message from the agent  $b$  or, in case (2), the agent can receive a message from the agent  $c$ . The expression  $sendAll$  is defined as follows:  $sendAll(SET, message)$  is defined as  $send(SET, message) \wedge send(SET, send(SET \setminus Self, message))$ .

As an example, let  $SE$  be a set of agents, a set of agents, the variables  $Content$ ,  $Context$ , a set of messages  $SE1 \cup SE2$  (in  $SE$ ),  $SE1 \cap SE2$  (in  $SE$ ),  $SE1 \setminus SE2$  (in  $SE$ ) and the variables  $Content$  and  $Context$  are all in  $SE$ .

Using the above, we can define the  $sendAll$  message as follows:

$$sendAll(Set, Message) \equiv send(Set, Message) \wedge send(Set, send(Set \setminus Self, Message))$$

The special variable  $Self$  refers to the agent. It is used to refer to the agent in the code. Note that the agent  $Self$  is not a variable in the code.

## 5 Programming Agents

We have created a Java implementation, which agents are represented by threads, and communication is handled by messages.

Agents are defined by a METATEM engine, which is a set of rules that describe the behavior of the agent. At each cycle, the agent checks its internal state, and then the METATEM engine, which handles the execution of the agent, is executed. If the execution of the agent is successful, the execution of the agent is successful. If the execution of the agent is not successful, the execution of the agent is not successful. The execution of the agent is successful if the agent has finished its execution, and if the agent has finished its execution, the agent is successful.

Note that the execution of the agent is not a logic, but a set of rules. The execution of the agent is not a logic, but a set of rules. The execution of the agent is not a logic, but a set of rules. The execution of the agent is not a logic, but a set of rules.

An agent is a set of messages that are sent to the agent. The agent is a set of messages that are sent to the agent. The agent is a set of messages that are sent to the agent. The agent is a set of messages that are sent to the agent.

<sup>3</sup> Reading messages is not direct interaction, as the agents keep track of messages read during each cycle, and re-reads them in case of backtracking.



## 6 MetateM in the Museum

Using the acronym 'Museum', the following hierarchical structure is defined. The root node is 'M'. The root node 'M' has two children: 'R1' and 'R2'. 'R1' has four children: 'Ex1', 'Ex2', 'Ex3', and 'V1'. 'R2' has four children: 'Ex4', 'Ex5', 'Ex6', and 'V2'.

The root node 'M' is the acronym for 'Museum'. The root node 'M' has two children: 'R1' and 'R2'. 'R1' has four children: 'Ex1', 'Ex2', 'Ex3', and 'V1'. 'R2' has four children: 'Ex4', 'Ex5', 'Ex6', and 'V2'.

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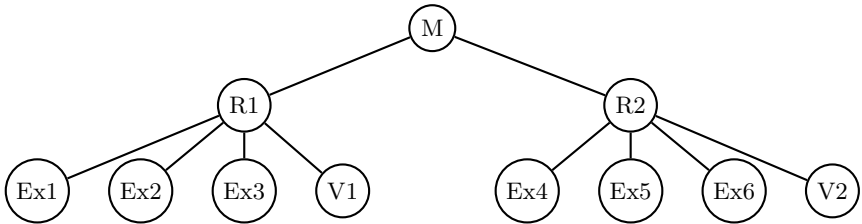


Fig. 3. Physical Structure of Museum Example

The root node 'M' is the acronym for 'Museum'. The root node 'M' has two children: 'R1' and 'R2'. 'R1' has four children: 'Ex1', 'Ex2', 'Ex3', and 'V1'. 'R2' has four children: 'Ex4', 'Ex5', 'Ex6', and 'V2'.

The root node 'M' is the acronym for 'Museum'. The root node 'M' has two children: 'R1' and 'R2'. 'R1' has four children: 'Ex1', 'Ex2', 'Ex3', and 'V1'. 'R2' has four children: 'Ex4', 'Ex5', 'Ex6', and 'V2'.

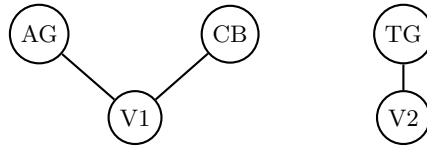


Fig. 4. Organisational Structure of Museum Example

The METATEM Rules needed to accomplish the above are, a heuristic algorithm and Fig. 5. The heuristic needed for Visitor and Room Agents to be able to exhibit a canSee<sup>5</sup>, are the Rules needed as follows:

VISITOR

```

exhibits: {
  addToContent(Room,$Self) => NEXT lookAround(Room).
  addToContent(Room,$Self), canSee($Self,Room1,Exhibit)
    => NEXT seen(Exhibit).
  lookAround(Room) => NEXT send(context, looking($Self,Room)).
  receive(canSee($Self,Room,Exhibit))
    => NEXT canSee($Self,Room,Exhibit).
  canSee($Self,Room,Exhibit), not(seen(Exhibit))
    => NEXT canSee($Self,Room,Exhibit). }
  
```

ROOM

```

exhibits: {
  receive(looking(Visitor,$Self))
    => NEXT send(content,whatExhibit($Self,Visitor)).
  receive(exhibit(Exhibit,$Self,Visitor))
    => NEXT send(Visitor,canSee(Visitor,$Self,Exhibit)). }
  
```

Fig. 5. Physical space rules of both Visitor and Room Agents

The above heuristic algorithm is a heuristic algorithm, which allows the agent to exhibit a canSee. The heuristic algorithm is a heuristic algorithm, which allows the agent to exhibit a canSee. The heuristic algorithm is a heuristic algorithm, which allows the agent to exhibit a canSee.

We will add the following rules. A heuristic algorithm before the heuristic algorithm, which allows the agent to exhibit a canSee. The heuristic algorithm is a heuristic algorithm, which allows the agent to exhibit a canSee.

Next, for the heuristic algorithm, we will add the following rules. The heuristic algorithm is a heuristic algorithm, which allows the agent to exhibit a canSee.

<sup>5</sup> Due to METATEM, predicates that need to be true in more than one moment in time have to be made true explicitly.



```

VISITOR AGENT
preference: {
  receive(prefer(Room,Exhibit1,Exhibit2))
    => NEXT prefer(Exhibit1,Exhibit2).
  canSee($Self,Room,Exhibit) => SOMETIME goLooking(Exhibit).
  canSee($Self,Room,Exhibit) => NEXT not(goLooking(Exhibit)).
  send(context,canSee($Self,Room,Exhibit))
    => NEXT wait(2000,waitforPref(Room)).
  waitforPref(Room) => NEXT startLooking(Room).
  send(context,canSee($Self,Room,Exhibit))
    => NEXT not(goLooking(Exhibit)).

  not(goLooking(Exhibit)), not(startLooking(Room))
    => NEXT not(goLooking(Exhibit)).
  goLooking(Exhibit),not(discard(Exhibit))
    => NEXT lookAt(Exhibit).
  lookAt(Exhibit) => NEXT seen(Exhibit).
  goLooking(Exhibit), discard(Exhibit) => NEXT seen(Exhibit). }

exclude: {
  receive(discard(X)) => NEXT discard(X).
  discard(X),not(seen(X)) => NEXT discard(X). }

exhibits: {
  receive(canSee($Self,Room,Exhibit))
    => NEXT send(context,canSee($Self,Room,Exhibit)). }

```

Fig. 7. Organisational space rules of Visitor Agent

exhibits are handled. The `exclude` rule ensures that discarded exhibits are not re-added to the agenda.

## 7 Discussion of the System

In the absence of a hierarchical agent, a high-level agent can be defined to handle the agent's actions and the self-organizing.

First, the high-level agent can be defined to handle the agent's actions and the self-organizing. The agent's actions can be defined as a set of actions that the agent can perform. The agent's actions can be defined as a set of actions that the agent can perform. The agent's actions can be defined as a set of actions that the agent can perform.

Second, the high-level agent can be defined to handle the agent's actions and the self-organizing. The agent's actions can be defined as a set of actions that the agent can perform. The agent's actions can be defined as a set of actions that the agent can perform. The agent's actions can be defined as a set of actions that the agent can perform.









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